Enhancing Clarity and Transparencyof Public Health Guidelines

CDC Office of the Director
Office of the Associate Director for Science (OADS)
Office of Science Quality (OSQ)

Roybal Building 19, CDC Library, Room 131 Friday, March 29, 2013: 9:00am – 12:00pm

Live Meeting:

https://www.livemeeting.com/cc/cdc/join?id=5RSD3J&role=attend

Bridge line: (866) 541-9445 Participant code 2135225#



March 29, 2013

Time	Format	Agenda	Topics	
9:00-	L	Transforming	• What is GEM?	4-
9:40		Guideline	 4 Steps in Knowledge 	GLKnowledgeIntoDS.ppt
		Knowledge Into	Formalization;	
		Decision Support	 Clinical Decision Support 	
			Tool for Asthma	
			 Using action-types for 	
			CDS design	
9:40-	D	Group Discussion		
10:00				
10:00-	Н	GEM Cut a	Introduction to GEM	GEM_Nutshell-CDC.ppt
11:00		guideline & design a	Cutter and markup of	AAOTonsillectomy.pdf
		clinical decision	guideline text;	GEMCutterManual.pdf
		support system	EXTRACTOR reports;	
		prototype (25	markup conventions	
		individuals on		
		computers)		
11:00-		Break		
11:15				
11:15-	D	Report on GEM		
11:50		Cutting experience		
		and CDS design		
11:50-		Course Evaluation		Evaluation Forms
Noon				

(L= lecture; D = class discussion; H = hands on)

Housekeeping

- Mobile devices on mute
- No food/beverages in room
- □ Remote attendees & recording
 - March 29: 9:00 10:00am
- Ensuring audio quality
 - Remote attendees: Keep phones on mute; use Live Meeting questions box
 - Instructor to repeat questions
- Evaluation
 - In-person attendees: complete hard copy before you leave
 - Remote attendees: send to <u>OSITraining@cdc.gov</u> or fax to (404) 639-3249 Attn: Julie Orta by COB Friday, March 29

Converting Guideline Knowledge into Clinical Decision Support



Rick Shiffman

Today

- Improving Practice, Improving Care
- 4 Steps in Knowledge Transformation
- A Clinical Decision Support Tool
- Using Action-Types As a Design Pattern

The GLIDES PROJECT



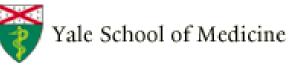
THE GLIDES PROJECT

GuideLines Into DEcision Support

sponsored by The Agency for Healthcare Research and Quality

























CDS Demonstration Projects

Objective

To develop, implement, and evaluate projects that advance the understanding of how best to incorporate clinical decision support (CDS) into health care delivery.

Explore how the translation of clinical knowledge into CDS can be routinized in practice and taken to scale to improve the quality of healthcare delivery in the U.S.

Recognizing the critical importance of transparently developed and clearly stated guideline recommendations for effective implementation, work closely with guideline developers to improve guideline development

Interventions to Improve Practice

Grol, Grimshaw Lancet 2003

- Education (conferences, courses)
- Audit & feedback
- Financial incentives/disincentives
- Patient-mediated interventions
- Computer based decision support



Clinical Decision Support: Definition

 Systems that link health observations with health knowledge to influence health choices by clinicians for improved health care (Hayward)

Systematic Reviews of Computer-Based Decision Support

Name	Organization	Year	
Mary Johnston	McMaster	JAMA 1994	
Derek Hunt	McMaster	JAMA 1998	
Amit Garg	Univ. W. Ontario	JAMA 2005	
Ken Kawamoto	Duke	BMJ 2005	
Basit Chaudhry	UCLA	Ann Intern Med 2006	
Monique Jaspers	Amsterdam	JAMIA 2011	
Remy Coeytaux	Duke	Arch Intern Med 2012	

[•]Computer-based decision support often—but not always—improves the process of care

Outcomes—though infrequently measured—sometimes improve

Features Predicting Success

Kawamoto K BMJ 2005

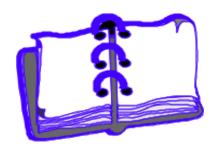
- Provision of DS at time and location of decision making (P=0.0263)
- Automatic provision of DS as part of clinician workflow (P<0.00001)
- Provision of recommendations rather than just assessments (P= 0.0187)
- Providing periodic performance feedback
- Requesting documentation of reasons for not following recommendations

Knowledge into CDS

- Knowledge Synthesis: combine results of systematic review of biomedical literature with experience and expertise of guideline developers to create recommendations
- Knowledge Formalization: translate natural language recommendations into formats computers can process
- Knowledge Localization: introduce transformed knowledge into systems that influence care, considering local resources, workflow, technical capabilities, etc.

Challenge of Representing Guideline Knowledge Electronically

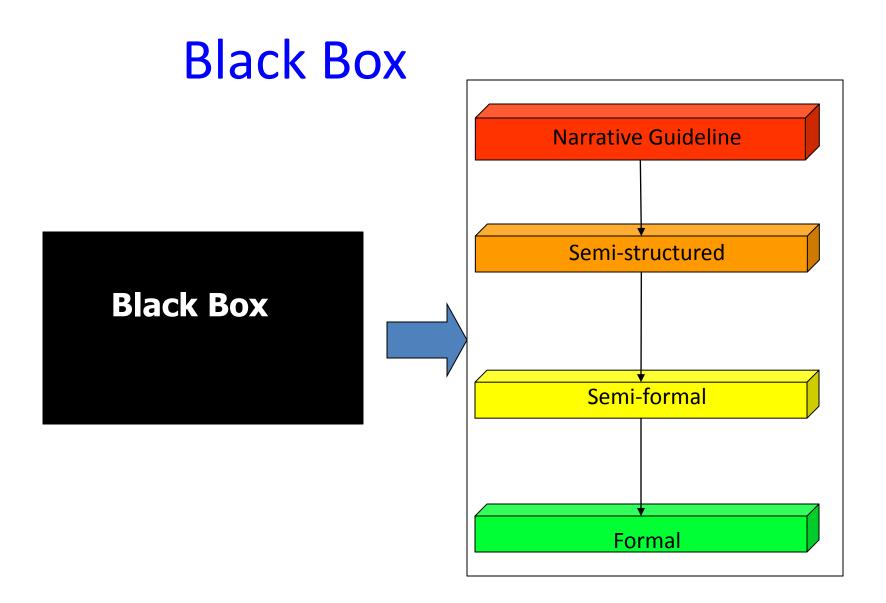
Published Guideline







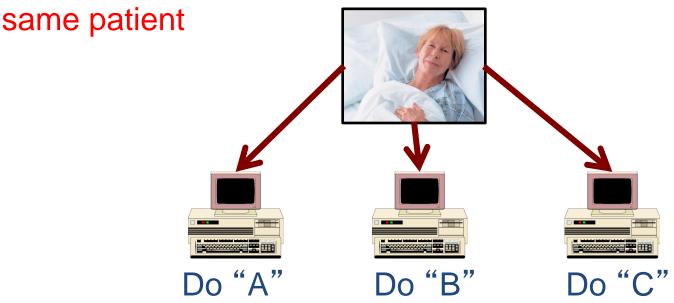
Computer-Based Decision Support



Risk of Ad Hoc Transformation

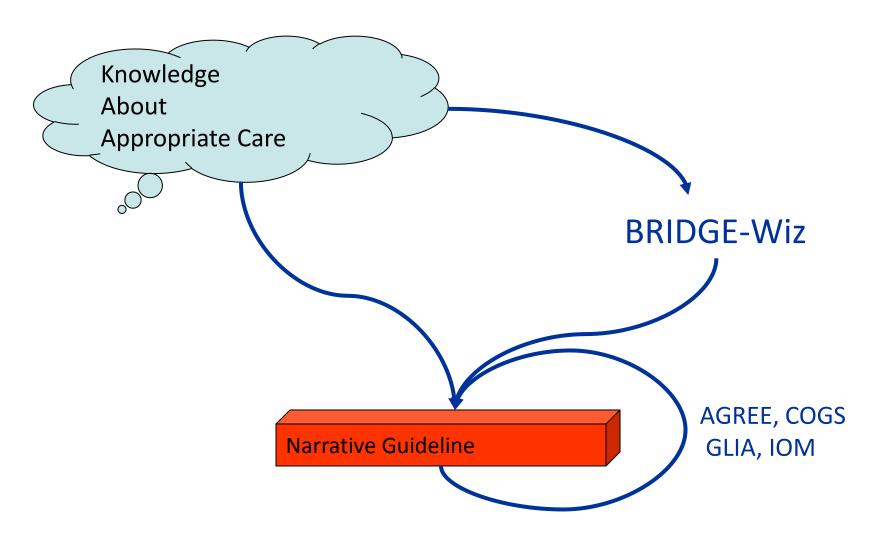
- Knowledge engineers at 3 sites
- Individually create CDS from guidelines for immunization and for workup of breast mass
- Test: Submit standardized patients to CDSs

Outcome: Different recommendations were given for the

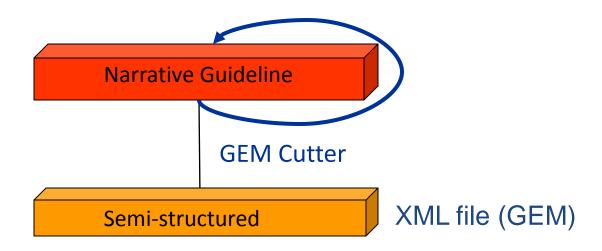


Patel JAMIA 1998 Ohno-Machado JAMIA 1998

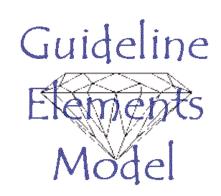
Narrative Guideline



Narrative to Semi-Structured



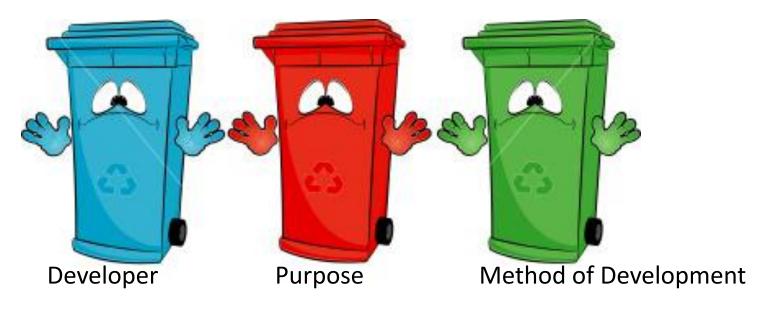
XML and GEM



- XML allows user to define his/her own "tags"
 - <Title>Gone With The Wind</Title>
 - <Author>Margaret Mitchell</Author>
 - <EligibilityCriterion>gross hematuria</EligibilityCriterion>
 - <EvidenceQuality>Grade B</EvidenceQuality>
- Human readable, machine processable

- GEM (the Guideline Elements Model) is set of 167 tags
- Has undergone standardization 3 times (latest 2012)

GEM Provides Bins



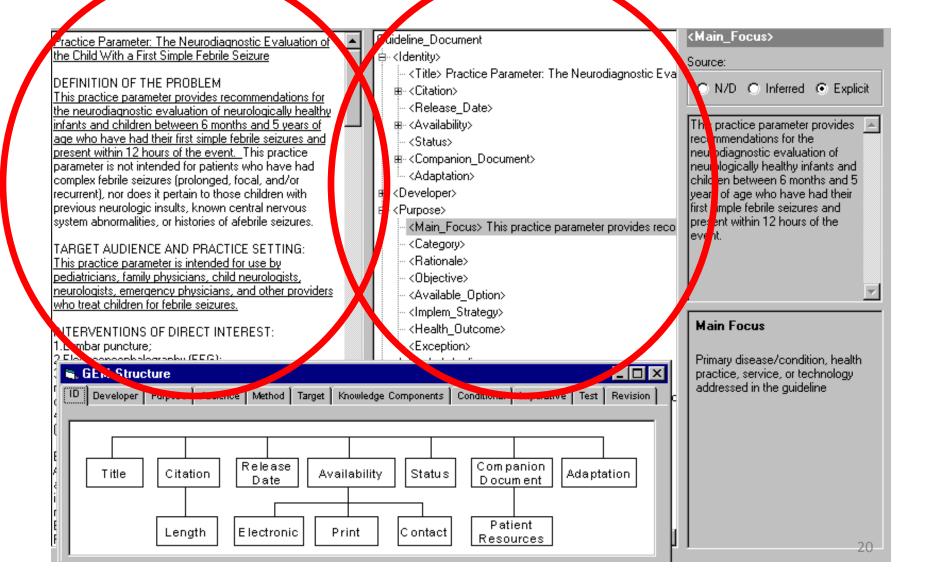


Markup Guideline

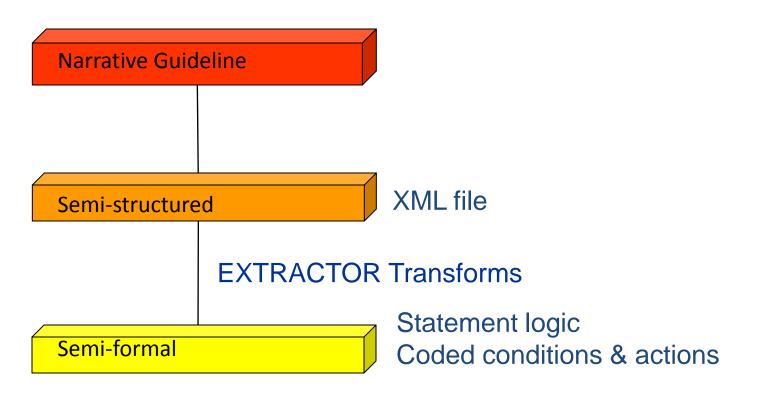
- GEM Cutter
 - Parses guideline text into components of the Guideline Elements Model
 - Creates an XML file

Available at http://GEM.med.yale.edu

GEM Cutter



Semi-Structured to Semi-Formal



EXTRACTOR: Rules

Human-readable statement logic

Recommendation

Pharmacologic Issues for Children 0-4 Years of Age

Conditional: If there is no clear response within 4-6 weeks, the therapy

should be discontinued and alternative therapies or alternative diagnoses considered {Rec_14: Cond_18 }

IF.

no clear response within 4-6 weeks

THEN

therapy should be discontinued

alternative therapies or alternative diagnoses considered

Decidable	Vocab			
Executable Vocab				

Evidence Quality: Evidence D

Strength of The Expert Panel

Recommendation: recommends

Reason: treatment of young

children is often in

the form of a therapeutic trial

Logic:

Map Recommendations to Controlled Vocabulary

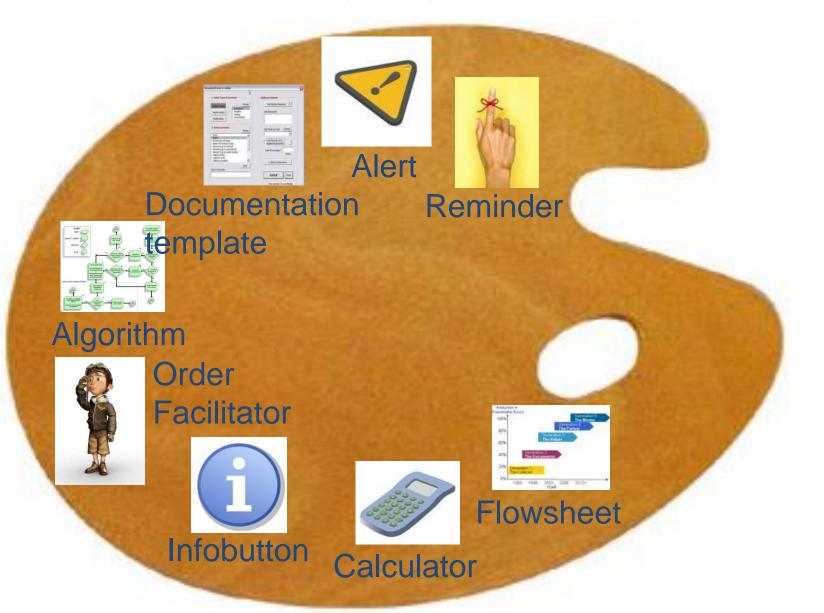
Recommendation	Language		Action Type	
Monitoring Signs and Symptoms of Asthma	Imperative: Consider long-term daily peak flow monitoring for: – moderate or severe persistent asthma (Evidence B). — Patients of severe exacerbations (Evidence B).	Monitor		
Codable Components	Fully-Specified Concept Name	Concept ID	SNOMED ID	CTV3 ID
Peak flow monitoring	Peak expiratory flow rate monitoring (regime/therapy)	401004000	P0-00975	XaIxD
Moderate persistent asthma	Moderate persistent asthma (disorder)	<u>427295004</u>	F-04F3F	XUfiW
Severe persistent asthma	Severe persistent asthma (disorder)	<u>426656000</u>	F-04F40	XUfiX
Severe exacerbations	Exacerbation of asthma (disorder)	<u>281239006</u>	D2-00076	Xa1hD

GEM Cutter-Extractor Narrative Guideline **GEM Cutter** XML file (GEM) Semi-structured **EXTRACTOR Transforms** Statement logic Semi-formal Coded conditions & actions Local workflow & barrier analysis Local codes CDS modality selection Human-computer interface design **Quality Measurement System Formal**

How much knowledge transformation can be performed centrally?

Designing CDSS

Palette of CDS Interventions



Example: Asthma Guideline to CDS



- Asthma
 - EPR3 Diagnosis and Management of Asthma from the NHLBI (2007)
 - Demonstrates challenges involved in implementation of recommendations for chronic management of complex disease

Guideline defined 2 dimensions

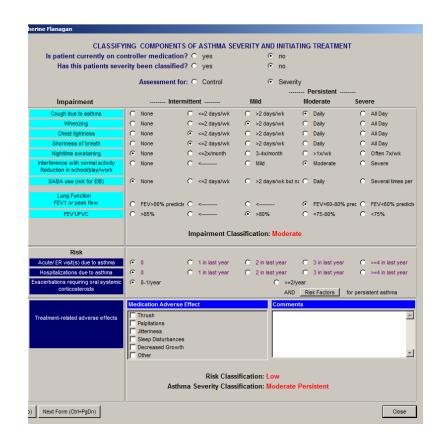
FIGURE 4-3b. ASSESSING ASTHMA CONTROL AND ADJUSTING THERAPY IN CHILDREN 5-11 YEARS OF AGE

Components of Control		Classification of Asthma Control (5–11 years of age)		
		Well Controlled	Not Well Controlled	Very Poorly Controlled
	Symptoms	≤2 days/week but not more than once on each day	>2 days/week or multiple times on ≤2 days/week	Throughout the day
	Nighttime awakenings	≤1x/month	≥2x/month	≥2x/week
	Interference with normal activity	None	Some limitation	Extremely limited
Impairment	Short-acting beta ₃ -agonist use for symptom control (not prevention of EIB)	≤2 days/week	>2 days/week	Several times per day
	Lung function			
	FEV ₁ or peak flow	>80% predicted/ personal best	60-80% predicted/ personal best	<60% predicted/ personal best
	 FEV₁/FVC 	>80%	75-80%	<75%
	Exacerbations requiring oral systemic corticosteroids	0-1/year ≥2/year (see note)		
		Consider severity and interval since last exacerbation		
Risk	Reduction in lung growth	Evaluation requires long-term followup.		
	Treatment-related adverse effects	Medication side effects can vary in intensity from none to very troublesome and worrisome. The level of intensity does not correlate to specific levels of control but should be considered in the overall assessment of risk.		
Recommended Action for Treatment (See figure 4–1b for		Maintain current step. Regular followup every 1–6 months. Consider step down if well controlled for at	Step up at least step and Reevaluate in 2-6 weeks. For side effects:	Consider short course of oral systemic corticosteroids, Step up 1–2 steps, and Reevaluate in 2 weeks. For side effects, consider
treatment steps.)		least 3 months.	consider alternative treatment options.	alternative treatment options.

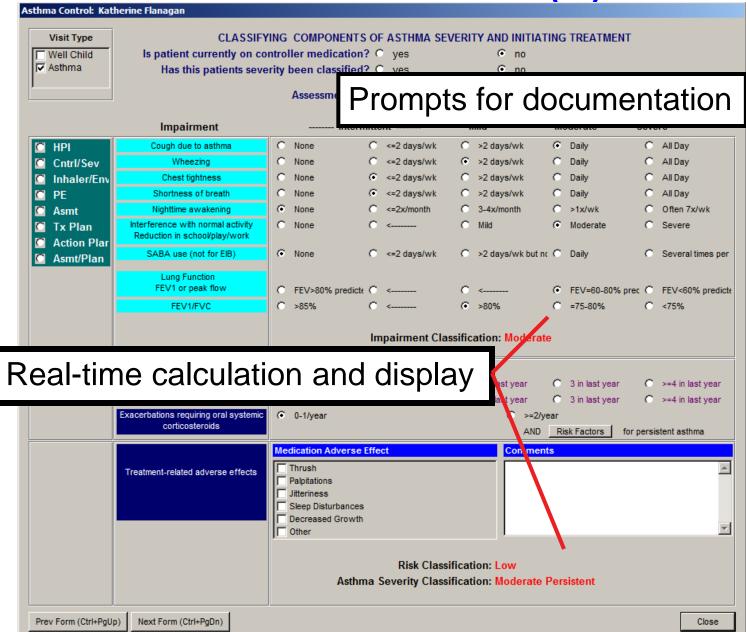
Guideline Matrix (I)

FIGURE 4-3b. ASSESSING ASTHMA CONTROL AND ADJUSTING THERAPY IN CHILDREN 5-11 YEARS OF AGE

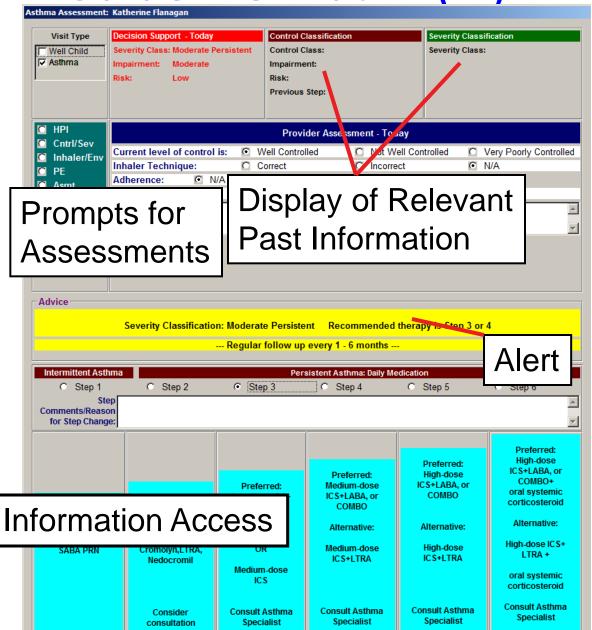
Components of Control		Classification of Asthma Control (5–11 years of age)			
		Well Controlled	Not Well Controlled	Very Poorly Controlled	
Impairment	Symptoms	≤2 days/week but not more than once on each day	>2 days/week or multiple times on ≤2 days/week	Throughout the day	
	Nighttime awakenings	≤1x/month	≥2x/month	≥2x/week	
	Interference with normal activity	None	Some limitation	Extremely limited	
	Short-acting beta ₂ -agonist use for symptom control (not prevention of EIB)	≤2 days/week	>2 days/week	Several times per day	
	Lung function				
	FEV ₁ or peak flow	>80% predicted/ personal best	60-80% predicted/ personal best	<60% predicted/ personal best	
	FEV,/FVC	>80%	75-80%	<75%	
	Exacerbations requiring oral systemic conticosteroids	0−1/year ≥2/year (see note)			
		Consider severity and interval since last exacerbation			
Risk	Reduction in lung growth	Evaluation requires long-term followup.			
	Treatment-related adverse effects	Medication side effects can vary in intensity from none to very troublesome and worrisome. The level of intensity does not correlate to specific levels of control but should be considered in the overall assessment of risk.			
Recommended Action for Treatment (See figure 4–1b for treatment steps.)		 Maintain current step. Regular followup every 1-6 months. Consider step down if well controlled for at least 3 months. 	Step up at least 1 step and Reevaluate in 2-6 weeks. For side effects: consider alternative treatment options.	Consider short course of oral systemic cordicosteroids, Step up 1–2 steps, and Reevaluate in 2 weeks. For side effects, consider alternative treatment options.	



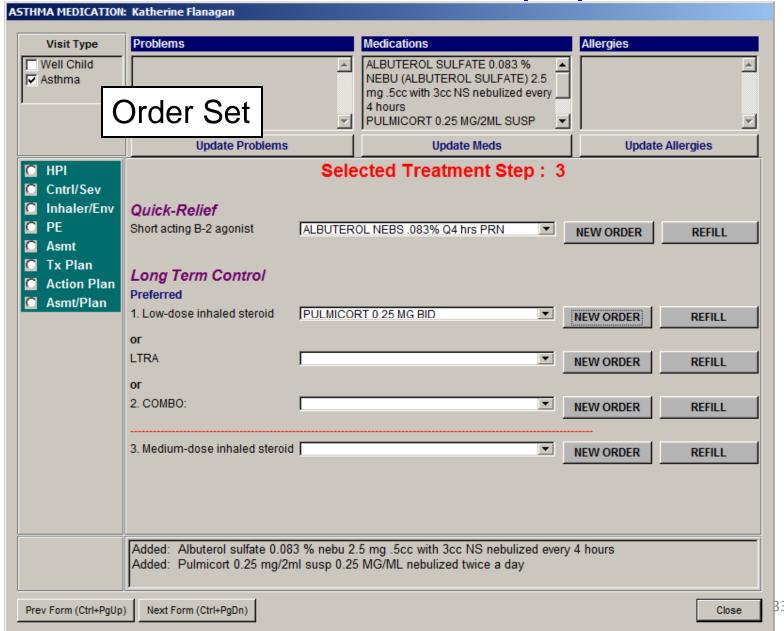
Guideline Matrix (II)



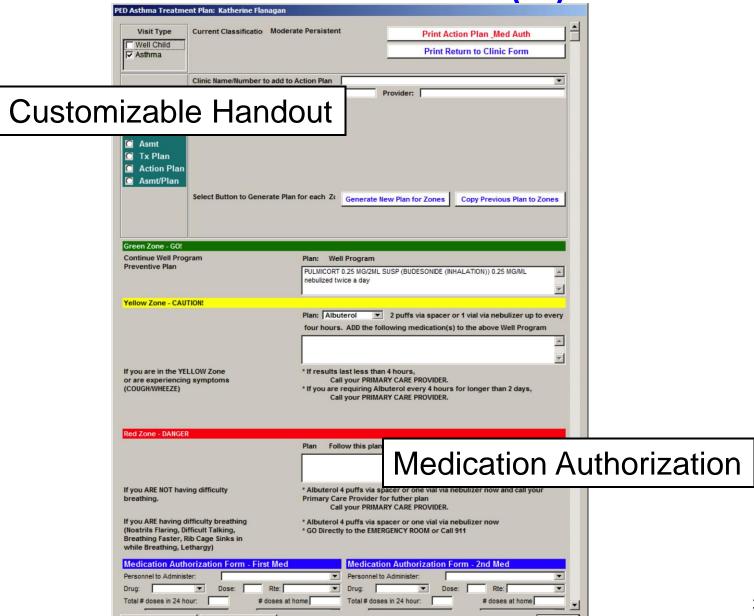
Guideline Matrix (III)



Guideline Matrix (IV)



Guideline Matrix (V)



Prev Form (Ctrl+PgUp)

Next Form (Ctrl+PgDn)

Close

Action Types **Action-Types** Gather Data **Interpret Activity** Conclude Prescribe Procedure Test **Monitor** Educate Consult Inquire Examine Advocate Document Prepare Prevent Dispose

Test

Function	Dyslipidemia	Adrenal Biopsy
Display test information for clinicians/pts	$\sqrt{}$	\checkmark
Display indications	$\sqrt{}$	\checkmark
Present test options/alternatives		\checkmark
Recent results from same/related test	$\sqrt{}$	
Test costs	$\sqrt{}$	$\sqrt{}$
Assist scheduling	$\sqrt{}$	$\sqrt{}$
Requirements for pt preparation, collection of specimen	$\sqrt{}$	V
Interpretation aids		
Sensitivity, specificity, PV	$\sqrt{}$	\checkmark
Reasons for FP, FN	$\sqrt{}$	\checkmark
Normal values for age/race/gender	$\sqrt{}$	
Tickler follow-up system	V	V
Coding: CPT, LOINC codes	V	√

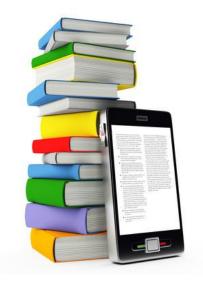
Prescribe

Function	Anti-hypertensive	Docetaxel	Seizure
Present drug information Clinician (e.g., indications, on-formulary?) Patient (how-to-take, common side-effects)	√ √	√ √	
Display safety alerts Drug-allergy Drug-drug interaction Drug-food interaction	√ √ √	√ √	√ √
Dosage calculation assistance by weight/BSA		V	V
Corollary orders	V	V	V

Perform Procedure

Function	CIN-1 Excision	Intubation	Laparoscopic surgery
Indications / Contraindications	√	$\sqrt{}$	V
Informed consent (shared decision-making)	V	V	V
Relevant anatomy & physiology	V	V	V
In-network providers Need for pre-approval	V	V	V
Labs requirement prior to procedure	V	V	V
Patient preparation	V	V	V
Follow up exam & test(s)	V	V	V
CPT and diagnosis codes	√	V	V

Summary



- Improving practice
- 4 steps in Knowledge Transformation
- CDS is more than alerts
- Action-types facilitate CDSS design

http://medicine.yale.edu/cmi/glides/index.aspx

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Introduction to GLIDES

The objective of the GLIDES project is the development, implementation and evaluation of demonstrations that advance understanding of how best to incorporate clinical decision support (CDS) into the delivery of healthcare. The project was performed by Yale University School of Medicine under contract to the US Agency for Healthcare Research and Quality (AHRQ). Yale collaborated with a wide range of guideline developers and implementers including the ECRI Institute, the American Academy of Pediatrics (AAP), the American Academy of Otolaryngology-Head and Neck Surgery (AAO-HNS), the American Urological Association (AUA), the American Society of Clinical Oncology (ASCO), Children's Hospital Of Philadelphia (CHOP), Geisinger Health System, Alliance of Chicago, and Nemours. This site provides more information about GLIDES 40 methods, software tools, and experience.

◆ Prev | Next →

Thank You!

http://ycmi.med.yale.edu richard.shiffman@yale.edu

Component S	ources	Health Svcs	Informatics	GEI
Identity	Title Citation Release Date Availability Contact Status Companion Document Adaptation	3703		Ī
Developer	Developer Name Committee Name Funding Endorser Comparable Guideline Health Practices			
Purpose	Target Population Category Target Population Rationale Objective Available Options Implementation Strategy	===	==	
Audience	Health Outcomes Exceptions Care Setting Clinician Users Evidence Collection			
Method	Evidence Time Period Evidence Grading Combining Evidence Specification of Harm/Benefit Quantification of Harm/Benefit Value Judgment	11	=-=	
	Patient Preference Qualifying Statement Cost Analysis Recommendation Conditional (decision variable) Action	*****	- i	н
Knowledge	Logic Reason Strength of Recommendation Evidence Quality Cost Certainty	=		
Testing	Algorithm Eligibility Definition External Review			Щ.
Revision	Pilot Testing Expiration Date Scheduled Review			

Decision Variables

Expert Panel Report 3: Guidelines for the Diagnosis and Management of Asthma Full Report 2007

Decision Variables

0-4 Years of Age Rec_1: Cond_1: DV_1 four or more episodes of wheezing in the past year that lasted more than 1 day and affected sleep Rec_1: Cond_1: DV_2 parental history of asthma Rec_1: Cond_1: DV_3 a physician diagnosis of atopic dermatitis Rec_1: Cond_1: DV_4 evidence of sensitization to aeroallergen Rec_1: Cond_1: DV_5 evidence of sensitization to foods Rec_1: Cond_1: DV_6 4 percent peripheral blood eosinophilia Rec_1: Cond_1: DV_7

Actions

The Expert Panel recommends daily long-term control therapy

Rec_5: Cond_5: Act_5

therapy should be stepped up if necessary to achieve control

Rec_6: Cond_6: Act_6

Patient adherence and technique in using medications correctly should be assessed and addressed as appropriate

Rec_7: Cond_7: Act_7

Other factors that diminish control of asthma impairment should be addressed as possible reasons for poor response to therapy and targets for intervention (

Rec_7: Cond_7: Act_8

a step up in treatment may be needed

Rec_7: Cond_8: Act_9

review adherence to medications and control of environmental exposures

Rec_8: Cond_9: Act_10

Test!

- "All adults and adolescents with chronic kidney disease should be evaluated for dyslipidemias."
- "The finding of an isolated adrenal mass on ultrasonography, CT scan, or FDG-PET scan requires biopsy to rule out metastatic disease if the patient is otherwise considered to be potentially resectable."

Prescribe:

- "Antihypertensive therapy (with either hydralazine or labetalol) should be used for treatment of diastolic blood pressure levels of 105/110 mm Hg or higher."
- "In anthracycline-naive patients for whom anthracyclines are contraindicated, treatment with single-agent docetaxel 100 mg/m² over one hour every 3 weeks is recommended"
- "... if seizures continue, within 30 minutes: Give fosphenytoin in a dose of 18 mg/kg phenytoin equivalent (PE) IV, up to 150 mg/min with electrocardiography (ECG) monitoring...

Perform Procedure:

- "Excisional modalities are preferred for patients who have recurrent biopsy-confirmed CIN-1 after undergoing previous ablative therapy."
- "Orotracheal intubation guided by direct laryngoscopy is the emergency tracheal intubation procedure of choice for trauma patients."
- Laparoscopic surgery is recommended as an acceptable option for the treatment of stage I, II, or III colon cancer and should be considered an alternative to conventional open surgery for colon cancer in specified patients.