

National Outbreak Reporting System

Waterborne Disease Transmission



This form is used to report waterborne disease outbreaks. Pages 1-5 ask for the minimum or basic information about the outbreak investigation, epidemiological data, and clinical specimen and water test results. These are followed by sections specific to the type of water exposure. Only 1 of the 5 water exposure sections should be completed. Public reporting burden of this collection of information is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to CDC, Project Clearance Officer, 1600 Clifton Road, MS D-24, Atlanta, GA, 30333, ATTN: PRA (0920-0004) <--DO NOT MAIL CASE REPORTS TO THIS ADDRESS

CDC USE ONLY

CDC ID G1

State ID G2

* denotes a marker that is repeated in multiple sections of the form but appears only once in the data dictionary.

General Section

Primary Mode of Transmission (Check one)

- Food (Complete CDC 52.13) Person-to-person (Complete CDC 52.13)
- Water (Complete the tabs for General, Water-General, Water-Etiology & Lab, Water Samples and the type of water exposure) Environmental contamination other than food/water (Complete CDC 52.13)
- Animal contact (Complete CDC 52.13) Other/Unknown (Complete CDC 52.13)

Investigation Methods (Check all that apply)

- Interviews only of ill persons Treated or untreated recreational water venue assessment
- Case-control study Investigation at factory/production/treatment plant
- Cohort study Investigation at original source (e.g., farm, water source, etc.)
- Food preparation review Food product or bottled water traceback
- Water system assessment: Drinking water Environment/food/water sample testing
- Water system assessment: Nonpotable water Other

Comments

G5

Dates (mm/dd/yyyy)

Date first case became ill (required) G6 _____ Date last case became ill G7 _____

Date of initial exposure G8 _____ Date of last exposure G9 _____

Date of report to CDC (other than this form) G10 _____

Date of notification to State/Territory or Local/Tribal Health Authorities G11 _____

Geographic Location

Exposure state: G12 _____

Exposure occurred in multiple states G13 _____

Exposure occurred in a single state, but cases resided in another state or multiple states G14 _____

Other states: G15 G16 _____

(For multistate exposure or multistate residency outbreaks, enter the case count for each state)

Exposure county: G17 _____

Exposure occurred in multiple counties in exposure state G18 _____

Exposure occurred in a single county, but cases resided in another county or multiple counties G19 _____

Other counties: G20 G21 _____

City/Town/Place of exposure: _____

(Do not include proprietary or private facility names)

Primary Cases

Number of primary cases			Sex (Number or percent of the primary cases)		
Lab-confirmed primary cases	G22	#	Male	G33	%
Probable primary cases	G23	#	Female	G35	%
Estimated total primary cases	G24	#	Unknown	G37	%

Primary case outcomes	# Cases	Total # of cases for whom info is available	Age (Number or percent of the primary cases)											
			<1 year	1-4 years	5-9 years	10-19 years	20-49 years	50-74 years	≥ 75 years	Unknown				
Died	G25	#	G26	#	<1 year	G39	#	G40	%	20-49 years	G47	#	G48	%
Hospitalized	G27	#	G28	#	1-4 years	G41	#	G42	%	50-74 years	G49	#	G50	%
Visited Emergency Room	G29	#	G30	#	5-9 years	G43	#	G44	%	≥ 75 years	G51	#	G52	%
Visited health care provider (excluding ER visits)	G31	#	G32	#	10-19 years	G45	#	G46	%	Unknown	G53	#	G54	%

General

Incubation Period, Duration of Illness, Signs or Symptoms for Primary Cases Only

Incubation Period <i>(Select appropriate units)</i>			Duration of Illness <i>(Among recovered cases-select appropriate units)</i>		
Shortest	G55	G56 Min, Hours, Days	Shortest	G63	G64 Min, Hours, Days
Median	G57	G58 Min, Hours, Days	Median	G65	G66 Min, Hours, Days
Longest	G59	G60 Min, Hours, Days	Longest	G67	G68 Min, Hours, Days
Total # of cases for whom info is available			Total # of cases for whom info is available		
<input type="checkbox"/> Unknown incubation period			<input type="checkbox"/> Unknown duration of illness		
G62			G70		

Signs or Symptoms		
Sign or symptom	# Cases with signs or symptoms	Total # cases for whom info available
Vomiting		
Diarrhea	G71	G72
Bloody stools		G73
Fever		
Abdominal cramps		
HUS		

Mode of secondary transmission <i>(Check all that apply)</i>		Number of secondary cases	
<input type="checkbox"/> Food	G74	Lab-confirmed secondary cases	G75 #
<input type="checkbox"/> Water		Probable secondary cases	G76 #
<input type="checkbox"/> Animal contact		Estimated total secondary cases	G77 #
<input type="checkbox"/> Person-to-person		Estimated total cases (Primary + Secondary)	G78 #
<input type="checkbox"/> Environmental contamination other than food/water			
<input type="checkbox"/> Other/Unknown			

Other CDC System IDs <i>(If applicable)</i>			
NEARS ID: 1)	G79	2)	G80
		3)	G81
		4)	G82
OHHABS ID: 1)	G83	2)	G84

Traceback <i>(For food and bottled water only, not public water)</i>	
<input type="checkbox"/> Please check if traceback conducted	G85

Source name <i>(if publicly available)</i>	Source type <i>(e.g. poultry farm, tomato processing plant, bottled water factory)</i>	Location of source		Traceback comments
		State	Country	
G86	G87	G88	G89	G90

Recall	
<input type="checkbox"/> Please check if any food or bottled water product was recalled	G91
Type of item recalled:	G92
Comments:	G93

Reporting Agency	
Reporting site:	G94
Agency name:	G95
Contact name:	G96
Contact title:	G97
E-mail:	G98
Phone #:	G99
Fax #:	G100

General Remarks *Briefly describe important aspects of the outbreak not covered above. Please indicate if any adverse outcomes occurred in special populations (e.g., pregnant women, immunocompromised persons)*

G101

Water-General

Water - General Section

Type of Water Exposure (Check **ONE** box)

W1

- Treated recreational water (e.g., in manufactured venues such as pools, spas/whirlpools, hot tubs, spray pads, at-home kiddie pools)
- Untreated recreational water (e.g., water in natural venues such as freshwater lakes, hot springs, marine beaches/oceans)
- Drinking water in public or individual water systems (e.g., municipal system, private well, commercially-bottled water, water kiosk), regardless of the exposure pathway (i.e., not limited to ingestion).
- Other water (e.g., cooling/industrial, water reuse, irrigation, occupational, decorative/display; includes water consumed from sources such as back-country streams)
- Unknown water uses (i.e., the intended purpose or use of the water is unknown or the water exposure category could not be determined)

Epidemiologic Data

W2

1. Estimated total number of persons with primary water exposure: _____

2. Were data collected from comparison groups to estimate risk? W3 Yes (specify in table below) No Unknown

If **NO** or **UNKNOWN**, was water the common source shared by persons who were ill? W4 Yes No Unknown

Exposure in epidemiologic investigation <i>(e.g., pool, waterpark, hot spring, well water)</i>	Total # exposed (A)	# ill exposed (B)	Total # not exposed	# ill not exposed	Attack rate (%) (B/A)	Odds ratio	Relative risk	p-Value <i>(provide exact value)</i>	95% confidence interval
W5	W6	W7	W8	W9	W10	W11	W12	W13	W14

Attack rate for residents of reporting state: W15 %

Attack rate for non-residents of reporting state: W16 %

Geographic Location

Percent of ill persons (primary cases) living in reporting state: W17 %

Associated Events

Was exposure associated with a specific event or gathering? W18
 Yes No Unknown

If **YES**, what type of event or gathering was involved?
 _____ W19

If outbreak occurred during a defined event, dates of event:

Start date: W20 End date: W21
(mm/dd/yyyy) (mm/dd/yyyy)

Route of Entry

W22

- Ingestion
- Contact
- Inhalation
- Other (specify in remarks)
- Unknown

Water-Etiology & Lab

Outbreak Etiology *(Report the confirmed and/or suspected etiological agent(s) here, even if no clinical specimens were tested)*

Confirmed as etiology?	Genus/Chemical/Toxin	Species	Serotype/Serogroup/Serovar	Genotype/Subtype	Detected in* <i>(list all that apply)</i>	Total # tested primary cases	Total # positive primary cases
<input type="checkbox"/> Confirmed <input type="checkbox"/> Suspected							
<input type="checkbox"/> Confirmed <input type="checkbox"/> Suspected	W23	W24	W25	W26	W27	W28	W29
<input type="checkbox"/> Confirmed <input type="checkbox"/> Suspected							
<input type="checkbox"/> Confirmed <input type="checkbox"/> Suspected							
<input type="checkbox"/> Confirmed <input type="checkbox"/> Suspected							
<input type="checkbox"/> Confirmed <input type="checkbox"/> Suspected							
<input type="checkbox"/> Confirmed <input type="checkbox"/> Suspected							
<input type="checkbox"/> Confirmed <input type="checkbox"/> Suspected							

* 1-Clinical Specimens, 2-Water Samples, 3-Clinical Specimens & Water Samples, 4-Other (describe in the general remarks), 5-Unknown, 6-None

Outbreak Isolates *(Links data about molecular characterization across multiple systems. For each pathogen, provide a representative for each distinct molecular designation)*

Which CDC system contains this isolate profile? <i>(e.g., PulseNet, CaliciNet)</i>	CDC lab system outbreak # <i>(e.g., PulseNet tracking number)</i>	State lab ID <i>(i.e., Lab tracking number)</i>	Molecular designation 1	Molecular designation 2
W31	W32	W33	W34	W35

Clinical Specimens

1. Were clinical diagnostic specimens taken from persons? Yes No W36 Unknown
 If YES, from how many persons were specimens taken? W37

Specimen type [†]	Specimen subtype [‡]	Tested for [¶] <i>(list all that apply)</i>
W38	W39	W40

[†] Specimen Type: 1- Autopsy Specimen (specify subtype), 2-Biopsy (specify subtype), 3-Blood, 4-Bronchial Alveolar Lavage (BAL), 5-Cerebrospinal Fluid (CSF), 6-Conjunctiva/Eye Swab, 7-Ear Swab, 8-Endotracheal Aspirate, 9-Saliva, 10-Serum, 11-Skin Swab, 12-Sputum, 13-Stool, 14-Urine, 15-Vomit, 16-Wound Swab, 17-Other (describe in the general remarks), 18-Unknown

[‡] Specimen Subtype: 1-Bladder, 2-Brain, 3-Dura, 4-Hair, 5-Intestine, 6-Kidney, 7-Liver, 8-Lung, 9-Nails, 10-Skin, 11-Stomach, 12-Wound, 13-Other, 14-Unknown

[¶] Tested for: 1-Bacteria, 2-Chemicals/Toxins, 3-Fungi, 4-Parasites, 5-Viruses, 6-Other (describe in general remarks), 7-Unknown

Testing Information

<p>1. Test types <i>(select all test types used for clinical specimens)</i></p> <p><input type="checkbox"/> Chemical Testing W41 <input type="checkbox"/> Serological/Immunological Test <i>(e.g., EIA, ELISA)</i></p> <p><input type="checkbox"/> Culture <input type="checkbox"/> Tissue culture infectivity assay</p> <p><input type="checkbox"/> DNA or RNA Amplification/Detection <i>(e.g. PCR, RT-PCR)</i> <input type="checkbox"/> Other <i>(specify in the general remarks)</i></p> <p><input type="checkbox"/> Microscopy <i>(e.g., fluorescent, EM)</i> <input type="checkbox"/> Unknown</p>	<p>2. Was Antimicrobial Susceptibility Testing (AST) performed?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown W42</p> <p>If yes, where was AST performed? W43</p> <p><input type="checkbox"/> Clinical Lab <input type="checkbox"/> Public Health Lab <input type="checkbox"/> CDC-NARMS</p> <p><input type="checkbox"/> Other <input type="checkbox"/> Unknown</p> <p>If yes, were any antimicrobial resistant strains associated with the outbreak? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown W44</p>
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Water Samples

Water Samples (Provide representative data about water quality testing, chemical or pathogen testing. Additional sample data can be described in the remarks or attached)

Was water tested? Yes (specify in table below) No Unknown W45

Results

Sample number	1	2	3	4	5
Source of sample <i>(e.g., swimming pool, lake)</i>	W46				
Additional description <i>(e.g., time of day, location of sample collection)</i>	W47				
Date <i>(mm/dd/yyyy)</i>	W48				
Volume tested , <i>(number, unit)</i>	W49	W50			
Temperature <i>(number, unit)</i>	W51	W52			
Residual/Free disinfectant level - <i>number, unit (if total and combined disinfectant levels given, total - combined = free)</i>	W53	W54			
Combined disinfectant level - <i>number, unit (if total and free disinfectant levels given, total - free = combined)</i>	W55	W56			
pH	W57				
Turbidity (NTU)	W58				

Water Samples - Water Quality Indicators *(Might not be applicable for treated recreational water samples)*

Sample number	Type <i>(e.g., fecal coliforms)</i>	Concentration <i>(numerical value)</i>	Unit
	W59	W60	W61

Water Samples - Microbiology or Chemical/Toxin Analysis *(Provide both positive and negative test results)*

Sample number	Genus/Chemical/Toxin	Species	Serotype/Serogroup/Serovar	Genotype/Subtype	PFGE pattern
	W62	W63	W64	W65	W66
Sample number	Test results positive?	Concentration <i>(numerical value)</i>	Unit	Test type*	Test method <small><i>(reference: National Environmental Methods Index: http://www.nemi.gov)</i></small>
	<input type="checkbox"/> Yes <input type="checkbox"/> No				
	<input type="checkbox"/> Yes <input type="checkbox"/> No				
	<input type="checkbox"/> Yes <input type="checkbox"/> No	W68	W69	W70	W71
	<input type="checkbox"/> Yes <input type="checkbox"/> No				
	<input type="checkbox"/> Yes <input type="checkbox"/> No				
	<input type="checkbox"/> Yes <input type="checkbox"/> No				

* Test type: 1-Culture, 2-DNA or RNA Amplification/Detection (e.g., PCR, RT-PCR), 3-Microscopy (e.g., fluorescent, EM), 4-Serological/Immunological Test (e.g., EIA, ELISA), 5-Phage Typing, 6-Chemical Testing, 7-Tissue Culture Infectivity Assay, 8-Other (describe in the general remarks), 9-Unknown

Rec Water-Treated

Recreational Water - Treated Venue

Implicated Water - Recreational Water Venue Description

Venue number <i>(use this number to link the venue with water treatment or fill water data below)</i>	Water venue <i>(e.g., spa/whirlpool/hot tub; pool-swimming pool; pool-waterpark)</i>	Water venue subtype <i>(select indoor, outdoor, or unknown)</i>	Setting of exposure <i>(e.g., club, requiring membership; hotel/motel/lodge/inn; waterpark)</i>
1			
2	W72	W73	W74
3			
4			
5			

Implicated Water - Water Treatment Description

Venue number <i>(reference the appropriate Venue number from above)</i>	USUAL water treatment provided at venue <i>(e.g., no treatment; coagulation; disinfection; flocculation; filtration [pool]; unknown)</i>	Venue treatment subtype <i>(disinfection or pool filtration: e.g., UV; chlorine dioxide; bag filter; cartridge filter; unknown)</i>	Chlorination subtype <i>(chlorine disinfection only: e.g., gaseous; sodium hypochlorite; cyanurates/stabilized chlorine)</i>
	W75	W76	W77

Implicated Water - Fill Treatment Description

Venue number <i>(reference the appropriate Venue number from above)</i>	Fill water type <i>(e.g., public water supply; sea water; untreated ground or surface water; unknown)</i>	If public water supply, USUAL water treatment provided before coming to the venue <i>(e.g., no treatment; disinfection; filtration [treatment plant]; unknown)</i>	If public water supply, fill water treatment subtype <i>(disinfection or filtration: e.g., UV; chlorine dioxide; bag filter; cartridge filter; unknown)</i>
	W78	W79	W80

Recreational Water Quality

Did the venue meet state or local recreational water quality regulations? Yes W81 No Unknown Not applicable

If NO, explain: _____
 _____ W82 _____

Was there a pool operator on the payroll with state-approved training or certification? Yes W83 No Unknown

Rec Water-Treated

Factors Contributing to Recreational Water Contamination and/or Increased Exposure in Treated Venues

W85*

Contributing factors (Check all that apply)*

W84*

**Documented/
Observed†**

Suspected†

		<input type="checkbox"/>	<input type="checkbox"/>
People	Exceeded maximum bather load	<input type="checkbox"/>	<input type="checkbox"/>
	Primary intended use of water is by diaper/toddler-aged children (e.g., kiddie pool)	<input type="checkbox"/>	<input type="checkbox"/>
	Heavy use by child care center groups	<input type="checkbox"/>	<input type="checkbox"/>
	Fecal/vomitus accident	<input type="checkbox"/>	<input type="checkbox"/>
	Patrons continued to swim when ill with diarrhea	<input type="checkbox"/>	<input type="checkbox"/>
	Operator error	<input type="checkbox"/>	<input type="checkbox"/>
	Intentional contamination (explain in remarks)	<input type="checkbox"/>	<input type="checkbox"/>
Facility Design	Combined pool filtration/recirculation systems led to cross-contamination	<input type="checkbox"/>	<input type="checkbox"/>
	Hygiene facilities (e.g., toilets, diaper changing facilities) inadequate or distant	<input type="checkbox"/>	<input type="checkbox"/>
	Some spray feature water bypasses filtration/treatment system and returns to feature unfiltered/untreated	<input type="checkbox"/>	<input type="checkbox"/>
	No supplemental disinfection installed that would have inactivated pathogen (e.g., Cryptosporidium)	<input type="checkbox"/>	<input type="checkbox"/>
	Water temperature $\geq 30^{\circ}\text{C}$ ($\geq 86^{\circ}\text{F}$)	<input type="checkbox"/>	<input type="checkbox"/>
Maintenance	Cross-connection with wastewater or non-potable water	<input type="checkbox"/>	<input type="checkbox"/>
	Disinfectant control system malfunctioning, inadequate, or lacking (e.g., hand feed chemicals)	<input type="checkbox"/>	<input type="checkbox"/>
	Incorrect settings on disinfectant control system	<input type="checkbox"/>	<input type="checkbox"/>
	pH control system malfunctioning, inadequate, or lacking (e.g., hand feed chemicals)	<input type="checkbox"/>	<input type="checkbox"/>
	Incorrect settings on pH control system	<input type="checkbox"/>	<input type="checkbox"/>
	Filtration system malfunctioning or inadequate (e.g., low flow rate)	<input type="checkbox"/>	<input type="checkbox"/>
	Supplemental disinfection system malfunctioning or inadequate (e.g., ultraviolet light, ozone)	<input type="checkbox"/>	<input type="checkbox"/>
	Insufficient system checks so breakdown detection delayed	<input type="checkbox"/>	<input type="checkbox"/>
	No preventive equipment maintenance programs to reduce breakdowns	<input type="checkbox"/>	<input type="checkbox"/>
	Ventilation insufficient for indoor aquatic facilities	<input type="checkbox"/>	<input type="checkbox"/>
	Chemical handling error (e.g., chemical hookup, improper mixing or application)	<input type="checkbox"/>	<input type="checkbox"/>
	Maintenance chemicals not flushed from system before opening to swimmers	<input type="checkbox"/>	<input type="checkbox"/>
	Recirculation pump off or restarted with swimmers in water	<input type="checkbox"/>	<input type="checkbox"/>
	Low or zero water flow combined with continuous feed of chemicals resulted in excess chemicals in water	<input type="checkbox"/>	<input type="checkbox"/>
	Extensive slime/biofilm formation	<input type="checkbox"/>	<input type="checkbox"/>
	Recent construction	<input type="checkbox"/>	<input type="checkbox"/>
Policy and Management	Cyanurate level excessive	<input type="checkbox"/>	<input type="checkbox"/>
	Lack of draining/cleaning	<input type="checkbox"/>	<input type="checkbox"/>
	Stagnant water in spa piping was aerosolized	<input type="checkbox"/>	<input type="checkbox"/>
	No aquatics operators on payroll who have completed state/local training	<input type="checkbox"/>	<input type="checkbox"/>
	Untrained/inadequately trained staff on duty	<input type="checkbox"/>	<input type="checkbox"/>
	Remote monitoring system replaces on-site water quality testing	<input type="checkbox"/>	<input type="checkbox"/>
	Unclear communication chain for reporting problems	<input type="checkbox"/>	<input type="checkbox"/>
	Inadequate water quality monitoring (e.g., inadequate test kit, inadequate testing frequency)	<input type="checkbox"/>	<input type="checkbox"/>
	Employee illness policies absent or not enforced	<input type="checkbox"/>	<input type="checkbox"/>
	No or inadequate policies on good chemical handling and storage practices	<input type="checkbox"/>	<input type="checkbox"/>
No operator on duty at the time of incident	<input type="checkbox"/>	<input type="checkbox"/>	
Facility falls outside aquatic health code	<input type="checkbox"/>	<input type="checkbox"/>	
No shock/hyperchlorination policy	<input type="checkbox"/>	<input type="checkbox"/>	
Other, specify:	<input type="checkbox"/>	<input type="checkbox"/>	
Unknown	<input type="checkbox"/>	<input type="checkbox"/>	

* Only check off what was found during investigation.

† "Documented/Observed" refers to information gathered through document reviews, direct observations, and/or interviews. "Suspected" refers to factors that probably occurred but for which no documentation (as defined previously) is available.

Remarks

W86*

Recreational Water - Untreated Venue

Implicated Water - Recreational Water Venue Description

Water venue <i>(e.g., canal; lake; river/stream; ocean)</i>	IF SPRING OR HOT SPRING, water venue subtype <i>(select indoor, outdoor or unknown)</i>	Setting of exposure <i>(e.g., beach-public; camp/cabin/recreational area)</i>
W87	W88	W89

Recreational Water Quality

Did the venue meet state or local recreational water quality regulations? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown <input type="checkbox"/> Not applicable W90 If NO, explain: _____ _____ W91	Did the venue meet Environmental Protection Agency (EPA) recreational water quality standards? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown <input type="checkbox"/> Not applicable W92 If NO, explain: _____ _____ W93
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Factors Contributing to Recreational Water Contamination and/or Increased Exposure in Untreated Venues W85*

Contributing factors <i>(Check all that apply)*</i>		Documented/ Observed [†]	Suspected [†]
People	Exceeded maximum bather load	W84* <input type="checkbox"/>	<input type="checkbox"/>
	Primary intended use of water is by diaper/toddler-aged children <i>(e.g., kiddie pool)</i>	<input type="checkbox"/>	<input type="checkbox"/>
	Heavy use by child care center groups	<input type="checkbox"/>	<input type="checkbox"/>
	Fecal/vomitus accident	<input type="checkbox"/>	<input type="checkbox"/>
	Patrons continued to swim when ill with diarrhea	<input type="checkbox"/>	<input type="checkbox"/>
	Staff error	<input type="checkbox"/>	<input type="checkbox"/>
	Intentional contamination <i>(explain in remarks)</i>	<input type="checkbox"/>	<input type="checkbox"/>
Swim Area Design	Hygiene facilities <i>(e.g., toilets, diaper changing facilities)</i> inadequate or distant	<input type="checkbox"/>	<input type="checkbox"/>
	Malfunctioning or inadequate on-site wastewater treatment system ^{§¶}	<input type="checkbox"/>	<input type="checkbox"/>
	Poor siting/design of on-site wastewater treatment system ^{§¶}	<input type="checkbox"/>	<input type="checkbox"/>
	Stagnant or poorly circulating water in swim area	<input type="checkbox"/>	<input type="checkbox"/>
Water Quality	Heavy rainfall and runoff	<input type="checkbox"/>	<input type="checkbox"/>
	Sanitary sewer overflow (SSO) impact [§]	<input type="checkbox"/>	<input type="checkbox"/>
	Combined sewer overflow (CSO) impact [§]	<input type="checkbox"/>	<input type="checkbox"/>
	Domestic animal contamination <i>(e.g., livestock, pets)</i>	<input type="checkbox"/>	<input type="checkbox"/>
	Wildlife contamination - Birds	<input type="checkbox"/>	<input type="checkbox"/>
	Wildlife contamination - Mammals	<input type="checkbox"/>	<input type="checkbox"/>
	Wildlife contamination - Fish kill	<input type="checkbox"/>	<input type="checkbox"/>
	Wastewater treatment plant effluent flows past swim area	<input type="checkbox"/>	<input type="checkbox"/>
	Wastewater treatment plant malfunction [§]	<input type="checkbox"/>	<input type="checkbox"/>
	Sewer line break [§]	<input type="checkbox"/>	<input type="checkbox"/>
	Nearby biosolid/land application site <i>(e.g., human or animal waste application)</i>	<input type="checkbox"/>	<input type="checkbox"/>
	Contamination from agricultural chemical application <i>(e.g., fertilizer, pesticides)</i>	<input type="checkbox"/>	<input type="checkbox"/>
	Contamination from chemical pollution not related to agricultural application	<input type="checkbox"/>	<input type="checkbox"/>
	Water temperature $\geq 30^{\circ}\text{C}$ ($\geq 86^{\circ}\text{F}$)	<input type="checkbox"/>	<input type="checkbox"/>
	Seasonal variation in water quality <i>(e.g., lake/reservoir turnover events)</i>	<input type="checkbox"/>	<input type="checkbox"/>
Policy and Management	Inappropriate dumping of sewage into water body <i>(e.g., from boat, RV)</i>	<input type="checkbox"/>	<input type="checkbox"/>
	Algal bloom	<input type="checkbox"/>	<input type="checkbox"/>
	Dumping of ballast water	<input type="checkbox"/>	<input type="checkbox"/>
	Tidal wash <i>(i.e., tide exchange or influence by inland water)</i>	<input type="checkbox"/>	<input type="checkbox"/>
	No or inadequate monitoring of water quality	<input type="checkbox"/>	<input type="checkbox"/>
	No managers have completed state/local required training	<input type="checkbox"/>	<input type="checkbox"/>
	Untrained/inadequately trained staff on duty	<input type="checkbox"/>	<input type="checkbox"/>
Unclear communication chain for reporting problems	<input type="checkbox"/>	<input type="checkbox"/>	
Employee illness policies absent or not enforced	<input type="checkbox"/>	<input type="checkbox"/>	
Other, specify:	<input type="checkbox"/>	<input type="checkbox"/>	
Unknown	<input type="checkbox"/>	<input type="checkbox"/>	

* Only check off what was found during investigation.

[†] "Documented/Observed" refers to information gathered through document reviews, direct observations, and/or interviews. "Suspected" refers to factors that probably occurred but for which no documentation (as defined previously) is available.

[§] The release of sewage does not have to occur at the property/venue/setting where the people were exposed. The sewage may have occurred at a distant site but still affected the property/venue/setting in question.

[¶] "On-site wastewater treatment system" refers to a system designed to treat and dispose of wastewater at the point of generation, generally on the property where the wastewater is generated (e.g., septic systems or other advanced on-site systems). However, contamination that originates from these systems can still occur off the property where treatment and disposal takes place due to migration of contaminants from malfunctioning systems or poor siting and design.

Remarks

W86*

Drinking Water

Implicated Water - Drinking Water System Description

Water system* <i>(e.g., commercially-bottled water, community water system, individual water system)</i>	Public water system EPA ID number†	Water source <i>(select ground water, surface water or unknown)</i>	Water source description <i>(e.g. spring; well; lake)</i>	Setting of exposure <i>(e.g., airport, mobile home park)</i>	USUAL water treatment provided <i>(e.g., no treatment, disinfection, home filtration)</i>	Water treatment subtype <i>(disinfection or filtration: e.g., boiling; chlorine; rapid sand filter; reserve osmosis)</i>
W94	W95	W96	W97	W98	W99	W100

* Water system definitions: Community and noncommunity water systems are public water systems that have ≥ 15 service connections or serve an average of ≥ 25 residents for ≥ 60 days/year. A community water system serves year-round residents of a community, subdivision, or mobile home park. A noncommunity water system serves an institution, industry, camp, park, hotel, or business and can be nontransient or transient. Nontransient systems serve ≥ 25 of the same persons for > 6 months of the year but not year-round (e.g., factories and schools), whereas transient systems provide water to places in which persons do not remain for long periods (e.g., restaurants, highway rest stations, and parks). Individual water systems are small systems not owned or operated by a water utility that have < 15 connections or serve < 25 persons.

† Number used for EPA reporting that uniquely identifies the public water system within a specific state. The water system ID number can be found by searching the Safe Drinking Water Information System (SDWIS) online at <https://ofmpub.epa.gov/apex/sfdw/f?p=108:200>.

Drinking Water Quality

Did the drinking water system have any monitoring violations in the 1 month prior to the outbreak? **W101**

- Yes No Unknown Not applicable

If **Yes**, explain: _____

_____ **W102** _____

Did the drinking water system have any maximum contaminant level (MCL) violations in the 1 month prior to the outbreak? **W103**

- Yes No Unknown Not applicable

If **Yes**, explain: _____

_____ **W104** _____

Did the drinking water system have any violations in the 12 months prior to the outbreak?[§] **W105**

- Yes No Unknown Not applicable

If **Yes**, explain: _____

_____ **W106** _____

[§] Sources of information about past violations can be obtained from utility records, consumer confidence reports (water quality reports), or violation records from state or local health departments

Factors Contributing to Drinking Water Contamination and/or Increased Exposure to Contamination Drinking Water

1. Did a problem with the source water (i.e., ground water or surface water) contribute to the disease or outbreak?

Yes (specify in the table below) No Unknown **W107**

W85*

Source water contributing factors (Check all that apply)* W84*	Documented/ Observed†	Suspected‡
Sanitary sewer overflow (SSO) [§]	<input type="checkbox"/>	<input type="checkbox"/>
Combined sewer overflow (CSO) [§]	<input type="checkbox"/>	<input type="checkbox"/>
Malfunctioning on-site wastewater treatment system ^{§¶}	<input type="checkbox"/>	<input type="checkbox"/>
Sewage treatment plant malfunction [§]	<input type="checkbox"/>	<input type="checkbox"/>
Sewer line break [§]	<input type="checkbox"/>	<input type="checkbox"/>
Poor siting/design of on-site wastewater treatment system ^{§¶}	<input type="checkbox"/>	<input type="checkbox"/>
Nearby biosolid/land application site (e.g., human or animal waste application)	<input type="checkbox"/>	<input type="checkbox"/>
Contamination from agricultural chemical application (e.g., fertilizer, pesticides)	<input type="checkbox"/>	<input type="checkbox"/>
Contamination from chemical pollution not related to agricultural application	<input type="checkbox"/>	<input type="checkbox"/>
Contamination by a chemical that the current treatment methods were not designed to remove	<input type="checkbox"/>	<input type="checkbox"/>
Domestic animal contamination (e.g., livestock, concentrated feeding operations, pets)	<input type="checkbox"/>	<input type="checkbox"/>
Wildlife contamination - Birds	<input type="checkbox"/>	<input type="checkbox"/>
Wildlife contamination - Mammals	<input type="checkbox"/>	<input type="checkbox"/>
Wildlife contamination - Fish kill	<input type="checkbox"/>	<input type="checkbox"/>
Flooding/heavy rains	<input type="checkbox"/>	<input type="checkbox"/>
Algal bloom	<input type="checkbox"/>	<input type="checkbox"/>
Seasonal variation in water quality (e.g., lake/reservoir turnover events, resort community with seasonal loading)	<input type="checkbox"/>	<input type="checkbox"/>
Low water table (e.g., drought, over-pumping)	<input type="checkbox"/>	<input type="checkbox"/>
Ground water under direct influence of surface water (e.g., shallow well)**	<input type="checkbox"/>	<input type="checkbox"/>
Contamination through limestone or fissured rock (e.g., karst)	<input type="checkbox"/>	<input type="checkbox"/>
Contaminated recharge water	<input type="checkbox"/>	<input type="checkbox"/>
Use of an alternate source of water by a water utility	<input type="checkbox"/>	<input type="checkbox"/>
Mixing of raw water from different sources	<input type="checkbox"/>	<input type="checkbox"/>
Improper construction or location of a well or spring	<input type="checkbox"/>	<input type="checkbox"/>
Water system intake failure (e.g., cracked well casing, cracked intake pipe)	<input type="checkbox"/>	<input type="checkbox"/>
Intentional contamination (explain in remarks)	<input type="checkbox"/>	<input type="checkbox"/>
Other, specify:	<input type="checkbox"/>	<input type="checkbox"/>
Unknown	<input type="checkbox"/>	<input type="checkbox"/>

2. Did a problem with the water treatment prior to entry into a house or building contribute to the disease or outbreak?

Yes (specify in the table below) No Unknown **W108**

W85*

Treatment contributing factors (Check all that apply)* W84*	Documented/ Observed†	Suspected‡
Change in treatment process (specify in remarks)	<input type="checkbox"/>	<input type="checkbox"/>
No disinfection	<input type="checkbox"/>	<input type="checkbox"/>
Temporary interruption of disinfection	<input type="checkbox"/>	<input type="checkbox"/>
Chronically inadequate disinfection	<input type="checkbox"/>	<input type="checkbox"/>
No filtration	<input type="checkbox"/>	<input type="checkbox"/>
Inadequate filtration	<input type="checkbox"/>	<input type="checkbox"/>
Deficiencies in other treatment processes	<input type="checkbox"/>	<input type="checkbox"/>
Corrosion in or leaching from pipes or storage tanks	<input type="checkbox"/>	<input type="checkbox"/>
Pipe/component failure or break (e.g., pipes, tanks, valves)	<input type="checkbox"/>	<input type="checkbox"/>
Contamination during construction or repair of pipes/components	<input type="checkbox"/>	<input type="checkbox"/>
Construction or repair of pipes/components without evidence of contamination	<input type="checkbox"/>	<input type="checkbox"/>
Operator error	<input type="checkbox"/>	<input type="checkbox"/>
Other, specify:	<input type="checkbox"/>	<input type="checkbox"/>
Unknown	<input type="checkbox"/>	<input type="checkbox"/>

* Only check off what was found during investigation.

† "Documented/Observed" refers to information gathered through document reviews, direct observations, and/or interviews. "Suspected" refers to factors that probably occurred but for which no documentation (as defined previously) is available.

§ The release of sewage does not have to occur on the property in which persons have become ill. The sewage release may have occurred at a distant site but still affected the property in question.

¶ "On-site wastewater treatment system" refers to a system designed to treat and dispose of wastewater at the point of generation, generally on the property where the wastewater is generated (e.g., septic systems or other advanced on-site systems). However, contamination that originates from these systems can still occur off the property where treatment and disposal takes place due to migration of contaminants from malfunctioning systems or poor siting and design.

** Any water beneath the surface of the ground with substantial occurrence of insects or other macroorganisms, algae, or large-diameter pathogens (e.g., *Giardia intestinalis* or *Cryptosporidium*), or substantial and relatively rapid shifts in water characteristics (e.g., turbidity, temperature, conductivity, or pH) that closely correlate with climatologic or surface water conditions. Direct influence must be determined for individual sources in accordance with criteria established by the state.

Drinking Water

3. Did a problem with the distribution system contribute to the disease or outbreak? (NOTE: For a community water system, the distribution system refers to the pipes and storage infrastructure under the jurisdiction of the water utility prior to the water meter (or property line if the system is not metered). For noncommunity and nonpublic water systems, the distribution system refers to the pipes and storage infrastructure prior to entry into a building or house)

Yes (specify in the table below) No Unknown W109

W85*

Distribution and storage contributing factors (Check all that apply)* W84*	Documented/ Observed [†]	Suspected [†]
Cross-connection of potable and nonpotable water pipes resulting in backflow	<input type="checkbox"/>	<input type="checkbox"/>
Low pressure or change in water pressure in the distribution system	<input type="checkbox"/>	<input type="checkbox"/>
Change in water flow direction in the distribution system	<input type="checkbox"/>	<input type="checkbox"/>
Mixing of treated water from different sources	<input type="checkbox"/>	<input type="checkbox"/>
Pipe/component failure or break (e.g., pipes, tanks, valves)	<input type="checkbox"/>	<input type="checkbox"/>
Corrosion in or leaching from pipes or storage tanks	<input type="checkbox"/>	<input type="checkbox"/>
Contamination of mains during construction or repair	<input type="checkbox"/>	<input type="checkbox"/>
Construction or repair of mains without evidence of contamination	<input type="checkbox"/>	<input type="checkbox"/>
Scheduled flushing of the distribution system	<input type="checkbox"/>	<input type="checkbox"/>
Contamination of storage facility	<input type="checkbox"/>	<input type="checkbox"/>
Aging water distribution components (e.g., pipes, tanks, valves)	<input type="checkbox"/>	<input type="checkbox"/>
Water temperature $\geq 30^{\circ}\text{C}$ ($\geq 86^{\circ}\text{F}$)	<input type="checkbox"/>	<input type="checkbox"/>
Intentional contamination (specify in remarks)	<input type="checkbox"/>	<input type="checkbox"/>
Other, specify:	<input type="checkbox"/>	<input type="checkbox"/>
Unknown	<input type="checkbox"/>	<input type="checkbox"/>

4. Did a problem occur after the water meter or outside the jurisdiction of a water utility that contributed to the disease or outbreak? (e.g., in a service line leading to a house/building, in the plumbing inside a house/building, during shipping/hauling, during storage other than in the distribution system, at the point of use, involving commercially-bottled water)

Yes (specify in the table below) No Unknown W110

W85*

Factors not under the jurisdiction of a water utility or contributing factors at the point of use (Check all that apply)* W84*	Documented/ Observed [†]	Suspected [†]
<i>Legionella</i> species in water system	<input type="checkbox"/>	<input type="checkbox"/>
Cross-connection of potable and nonpotable water pipes resulting in backflow	<input type="checkbox"/>	<input type="checkbox"/>
Lack of backflow prevention in plumbing	<input type="checkbox"/>	<input type="checkbox"/>
Low pressure or change in water pressure in the plumbing	<input type="checkbox"/>	<input type="checkbox"/>
Change in water flow direction in the plumbing	<input type="checkbox"/>	<input type="checkbox"/>
Corrosion in or leaching from pipes or storage tanks	<input type="checkbox"/>	<input type="checkbox"/>
Pipe/component failure or break (e.g., pipes, tanks, valves)	<input type="checkbox"/>	<input type="checkbox"/>
Aging plumbing components (e.g., pipes, tanks, valves)	<input type="checkbox"/>	<input type="checkbox"/>
Contamination of plumbing during construction or repair	<input type="checkbox"/>	<input type="checkbox"/>
Construction or repair of plumbing without evidence of contamination	<input type="checkbox"/>	<input type="checkbox"/>
Deficiency in building/home-specific water treatment after the water meter or property line	<input type="checkbox"/>	<input type="checkbox"/>
Deficiency or contamination of equipment/devices using or distributing water	<input type="checkbox"/>	<input type="checkbox"/>
Contamination during commercial bottling	<input type="checkbox"/>	<input type="checkbox"/>
Contamination during shipping, hauling, or storage	<input type="checkbox"/>	<input type="checkbox"/>
Contamination at point of use – Tap	<input type="checkbox"/>	<input type="checkbox"/>
Contamination at point of use – Hose	<input type="checkbox"/>	<input type="checkbox"/>
Contamination at point of use – Commercially-bottled water	<input type="checkbox"/>	<input type="checkbox"/>
Contamination at point of use – Container, bottle, or pitcher	<input type="checkbox"/>	<input type="checkbox"/>
Contamination at point of use – Unknown	<input type="checkbox"/>	<input type="checkbox"/>
Water temperature $\geq 30^{\circ}\text{C}$ ($\geq 86^{\circ}\text{F}$)	<input type="checkbox"/>	<input type="checkbox"/>
Intentional contamination (specify in remarks)	<input type="checkbox"/>	<input type="checkbox"/>
Other, specify:	<input type="checkbox"/>	<input type="checkbox"/>
Unknown	<input type="checkbox"/>	<input type="checkbox"/>

* Only check off what was found during investigation.

[†] "Documented/Observed" refers to information gathered through document reviews, direct observations, and/or interviews. "Suspected" refers to factors that probably occurred but for which no documentation (as defined previously) is available.

Remarks

W86*

Other or Unknown Water

Intent for Use

What was the intended use for the implicated water? (check all that apply)

- Cooling/Air Conditioning (e.g., cooling tower, swamp cooler)
- Mister (e.g., produce in grocery store, public cooling system)
- Ornamental (e.g., a decorative non-interactive fountain intended for public display and not designed for swimming or recreational use)
- Industrial/Occupational (e.g., steam cleaner)
- Agricultural Irrigation
- Waste water
- Other (specify) : _____
- Unknown

W111

Implicated Water - Water Description

Water type <i>(e.g., cooling tower; drainage ditch; fountain - ornamental)</i>	Setting of exposure <i>(e.g., airport; hospital/health care facility; nursing home; park-state park)</i>	Usual water treatment provided <i>(e.g., no treatment; disinfection; settling/ sedimentation)</i>	Water treatment subtype <i>(disinfection or filtration: e.g., boiling; chlorine; rapid sand filter; reverse osmosis)</i>
W112	W113	W114	W115

Factors Contributing to Contamination and/or Increased Exposure to Contaminated Water **W85***

Contributing factors (Check all that apply)*	Documented/ Observed†	Suspected†	
W84*			
Cooling tower/ Evaporative condenser	Shutdown for >3 days without draining to waste	<input type="checkbox"/>	<input type="checkbox"/>
	Lack of a maintenance program	<input type="checkbox"/>	<input type="checkbox"/>
	Lack of a qualified water quality specialist	<input type="checkbox"/>	<input type="checkbox"/>
	Presence of scale or corrosion	<input type="checkbox"/>	<input type="checkbox"/>
	Presence of dirt, organic matter, or other debris in the cold water basin	<input type="checkbox"/>	<input type="checkbox"/>
	Absence of drift eliminators	<input type="checkbox"/>	<input type="checkbox"/>
	Presence of damaged drift eliminators	<input type="checkbox"/>	<input type="checkbox"/>
	History of recent repairs to the device	<input type="checkbox"/>	<input type="checkbox"/>
	Siting of device near building air intakes	<input type="checkbox"/>	<input type="checkbox"/>
	Siting of device near windows that can be opened	<input type="checkbox"/>	<input type="checkbox"/>
	Siting of device in immediate area of kitchen exhaust fans, live plants, truck bays, or other sources of organic matter	<input type="checkbox"/>	<input type="checkbox"/>
	Construction on the premises of the device within 6 months before the index case	<input type="checkbox"/>	<input type="checkbox"/>
Construction within 100 meters of the premises of the device within 6 months before the index case	<input type="checkbox"/>	<input type="checkbox"/>	
Ornamental fountain	Intended as an ornamental fountain but utilized as an interactive fountain	<input type="checkbox"/>	<input type="checkbox"/>
	Inadequate disinfection for recreational use	<input type="checkbox"/>	<input type="checkbox"/>
	Inadequate filtration for recreational use	<input type="checkbox"/>	<input type="checkbox"/>
	Presence of submerged lighting	<input type="checkbox"/>	<input type="checkbox"/>
	Lack of a written cleaning and maintenance program	<input type="checkbox"/>	<input type="checkbox"/>
Presence of dirt, organic matter, or other debris in the water basin	<input type="checkbox"/>	<input type="checkbox"/>	
Broken/damaged sewer pipe	<input type="checkbox"/>	<input type="checkbox"/>	
Recycling of water	<input type="checkbox"/>	<input type="checkbox"/>	
Water temperature ≥30°C (≥86°F)	<input type="checkbox"/>	<input type="checkbox"/>	
Other, specify:	<input type="checkbox"/>	<input type="checkbox"/>	
Unknown	<input type="checkbox"/>	<input type="checkbox"/>	

* Only check off what was found during investigation.

† "Documented/Observed" refers to information gathered through document reviews, direct observations, and/or interviews. "Suspected" refers to factors that probably occurred but for which no documentation (as defined previously) is available.

Remarks

W86*