Internal Data Validation

Jennifer Watkins, RN, BSN, MPH
NHSN Protocol and Validation Team

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Learning Objectives

At the conclusion of this session, participants will be able to

- Define internal data validation and quality data
- Identify NHSN internal data validation resources
- Describe recommended internal data validation activities
Internal Data Validation and Quality Data
Types of HAI Data Validation

Internal Data Validation
- Active efforts by a reporting facility to **assure quality** of NHSN data
- Built in as a routine facility process

External Data Validation
- Survey and audit process by external agency to **assure accuracy** of NHSN surveillance and reporting
- Requires additional resources
Quality Data

- Complete
- Timely
- Accurate
- Consistent
Why Is Quality Data Important?

- Monitor HAIs and the impact of prevention efforts
- Benchmark performance against risk-adjusted national data
- Fulfill state-mandated and CMS reporting requirements
- Ability to hold up during external scrutiny (external validation by State or CMS)

Internal data validation leads to high quality data.
Routine Internal Data Validation

- Understanding of systematic weaknesses in HAI reporting
- Assurance that surveillance data are of high quality
  - Complete, timely, accurate, consistent
- Coordination and partnership building with stakeholders
- Confidence in your facility’s data
Why Should You Validate Your Own Data?

- NHSN checks for implausible and incomplete data entries
- NHSN application cannot check for
  - Data not captured at the facility level
  - Data that was not entered accurately
- Assures stakeholders of data quality
- These are YOUR facility’s data - you may be surprised at what you find!
Internal Validation Guidance
Internal Validation Guidance and Toolkit

https://www.cdc.gov/nhsn/validation/index.html

NHSN Data Validation

Internal Validation: Active efforts by a reporting facility to assure completeness and accuracy of NHSN data.

External Validation: Survey and audit process by external agency to assure quality of NHSN surveillance and reporting.

NHSN Validation Guidance and Resources for 2018

For Reporting Facilities: 2018 Internal Validation Guidance and Toolkit

- 2018 Internal Validation Guidance and Toolkit [PDF – 1 MB]

For Auditors: 2018 External Validation Guidance and Toolkit

2018 Resources
Sneak Preview: New Webpage

NHSN Data Validation

Internal Validation: Active efforts by a reporting facility to assure completeness and accuracy of NHSN data.

External Validation: Survey and audit process by external agency to assure quality of NHSN surveillance and reporting.

PATIENT SAFETY COMPONENT

2019  2018  2017

2019 PSC Data Validation Resources

For Reporting Facilities

2019 Internal Validation Guidance and Toolkit
[PDF – 1 MB]

2019 PSC Component Manual

2019 NSW PSC Manual
[PDF – 6 MB]

Other Resources
2019 HAI Internal Validation Toolkit

- Guidance for planning data validation activities
- Data quality survey tools
  - CLABSI/CAUTI Denominator Survey (with key)
  - Surgical Procedures and SSI Surveillance Methods Survey (with key)
  - LabID Event Surveillance Methods Survey (with key)
- Data quality checklist for review prior to data submission
Suggestions for Planning Data Quality Checks

- Annual Checks
- Monthly Checks
- Weekly/Daily Checks
- “As needed” Checks
Suggestions for Annual Data Quality Checks

- Annually
  - Develop a facility level surveillance and data validation plan
  - Determine surveillance program competencies
  - Review accuracy of data collection processes and data sources (EMR system, laboratory data, ADT data, OR data, procedure coding)
  - Review facility descriptors and location mapping
  - Recruit partners
  - Assess staff knowledge and training needs
Recommended Annual Check

Mandatory fields marked with *

Facility ID: * DHQ Memoria Hospital (ID 10000) □
Survey Type: * FACSRTV-PS - Hospital Survey Data □
Survey Year: * □

Facility Characteristics (completed by infection preventionist)
Facility ownership: □

Hospital Facility:
Number of Patient Days: □
Number of Admissions: □

Is your hospital a teaching hospital for physicians and/or physicians-in-training? * □
If Yes, what type: □ MAJOR □ GRADUATE □ UNDERGRADUATE

Number of beds set up and staffed in the following location types (as defined by NHSN):

a. ICU beds (including adult, pediatric, and neonatal levels II/III and III): * □
b. All other inpatient locations: * □

Total Number of Beds Set Up and Staffed: □
## Data Quality Survey Tools

### Appendix C: CLABSI and CAUTI Denominator Counting Survey (with Key)

**Instructions:** Administer in person or by telephone, directly to individuals responsible for denominator counting. This form is divided into 3 sections for facilities where these tasks are performed by different persons. The first section, PATIENT DAYS, contains questions applicable to both CLABSI and CAUTI denominator collection (questions 1-9). The second section, CENTRAL LINE DAYS, contains questions applicable to CLABSI denominator collection (questions 10-21). The third section, INDWELLING URINARY CATHETER DAYS, contains questions applicable to CAUTI denominator collection (questions 22-27).

<table>
<thead>
<tr>
<th>Facility OrgID:</th>
<th>Name/ID of individual interviewed:</th>
<th>Position:</th>
<th>Interviewer initials:</th>
<th>Date of survey:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>□ IP</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>□ Clerical</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>□ Nursing</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>□ Other (explain)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

(circle): CLABSI, CAUTI, BOTH

<table>
<thead>
<tr>
<th>NHSN location(s) covered:</th>
</tr>
</thead>
</table>

### PATIENT DAYS (for both CLABSI and CAUTI denominator counters)

<table>
<thead>
<tr>
<th></th>
<th>Answer Key/Rationale:</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. How are patient days usually collected? (choose one)</td>
<td></td>
</tr>
<tr>
<td>Electronically (document the software system utilized and skip to Q8):</td>
<td></td>
</tr>
<tr>
<td>Manually (daily/weekly):</td>
<td></td>
</tr>
<tr>
<td>Some units electronic and some units manual</td>
<td></td>
</tr>
<tr>
<td>Comment:</td>
<td></td>
</tr>
</tbody>
</table>

2. Is there a specified time when the denominator count is taken?  
   □ Yes  □ No  
   *The answer should be Yes*

3. When is it done?  
   *Counts should be done at a specific time daily, preferably at nearly the same time throughout the facility to avoid errors when patients transfer.*
Annual Check: Manual CLABSI/CAUTI Denominators

- Manual daily count or sampled method (once/week)
  - Are staff counting correctly
  - Missing or implausible data
    - # patient days > # beds
    - # catheter days > # patient days
  - Keep denominator logs of % of days in year when
    - Urinary catheter/central line days not collected
    - Patient days not collected
  - Internal data validation annually for one week for each location type, with concurrent dual assessment to test for accuracy
Manual to Electronic Counting of CLABSI/CAUTI Denominators

- Before you begin submitting electronic denominator counts, validate electronic counts with concurrent manual counts
  - Manual counts are considered the “Gold Standard”
  - Electronic counts should be within +/- 5% of manual counts for 3 consecutive months
  - If the electronic counts are outside the +/- 5% for any month, continue manual counts until 3 consecutive months are achieved
  - Work with IT to correct electronic counting problems
  - Denominator Validation Template provided in Internal Validation guidance document
Electronic to Electronic Counting of CLABSI/CAUTI Denominators

- When transitioning from one electronic counting system to another electronic counting system, validate electronic counts with concurrent manual counts
  - Manual counts are considered the “Gold Standard”
  - Counts for any electronic counting system should match within +/- 5% of manual counts for 3 consecutive months

- Current users: conduct spot checks of electronic data to assure continued good performance
Annual Check: SSI Denominator Data (Procedures)

- Which NHSN procedures will be reported, inpatient or outpatient, surveillance period (30 or 90 days)
- Ensure denominator data are not missed
  - Identify all data sources for ICD-10-PCS and/or CPT operative procedure codes
  - Cross referencing of multiple sources reduces missing procedure data
- Annual (or more frequent) downloads to confirm procedure data from selected days/weeks were not missed during the interval
Suggestions for Monthly Data Quality Checks

- Monthly
  - Validate Monthly Reporting Plan
  - Conduct data quality checks before submission
    - Missing data (zero patient days, “no events”)
    - Implausible data (device days > patient days, BMI > 200)
    - Outliers (procedure duration < 5 minutes)
    - Incomplete data (SSI event not linked to procedure)
    - Inaccurate data (incorrect date of event)
    - Outstanding NHSN alerts (incomplete event, missing events)
  - Review data prior to submission using NHSN Data Quality Checklists
# Data Quality Checklists

## Appendix I: Data Quality Checklist - SSI Events/Procedures

This checklist is intended to ensure completeness and accuracy of SSI Event and Procedure data entered into NHSN.

<table>
<thead>
<tr>
<th>SSI Event (numerator)</th>
<th>Description/Action</th>
<th>Validated</th>
</tr>
</thead>
<tbody>
<tr>
<td>i) All SSI events reported</td>
<td>Verify that all SSI events have been reported. (Go to NHSN Application → Analysis – Reports → Procedure-Associated (PA) Module → SSI → Line Listing – All SSI Events) <strong>Note:</strong> When generating the All SSI Events line list, choose the Procedure Date (procDate) as the Date Variable on the Time Period tab.</td>
<td></td>
</tr>
<tr>
<td>ii) Missing numerator variables (Incomplete events)</td>
<td>Verify that all mandatory/required data fields are completed. (Go to NHSN Application → Alerts → Incomplete Events, Event Type: SSI)</td>
<td></td>
</tr>
<tr>
<td>iii) SSI event is linked to procedure</td>
<td>Verify that SSI event is linked to the correct procedure. (Add Display Variables “linkedproc” to Line Listing – All SSI Events; refer to “All SSI events reported” above.)</td>
<td></td>
</tr>
</tbody>
</table>
Appendix H: Data Quality Checklist - MDRO/CDI Data

This checklist is intended to ensure completeness and accuracy of LabID Event data entered into NHSN.

<table>
<thead>
<tr>
<th>Indicator</th>
<th>Description/Action</th>
<th>Validated</th>
</tr>
</thead>
<tbody>
<tr>
<td>iii) Verify denominator data accuracy for all reporting locations.</td>
<td>Generate Rate Tables to display summary data by location and month/year in a table format.</td>
<td></td>
</tr>
</tbody>
</table>
Suggestions for Weekly/Daily Data Quality Checks

- Review positive laboratory specimens

- Spot check denominator accuracy
  - Patient days, patient admissions
  - Device days
  - Surgical procedure capture
  - SSI risk-adjustment variables
What Should Trigger “As Needed” Data Quality Checks

- New patient care locations: accurately mapped?
- New data collection staff
- New or modified electronic medical record systems
- Unusual data
  - Was denominator reporting complete?
  - Were all risk adjustment variables entered correctly?
  - Was there a change in testing method (such as CDI testing method)?
Additional Recommendations
Recommended Case-Ascertainment Checks

- Validation of case-ascertainment should include periodically reviewing list of candidate cases
  - Use Medical Record Abstraction Tools (MRATS) to identify accuracy of case-ascertainment from a list of candidate cases
  - If candidate cases were ‘missed’ investigate why and how to fix it
Run Longitudinal Data Checks

- Review longitudinal trends and assess errors
  - Numerators by location and overall
  - Denominators by location and overall
  - SIRs by location and overall
Longitudinal Trends of CLABSI Events, LTAC Ward

- January: 10
- February: 1
- March: 4
- April: 7
- May: 6
- June: 13
- July: 6
- August: 3
- September: 4
- October: 5
- November: 7
- December: 5

Months with similar Patient Days and Device Days

True Decline?

True Increase?
<table>
<thead>
<tr>
<th>Minimum Requirement</th>
<th>CLABSI</th>
<th>Review every positive blood specimen</th>
</tr>
</thead>
<tbody>
<tr>
<td>CAUTI</td>
<td>Review every positive urine culture</td>
<td></td>
</tr>
</tbody>
</table>
| SSI                 | • Identify and monitor all post-op patients and hospital readmissions related to infections  
|                     | • Review wound cultures, but realize culture-based surveillance missed 50-60% SSI  
|                     | • Daily hospital rounds to identify infections not resulting in cultures |
| LabID event FacWideIN | • Review all final lab test results (MRSA blood specimens, C. diff tests)  
|                     | • Assess lab tests from ER and observation locations |
# How to Achieve Denominator Data Completeness

<table>
<thead>
<tr>
<th>Minimum Requirement</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>CLABSI/CAUTI</strong></td>
</tr>
<tr>
<td>• Review presence of central line/indwelling urinary catheter and complete collection of device days</td>
</tr>
<tr>
<td><strong>SSI</strong></td>
</tr>
<tr>
<td>• Review complete count of procedures based on ICD-10-PCS/CPT codes</td>
</tr>
<tr>
<td><strong>LabID event</strong></td>
</tr>
<tr>
<td><strong>FacWideIN</strong></td>
</tr>
<tr>
<td>• Review total patient admissions/encounters for every location (including ER and observation locations)</td>
</tr>
</tbody>
</table>
Confidence In Your Data

- Facilities held accountable for following NHSN methods
- Up-to-date with NHSN surveillance definitions and criteria
- Apply definitions with confidence every time
Summary

- Quality data is vital to HAI prevention

- In the era of “publicly looking good” ongoing internal data validation is the key to improvement in prevention practices

- Routine internal data validation will improve results in external data validation
Thank You!

Questions?

nhsn@cdc.gov

For more information, contact CDC
1-800-CDC-INFO (232-4636)

The findings and conclusions in this report are those of the authors and do not necessarily represent the official position of the Centers for Disease Control and Prevention.