National Center for Emerging and Zoonotic Infectious Diseases



Patient Safety Component – Trouble shooting Surgical Site Infection SIR – How to examine excluded procedures

Jennifer Donnelly, MPH

NHSN Acute Care Analytics – Measures Unit Team

March 2024

Objectives

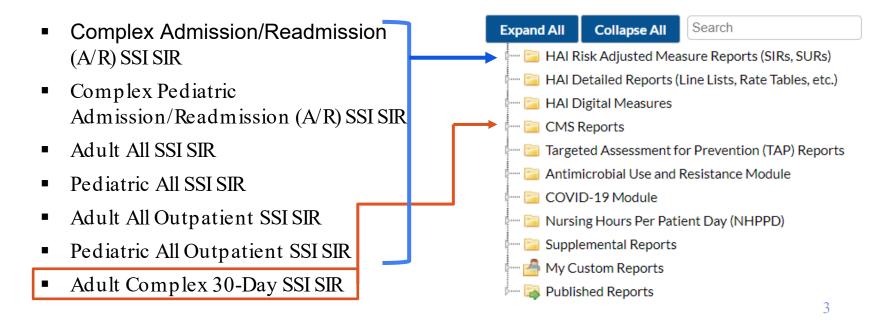
At the end of this presentation, the participant will be able to identify procedures that are excluded from the Surgical Site Infection (SSI) Standardized Infection Ratio (SIR) models and understand why these procedures were excluded.

- The presenter will use scenarios to demonstrate the following:
 - how to recognize that procedures are excluded from the SSI SIR and
 - how to generate the list of procedures excluded from the SSI SIR using various Analysis Reports

Please note "Fictitious data used for illustrative purposes only."

The Surgical site infection (SSI) SIR models

- NHSN uses the Standardized Infection Ratio (SIR) as a summary measure used to track HAIs at a national, state, or local level.
- SSIs have seven SIR model reports in the NHSN Patient Safety Module



SSI SIR numerator inclusion criteria by model

2015 national baseline

All SSI SIR Model (inpatient adult and pediatric patients)	Complex Admission/Readmission (A/R) SSI Model (inpatient adult and pediatric patients)	Complex 30-Day SSI model (used for CMS IPPS) (inpatient adult patients)	All Outpatient SSI SIR (outpatient adult patients)
 Only inpatient procedures Includes Superficial, Deep & Organ/Space SSIs Superficial & Deep Incisional SSIs limited to primary incisional SSIs Identified on admission, readmission & via post-discharge surveillance 	 Only inpatient procedures Only Deep Incisional Primary SSIs &Organ /Space SSIs Only SSIs identified on Admission/Readmission to the original facility Used for the annual CDC publication of national benchmarks 	 Only in-plan inpatient COLO and HYST procedures in adult patients Only Deep Incisional Primary SSIs and Organ /Space SSIs with an event date within 30 days of the procedure Includes SSIs of any detection method Used only for CMS IPPS* reporting and for public reporting on CMS Care Compare^ 	 Includes only hospital outpatient procedures Includes Superficial Deep & Organ/Space SSIs Superficial & Deep Incisional SSIs limited to primary incisional SSIs only Includes SSIs identified on admission, readmission & via post- discharge surveillance

*Centers for Medicare and Medicaid Services – Inpatient Prospective Payment System ^ https://www.medicare.gov/care-compare/

When is a procedure excluded from the SIR calculation?

Universal Exclusion Criteria – Applies to SSI SIR Models

2015 national baseline

General Exclusions	Exclusions due to potential data quality issues or outliers	Facility-level Exclusions
 Gender= 'Other' Outpatient procedures and resulting SSIs (for inpatient SSI SIR models only) Present at time of surgery (PATOS) is 'Yes' SSIs that are reported as superficial incisional secondary (SIS) or deep incisional secondary (DIS) 	 Age at the time of procedure is greater than 109 years Missing risk factors from the procedure form Adult patients ≥ 18 years: if BMI is less than 12 or greater than 60 Pediatric patients < 18 years: if BMI less than 10.49 or greater than 65.79. (BMI exclusions are applied after biological plausibility is established, based on the child's age, gender, height, weight) Procedure duration less than 5 minutes Procedure duration is greater than IQR5 (please see Table 4 in the SSI Section for more information) 	• Data from ambulatory surgery centers (ASCs), public health emergency facilities (PHE), inpatient rehabilitation facilities (IRFs), and long-term acute care hospitals (LTACHs)

How can you tell if a procedure has been excluded from an SSI SIR model calculation?

Incomplete Table in SIR Report

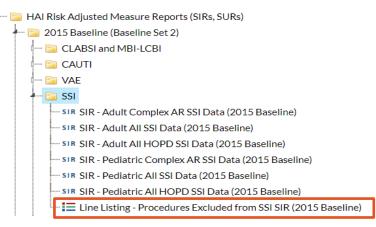
National Healthcare Safety Network

Incomplete and Custom Procedures not Included in SIR As of: January 23, 2024 at 2:26 PM Date Range: BS2_SIR_ADULTALLSSIPROC summaryYr 2022 to 2022

orgID=10018 medType=U

summaryYM	orgID	ccn	procCode	proccount	infCountAdultAll
2022M11	10018	66666	BRST	1	0
2022M01	10018	66666	COLO	2	0
2022M02	10018	66666	COLO	1	0
2022M03	10018	66666	COLO	1	0
2022M04	10018	66666	COLO	1	0
2022M01	10018	66666	FUSN	1	0
2022M01	10018	66666	HPRO	1	0
2022M01	10018	66666	HYST	2	0

Line Listing – Procedures Excluded from SSI SIR Report





"Fictitious data used for illustrative purposes only."

2. Observe last table on SIR report with summary of excluded procedures



3. Run line list of procedures for details of exclusion

D3- Donnelly – Trouble Shooting SSI SIR – Q1:

- How many of you have used the Line Listing Procedures Excluded from SSI SIR Report to examine why a procedure may have been excluded from the SSI SIR calculation?
 - Yes
 - **–** No

Excluded Procedure and Event Scenarios

Facility infection preventionist (IP) indicates the facility reported 73 COLO procedures in 2022. Of these, four had an SSI event. The facility knows that two SSIs were present at time of surgery (PATOS).

The SIR-ACH Complex 30-Day SSI Data for CMS IPPS report is showing 1 SSI event.

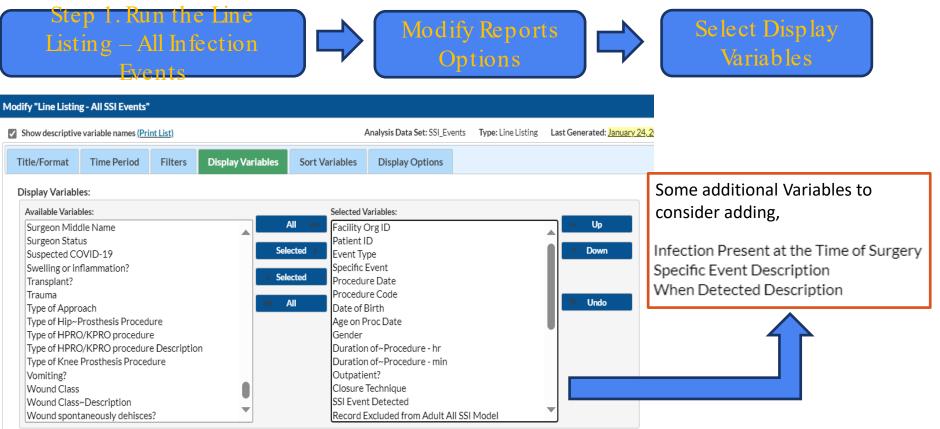
- Shouldn't the report list 2 SSI events, as the 2 PATOS infections are excluded?
- ✓ Why was the other SSI Excluded?

All Infection Events

Expand All Collapse All Search - 🔚 HAI Risk Adjusted Measure Reports (SIRs, SURs) 🗁 📴 HAI Detailed Reports (Line Lists, Rate Tables, etc.) — Device-Associated (DA) Module - Procedure-Associated (PA) Module All Procedure-Associated Events SSIs Line Listing - All SSI Events Frequency Table - All SSI Events Bar Chart - All SSI Events Pie Chart - All SSI Events Rate Table - SSI Data by Procedure and Risk Index 🛚 🜌 Run Chart - SSI Data by Procedure and Risk Index Rate Table - Specific Event SSI Rates by Procedure 🗹 📶 Run Chart - Specific Event SSI Data by Procedure 💹 Rate Table - SSI Data by Surgeon, Procedure, and Risk Index Mun Chart - SSI Data by Surgeon, Procedure, and Risk Index

M	odify "Line Listing - All SSI Eve	ents"			
	Show descriptive variable name	es <u>(Print List)</u>		A	nalysis Data Set: SSI_E
	Title/Format Time Perio	od Filters	Display Variables	Sort Variables	Display Options
	Procedure~Year	2022	2022 e you click the Run bu	tton	e Period
/Format T	ime Period Filters Display Va	riables Sort Variabl	es Display Options		
/Format T tional Filters:	ime Period Filters Display Va	riables Sort Variabl	Display Options		

tify Reports Options



Reviewing the Report Output

National Healthcare Safety Network

Line Listing for All Surgical Site Infection Events

As of: February 9, 2024 at 3:25 PM Date Range: SSI_EVENTS procDateYr 2022 to 2022 if (((procCode = "COLO")))

Facility Org ID		Event Type	Specific Event	Procedure Date	Procedure Code	Date of Birth	Age on Proc Date	Gender	Duration of Procedure - hr		Outpatient?	Closure Technique	SSI Event Detected	Record Excluded from Adult All SSI Model	Record Excluded from Ped All SSI Model	Record Excluded from Adult Complex AR Model	Record Excluded from Ped Complex AR Model	COLO/HYST Excluded from Complex 30 day Model
10018	JA-012	SSI	DIP	01/12/2022	COLO	05/04/1941	80	М	3	43	N	PRI	A	0	1	0	1	0
10018	JA-019	SSI	DIP	01/25/2022	COLO	03/16/1950	71	F	1	20	N	PRI	A	1	1	1	1	1
10018	JA-036	SSI	DIP	02/13/2022	COLO	08/23/1984	37	F	9	5	N	PRI	А	1	1	1	1	1
10018	JA-062	SSI	SIP	03/14/2022	COLO	07/15/1951	70	М	5	56	Ν	PRI	A	0	1	0	1	0

Information about the patient and the event.

Denotes if the event was excluded one of the SSI SIR models (1 = Yes, No =0)

"Fictitious data used for illustrative purposes only."

Reviewing the Report Output

National Healthcare Safety Network Line Listing for All Surgical Site Infection Events

As of: February 9, 2024 at 3:25 PM Date Range: SSI_EVENTS procDateYr 2022 to 2022 if (((procCode = "COLO")))

S	All SSI SIR Vent	Pediatric All SSI SIR Event	Complex AR SSI Event	Pediatric Complex AR SSI Event	Complex 30-day SSI Event	Pi a Ti	fection resent at the ime of urgery	Specific Event Description	When Detected Description
	1	0	1	0	1	N		DIP - Deep Incisional Primary	A - Admission
	0	0	0	0	0	Y		DIP - Deep Incisional Primary	A - Admission
	0	0	0	0	0	٢		DIP - Deep Incisional Primary	A - Admission
	1	0	0	0	0	N		SIP - Superficial Incisional Primary	A - Admission
				which S s includ				splays the led in the Variab	Display

- 1) The facility reported 4 COLO SSI events for 2022.
- Two were considered Infection Present at the time of surgery (PATOS)
- 3) One is a deep incisional primary SSI event
- 4) One is a superficial incisional primary (SIP) SSI event

The PATOS and SIP events are excluded from this report.

Rationale: For the Complex 30-day SSI SIR model only Deep Incisional Primary SSIs and Organ /Space SSIs within 30 days of the procedure are included.

"Fictitious data used for illustrative purposes only."

National Healthcare Safety Network

SIR for Complex 30-Day SSI Data in Acute Care Hospitals for CMS IPPS by Procedure (2015 Baseline) - By OrgID/ProcCode

As of: January 24, 2024 at 8:20 PM Date Range: BS2_SIR_CMPX30DSSIPROC summaryYr 2022 to 2022 if (((procCode = "COLO")))

orgid=10018 medType=M

orgid	ccn	proccode	procCount	summaryYM	infCountComplex30d	d	numPredComplex30d	SIRComplex30d	SIRComplex30d_pval	SIRComplex30d95CI
10018	66666	COLO	24	2022M01	1	1	0.81			
10018	66666	COLO	22	2022M02	0	0	0.701			
10018	66666	COLO	25	2022M03	0	0	0.84			

The final Complex 30-Day SSI SIR report shows 1 SSI event in January 2022 Remember an SSI SIR will not be calculated if the number of predicted SSIs is less then 1.0

D3- Donnelly – Trouble Shooting SSI SIR – Q2:

- The purpose of the Incomplete Procedure Table at the end of the SSI SIR report out is;
 - a. To alerts users that they have incomplete procedures that need to updated
 - b. To provide users a summary of procedures that meet the universal exclusions that are applied prior to calculating the SSI SIR
 - c. I have never noticed this table before

IP ran an SSI SIR for 2022. For COLO procedures, there was a table at the end of the report saying there were incomplete procedures. The IP thought that all the reports were complete. How can the IP find out with procedures were incomplete?

National Healthcare Safety Network

Incomplete and Custom Procedures not Included in SIR

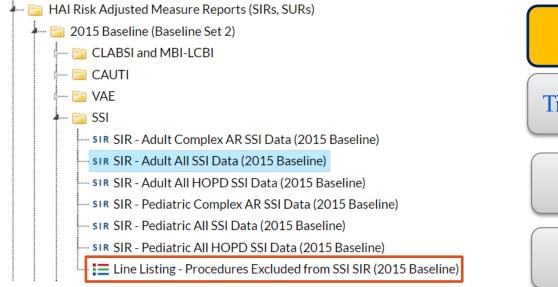
As of: January 24, 2024 at 9:08 PM Date Range: B\$2_SIR_ADULTALLSSIPROC summaryYr 2022 to 2022 if (((procCode = "COLO")))

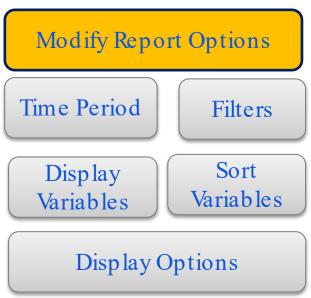
orgID=10018 medType=M

summaryYM	orgID	ccn	procCode	proccount	infCountAdultAll	
2022M01	10018	66666	COLO	1	0	-
2022M02	10018	66666	COLO	1	0	
2022M03	10018	66666	COLO	1	0	

This table is included at the bottom of all SSI SIR reports to let users know some procedures were not included in the SSI SIR calculation due to exclusion criteria, not because they are incomplete.

 Then NHSN application has a specific report that lists those procedures that have been excluded from the SSI SIR models and why they have been excluded.





National Healthcare Safety Network

Line Listing for Procedures Excluded from SSI SIR (2015 Baseline)

As of: January 25, 2024 at 12:38 PM Date Range: PROCEDURES procDateYr 2022 to 2022 if (((excluded = "Y") AND (procCode = "COLO")))

orgID	patID	procID	procCode	bs2_allAdultExcl	bs2_allPedExcl	bs2_cmpxAdultExcl	bs2_cmpxPedExcl	bs2_cmpx30dExcl	procDate	dob	gender	procDurationHr	procDurationMin
10018	JA-019	114524	COLO	1	1	1	1	1	01/25/2022	03/16/1950	F	1	20
10018	JA-036	114541	COLO	1	1	1	1	1	02/13/2022	08/23/1984	F	9	5
10018	JA-052	114557	COLO	1	1	1	1	1	03/03/2022	08/21/1964	М	11	46
	e proc	tion a cedure tient				te which SSI SIR lue 1 means the	1		Info	rmatio		at the proce patient	dure and

bs2_allAdultExcl	bs2_allPedExcl	bs2_cmpxAdultExcl	bs2_compxPedExcl	bs2_compx30dExcl
Adult All SSI SIR	Pediatric All SSI	Adult Complex	Pediatric Complex	Adult Complex
	SIR	A/R SSI SIR	A/R SSI SIR	30-Day SSI SIR

National Healthcare Safety Network

Line Listing for Procedures Excluded from SSI SIR (2015 Baseline)

As of: January 25, 2024 at 12:38 PM Date Range: PROCEDURES procDateYr 2022 to 2022 if (((excluded = "Y") AND (procCode = "COLO")))

exclMissingVarInd	exclMissingVarList	exclDurThresholdInd	exclAgeGT109Ind	exclOutpatientInd	exclPedIndcmpx30d	exclGenderOth	exclInvalidJointRepHemi	exclBMIThresholdInd	exclude_ssi	excluded
Ν		Ν	Ν	Ν	Ν	Ν	Ν	Ν	Ν	Y
N	_	N	N	N	Ν	Ν	N	N	N	Y
Ν		Y	N	Ν	Ν	Ν	N	N	N	Y
	_									Ī

These columns indicate the universal exclusion criteria that caused the procedure to be excluded. For example, procedure 114557 was excluded due to the procedure duration (exclDurThresholdInd) being 5 times greater than the procedure interquartile range (highlighted in the yellow box). Please note that the other two procedures do not have a reason but are still excluded. This is because the procedures are linked to SSI events that are reported as PATOS=Yes, which is noted in the linked event.

andatory fields marked wi	th *		
	mpletion marked with **		
ields required when in Plar			
Event Information			
	Event Type * : SSI - Surgical Site	e Infection	Date of Event *: 02/22/2022
	NHSN Procedure Code *: COLO - Colon su	irgery	
	Select button fo ICD-10 PCS CPT Code		tient Procedure *: N - No
	Procedure Date *: 02/13/2022	Event Linked	
	MDRO Infection Surveillance * : No, this infectior	n's pathogen/location	are not in-plan for Infection Surveillance in the MDRO/CDI Module
	Location :		
	Date Admitted to Facility *: 02/12/2022		
Event Details			PATOS is noted in the Even
Event Details	Specific Event *: DIP - Deep Incisi	ional Primany	
	nfection present at the time of surgery *: Y - Yes	onai Frinary	Detail section of the NHSN
Ľ_`			
			application.



National Healthcare Safety Network Frequency Table for All Procedures As of: January 25, 2024 at 4:31 PM Date Range: PROCEDURES procDateYr 2022 to 2022 if (((procCode = "COLO")))

Frequency Row Pct	Table of procDateYM by procCode				
		procCode			
	procDateYM	COLO	Total		
	2022M01	25 100.00	25		
	2022M02	23 100.00	23		
	2022M03	26 100.00	26		
	2022M04	1 100.00	1		
	Total	75	75		

National Healthcare Safety Network

SIR for Complex 30-Day SSI Data in Acute Care Hospitals for CMS IPPS by Procedure (2015 Baseline)

As of: January 25, 2024 at 4:33 PM Date Range: BS2_SIR_CMPX30DSSIPROC summaryYr 2022 to 2022

No Records Met Your Criteria Please check your time period and/or filter criteria.



National Healthcare Safety Network SIR for Adult Complex AR SSI Data by Procedure (2015 Baseline) - By OrgID As of: January 25, 2024 at 4:29 PM

Date Range: BS2_SIR_ADULTCMPXSSIPROC summaryYr 2022 to 2022 if (((procCode = "COLO")))

orgid=10018 medType=U

orgid	ccn	summaryYM	procCount	infCountAdultCmpx	numPredAdultCmpx	SIRComplex	SIRComplex_pval	SIRComplex95CI	SIR_pctl
10018	66666	2022M01	23	1	1.603	0.624	0.7253	0.031, 3.077	34
10018	66666	2022M02	22	0	1.777	0	0.1692	, 1.686	10
10018	66666	2022M03	25	0	1.736	0	0.1762	, 1.726	10

Why are procedures showing as reported using the frequency table and are included in the Complex AR SSI SIR model, but are not showing for the CMS Complex 30 Day SSI SIR Model?

What are the differences between the Complex A/R Model and the Complex 30 Day Model?

Complex Admission/Readmission (A/R) SSI Model (inpatient adult and pediatric patients)	Complex 30-Day SSI model (used for CMS IPPS) (inpatient adult patients)		
 Only inpatient procedures Only Deep Incisional Primary SSIs & Organ /Space SSIs Only SSIs identified on Admission/Readmission to the original facility Used for the annual CDC publication of national benchmarks 	 Only in plan inpatient COLO and HYST procedures in adult patients Only Deep Incisional Primary SSIs and Organ /Space SSIs with an event date within 30 days of the procedure Includes SSIs of any detection method Used only for CMS IPPS* reporting and for public reporting on CMS Care Compare 		



D3- Donnelly – Trouble Shooting SSI SIR – Q3:

- How frequently does your facility resolve Alerts in NHNS?
 - a. Weekly
 - b. Monthly
 - c. Quarterly
 - d. Never

Scenario 3 – Problem Solving other reason why procedures are not included in the SSI SIR report



National Healthcare Safety Network

SIR for Complex 30-Day SSI Data in Acute Care Hospitals for CMS IPPS by Procedure (2015 Baseline) - By OrgID/ProcCode As of: January 25, 2024 at 7:51 PM Date Range: B32_SIR_CMPX30DSSIPROC summaryYr 2022 to 2022 if((IntroCode = "COLO"))

orgid=10018 medType=U

 orgid
 ccn
 proccode
 procCount
 summaryYM
 infCountComplex30d
 numPredComplex30d
 SIRComplex30d
 SIRComplex30d_pval
 SIRComplex30d95CI

 10018
 66666
 COLO
 23
 2022M01
 1
 0.767

Procedure-Associated Module

Procedures	SSI
COLO - Colon surgery	IN: 🗹 OUT: 🗌
HYST - Abdominal hysterectomy	IN: 🗹 OUT: 🗆

The monthly reporting plan did not include COLO or HYST inpatient procedures.

Step 2. Review ALERTS and resolve ALERTS

Incomplete	Missing	Missing	Unusual	Confirm	Acknowledge
Procedures	Procedures	Procedure-associated	Susceptibility	CDI	CCN
		Events	Profile	Test Type	

Confirm that no SSI events have been reported for COLO procedures in February, March, and April 2022

Month/Year 🖨	Procedures	SSI	Report No Events
02/2022	COLO	IN	
03/2022	COLO	IN	
03/2022	HYST	IN	0
04/2022	COLO	IN	
10/2022	BRST	OUT	
10/2022	CARD	IN	
	H KA Page 1	of 1 🔛 🖬 100 🗸	View 1 - 14 of 14
	Save	Reset	
	Save	Reset	

Note: Each time you make a change, the data set will have to be updated.

Scenario 3 – Problem Solving other reason why procedures are not included in the SSI SIR report

National Healthcare Safety Network

SIR for Complex 30-Day SSI Data in Acute Care Hospitals for CMS IPPS by Procedure (2015 Baseline) - By OrgID/ProcCode

As of: January 25, 2024 at 8:44 PM Date Range: BS2_SIR_CMPX30DSSIPROC summaryYr 2022 to 2022 if (((procCode = "COLO")))

orgid=10018 medType=U

orgid	ccn	proccode	procCount	summaryYM	infCountComplex30d	numPredComplex30d	SIRComplex30d	SIRComplex30d_pval	SIRComplex30d95CI
10018	66666	COLO	23	2022M01	1	0.767			
10018	66666	COLO	22	2022M02	0	0.716			
10018	66666	COLO	25	2022M03	0	0.84			
10018	66666	COLO	0	2022M04	0	0			

Step 3. Review Procedure Line Listing to determine if other difference exist After a review of the procedure line listing report, both COLO Procedure in April 2022 were pediatric inpatient procedures. The Complex 30-Day SSI SIR for CMS report only includes Adults (inpatients 18 years and older)

Resources

For SSI SIR:

SIR Guide: <u>https://www.cdc.gov/nhsn/pdfs/ps-analysis-resources/nhsn-sir-guide.pdf</u>

Troubleshooting SSI SIR:

- Universal Exclusion Criteria report: <u>https://www.cdc.gov/nhsn/pdfs/ps-analysis-resources/line-list-procedures-excluded-sir.pdf</u>
- SSI Events Inclusion Criteria report: <u>https://www.cdc.gov/nhsn/pdfs/ps-analysis-resources/ssi-events-line-list-qrg.pdf</u>

NHSN SSI Information

- NHSN SSI main page: <u>https://www.cdc.gov/nhsn/psc/ssi/index.html</u>
- NHSN Analysis page: <u>https://www.cdc.gov/nhsn/PS-Analysis-resources/reference-guides.html</u>
- Patient Safety Component Quick Reference Guides: <u>https://www.cdc.gov/nhsn/ps-analysis-resources/reference-guides.html</u>
- SSI SIR Training main page: <u>https://www.cdc.gov/nhsn/training/patient-safety-component/ssi.html</u>

For any questions or concerns, contact the NHSN Helpdesk using

NHSN-ServiceNow to submit questions to the NHSN Help Desk. The new portal can be accessed at https://servicedesk.cdc.gov/nhsncsp. Users will be authenticated using CDC's Secure Access Management Services (SAMS) the same way you access NHSN. If you do not have a SAMS login, or are unable to access ServiceNow, you can still email the NHSN Help Desk at nhsn@cdc.gov.

For more information please contact Centers for Disease Control and Prevention 1600 Clifton Road NE, Atlanta, GA 30333 Telephone, 1-800-CDC-INFO (232-4636)/TTY: 1-888-232-6348 E-mail: <u>cdcinfo@cdc.gov</u> Web: <u>www.cdc.gov</u>

The findings and conclusions in this report are those of the authors and do not necessarily represent the official position of the Centers for Disease Control and Prevention.

