



Patient Safety Monthly Reporting Plan and Annual Surveys

Monthly Reporting Plan

The *Patient Safety Monthly Reporting Plan* form (CDC [57.106](#)) is used by NHSN facilities to inform CDC which Patient Safety modules are used during a given month. This allows CDC to select the data that should be included in the aggregate data analysis used for creating national benchmarks. Data entered into NHSN may represent either “in-plan” or “off-plan” surveillance. Each participating facility must identify and enter a monthly plan to indicate the module(s) used, if any, and the events, locations and/or procedures that will be monitored in-plan. The modules and locations selected for the month represent in-plan surveillance and indicate that the NHSN surveillance protocols will be used in their entirety, for that surveillance.

- Only in-plan data are submitted to The Centers for Medicare and Medicaid Services (CMS) in accordance with CMS’s Quality Reporting Programs and included in NHSN annual reports or other NHSN publications.
- “Off-plan” surveillance is surveillance that is done because a facility has decided to track a particular event for internal use. A facility makes no commitment to follow the NHSN protocol for “off-plan” events and such data are not included in CMS Quality Reporting Programs, NHSN annual reports or other NHSN publications.

There must be a plan completed for every month that data are entered into NHSN although a facility may choose “No NHSN Patient Safety Modules Followed this Month” as an option. The reporting plan should take into account reporting requirements (for example, local, state, or CMS mandates) when applicable to the facility. The monthly reporting plan is the first step in indicating the data that should be submitted to CMS as part of the CMS Quality Reporting Programs.

Instructions for completing the [Patient Safety Monthly Reporting Plan](#) form can be found in the Table of Instructions.

Annual Facility Survey

One or more annual facility surveys must be completed upon enrollment into NHSN, activation of an NHSN component, and/or identification of select CMS-certified units. Thereafter, at the beginning of each year, a new facility survey(s) must be completed to reflect data from the prior calendar year. For example, at the beginning of 2018, an acute care hospital completes a 2017 Annual Hospital Survey containing data from 2017.



In the Patient Safety Component there are separate surveys for the following types of facilities:

- Hospital (includes general, acute care hospitals; critical access hospitals; oncology; orthopedic; pediatric; women's; women's and children's; military; psychiatric; and Veterans Affairs): *Patient Safety Component – Annual Hospital Survey* ([57.103](#))
- Long-term Acute Care (LTAC) Hospital: *Patient Safety Component – Annual Facility Survey for LTAC* ([57.150](#))
- Inpatient Rehabilitation Facility (includes free-standing facilities and CMS-certified inpatient rehabilitation units located within a hospital): *Patient Safety Component – Annual Facility Survey for IRF* ([57.151](#))
- Ambulatory Surgery Center (ASC): *Patient Safety Component – Annual Facility Survey for Ambulatory Surgery Center* ([57.400](#))

Instructions for completing the Annual Survey form can be found in the Table of Instructions. A link to the Table of Instructions form is included on each of the annual survey forms.