

Immunization Information System (IIS) Functional Standards Version 4.0 Resource Guide

This document lists primary resources associated with the [IIS Functional Standards v 4.0](#) (Standards). The resources were created collaboratively and vetted with the immunization information systems (IIS) community unless otherwise noted. These resources may be useful to inform efforts to create or enhance IIS functionality related to the Standards. This guide includes IIS [general resources](#) as well as resources related to each functional standard.

Resources Related to the Functional Standards

Formal guidance does not currently exist for all functional standards. The table below categorizes available guidance as either introductory or advanced/technical. Note that the resource list is not exhaustive, and individual IIS needs and solutions may vary.

The American Immunization Registry Association (AIRA) Resource Repository Key Words listed below will help locate additional content related to each functional standard in the [AIRA Resource Repository](#). The AIRA Repository contains valuable resources for the IIS community, IIS vendors, health care providers, electronic health record (EHR) vendors, policy makers, funders, and others interested in IISs. [AIRA Repository Search Tips](#) describe the many ways to search and filter in the repository.

Functional Standard	Primary Resources	AIRA Repository Key Words
1.0 The IIS contains complete and timely demographic and immunization data for children, adolescents, and adults residing or immunized within its jurisdiction.	<p>Introductory</p> <ul style="list-style-type: none">• Data Quality for IIS – no-cost, interactive training that requires setting up an account with the Public Health Informatics Institute (PHII) <p>Advanced/Technical</p> <ul style="list-style-type: none">• HL7 Version 2.5.1 Implementation Guide for Immunization Messaging, Release 1.5• HL7 Version 2.5.1 Implementation Guide for Immunization Messaging (Release 1.5) -- Addendum• Data Quality Assurance in Immunization Information Systems: Selected Aspects• Data Quality Assurance in Immunization Information Systems: Incoming Data• Guidance for HL7 ACK Messages to Support Interoperability• AIRA Data Validation Guide – for the IIS Onboarding Process	<ul style="list-style-type: none">• Data quality assurance

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<p>2.0 The IIS identifies, prevents, and resolves duplicated and fragmented patient records using an automated process.</p>	<p>Introductory</p> <ul style="list-style-type: none"> • Consolidating Demographic Records and Vaccination Event Records – mini guide <p>Advanced/Technical</p> <ul style="list-style-type: none"> • Consolidating Demographic Records and Vaccination Event Records – full guide • Immunization Information Systems Patient-Level De-Duplication Best Practices • The Unique Records Portfolio 	<ul style="list-style-type: none"> • Deduplication • Consolidation
<p>3.0 The IIS identifies, prevents, and resolves duplicate vaccination events using an automated process.</p>	<p>Introductory</p> <ul style="list-style-type: none"> • Consolidating Demographic Records and Vaccination Event Records – mini guide • Vaccination Level De-duplication in Immunization Information Systems – mini guide <p>Advanced/Technical</p> <ul style="list-style-type: none"> • Consolidating Demographic Records and Vaccination Event Records – full guide • Vaccination Level Deduplication in Immunization Information Systems – full guide 	<ul style="list-style-type: none"> • Deduplication • Consolidation
<p>4.0 The IIS implements written and approved confidentiality policies that protect the privacy of individuals whose data are contained in the system.</p>	<p>Advanced/Technical</p> <ul style="list-style-type: none"> • AIRA Confidentiality and Privacy: Considerations for IIS 	<ul style="list-style-type: none"> • Confidentiality • Privacy
<p>5.0 The IIS implements comprehensive account management policies consistent with industry security standards.</p>	<p>Advanced/Technical</p> <ul style="list-style-type: none"> • Security Guidance Considerations for Immunization Information Systems 	<ul style="list-style-type: none"> • Security
<p>6.0 The IIS is physically and digitally secured in accordance with industry standards for protected health information, security, encryption, uptime, and disaster recovery.</p>	<p>Advanced/Technical</p> <ul style="list-style-type: none"> • Security Guidance Considerations for Immunization Information Systems 	<ul style="list-style-type: none"> • Security

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7.0 The IIS supports IIS users who access and use the IIS functions and submit or access IIS data.	Introductory <ul style="list-style-type: none"> • Training Resources for IIS Trainers 	<ul style="list-style-type: none"> • Training
8.0 The IIS exchanges data with health information systems in accordance with current interoperability standards endorsed by CDC for message content, format, and transport.	Introductory <ul style="list-style-type: none"> • Interoperability for IIS – no-cost, interactive training that requires setting up an account with PHII • HL7 Basics for IIS – no-cost, interactive training that requires setting up an account with PHII • Transport 101 – video introducing SOAP web services as recommended transport for HL7 • SOAP WSDL 101 – video introducing SOAP WSDL • National Institute of Standards and Technology (NIST) Immunization Test Suite – video demonstrating how to test an example SOAP server Advanced/Technical <ul style="list-style-type: none"> • HL7 Version 2.5.1 Implementation Guide for Immunization Messaging • HL7 Version 2.5.1 Implementation Guide for Immunization Messaging (Release 1.5) -- Addendum • EHR-IIS Interoperability Enhancement Project Transport Layer Protocol Recommendation Formal Specification • Guidance for HL7 ACK Messages to Support Interoperability • AIRA Guidance for HL7 RSP Messages to Support Interoperability • AIRA Data Validation Guide – for the IIS Onboarding Process • Measures and Tests for Assessment Message Submission and Acknowledgement • Measures and Tests for Assessment Query and Response • IIS Assessment Measures and Tests Message Transport • NIST Immunization Test Suite • AIRA National Set of Error Codes • IIS Functional Guide Query and Response Volume 8.17.2017 	<ul style="list-style-type: none"> • Data exchange • Interoperability • Transport • Data transport • HL7

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<p>9.0 The IIS ensures and promotes user access to immunization records for clinical decision-making.</p>	<p>Introductory</p> <ul style="list-style-type: none"> • Presentations on Provider IIS Participation <p>Advanced/Technical</p> <ul style="list-style-type: none"> • AIRA Confidentiality and Privacy: Considerations for IIS • Security Guidance Considerations for Immunization Information Systems 	<ul style="list-style-type: none"> • Record access • Provider participation
<p>10.0 The IIS forecasts pediatric, adolescent, and adult immunizations in a manner consistent with Advisory Committee on Immunization Practices (ACIP) recommendations.</p>	<p>Introductory</p> <ul style="list-style-type: none"> • Clinical Decision Support for Immunization (CDSi) – mini guide • Introduction to the CDSi Project – video • CDSi Resources Easing the Process of Updating CDS Engines – infographic <p>Advanced/Technical</p> <ul style="list-style-type: none"> • CDSi Logic Specification and Supporting Data Version 3.0 • Clinical Decision Support for Immunization (CDSi): Logic Specification for ACIP Recommendations 	<ul style="list-style-type: none"> • Forecast • Clinical decision support
<p>11.0 The IIS manages patient status at the provider organization and jurisdiction levels.</p>	<p>Introductory</p> <ul style="list-style-type: none"> • Management of Patient Active/Inactive Status in Immunization Information Systems: Replacement of 2005 Guidelines – mini guide <p>Advanced/Technical</p> <ul style="list-style-type: none"> • Management of Patient Active/Inactive Status in Immunization Information Systems – full guide 	<ul style="list-style-type: none"> • Patient status

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12.0 The IIS supports vaccine product recall activities.	<p>Introductory</p> <ul style="list-style-type: none"> • Inventory Management Operations of Immunization Information Systems (IIS) – mini guide • Reminder/Recall In Immunization Information Systems – mini guide <p>Advanced/Technical</p> <ul style="list-style-type: none"> • Immunization Information System Inventory Management Operations – full guide • Reminder/Recall in Immunization Information Systems – full guide 	<ul style="list-style-type: none"> • Inventory
13.0 The IIS supports reporting and investigation of vaccine adverse events.	<p>Advanced/Technical</p> <ul style="list-style-type: none"> • IIS-VAERS Collaboration for Vaccine Adverse Events Reporting 	<ul style="list-style-type: none"> • Adverse events • VAERS
14.0 The IIS supports public health response during disease outbreaks.	<p>Introductory</p> <ul style="list-style-type: none"> • Presentations on IIS and Outbreak Control 	<ul style="list-style-type: none"> • Outbreak
15.0 The IIS supports immunization-related efforts in school settings.	<p>Introductory</p> <ul style="list-style-type: none"> • Presentations on IIS and Schools 	<ul style="list-style-type: none"> • School health
16.0 The IIS supports immunization-related efforts in child care settings.	<p>Introductory</p> <ul style="list-style-type: none"> • Presentations on IIS and Childcare 	<ul style="list-style-type: none"> • Childcare
17.0 The IIS supports immunization program activities during a public health emergency according to the jurisdiction’s public health emergency plan.	<p>Introductory</p> <ul style="list-style-type: none"> • Presentations on IIS and Emergency Preparedness Response 	<ul style="list-style-type: none"> • Preparedness

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<p>18.0 The IIS provides predefined and ad hoc assessment and coverage reports that users can generate without assistance from the IIS.</p>	<p>Introductory</p> <ul style="list-style-type: none"> • Analytic Guide for Assessing Vaccination Coverage Using an IIS • Practical Examples of IIS Population-Based Coverage Assessments <p>Advanced/Technical</p> <ul style="list-style-type: none"> • Comparing & Communicating Vaccination Coverage Estimates from Immunization Information Systems, the National Immunization Survey (NIS), and Related Assessments • AFIX-IIS Integration: Operational and Technical Guide - Phase 1 • AFIX-IIS Integration: Operational and Technical Guide - Phase 2 	<ul style="list-style-type: none"> • AFIX • Coverage • Rates
<p>19.0 The IIS supports reminder and recall activities.</p>	<p>Introductory</p> <ul style="list-style-type: none"> • Reminder/Recall In Immunization Information Systems – mini guide <p>Advanced/Technical</p> <ul style="list-style-type: none"> • Reminder/Recall in Immunization Information Systems – full guide 	<ul style="list-style-type: none"> • Reminder • Recall
<p>20.0 The IIS provides immunization records to individuals with appropriate authentication.</p>	<p>Introductory</p> <ul style="list-style-type: none"> • Presentations on Consumer Access <p>Advanced/Technical</p> <ul style="list-style-type: none"> • AIRA Confidentiality and Privacy: Considerations for IIS 	<ul style="list-style-type: none"> • Access • Authorize • Consumer Access
<p>21.0 The IIS ensures stakeholders have appropriate access to the data in the IIS for public and population health purposes.</p>	<p>Introductory</p> <ul style="list-style-type: none"> • Practical Examples of IIS Population-Based Coverage Assessments • A Systematic Review of Barriers to Data Sharing in Public Health – journal article <p>Advanced/Technical</p> <ul style="list-style-type: none"> • AIRA Confidentiality and Privacy: Considerations for IIS • Security Guidance Considerations for Immunization Information Systems • The Community Guide - Vaccination 	<ul style="list-style-type: none"> • Stakeholder access

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<p>22.0 The IIS reliably exchanges information electronically with IISs in other jurisdictions consistent with the current CDC-endorsed HL7 Implementation Guide.</p>	<p>Introductory</p> <ul style="list-style-type: none"> • Interoperability for IIS – no-cost, interactive training that requires setting up an account with PHII • HL7 Basics for IIS – no-cost, interactive training that requires setting up an account with PHII • Transport 101 – video introducing SOAP web services as recommended transport for HL7 <p>Advanced/Technical</p> <ul style="list-style-type: none"> • HL7 Version 2.5.1 Implementation Guide for Immunization Messaging • HL7 Version 2.5.1 Implementation Guide for Immunization Messaging (Release 1.5) -- Addendum • Guidance for HL7 ACK Messages to Support Interoperability • AIRA Guidance for HL7 RSP Messages to Support Interoperability • AIRA Data Validation Guide – for the IIS Onboarding Process • The Network for Public Health Law Memorandum for Sharing state immunization information system data across state borders • Template Memorandum of Understanding for IIS Interjurisdictional Exchange 	<ul style="list-style-type: none"> • Data exchange • Interoperability • Transport • Data transport • HL7
<p>23.0 The IIS supports vaccine management and quality assurance functions for VFC and state and local vaccine programs.</p>	<p>Introductory</p> <ul style="list-style-type: none"> • IIS Collaboration with VFC and Grantee Immunization Programs – mini guide <p>Advanced/Technical</p> <ul style="list-style-type: none"> • Immunization Information System Collaboration with VFC and Grantee Immunization Programs – full guide 	<ul style="list-style-type: none"> • Vaccines for Children

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<p>24.0 The IIS supports data exchange with the national Vaccine Tracking System (VTrckS).</p>	<p>Introductory</p> <ul style="list-style-type: none"> • Vaccine Tracking System • Presentations on VTrckS <p>Advanced/Technical</p> <ul style="list-style-type: none"> • VTrckS ExIS Community – online resource for VTrckS ExIS stakeholders that requires setting up an account with CDC. Request access by sending a message to VTrckSExis@cdc.gov. <p>Note: Additional resources are available in the VTrckS training library. Contact the immunization program in your jurisdiction for more information.</p>	<ul style="list-style-type: none"> • VTrckS
<p>25.0 The IIS supports provider site level vaccine inventory management and reconciliation according to VFC and state and local immunization program requirements.</p>	<p>Introductory</p> <ul style="list-style-type: none"> • Inventory Management Operations of Immunization Information Systems (IIS) – mini guide • Decrementing Inventory via Electronic Data Exchange – mini guide <p>Advanced/Technical</p> <ul style="list-style-type: none"> • Immunization Information System Inventory Management Operations – full guide 	<ul style="list-style-type: none"> • Inventory
<p>26.0 The IIS provides data or produces reports for VFC and state and local immunization programs.</p>	<p>Introductory</p> <ul style="list-style-type: none"> • IIS Collaboration with VFC and Grantee Immunization Programs – mini guide <p>Advanced/Technical</p> <ul style="list-style-type: none"> • Immunization Information System Collaboration with Vaccines for Children Program and Grantee Immunization Programs – full guide 	<ul style="list-style-type: none"> • Vaccines for Children

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General Resources

- CDC Immunization Information Systems web page <https://www.cdc.gov/vaccines/programs/iis/index.html>
- CDC IIS Publications Searchable Database <https://www2a.cdc.gov/vaccines/IIS/IISPubS/IISPubSMain.asp>
- CDC Immunization Information System Annual Report (IISAR) <https://www.cdc.gov/vaccines/programs/iis/annual-report-iisar/index.html>
- American Immunization Registry Association <http://www.immregistries.org/>
- Public Health Informatics Institute <https://www.phii.org/>
- Contact the CDC Immunization Information Systems Support Branch iiinfo@cdc.gov