Surgical Site Infection (SSI) Event

Introduction: In 2010, an estimated 16 million operative procedures were performed in acute care hospitals in the United States\(^1\). A recent prevalence study found that SSIs were the most common healthcare-associated infection, accounting for 31% of all HAIs among hospitalized patients\(^2\). The CDC healthcare-associated infection (HAI) prevalence survey found that there were an estimated 157,500 surgical site infections associated with inpatient surgeries in 2011\(^3\). NHSN data included 16,147 SSIs following 849,659 operative procedures in all groups reported, for an overall SSI rate of 1.9% between 2006-2008\(^4\). A 19% decrease in SSI related to 10 select procedures was reported between 2008 and 2013\(^5\).

While advances have been made in infection control practices, including improved operating room ventilation, sterilization methods, barriers, surgical technique, and availability of antimicrobial prophylaxis, SSIs remain a substantial cause of morbidity, prolonged hospitalization, and death. SSI is associated with a mortality rate of 3%, and 75% of SSI-associated deaths are directly attributable to the SSI\(^6\).

Surveillance of SSI with feedback of appropriate data to surgeons has been shown to be an important component of strategies to reduce SSI risk\(^7\)-\(^10\). A successful surveillance program includes the use of epidemiologically-sound infection definitions and effective surveillance methods, stratification of SSI rates according to risk factors associated with SSI development, and data feedback\(^8,9\). A new CDC and Healthcare Infection Control Practices Advisory Committee guideline for the Prevention of Surgical Site Infection has been published in 2017 and has replaced the previous Guideline for Prevention of Surgical Site Infection, 1999\(^10\).

Settings: Surveillance of surgical patients will occur in any inpatient and/or outpatient setting where the selected NHSN operative procedure(s) are performed.

Requirements: Perform surveillance for SSI following at least one NHSN operative procedure category (**ICD-10-PCS** and **CPT** Mapping) as indicated in the **Patient Safety Monthly Reporting Plan** (CDC 57.106). Collect SSI (numerator) and operative procedure category (denominator) data on all procedures included in the selected procedure categories for at least one month to meet NHSN requirements, or as otherwise specified by mandates and other reporting requirements. A procedure must meet the NHSN definition of an operative procedure in order to be included in the surveillance. All procedures included in the NHSN monthly surveillance plan are followed for superficial, deep, and organ/space SSIs. SSI events where PATOS = Yes are reported to NHSN.

SSI monitoring requires active, patient-based, prospective surveillance. Post-discharge and ante-discharge surveillance methods should be used to detect SSIs following inpatient surgeries and post-discharge surveillance for outpatient operative procedures.
For example, these methods include:

- Review of medical records or surgery clinic patient records
  - Admission, readmission, ED, and OR logs
  - Patient charts for signs and symptoms of SSI
  - Lab, X-ray, other diagnostic test reports
  - Nurses and physician notes
- Visit the ICU and wards – talk to primary care staff
- Surgeon surveys by mail or telephone
- Patient surveys by mail or telephone (though patients may have a difficult time assessing their infections).

Any combination of these methods is acceptable for use; however, CDC criteria for SSI must be used. To minimize Infection Preventionists’ (IPs) workload of collecting denominator data, operating room data may be downloaded. (See file specifications at: https://www.cdc.gov/nhsn/pdfs/ps-analysis-resources/ImportingProcedureData.pdf).

An SSI will be associated with a particular NHSN operative procedure and the facility in which that procedure was performed.

The *International Classification of Diseases, 10th Revision Clinical Modifications* (ICD-10-CM/PCS) codes, which are defined by the ICD-10 Coordination and Maintenance Committee of the National Center for Health Statistics and the Centers for Medicare and Medicaid Services (CMS), are developed as a tool for classification of morbidity data. Their wide use enables the grouping of surgery types for the purpose of determining SSI rates. The ICD-10-PCS mapping is located on the NHSN website in the SSI Protocol under “Supporting Materials”. Mapping is also provided for Current Procedural Terminology (CPT) codes to NHSN operative procedure categories to assist users in determining the correct NHSN code to report for facilities using CPT codes. The CPT NHSN operative procedure mapping is also found in the “Supporting Materials” section of the SSI Protocol on the NHSN website. The ICD-10-PCS and the CPT mapping documents include a general definition for each NHSN operative procedure category as well as a description for each individual operative procedure code.

Notes:

- The Infection Window Period, Present on Admission (POA), Hospital Associated Infection and Repeat Infection Timeframe definitions should not be applied to the SSI protocol. For more POA and PATOS details see numerator reporting instructions #2 and #3.
- The former NHSN Category “OTH - other” is not mapped to ICD-10-PCS and CPT codes. Any infections associated with procedures not included in one of the mapped
NHSN Operative Procedure Categories are not considered an NHSN surgical site infection, although it may be a healthcare-associated infection.

Definition of an NHSN Operative Procedure

An NHSN Operative Procedure is a procedure:

- that is included in the ICD-10-PCS or CPT NHSN operative procedure code mapping
- takes place during an operation where at least one incision (including laparoscopic approach and cranial Burr holes) is made through the skin or mucous membrane, or reoperation via an incision that was left open during a prior operative procedure
- takes place in an operating room (OR), defined as a patient care area that met the Facilities Guidelines Institute’s (FGI) or American Institute of Architects’ (AIA) criteria for an operating room when it was constructed or renovated. This may include an operating room, C-section room, interventional radiology room, or a cardiac catheterization lab.

Exclusions: Otherwise eligible procedures that are assigned an ASA score of 6 are not eligible for NHSN SSI surveillance.

Note: Incisional closure method is NOT a part of the NHSN operative procedure definition; all otherwise eligible procedures are included, regardless of closure type. Therefore both primarily closed procedures and those that are not closed primarily should be entered into the denominator data for procedures in the facility’s monthly reporting plan. Any SSIs attributable to either primarily closed or non-primarily closed procedures should be reported.

NHSN Operative Procedure Category Mappings to ICD-10-PCS and CPT Codes:

ICD-10-PCS and CPT Code mappings to NHSN operative procedures categories can be found in the “Supporting Materials” section of the SSI Protocol on the NHSN website.

Denominator for Procedure Definitions:

ASA physical status: Assessment by the anesthesiologist of the patient’s preoperative physical condition using the American Society of Anesthesiologists’ (ASA) Classification of Physical Status. Patient is assigned one of the following:

1. A normally healthy patient
2. A patient with mild systemic disease
3. A patient with severe systemic disease
4. A patient with severe systemic disease that is a constant threat to life
5. A moribund patient who is not expected to survive without the operation.
**Note:** Do NOT report procedures with an ASA physical status of 6 (a declared brain-dead patient whose organs are being removed for donor purposes) to NHSN.

**Date of event (DOE):** For an SSI, the date of event is the date when the first element used to meet the SSI infection criterion occurs for the first time during the SSI surveillance period. The date of event must fall within the SSI surveillance period to meet SSI criteria. The type of SSI (superficial incisional, deep incisional, or organ/space) reported should reflect the deepest tissue layer involved in the infection during the surveillance period. The date of event should be the date that the patient met criteria for the deepest level of infection. Synonym: infection date.

All symptoms required to meet an SSI criteria usually occur within a 7-10 day timeframe with no more than 2-3 days between elements. The elements must be relational to each other, meaning you should ensure the elements all associate to the SSI and this can only happen if elements occur in a relatively tight timeframe. Each case differs based on the individual elements occurring and the type of SSI.

**Diabetes:** The NHSN SSI surveillance definition of diabetes indicates that the patient has a diagnosis of diabetes requiring management with insulin or a non-insulin anti-diabetic agent. This includes patients with “insulin resistance” who are on management with anti-diabetic agents. This also includes patients with a diagnosis of diabetes who are noncompliant with their diabetes medications.

The ICD-10-CM diagnosis codes that reflect the diagnosis of diabetes are also acceptable for use to answer YES to the diabetes field question on the denominator for procedure entry if they are documented during the admission where the procedure is performed. These codes are found on the NHSN website in the SSI section under “Supporting Materials”. The NHSN definition excludes patients with no diagnosis of diabetes. The definition also excludes patients who receive insulin for perioperative control of hyperglycemia but have no diagnosis of diabetes.

**Duration of operative procedure:** The interval in hours and minutes between the Procedure/Surgery Start Time, and the Procedure/Surgery Finish Time, as defined by the Association of Anesthesia Clinical Directors (AACD):  
- Procedure/Surgery Start Time (PST): Time when the procedure is begun (for example, incision for a surgical procedure).  
- Procedure/Surgery Finish (PF): Time when all instrument and sponge counts are completed and verified as correct, all postoperative radiologic studies to be done in the OR are completed, all dressings and drains are secured, and the physicians/surgeons have completed all procedure-related activities on the patient.

**Emergency operative procedure:** A procedure that is documented per the facilities protocol to be an Emergency or Urgent procedure.
**General anesthesia:** The administration of drugs or gases that enter the general circulation and affect the central nervous system to render the patient pain free, amnesic, unconscious, and often paralyzed with relaxed muscles. This does not include conscious sedation.

**Height:** The patient’s most recent height documented in the medical record in feet (ft.) and inches (in.), or meters (m).

**NHSN Inpatient Operative Procedure:** An NHSN operative procedure performed on a patient whose date of admission to the healthcare facility and the date of discharge are different calendar days.

**NHSN Outpatient Operative Procedure:** An NHSN operative procedure performed on a patient whose date of admission to the healthcare facility and date of discharge are the same calendar day.

**Non-primary Closure** is defined as closure of the surgical wound in a way which leaves the skin level completely open following the surgery. Closure of any portion of the skin represents primary closure (see below). For surgeries with non-primary closure, the deep tissue layers may be closed by some means (with the skin level left open), or the deep and superficial layers may both be left completely open. An example of a surgery with non-primary closure would be a laparotomy in which the incision was closed to the level of the deep tissue layers, sometimes called “fascial layers” or “deep fascia,” but the skin level was left open. Another example would be an “open abdomen” case in which the abdomen is left completely open after the surgery. Wounds with non-primary closure may or may not be described as "packed" with gauze or other material, and may or may not be covered with plastic, “wound vacs,” or other synthetic devices or materials.

**Primary Closure** is defined as closure of the skin level during the original surgery, regardless of the presence of wires, wicks, drains, or other devices or objects extruding through the incision. This category includes surgeries where the skin is closed by some means. Thus, if any portion of the incision is closed at the skin level, by any manner, a designation of primary closure should be assigned to the surgery.

**Note:** If a procedure has multiple incision/laparoscopic trocar sites and any of the incisions are closed primarily then the procedure technique is recorded as primary closed.

**Scope:** An instrument used to visualize the interior of a body cavity or organ. In the context of an NHSN operative procedure, use of a scope involves creation of several small incisions to perform or assist in the performance of an operation rather than use of a traditional larger incision (specifically, open approach). Robotic assistance is considered equivalent to use of a scope for NHSN SSI surveillance. See also Instructions for Completion of Denominator for Procedure Form and both Numerator Data and Denominator Data reporting instructions in this chapter.
ICD-10-PCS codes can be helpful in answering this scope question. The fifth character indicates the approach to reach the procedure site. A value of zero (0) as the fifth character represents an open approach and a value of four (4) as the fifth character represents a percutaneous endoscopic approach. If the fifth character of the ICD-10-PCS code is a four (4) then the field for scope can be YES.

Secondary BSI Attribution Period for SSI: The secondary BSI attribution period for SSI is a 17-day period that includes the date of event, 3 days prior, and 13 days after. For detailed instructions on determining whether identification of an organisms from a blood specimen represents a secondary BSI, refer to the Secondary BSI Guide (Appendix B of the BSI Event Protocol).

Trauma: Blunt or penetrating injury occurring prior to the start of the procedure. 
Note: Complex trauma cases may require multiple trips to the OR during the same admission to repair the initial trauma. In such cases, trauma = yes.

Weight: The patient’s most recent weight documented in the medical record in pounds (lbs.) or kilograms (kg) prior to or otherwise closest to the procedure.

Wound class: An assessment of the degree of contamination of a surgical wound at the time of the operation. Wound class should be assigned by a person involved in the surgical procedure (for example, surgeon, circulating nurse, etc.). The wound class system used in NHSN is an adaptation of the American College of Surgeons wound classification schema.

Based on feedback from external experts in the field of surgery, there are a group of NHSN procedures that can never be recorded as clean. These surgical procedure categories are APPY, BILI, CHOL, COLO, REC, SB, and VHYS. Therefore, for these procedures in the application clean is not an option on the drop down menu.

All other surgical procedure categories can be entered as clean procedures within the NHSN application. For example CSEC, HYST, or OVRY can be a clean wound class if documented as such.

Wounds are divided into four classes:

1. **Clean:** An uninfected operative wound in which no inflammation is encountered and the respiratory, alimentary, genital, or uninfected urinary tracts are not entered. In addition, clean wounds are primarily closed and, if necessary, drained with closed drainage. Operative incisional wounds that follow nonpenetrating (blunt) trauma should be included in this category if they meet the criteria.

   **Note:** The clean wound classification level will not be available for denominator data entry for the following NHSN operative procedure categories: APPY, BILI, CHOL, COLO, REC, SB, and VHYS
2. **Clean-Contaminated:** Operative wounds in which the respiratory, alimentary, genital, or urinary tracts are entered under controlled conditions and without unusual contamination. Specifically, operations involving the biliary tract, appendix, vagina, and oropharynx are included in this category, provided no evidence of infection or major break in technique is encountered.

3. **Contaminated:** Open, fresh, accidental wounds. In addition, operations with major breaks in sterile technique (for example, open cardiac massage) or gross spillage from the gastrointestinal tract, and incisions in which acute, nonpurulent inflammation is encountered, including necrotic tissue without evidence of purulent drainage (for example, dry gangrene), are included in this category.

4. **Dirty or Infected:** Includes old traumatic wounds with retained devitalized tissue and those that involve existing clinical infection or perforated viscera. This definition suggests that the organisms causing postoperative infection were present in the operative field before the operation.
Table 1. Surgical Site Infection Criteria

<table>
<thead>
<tr>
<th>Criterion</th>
<th>Surgical Site Infection (SSI)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Superficial incisional SSI</strong>&lt;br&gt;Must meet the following criteria:</td>
<td></td>
</tr>
<tr>
<td>Date of event for infection occurs within 30 days after any NHSN operative procedure (where day 1 = the procedure date)</td>
<td>AND</td>
</tr>
<tr>
<td>involves only skin and subcutaneous tissue of the incision</td>
<td>AND</td>
</tr>
<tr>
<td>patient has at least one of the following:</td>
<td></td>
</tr>
<tr>
<td>a. purulent drainage from the superficial incision.</td>
<td></td>
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<tr>
<td>b. organisms identified from an aseptically-obtained specimen from the superficial incision or subcutaneous tissue by a culture or non-culture based microbiologic testing method which is performed for purposes of clinical diagnosis or treatment (for example, not Active Surveillance Culture/Testing (ASC/AST)).</td>
<td></td>
</tr>
<tr>
<td>c. superficial incision that is deliberately opened by a surgeon, attending physician** or other designee and culture or non-culture based testing is not performed.</td>
<td>AND</td>
</tr>
<tr>
<td>patient has at least one of the following signs or symptoms: pain or tenderness; localized swelling; erythema; or heat.</td>
<td></td>
</tr>
<tr>
<td>d. diagnosis of a superficial incisional SSI by the surgeon or attending physician** or other designee.</td>
<td></td>
</tr>
</tbody>
</table>


** The term attending physician for the purposes of application of the NHSN SSI criteria may be interpreted to mean the surgeon(s), infectious disease, other physician on the case, emergency physician or physician’s designee (nurse practitioner or physician’s assistant).
## Comments

There are two specific types of superficial incisional SSIs:

1. **Superficial Incisional Primary (SIP)** – a superficial incisional SSI that is identified in the primary incision in a patient that has had an operation with one or more incisions (for example, C-section incision or chest incision for CBGB)

2. **Superficial Incisional Secondary (SIS)** – a superficial incisional SSI that is identified in the secondary incision in a patient that has had an operation with more than one incision (for example, donor site incision for CBGB)

## Reporting Instructions for Superficial SSI

The following do not qualify as criteria for meeting the NHSN definition of superficial SSI:

- Diagnosis/treatment of cellulitis (redness/warmth/swelling), by itself, does not meet criterion “d” for superficial incisional SSI. Conversely, an incision that is draining or that has organisms identified by culture or non-culture based testing is not considered a cellulitis.
- A stitch abscess alone (minimal inflammation and discharge confined to the points of suture penetration).
- A localized stab wound or pin site infection - Such an infection might be considered either a skin (SKIN) or soft tissue (ST) infection, depending on its depth, but not an SSI
  
  **Note:** A laparoscopic trocar site for an NHSN operative procedure is not considered a stab wound.

- Circumcision is not an NHSN operative procedure. An infected circumcision site in newborns is classified as CIRC and is not an SSI

- An infected burn wound is classified as BURN and is not an SSI.
Deep incisional SSI
Must meet the following criteria:
The date of event for infection occurs within 30 or 90 days after the NHSN operative procedure (where day 1 = the procedure date) according to the list in Table 2
AND involves deep soft tissues of the incision (for example, fascial and muscle layers)
AND patient has at least one of the following:
   a. purulent drainage from the deep incision.
   b. a deep incision that spontaneously dehisces, or is deliberately opened or aspirated by a surgeon, attending physician** or other designee
      AND organism is identified by a culture or non-culture based microbiologic testing method which is performed for purposes of clinical diagnosis or treatment (for example, not Active Surveillance Culture/Testing (ASC/AST) or culture or non-culture based microbiologic testing method is not performed
      AND patient has at least one of the following signs or symptoms: fever (>38°C); localized pain or tenderness. A culture or non-culture based test that has a negative finding does not meet this criterion.
   c. an abscess or other evidence of infection involving the deep incision that is detected on gross anatomical or histopathologic exam, or imaging test.

** The term attending physician for the purposes of application of the NHSN SSI criteria may be interpreted to mean the surgeon(s), infectious disease, other physician on the case, emergency physician or physician’s designee (nurse practitioner or physician’s assistant).

<table>
<thead>
<tr>
<th>Comments</th>
<th>There are two specific types of deep incisional SSIs:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1. Deep Incisional Primary (DIP) – a deep incisional SSI that is identified in a primary incision in a patient that has had an operation with one or more incisions (for example, C-section incision or chest incision for CBGB)</td>
</tr>
<tr>
<td></td>
<td>2. Deep Incisional Secondary (DIS) – a deep incisional SSI that is identified in the secondary incision in a patient that has had an operation with more than one incision (for example, donor site incision for CBGB)</td>
</tr>
</tbody>
</table>
**Organ/Space SSI**

Must meet the following criteria:

Date of event for infection occurs within 30 or 90 days after the NHSN operative procedure (where day 1 = the procedure date) according to the list in **Table 2**

AND

infection involves any part of the body deeper than the fascial/muscle layers, that is opened or manipulated during the operative procedure

AND

patient has at least one of the following:

a. purulent drainage from a drain that is placed into the organ/space (for example, closed suction drainage system, open drain, T-tube drain, CT guided drainage)

b. organisms are identified from fluid or tissue in the organ/space by a culture or non-culture based microbiologic testing method which is performed for purposes of clinical diagnosis or treatment (for example, not Active Surveillance Culture/Testing (ASC/AST)).

c. an abscess or other evidence of infection involving the organ/space that is detected on gross anatomical or histopathologic exam, or imaging test evidence suggestive of infection.

AND

meets at least one criterion for a specific organ/space infection site listed in **Table 3**. These criteria are found in the **Surveillance Definitions for Specific Types of Infections chapter**.
Table 2. Surveillance Periods for SSI Following Selected NHSN Operative Procedure Categories. Day 1 = the date of the procedure.

### 30-day Surveillance

<table>
<thead>
<tr>
<th>Code</th>
<th>Operative Procedure</th>
<th>Code</th>
<th>Operative Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>AAA</td>
<td>Abdominal aortic aneurysm repair</td>
<td>LAM</td>
<td>Laminectomy</td>
</tr>
<tr>
<td>AMP</td>
<td>Limb amputation</td>
<td>LTP</td>
<td>Liver transplant</td>
</tr>
<tr>
<td>APPY</td>
<td>Appendix surgery</td>
<td>NECK</td>
<td>Neck surgery</td>
</tr>
<tr>
<td>AVSD</td>
<td>Shunt for dialysis</td>
<td>NEPH</td>
<td>Kidney surgery</td>
</tr>
<tr>
<td>BILI</td>
<td>Bile duct, liver or pancreatic surgery</td>
<td>OVRY</td>
<td>Ovarian surgery</td>
</tr>
<tr>
<td>CEA</td>
<td>Carotid endarterectomy</td>
<td>PRST</td>
<td>Prostate surgery</td>
</tr>
<tr>
<td>CHOL</td>
<td>Gallbladder surgery</td>
<td>REC</td>
<td>Rectal surgery</td>
</tr>
<tr>
<td>COLO</td>
<td>Colon surgery</td>
<td>SB</td>
<td>Small bowel surgery</td>
</tr>
<tr>
<td>CSEC</td>
<td>Cesarean section</td>
<td>SPLE</td>
<td>Spleen surgery</td>
</tr>
<tr>
<td>GAST</td>
<td>Gastric surgery</td>
<td>THOR</td>
<td>Thoracic surgery</td>
</tr>
<tr>
<td>HTP</td>
<td>Heart transplant</td>
<td>THYR</td>
<td>Thyroid and/or parathyroid surgery</td>
</tr>
<tr>
<td>HYST</td>
<td>Abdominal hysterectomy</td>
<td>VHYS</td>
<td>Vaginal hysterectomy</td>
</tr>
<tr>
<td>KTP</td>
<td>Kidney transplant</td>
<td>XLAP</td>
<td>Exploratory Laparotomy</td>
</tr>
</tbody>
</table>

### 90-day Surveillance

<table>
<thead>
<tr>
<th>Code</th>
<th>Operative Procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>BRST</td>
<td>Breast surgery</td>
</tr>
<tr>
<td>CARD</td>
<td>Cardiac surgery</td>
</tr>
<tr>
<td>CBGB</td>
<td>Coronary artery bypass graft with both chest and donor site incisions</td>
</tr>
<tr>
<td>CBGC</td>
<td>Coronary artery bypass graft with chest incision only</td>
</tr>
<tr>
<td>CRAN</td>
<td>Craniotomy</td>
</tr>
<tr>
<td>FUSN</td>
<td>Spinal fusion</td>
</tr>
<tr>
<td>FX</td>
<td>Open reduction of fracture</td>
</tr>
<tr>
<td>HER</td>
<td>Herniorrhaphy</td>
</tr>
<tr>
<td>HPRO</td>
<td>Hip prosthesis</td>
</tr>
<tr>
<td>KPRO</td>
<td>Knee prosthesis</td>
</tr>
<tr>
<td>PACE</td>
<td>Pacemaker surgery</td>
</tr>
<tr>
<td>PVBY</td>
<td>Peripheral vascular bypass surgery</td>
</tr>
<tr>
<td>VSHN</td>
<td>Ventricular shunt</td>
</tr>
</tbody>
</table>

**Note:** Superficial incisional SSIs are only followed for a 30-day period for all procedure types. Secondary incisional SSIs are only followed for a 30-day period regardless of the surveillance period for the primary site.
Table 3. Specific Sites of an Organ/Space SSI.

<table>
<thead>
<tr>
<th>Code</th>
<th>Site</th>
<th>Code</th>
<th>Site</th>
</tr>
</thead>
<tbody>
<tr>
<td>BONE</td>
<td>Osteomyelitis</td>
<td>MED</td>
<td>Mediastinitis</td>
</tr>
<tr>
<td>BRST</td>
<td>Breast abscess or mastitis</td>
<td>MEN</td>
<td>Meningitis or ventriculitis</td>
</tr>
<tr>
<td>CARD</td>
<td>Myocarditis or pericarditis</td>
<td>ORAL</td>
<td>Oral cavity (mouth, tongue, or gums)</td>
</tr>
<tr>
<td>DISC</td>
<td>Disc space</td>
<td>OREP</td>
<td>Other infections of the male or female reproductive tract</td>
</tr>
<tr>
<td>EAR</td>
<td>Ear, mastoid</td>
<td>PJI</td>
<td>Periprosthetic Joint Infection</td>
</tr>
<tr>
<td>EMET</td>
<td>Endometritis</td>
<td>SA</td>
<td>Spinal abscess without meningitis</td>
</tr>
<tr>
<td>ENDO</td>
<td>Endocarditis</td>
<td>SINU</td>
<td>Sinusitis</td>
</tr>
<tr>
<td>GIT</td>
<td>GI tract</td>
<td>UR</td>
<td>Upper respiratory tract</td>
</tr>
<tr>
<td>IAB</td>
<td>Intraabdominal, not specified</td>
<td>USI</td>
<td>Urinary System Infection</td>
</tr>
<tr>
<td>IC</td>
<td>Intracranial, brain abscess or dura</td>
<td>VASC</td>
<td>Arterial or venous infection</td>
</tr>
<tr>
<td>JNT</td>
<td>Joint or Bursa</td>
<td>VCFU</td>
<td>Vaginal cuff</td>
</tr>
<tr>
<td>LUNG</td>
<td>Other infections of the lower respiratory tract</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

(Criteria for these sites can be found in the Surveillance Definitions for Specific Types of Infections chapter).

Note: Appendix contains a list of all NHSN operative procedure groups and the site specific SSIs that that may be attributable for each group.

Numerator Data: All patients having any of the procedures included in the selected NHSN operative procedure category(s) are monitored for SSI. The Surgical Site Infection (SSI) form is completed for each SSI. If no SSI events are identified during the surveillance month, check the “Report No Events” field in the Missing PA Events tab of the Incomplete/Missing List.

The Instructions for Completion of the Surgical Site Infection form include brief instructions for collection and entry of each data element on the form. The SSI form includes patient demographic information and information about the operative procedure, including the date and type of procedure. Information about the SSI includes the date of SSI, specific criteria met for identifying the SSI, when/how the SSI was detected, whether the patient developed a secondary bloodstream infection, whether the patient died, the organism(s) identified and the organisms’ antimicrobial susceptibilities.
Procedure-associated Module
SSI

SSI Event Reporting Instructions:

1. **Excluded organisms:** Well-known community associated organisms (organisms belonging to the following genera: *Blastomyces, Histoplasma, Coccidioides, Paracoccidioides, Cryptococcus and Pneumocystis*) and/or organisms associated with latent infections (for example, herpes zoster [shingles], herpes simplex, syphilis, or tuberculosis) are excluded from meeting SSI criteria.

2. **Attributing SSI to an NHSN procedure when there is evidence of infection at the time of the primary surgery:** The Present on Admission (POA) definition does not apply to the SSI protocol. If evidence of infection is present at the time of the procedure and the patient meets the NHSN SSI criteria during the SSI surveillance period, an SSI is attributed to the procedure (see PATOS below). A high wound class is not an exclusion for a patient later meeting criteria for an SSI, but in most cases is included as a risk factor for SSI in risk modeling.

3. **Infection present at time of surgery (PATOS):** PATOS denotes that there is evidence of an infection or abscess at the start of or during the index surgical procedure (in other words, it is present preoperatively). PATOS is a YES/NO field on the SSI Event form. The evidence of infection or abscess must be noted/documentated intraoperatively in an operative note or report of surgery. Only select PATOS = YES if it applies to the depth of SSI that is being attributed to the procedures (for example, if a patient has evidence of an intraabdominal infection at the time of surgery and then later returns with an organ/space SSI the PATOS field would be selected as a YES. If the patient returned with a superficial or deep incisional SSI the PATOS field would be selected as a NO). The patient does not have to meet the NHSN definition of an SSI at the time of the primary procedure but there must be notation that there is evidence of an infection or abscess present at the time of surgery. PATOS is not necessarily diagnosis driven.
   
   - The use of the ending “itis” in an operative note/report does not necessarily meet PATOS, as it may only reflect inflammation which is not infectious in nature (for example, diverticulitis, peritonitis, and appendicitis).
   - Identification of an organism alone using culture or non-culture based microbiologic testing method or on a pathology report from a surgical specimen does not = PATOS (specifically, a positive culture/path report without surgical documentation of infection is not PATOS = yes).
   - The following verbiage alone without specific mention of infection does not meet the PATOS definition: colon perforation, necrosis, gangrene, fecal spillage, nicked bowel during procedure, or a note of inflammation.
   - Fresh trauma resulting in a contaminated case does not necessarily meet the PATOS requirement. For example, a fresh gunshot wound to the abdomen will be a trauma case with a high wound class but there would not have been time for infection to develop.
• PATOS can be met when an abscess is noted, there is mention of infection in the OR note, purulence or pus is noted, or “feculent peritonitis” is noted, etc. An infected appendix that has ruptured will meet PATOS =Yes, if the patient has a subsequent intraabdominal organ space SSI.

Example:

1. Patient admitted with an acute abdomen. Sent to OR for an XLAP where there is a finding of an abscess due to ruptured appendix and an APPY is performed. Patient returns two weeks later and meets criteria for an organ/space IAB SSI. The PATOS field would be selected as YES on the SSI event since an abscess was noted at the time of surgery in the same level as the subsequent SSI.

2. Patient is admitted with a ruptured diverticulum. In the OR note the surgeon documents that there are multiple abscesses in the intraabdominal cavity. Patient returns three weeks later and meets criteria for a superficial SSI. The PATOS field would be selected as NO since there was no documentation of evidence of infection or abscess of the superficial area at the time of the procedure.

3. During an unplanned cesarean section (CSEC) the surgeon nicks the bowel and there is contamination of the intraabdominal cavity. One week later the patient returns and meets criteria for an organ/space OREP (other reproductive) SSI. The PATOS field would be selected as NO since there was no documentation of evidence of infection or abscess at the time of the CSEC. The colon nick was a complication but there was no infection present at the time of surgery.

4. Patient undergoes a foot amputation (AMP) due to “dry-gangrene” of the foot from chronic ischemia. There is no evidence of infection at the time of surgery. The word gangrene is not sufficient to qualify for infection. The patient returns two weeks later and has a superficial SSI. The PATOS field would be selected as NO since there was no documentation of evidence of infection or abscess at the time of AMP-amputation

Note: For more information about PATOS see: PATOS-Infection

4. Multiple tissue levels are involved in the infection: The type of SSI (superficial incisional, deep incisional, or organ/space) reported should reflect the deepest tissue layer involved in the infection during the surveillance period. The date of event should be the date that the patient met criteria for the deepest level of infection:
   • Report infection that involves the organ/space as an organ/space SSI, whether or not it also involves the superficial or deep incision sites.
   • Report infection that involves the superficial and deep incisional sites as a deep incisional SSI.
• If an SSI started as a deep incisional SSI on day 10 of the SSI surveillance period and then a week later, (day 17 of the SSI surveillance period) meets criteria for an organ space SSI the date of event would be the date of the organ space SSI.

5. Reporting of SSI after a non-primary closure: If a patient develops an SSI after a non-primary closure it should be attributed to that procedure if it meets criteria for an SSI within the appropriate surveillance period.

6. Attributing SSI to a NHSN procedure when several are performed on different dates: If a patient has several NHSN operative procedures performed on different dates prior to an infection, attribute the SSI to the operative procedure that was performed most closely in time prior to the infection date, unless there is evidence that the infection was associated with a different operation.

Note: For multiple NHSN operative procedures performed within a 24 hour period, see Denominator Reporting Instruction #9.

7. Attributing SSI to NHSN procedures that involve multiple primary incision sites: If multiple primary incision sites of the same NHSN operative procedure become infected, only report as a single SSI, and assign the type of SSI (superficial incisional, deep incisional, or organ/space) that represents the deepest tissue level involved at any of the infected sites. For example:
   • If one laparoscopic incision meets criteria for a superficial incisional SSI and another meets criteria for a deep incisional SSI, only report one deep incisional SSI.
   • If one or more laparoscopic incision sites meet criteria for superficial incisional SSI but the patient also has an organ/space SSI related to the laparoscopic procedure, only report one organ/space SSI.
   • If an operative procedure is limited to a single breast and involves multiple incisions in that breast that become infected, only report a single SSI.
   • In a colostomy formation or reversal (take down) procedure, the stoma and other abdominal incision sites are considered primary incisions. If both the stoma and another abdominal incision site develop superficial incisional SSI, report only as one SSI (SIP).

8. Attributing SSI to NHSN procedures that have secondary incision sites: Certain procedures can involve secondary incisions (specifically the following, BRST, CBGB, CEA, FUSN, PVBY, REC, and VSHN). The surveillance period for all secondary sites is 30 days, regardless of the required deep incisional or organ/space SSI surveillance period for the primary incision site(s) (Table 2). Procedures meeting this designation are reported as only one operative procedure. For example:
   • A saphenous vein harvest incision site in a CBGB procedure is considered the secondary incision. One CBGB procedure is reported, the saphenous vein
harvest site is monitored for 30 days after surgery for SSI, and the chest incision is monitored for 90 days. If the patient has a superficial infection of the leg site and a deep incisional SSI of the chest site two SSIs are reported.

- A tissue harvest site (for example, Transverse Rectus Abdominis Myocutaneous [TRAM] flap) in a BRST procedure is considered the secondary incision site. One BRST procedure is reported, and if the secondary incision gets infected, report as either SIS or DIS as appropriate.

9. **SSI detected at another facility:** It is required that if an SSI is detected at a facility other than the one in which the operation was performed, the IP of the index facility will be provided with enough detail so the infection can be reported to NHSN. When reporting the SSI, the index facility should indicate that Detected = RO – (Readmission to facility other than where procedure was performed).

10. **SSI Attribution after Multiple types of NHSN procedures are performed during a single trip to the OR:** If more than one NHSN operative procedure category was performed through a single incision/laparoscopic site during a single trip to the operating room, attribute the SSI to the procedure that is thought to be associated with the infection. If it is not clear, as is often the case when the infection is an incisional SSI, use the NHSN Principal Operative Procedure Category Selection Lists (Table 4) to select the operative procedure to which the SSI should be attributed. For example, if a patient develops SSI after a single trip to the OR in which both a COLO and SB were performed, and the source of the SSI is not apparent, assign the SSI to the COLO procedure.

11. **SSI following invasive manipulation/accession of the operative site:** An SSI will not be attributed if the following 3 criteria are ALL met:

- during the post-operative period the surgical site is without evidence of infection and,
- an invasive manipulation/accession of the site is performed for diagnostic or therapeutic purposes (for example, needle aspiration, accession of ventricular shunts, accession of breast expanders) and,
- an infection subsequently develops in a tissue level which was entered during the manipulation/accession.

Tissue levels that are BELOW the deepest entered level will be eligible for SSI. For example, a superficial debridement following a COLO procedure, where the muscle/fascia and organ/space was not entered, a subsequent organ/space SSI following the debridment may be an SSI attributable to the index COLO procedure. This reporting instruction does NOT apply to closed manipulation (for example, closed reduction of a dislocated hip after an orthopedic procedure). Invasive manipulation does not include wound packing, or changing of wound packing materials as part of postoperative care.
12. **Reporting instructions for post-operative infection scenarios:** An SSI that otherwise meets the NHSN definitions should be reported to NHSN without regard to post-operative accidents, falls, inappropriate showering or bathing practices, or other occurrences that may or may not be attributable to patients’ intentional or unintentional postoperative actions. SSI should also be reported regardless of the presence of certain skin conditions (for example, dermatitis, blister, impetigo) that occur near an incision, and regardless of the possible occurrence of a “seeding” event from an unrelated procedure (for example, dental work). This instruction concerning various postoperative circumstances is necessary to reduce subjectivity and data collection burden.
Table 4. NHSN Principal Operative Procedure Category Selection Lists
(The categories with the highest risk of SSI are listed before those with lower risks).

<table>
<thead>
<tr>
<th>Priority</th>
<th>Code</th>
<th>Abdominal Operations</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>LTP</td>
<td>Liver transplant</td>
</tr>
<tr>
<td>2</td>
<td>COLO</td>
<td>Colon surgery</td>
</tr>
<tr>
<td>3</td>
<td>BILI</td>
<td>Bile duct, liver or pancreatic surgery</td>
</tr>
<tr>
<td>4</td>
<td>SB</td>
<td>Small bowel surgery</td>
</tr>
<tr>
<td>5</td>
<td>REC</td>
<td>Rectal surgery</td>
</tr>
<tr>
<td>6</td>
<td>KTP</td>
<td>Kidney transplant</td>
</tr>
<tr>
<td>7</td>
<td>GAST</td>
<td>Gastric surgery</td>
</tr>
<tr>
<td>8</td>
<td>AAA</td>
<td>Abdominal aortic aneurysm repair</td>
</tr>
<tr>
<td>9</td>
<td>HYST</td>
<td>Abdominal hysterectomy</td>
</tr>
<tr>
<td>10</td>
<td>CSEC</td>
<td>Cesarean section</td>
</tr>
<tr>
<td>11</td>
<td>XLAP</td>
<td>Laparotomy</td>
</tr>
<tr>
<td>12</td>
<td>APPY</td>
<td>Appendix surgery</td>
</tr>
<tr>
<td>13</td>
<td>HER</td>
<td>Herniorrhaphy</td>
</tr>
<tr>
<td>14</td>
<td>NEPH</td>
<td>Kidney surgery</td>
</tr>
<tr>
<td>15</td>
<td>VHYS</td>
<td>Vaginal Hysterectomy</td>
</tr>
<tr>
<td>16</td>
<td>SPLE</td>
<td>Spleen surgery</td>
</tr>
<tr>
<td>17</td>
<td>CHOL</td>
<td>Gall bladder surgery</td>
</tr>
<tr>
<td>18</td>
<td>OVRY</td>
<td>Ovarian surgery</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Priority</th>
<th>Code</th>
<th>Thoracic Operations</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>HTP</td>
<td>Heart transplant</td>
</tr>
<tr>
<td>2</td>
<td>CBGB</td>
<td>Coronary artery bypass graft with donor incision(s)</td>
</tr>
<tr>
<td>3</td>
<td>CBGC</td>
<td>Coronary artery bypass graft, chest incision only</td>
</tr>
<tr>
<td>4</td>
<td>CARD</td>
<td>Cardiac surgery</td>
</tr>
<tr>
<td>5</td>
<td>THOR</td>
<td>Thoracic surgery</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Priority</th>
<th>Code</th>
<th>Neurosurgical (Brain/Spine) Operations</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>VSHN</td>
<td>Ventricular shunt</td>
</tr>
<tr>
<td>2</td>
<td>CRAN</td>
<td>Craniotomy</td>
</tr>
<tr>
<td>3</td>
<td>FUSN</td>
<td>Spinal fusion</td>
</tr>
<tr>
<td>4</td>
<td>LAM</td>
<td>Laminectomy</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Priority</th>
<th>Code</th>
<th>Neck Operations</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>NECK</td>
<td>Neck surgery</td>
</tr>
<tr>
<td>2</td>
<td>THYR</td>
<td>Thyroid and or parathyroid surgery</td>
</tr>
</tbody>
</table>

**Denominator Data:** For all patients having any of the procedures included in the NHSN Operative Procedure category(s) for which SSI surveillance is being performed during the month, complete the [Denominator for Procedure form](#). The data are collected individually for each operative procedure performed during the month specified on the [Patient Safety Monthly Reporting Plan](#). The [Instructions for Completion of the Denominator for Procedure Form](#) include brief instructions for collection and entry of each data element on the form.
Denominator Reporting Instructions:

1. **Closure type:** Incisional closure type does not exclude a procedure from SSI surveillance. All otherwise eligible procedures are included in the denominator reporting, regardless of closure type. The closure technique is entered for each denominator for procedure. If a procedure has multiple incision sites and any of the incisions are closed primarily then the procedure is entered as a primary closure.

   **Note:** If a patient returns to the OR within 24 hours of the end of the first procedure, assign the surgical wound closure that applies when the patient leaves the OR from the first operative procedure.

2. **Wound class:** A high wound class is not an exclusion for denominator reporting. If the procedure meets the definition of an NHSN operative procedure it should be reported in the denominator data regardless of wound class. NHSN will use the wound class for risk adjustment, as appropriate.

3. **Different operative procedure categories performed during same trip to the OR:** If procedures in more than one NHSN operative procedure category are performed during the same trip to the operating room through the same or different incisions, a Denominator for Procedure form is reported for each NHSN operative procedure category being monitored. For example, if a CARD and CBGC are done through the same incision, a Denominator for Procedure form is reported for each. In another example, if following a motor vehicle accident, a patient has an open reduction of fracture (FX) and splenectomy (SPLE) performed during the same trip to the operating room and both procedure categories are being monitored, complete a Denominator for Procedure form for each.

   **EXCEPTION:** If a patient has both a CBGC and CBGB during the same trip to the operating room, report only as a CBGB. Only report as a CBGC if there is only a chest incision. CBGB and CBGC are never reported for the same patient for the same trip to the operating room.

4. **Duration of the procedure when more than one category of NHSN operative procedure is performed through the same incision:** If more than one NHSN operative procedure category is performed through the same incision during the same trip to the operating room, record the combined duration of all procedures, which is the time from procedure/surgery start time to procedure/surgery finish time. For example, if a CBGC and a CARD are performed on a patient during the same trip to the operating room, the time from start time to finish time is reported for both operative procedures.

5. **Duration of Operative procedures if patient has two different NHSN operative procedures performed via separate incisions on the same trip to the OR:** Try to determine the correct duration for each separate procedure (if this is documented), otherwise, take the time for both procedures and split it evenly between the two.
6. **Same operative procedure category but different ICD-10-PCS or CPT codes during same trip to the OR:** If procedures of different ICD-10-PCS or CPT codes from the same NHSN operative procedure category are performed through the same incision/laparoscopic sites, record only one procedure for that category. For example, a facility is performing surveillance for CARD procedures. A patient undergoes a replacement of both the mitral and tricuspid valves during the same trip to the operating room. Complete one CARD *Denominator for Procedure* form because both procedures are in the same operative procedure category [CARD].

7. **For revision HPRO and KPRO procedures:** If total or partial revision HPRO or KPRO is performed, determine if any of the ICD-10-PCS/CM diagnosis or procedure codes indicating infection (see link below) were coded for that joint in the 90 days prior to and including the index HPRO or KPRO revision. If any of the specified codes are recorded, indicate on the denominator form that the revision was associated with 'prior infection at index joint' = YES. Note that the ‘prior infection at index joint’ variable only applies to revision HPRO and KPRO. The cases designated ‘prior infection at index joint’ = yes should be validated before the procedure is submitted to NHSN. This validation is necessary to ensure the code is aligned with the index joint revision. The ICD-10-PCS/CM code mapping guidance is found on the NHSN website in the SSI section under “Supporting Materials.”

8. **Same NHSN operative procedure via separate incisions:** For operative procedures that can be performed via separate incisions during same trip to operating room (specifically the following, AMP, BRST, CEA, FUSN, FX, HER, HPRO, KPRO, LAM, NEPH, OVRY, PVBY), separate *Denominator for Procedure* forms are completed. To document the duration of the procedures, indicate the procedure/surgery start time to procedure/surgery finish time for each procedure separately or, alternatively, take the total time for the procedures and split it evenly between procedures.

**Notes:**
- A COLO procedure with a colostomy formation is entered as one COLO procedure.
- Laparoscopic hernia repairs are considered one procedure, regardless of the number of hernias that are repaired in that trip to the OR. In most cases there will be only one incision time documented for this procedure. If more than one time is documented, total the durations. Open (specifically, non-laparoscopic) hernia repairs are reported as one procedure for each hernia repaired via a separate incision, (specifically, if two incisions are made to repair two defects), then two procedures will be reported. It is anticipated that separate incision times will be recorded for these procedures. If not, take the total time for both procedures and split it evenly between the two.

9. **More than one operative procedure through same incision within 24 hours:** If a patient goes to the operating room more than once during the same admission and another procedure is performed through the same incision and if the start time of the second procedure is within 24 hours of the finish time of the original operative incision, report
only one *Denominator for Procedure* form for the original procedure, combining the durations for both procedures based on the procedure start times and finish times for both procedures. For example, a patient has a CBGB lasting 4 hours. He returns to the OR six hours later for another NHSN operative procedure via the same incision (for example, CARD). The second operation has duration of 1.5 hours. Record the operative procedure as one CBGB and the duration of operation as 5 hour 30 minutes. If the wound class has changed, report the higher wound class. If the ASA class has changed, report the higher ASA class. Do not report the CARD procedure in your denominator data.

**Note:** When the patient returns to the OR within 24 hours of the end of the first procedure assign the surgical wound closure technique that applies when the patient leaves the OR from the first operative procedure.

10. **Patient expires in the OR:** If a patient expires in the operating room, do not complete a *Denominator for Procedure* form. This operative procedure is excluded from the denominator.

11. **HYST or VHYS:** When assigning the correct ICD-10-PCS or CPT hysterectomy procedure codes, a medical record coder must determine what structures were detached and how they were detached based on the medical record documentation.
Data Analyses: The Standardized Infection Ratio (SIR) is calculated by dividing the number of observed infections by the number of predicted (expected) infections. The number of predicted infections is calculated using SSI probabilities estimated from multivariate logistic regression models constructed from NHSN data during a baseline time period, which represents a standard population’s SSI experience. The procedures/SSI occurring in adults are modeled separately from those occurring in pediatrics.

There are three main SSI SIR Models available from NHSN, each briefly described in the table below. The first two models, the All SSI SIR and the Complex A/R SSI SIR models, are available for procedures/SSI occurring in both adults and pediatric patients, while the third model, the Complex 30-day SSI SIR is available for procedures/SSI occurring in adults only.

<table>
<thead>
<tr>
<th>All SSI SIR Model</th>
<th>Includes only inpatient procedures (under the 2015 baseline)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Includes Superficial, Deep &amp; Organ/Space SSIs</td>
</tr>
<tr>
<td></td>
<td>Superficial &amp; Deep incisional SSIs limited to primary incisional SSIs only</td>
</tr>
<tr>
<td></td>
<td>Includes SSIs identified on admission, readmission &amp; via post-discharge surveillance</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Complex A/R SSI Model</th>
<th>Includes only Deep incisional primary SSIs &amp; Organ/Space SSIs</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Includes only SSIs identified on Admission/Readmission to facility where procedure was performed</td>
</tr>
<tr>
<td></td>
<td>Includes only inpatient procedures</td>
</tr>
<tr>
<td></td>
<td>Used for the HAI Progress Report, published annually by CDC</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Complex 30-day SSI model (used for CMS IPPS)</th>
<th>Includes only in-plan, inpatient COLO and HYST procedures in adult patients (i.e., ≥ 18 years of age)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Includes only deep incisional primary SSIs and organ/space SSIs with an event date within 30 days of the procedure</td>
</tr>
<tr>
<td></td>
<td>Includes SSIs identified on admission, readmission &amp; via post-discharge surveillance</td>
</tr>
<tr>
<td></td>
<td>Uses Diabetes, ASA score, gender, age, BMI, oncology hospital and closure technique to determine risk for COLO (under the 2015 baseline, BS2)</td>
</tr>
<tr>
<td></td>
<td>Diabetes, ASA score, age, BMI and oncology hospital to determine risk for HYST (under the 2015 baseline, BS2)</td>
</tr>
<tr>
<td></td>
<td><strong>NOTE:</strong> The Complex 30-day SSI model, under the 2006-2008 baseline, BS1, uses only age and ASA to determine risk for both COLO and HYST (BS1 applies to data up to 2016)</td>
</tr>
<tr>
<td></td>
<td>Used only for CMS IPPS reporting and for public reporting on Hospital Compare</td>
</tr>
</tbody>
</table>

While the SSI SIR can be calculated for single procedure categories and for specific surgeons, the measure also allows you to summarize your data across multiple procedure categories while adjusting for differences in the estimated probability of infection among the patients included across the procedure categories. For example, you will be able to obtain one SSI SIR.
adjusting for all procedures reported. Alternatively, you can obtain one SSI SIR for all colon surgeries (COLO) only within your facility.

Additional Notes about SSI SIRS:

1. **Rebaseline of NHSN data:** The new baseline, termed BS2, and updated risk-adjustments of HAI data using the 2015 NHSN data is available in the application as of January 2017. The new baseline can be applied to 2015 data and forward. The older baseline, termed BS1, which used the 2006-2008 NHSN data, will also be available in the application and may be applied to only the 2016 data and older.

2. **Closure technique:** All of the SSI SIRs that use the 2006-2008 SSI baseline data will include only those procedures that were reported with a primary closure method. All of the SSI SIRs that use the 2015 baseline data will include all procedures that were reported with primary or other than primary closure methods.

3. **Infection present at time of surgery (PATOS):** All of the SSI SIR reports that use the 2006-2008 SSI baseline will include SSIs that are reported as present at time of surgery. Meaning the PATOS event is included in the numerator of the SIR and the procedure from which the event occurred is included in the denominator of the SIR. All of the SSI SIR reports that use the new 2015 SSI baseline will exclude SSIs that are reported as present at time of surgery from both the numerator and denominator. Meaning the PATOS event is excluded in the numerator of the SIR and the procedure from which the event occurred is excluded in the denominator of the SIR.

4. **SIRs based on Procedure Date:** SSIs will be included in the numerator of an SIR based on the date of procedure, not the date of event. This is because the procedure carries the risk for the infection/SSI.

5. **Calculation of the SIR:** The SIR will be calculated only if the number of predicted HAIs (“numPred” in the NHSN application, previously known as the number of expected HAIs, “numExp”) is ≥ 1 to help enforce a minimum precision criterion.  
   \[
   SIR = \frac{\text{Observed (O) HAIs}}{\text{Predicted (P) HAIs}}
   \]

SSI rates per 100 operative procedures are calculated by dividing the number of SSIs by the number of specific operative procedures and multiplying the results by 100. SSIs will be included in the numerator of a rate based on the date of procedure, not the date of event. Using the advanced analysis feature of the NHSN application, SSI rate calculations can be performed separately for the different types of operative procedures and stratified by the basic risk index.

Descriptive analysis options of numerator and denominator data are available in the NHSN application, such as line listings, frequency tables, and bar and pie charts. SIRs and SSI rates and run charts are also available. Guides on using NHSN analysis features are available from: [www.cdc.gov/nhsn/PS-Analysis-resources/reference-guides.html](http://www.cdc.gov/nhsn/PS-Analysis-resources/reference-guides.html)
APPENDIX. SSI specific event types attributed to each NHSN procedure category.

<table>
<thead>
<tr>
<th>Procedure code</th>
<th>Specific Event Code</th>
</tr>
</thead>
</table>
| AAA - Abdominal aortic aneurysm repair | DIP - Deep Incisional Primary  
ENDO - Endocarditis  
GIT - Gastrointestinal tract  
IAB - Intraabdominal, not specified elsewhere  
SIP - Superficial Incisional Primary  
VASC - Arterial or venous infection |
| AMP - Limb amputation            | BONE - Osteomyelitis  
DIP - Deep Incisional Primary  
JNT - Joint or bursa  
SIP - Superficial Incisional Primary |
| APPY - Appendix surgery         | DIP - Deep Incisional Primary  
GIT - Gastrointestinal tract  
IAB - Intraabdominal, not specified elsewhere  
SIP - Superficial Incisional Primary |
| AVSD - AV shunt for dialysis     | DIP - Deep Incisional Primary  
SIP - Superficial Incisional Primary  
VASC - Arterial or venous infection |
| BILI - Bile duct, liver or pancreatic surgery | DIP - Deep Incisional Primary  
GIT - Gastrointestinal tract  
IAB - Intraabdominal, not specified elsewhere  
SIP - Superficial Incisional Primary |
| BRST - Breast surgery            | BRST - Breast abscess or mastitis  
DIP - Deep Incisional Primary  
DIS - Deep Incisional Secondary  
SIP - Superficial Incisional Primary  
SIS - Superficial Incisional Secondary |
| CARD - Cardiac surgery           | BONE - Osteomyelitis  
CARD - Myocarditis or pericarditis  
DIP - Deep Incisional Primary  
ENDO - Endocarditis  
IAB - Intraabdominal, not specified elsewhere  
LUNG - Other infections of the lower respiratory tract  
MED - Mediastinitis  
SIP - Superficial Incisional Primary  
VASC - Arterial or venous infection |
<table>
<thead>
<tr>
<th>Procedure code</th>
<th>Specific Event Code</th>
</tr>
</thead>
</table>
| CBGB - Coronary bypass with chest & donor incisions | BONE - Osteomyelitis  
CARD - Myocarditis or pericarditis  
DIP - Deep Incisional Primary  
DIS - Deep Incisional Secondary  
ENDO - Endocarditis  
IAB - Intraabdominal, not specified elsewhere  
LUNG - Other infections of the lower respiratory tract  
MED - Mediastinitis  
SIP - Superficial Incisional Primary  
SIS - Superficial Incisional Secondary  
VASC - Arterial or venous infection |
| CBGC - Coronary bypass graft with chest incision | BONE - Osteomyelitis  
CARD - Myocarditis or pericarditis  
DIP - Deep Incisional Primary  
ENDO - Endocarditis  
IAB - Intraabdominal, not specified elsewhere  
LUNG - Other infections of the lower respiratory tract  
MED - Mediastinitis  
SIP - Superficial Incisional Primary  
VASC - Arterial or venous infection |
| CEA - Carotid endarterectomy | DIP - Deep Incisional Primary  
DIS - Deep Incisional Secondary  
SIP - Superficial Incisional Primary  
SIS - Superficial Incisional Secondary  
VASC - Arterial or venous infection |
| CHOL - Gallbladder surgery | DIP - Deep Incisional Primary  
GIT - Gastrointestinal tract  
IAB - Intraabdominal, not specified elsewhere  
SIP - Superficial Incisional Primary |
| COLO - Colon surgery | DIP - Deep Incisional Primary  
GIT - Gastrointestinal tract  
IAB - Intraabdominal, not specified elsewhere  
OREP - Other infection of the male or female reproductive tract  
SIP - Superficial Incisional Primary  
USI - Urinary System Infection |
| CRAN - Craniotomy | BONE - Osteomyelitis  
DIP - Deep Incisional Primary  
IC - Intracranial infection  
MEN - Meningitis or ventriculitis  
SINU - Sinusitis  
SIP - Superficial Incisional Primary |
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| CSEC - Cesarean section | DIP - Deep Incisional Primary  
|                      | EMET - Endometritis  
|                      | GIT - Gastrointestinal tract  
|                      | IAB - Intraabdominal, not specified elsewhere  
|                      | OREP - Other infection of the male or female reproductive tract  
|                      | SIP - Superficial Incisional Primary  
|                      | USI - Urinary System Infection |
| FUSN - Spinal fusion  | BONE - Osteomyelitis  
|                      | DIP - Deep Incisional Primary  
|                      | DIS - Deep Incisional Secondary  
|                      | DISC - Disc space infection  
|                      | IAB - Intraabdominal, not specified elsewhere  
|                      | IC - Intracranial infection  
|                      | LUNG - Other infections of the lower respiratory tract  
|                      | MEN - Meningitis or ventriculitis  
|                      | SA - Spinal abscess without meningitis  
|                      | SIP - Superficial Incisional Primary  
|                      | SIS - Superficial Incisional Secondary |
| FX - Open reduction of fracture | BONE - Osteomyelitis  
|                               | DIP - Deep Incisional Primary  
|                               | JNT - Joint or bursa  
|                               | SIP - Superficial Incisional Primary |
| GAST - Gastric surgery  | DIP - Deep Incisional Primary  
|                               | GIT - Gastrointestinal tract  
|                               | IAB - Intraabdominal, not specified elsewhere  
|                               | LUNG - Other infections of the lower respiratory tract  
|                               | SIP - Superficial Incisional Primary |
| HER - Herniorrhaphy    | DIP - Deep Incisional Primary  
|                               | IAB - Intraabdominal, not specified elsewhere  
|                               | SIP - Superficial Incisional Primary |
| HPRO - Hip prosthesis  | BONE - Osteomyelitis  
|                               | DIP - Deep Incisional Primary  
|                               | PJI - Prosthetic joint infection  
<p>|                               | SIP - Superficial Incisional Primary |</p>
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| **HTP - Heart transplant** | BONE - Osteomyelitis  
CARD - Myocarditis or pericarditis  
DIP - Deep Incisional Primary  
ENDO - Endocarditis  
IAB - Intraabdominal, not specified elsewhere  
LUNG - Other infections of the lower respiratory tract  
MED - Mediastinitis  
SIP - Superficial Incisional Primary  
VASC - Arterial or venous infection |
| **HYST - Abdominal hysterectomy** | DIP - Deep Incisional Primary  
IAB - Intraabdominal, not specified elsewhere  
OREP - Other infection of the male or female reproductive tract  
SIP - Superficial Incisional Primary  
VCUF - Vaginal cuff infection |
| **KPRO - Knee prosthesis** | BONE - Osteomyelitis  
DIP - Deep Incisional Primary  
PJI - Prosthetic joint infection  
SIP - Superficial Incisional Primary |
| **KTP - Kidney transplant** | DIP - Deep Incisional Primary  
IAB - Intraabdominal, not specified elsewhere  
OREP - Other infection of the male or female reproductive tract  
SIP - Superficial Incisional Primary  
USI - Urinary System Infection  
VASC - Arterial or venous infection |
| **LAM - Laminectomy** | BONE - Osteomyelitis  
DIP - Deep Incisional Primary  
DISC - Disc space infection  
IAB - Intraabdominal, not specified elsewhere  
IC - Intracranial infection  
MEN - Meningitis or ventriculitis  
SA - Spinal abscess without meningitis  
SIP - Superficial Incisional Primary |
| **LTP - Liver transplant** | DIP - Deep Incisional Primary  
GIT - Gastrointestinal tract  
IAB - Intraabdominal, not specified elsewhere  
SIP - Superficial Incisional Primary  
VASC - Arterial or venous infection |
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| NECK - Neck surgery  | DIP - Deep Incisional Primary  
|                      | EAR - Ear, mastoid infection  
|                      | ORAL - Oral cavity infection (mouth, tongue, or gums)  
|                      | SIP - Superficial Incisional Primary  
|                      | UR - Upper respiratory tract infection, pharyngitis, laryngitis, epiglottitis       |
| NEPH - Kidney surgery| DIP - Deep Incisional Primary  
|                      | IAB - Intraabdominal, not specified elsewhere  
|                      | OREP - Other infection of the male or female reproductive tract  
|                      | SIP - Superficial Incisional Primary  
|                      | USI - Urinary System Infection                                                      |
| OVRY - Ovarian surgery | DIP - Deep Incisional Primary  
|                       | IAB - Intraabdominal, not specified elsewhere  
|                       | OREP - Other infection of the male or female reproductive tract  
|                       | SIP - Superficial Incisional Primary  
|                       | USI - Urinary System Infection                                                      |
| PACE - Pacemaker surgery | CARD - Myocarditis or pericarditis  
|                      | DIP - Deep Incisional Primary  
|                      | ENDO - Endocarditis  
|                      | IAB - Intraabdominal, not specified elsewhere  
|                      | SIP - Superficial Incisional Primary  
|                      | VASC - Arterial or venous infection                                                 |
| PRST - Prostate surgery | DIP - Deep Incisional Primary  
|                       | IAB - Intraabdominal, not specified elsewhere  
|                       | OREP - Other infection of the male or female reproductive tract  
|                       | SIP - Superficial Incisional Primary  
|                       | USI - Urinary System Infection                                                      |
| PVBY - Peripheral vascular bypass surgery | DIP - Deep Incisional Primary  
|                       | DIS - Deep Incisional Secondary  
|                       | SIP - Superficial Incisional Primary  
|                       | SIS - Superficial Incisional Secondary  
|                       | VASC - Arterial or venous infection                                                 |
| REC - Rectal surgery  | DIP - Deep Incisional Primary  
|                       | DIS - Deep Incisional Secondary  
|                       | GIT - Gastrointestinal tract  
|                       | IAB - Intraabdominal, not specified elsewhere  
|                       | OREP - Other infection of the male or female reproductive tract  
|                       | SIP - Superficial Incisional Primary  
|                       | SIS - Superficial Incisional Secondary  
<p>|                       | USI - Urinary System Infection                                                      |</p>
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| **SB - Small bowel surgery** | DIP - Deep Incisional Primary  
| | GIT - Gastrointestinal tract  
| | IAB - Intraabdominal, not specified elsewhere  
| | OREP - Other infection of the male or female reproductive tract  
| | SIP - Superficial Incisional Primary  
| | USI - Urinary System Infection |
| **SPLE - Spleen surgery** | DIP - Deep Incisional Primary  
| | IAB - Intraabdominal, not specified elsewhere  
| | SIP - Superficial Incisional Primary |
| **THOR - Thoracic surgery** | BONE - Osteomyelitis  
| | BRST - Breast abscess or mastitis  
| | DIP - Deep Incisional Primary  
| | IAB - Intraabdominal, not specified elsewhere  
| | LUNG - Other infections of the lower respiratory tract  
| | SIP - Superficial Incisional Primary |
| **THYR - Thyroid and/or parathyroid surgery** | DIP - Deep Incisional Primary  
| | EAR - Ear, mastoid infection  
| | GIT - Gastrointestinal tract  
| | SIP - Superficial Incisional Primary  
| | UR - Upper respiratory tract infection, pharyngitis, laryngitis, epiglottitis |
| **VHYS - Vaginal hysterectomy** | DIP - Deep Incisional Primary  
| | IAB - Intraabdominal, not specified elsewhere  
| | OREP - Other infection of the male or female reproductive tract  
| | SIP - Superficial Incisional Primary  
| | USI - Urinary System Infection  
| | VCUF - Vaginal cuff infection |
| **VSHN - Ventricular shunt** | BONE - Osteomyelitis  
| | DIP - Deep Incisional Primary  
| | DIS - Deep Incisional Secondary  
| | IAB - Intraabdominal, not specified elsewhere  
| | IC - Intracranial infection  
| | LUNG – Other infections of the lower respiratory tract  
| | MEN - Meningitis or ventriculitis  
| | SA - Spinal abscess without meningitis  
| | SIP - Superficial Incisional Primary  
<p>| | SIS - Superficial Incisional Secondary |</p>
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| XLAP - Exploratory laparotomy | DIP - Deep Incisional Primary  
|                      | EMET - Endometritis                                                                 |
|                      | GIT - Gastrointestinal tract                                                       |
|                      | IAB - Intraabdominal, not specified elsewhere                                      |
|                      | OREP - Other infection of the male or female reproductive tract                     |
|                      | SIP - Superficial Incisional Primary                                                |
|                      | USI - Urinary System Infection                                                     |
References


