## National Healthcare Safety Network (NHSN) Long Term Care Facility (LTCF) COVID-19 Module Training

## LTCF COVID-19 Module: Surveillance Pathway Updates

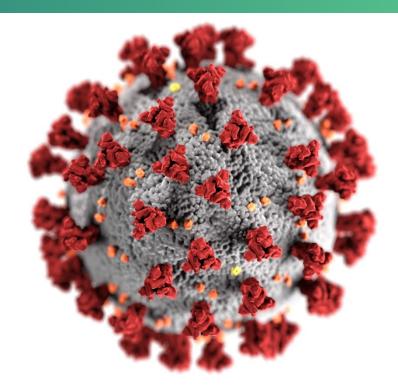
Date: June 2023

Presenter: Molly Stillions RN, MSN

Nurse Infection Preventionist, Division of Healthcare Quality Promotion,

Centers for Disease Control and Prevention, Surveillance Branch





cdc.gov/coronavirus



# Understanding new updates included in the LTCF COVID-19 Module Surveillance Pathways



# COVID-19 Surveillance pathways versus COVID-19 Vaccination Modules

COVID19 Surveillance Pathways

LTCF COVID-19 Module webpage



COVID-19 Vaccination Module

Weekly HCP & Resident COVID-19 Vaccination webpage

VS.



COVID-19 Surveillance Pathways	COVID-19 Vaccination Module
Reporting requirements	Reporting requirements
CMS certified facilities are required to report at least once every reporting week	Weekly reporting requirement for LTC residents and staff  Report at least once every reporting week  Requirement to go through December 2024
Requirement to go through December 2024	<ul> <li>Quarterly Reporting Requirement for Staff</li> <li>Report vaccination data 1 week/month for staff</li> <li>After the weekly requirement expires in Dec. 2024, continue to report 1 week/month for staff</li> <li>Use the "COVID 9 VaccinationHCP" tab in order to report these data</li> </ul>



#### **Additional COVID-19 Vaccination Reporting Resources:**

- Operational Guidance for Reporting COVID-19 Vaccination Data
- FAQs on Reporting COVID-19 Vaccination Data

## Healthcare Personnel Safety Component: Healthcare Personnel Vaccination Module Influenza Vaccination Summary



Healthcare Personnel (HCP) Vaccination Module Influenza Vaccination Summary is an annual requirement for Long-term Care Facilities. Please note, the following slides in this training are specifically related to the COVID-19 Surveillance Pathways NOT the Influenza Vaccination Summary.

For more information regarding the Healthcare Personnel Component (HPC) flu vaccination reporting please review the <u>Healthcare Personnel (HCP) Flu Vaccination webpage</u>



For questions related to vaccination reporting please email <a href="NHSN@CDC.GOV">NHSN@CDC.GOV</a> and use the subject lines "COVID vaccination reporting in LTC" or "Influenza vaccination reporting in LTC"

### LTCF COVID-19 Module: 3 Surveillance Pathways for Data Reporting

Optimizing Timely, Standardized Data Collection to Characterize National Impact, Inform Ongoing Activities, and Direct Resources

## Resident Impact and Facility Capacity

- Admissions
- Newly Positive Tests
- Vaccination status
- Up-to-date status
- Deaths
- Influenza
- Testing availability
- Supplies and PPE shortages

## Staff and Personnel Impact

- Newly Positive Tests
- COVID-19 Deaths
- Influenza
- Staffing Shortages

### **Therapeutics**

#### Residents Treated:

- In-house Stock
- Stock Stored Outside the LTCF



# Modifications to the COVID-19 Module Surveillance Pathways

Resident Impact and Facility Capacity

Staff and Personnel Impact

Therapeutics

- Newly Positive Tests
- Vaccination status
- Deaths
- Testing Availability
- Influenza
- Supplies/PPE Shortages

- Newly Positive Tests
- COVID-19 Deaths
- Influenza
- Staffing Shortages

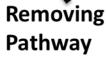
- Residents treated
- In-house Stock
- Stock Stored Outside the LTCF

Adding Hosp





\*Not Up to Date: Autopopulated field included in the RIFC pathway





### **Resident Impact and Facility Capacity Pathway**

NHSN COVID-19 Module



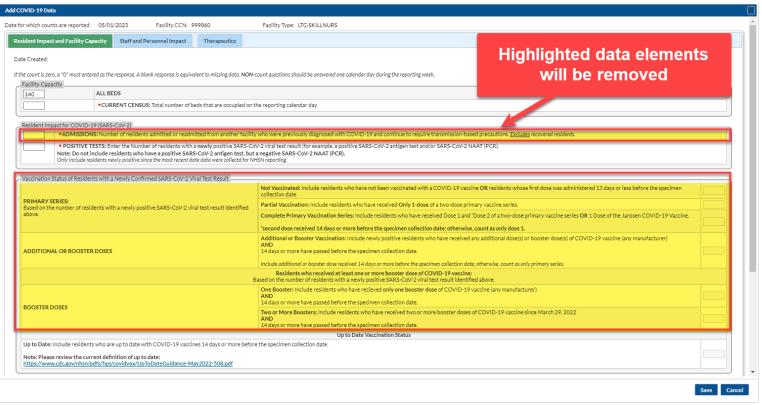
Admissions

### **Vaccination Status Variables to be Removed:**

- Primary Series
  - Not Vaccinated
  - Partial Vaccination
  - Complete Primary Vaccination Series
- Additional or Booster Vaccination
- One Booster
- Two or More Boosters



**REMOVING** 





- Influenza
- Testing Availability
- Supplies and PPE Shortages
  - Urgent Need
  - Supply Items
    - N95 Respirator
    - Face mask
    - Eye Protection
    - Gowns



Gloves



Resident Deaths  *TOTAL DEATHS: Number of residents who have died for any reason in the facility or another location.  Include only the number of new deaths since the most recent date data were collected for NHSN reporting.  COVID-19 DEATHS: Based on the number reported for Total Deaths, indicate the number of residents facility or another location.	s who died from COVID-19 or related complications, either in the
Resident Impact for Non-COVID-19 (SARS-CoV-2) Respiratory Illness  *INFLUENZA: Number of Residents with new influenza (flu).  SARS-CoV-2 TESTING	Highlighted Data elements will be removed
*TESTING AVAILABILITY: Does the LTCF have the ability to perform or to obtain resources for performing SARS-CoV-2 vir personnel if needed?  Supplies and PPE Shortages  *Urgent Need: Indicate if facility will no longer have any PPE supply items in 7 days	ral testing (NAAT [PCR] or antigen) on all residents, staff and facility
	Save Can



## RIFC Pathway: New Auto-populated Field

New

#### **Not Up to Date**

This includes residents who may have received COVID-19
 vaccination(s), but are not yet up to date with COVID-19 vaccinations
 per the NHSN Surveillance definition

and/or

 14 days or more has not passed since the time the resident received the vaccination to qualify them as up to date; and the day they tested positive for COVID-19 (newly positive COVID-19 test)



### RIFC Pathway: New Auto-populated Field



#### Not Up to Date

- Auto-populated by the system
- Not editable (grayed out in the application)
  - In order to change/edit this count, counts entered for Up to Date and/or Positive Tests will need to be revised
- Calculated by subtracting the *Up to Date* count from the *Positive* Test count
  - Not Up to Date = Positive tests Up to Date



### **Not Up to Date Example:**

DHQP Nursing Home had 5 residents with a newly positive COVID-19 test since the last date data were reported to NHSN. Of these 5 residents, 3 of them received the Bivalent Booster dose 6 months ago and the other 2 residents received the Bivalent dose 15 days prior to testing positive.

How should the vaccination status of these residents with a newly positive COVID-19 test be reported in the RIFC Pathway?



### **Not Up to Date Example Answer:**

How should the vaccination status of these residents with a newly positive COVID-19 test be reported in the RIFC Pathway?

- Positive Test Count: 5
- Up to Date Count: 5
  - 3 residents received the Bivalent dose 6 months ago
  - 2 residents received the Bivalent dose 15 days ago
    - They are considered up to date per the NHSN Surveillance Definition and received the vaccine 14 days or more prior to testing positive

- Not Up to Date: 0
- Auto-populated by the system
  - Positive Tests Up to Date
  - 5 5 = 0



### **Not Up to Date Example Answer:**

How should the vaccination status of these residents with a newly positive COVID-19 test be reported in the RIFC Pathway?

Resident Impact for COVID-19 (SARS-CoV-2)			
* POSITIVE TESTS: Enter the Number of residents with a newly positive SARS-CoV-2 viral test result (for example, a positive SARS-CoV-2 antigen test and/or SARS-CoV-2 NAAT (PCR).  Note: Do not include residents who have a positive SARS-CoV-2 antigen test, but a negative SARS-CoV-2 NAAT (PCR).  Only include residents newly positive since the most recent date data were collected for NHSN reporting.			
- Vaccination Status of Residents with a Newly Confirmed SARS-CoV-2 Viral Test Result			
Up to Date Vaccination Status			
Up to Date: Include residents with a newly positive SARS-CoV-2 viral test result who are up to date with COVID-19 vaccines 14 days or more before the specimen collection date.	5		
Note: Please review the current NHSN surveillance definition of <u>up to date.</u>			
Not Up to Date: Based on the counts entered for POSITIVE TESTS and UP TO DATE, the count for residents who are NOT considered up to date based on the NHSN Surveillance			
definition has been calculated above.	0		
This count is not editable, to edit please update the count entered for UP TO DATE.			



## RIFC Pathway: Vaccination Status



### **RIFC Pathway Vaccination Status**

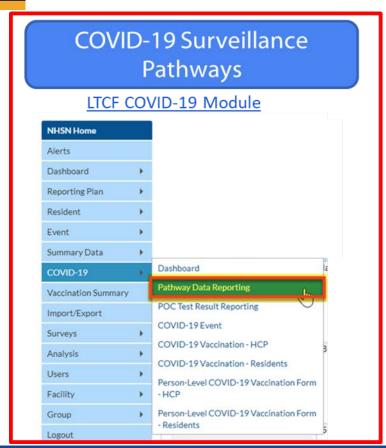


"Vaccination status" of residents newly positive for SARS-CoV-2 through viral testing methods is reported in the *Resident Impact and Facility Capacity* Pathway.

- NOT to be confused with the weekly COVID-19 vaccination summary data used to report cumulative vaccination coverage for residents who have received COVID-19 vaccination at the facility or elsewhere.
- For information regarding Weekly COVID-19 Vaccination Data Reporting,
   visit https://www.cdc.gov/nhsn/ltc/weekly-covid-vac/index.html



# COVID-19 Surveillance pathways versus COVID-19 Vaccination Modules

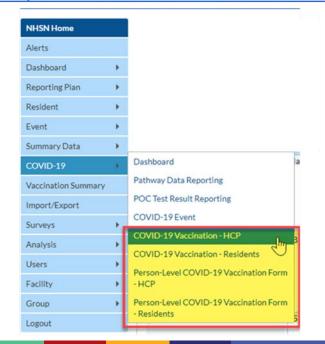


VS.

COVID-19 Vaccination

Module

Weekly HCP & Resident COVID-19 Vaccination



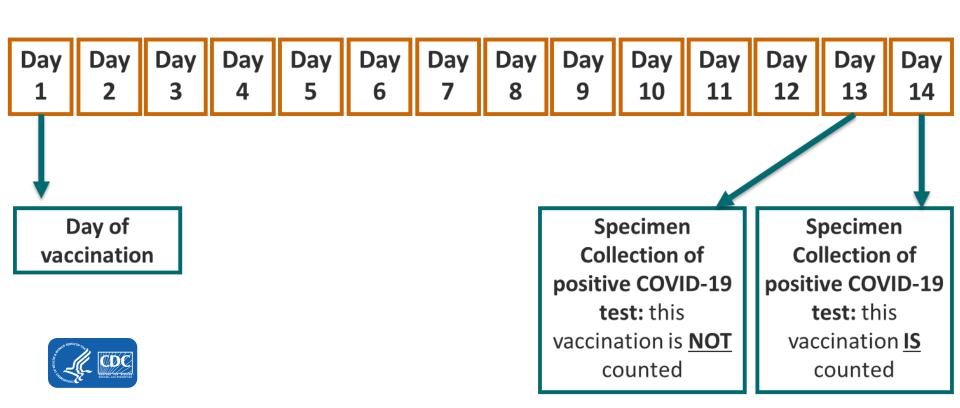
### **RIFC Pathway Vaccination Status**

### 14-day window for determining vaccination status

For residents with a newly positive SARS-CoV-2 viral test result, a COVID-19 vaccine dose received inside the 14-day window (specifically, received 13 days or less before the specimen collection date), is not counted when reporting vaccination status.

**Example:** Mr. Andrews received the primary COVID-19 vaccine series in 2021 and received 2 additional monovalent booster doses since then. He also received the Bivalent dose **12 days ago**. Mr. Andrews also tested positive for COVID-19 this week, however since he did not receive the Bivalent dose 14 days or more prior to testing positive, he will not be included in the *Up to Date* count.

## Vaccination Status: 14 Day Window



### **Up to Date Vaccination Status**

**Up to Date:** Include residents who are up to date with COVID-19 vaccines 14 days or more before the specimen collection date.

**Note:** Please review <u>NHSN's surveillance definition of Up to date</u>



### **Reporting Vaccination Status Example:**

4 residents in DHQP nursing home tested positive since the last date data were reported to NHSN:

- Two residents received a monovalent booster dose 6 months after they received the primary series. They also received the **Bivalent dose 2 days ago.**
- One resident received a monovalent booster dose 12 months after they received the primary series of COVID-19 Vaccine. Then received the Bivalent dose 2 months ago.
- One resident received a second monovalent booster dose 8 months after they received the primary series of COVID-19 Vaccine. They have not received any other COVID-19 vaccines since this time (they have NOT received the Bivalent dose).



## **Up to Date Vaccination Status Example**

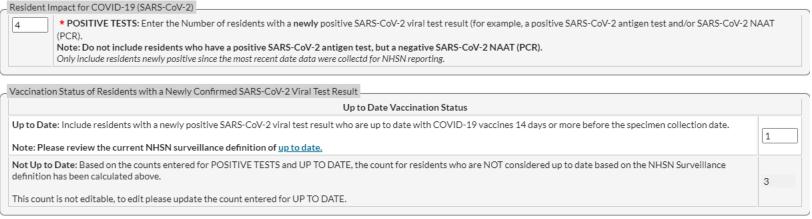
How will DHQP record these data in the RIFC pathway?

**Positive Tests: 4** 

Up to Date: 1

Not Up to Date: 3

NOTE: This variable is auto-populated by the system





## RIFC Pathway: Newly Added Data Element



### RIFC Pathway: Newly Added Data Element



### Hospitalizations

- Hospitalizations with a positive COVID-19 Test
- Hospitalizations with a positive COVID-19 Test and Up to Date



# RIFC Pathway Newly Added Data Element: Hospitalizations



 Hospitalizations with a positive COVID-19 Test: Number of residents who have been hospitalized with a positive COVID-19 test.

Note: Only include residents who have been hospitalized during this reporting period and had a positive COVID-19 test in the 10 days prior to the hospitalization, date of specimen collection is calendar day 1.



• Hospitalizations with a positive COVID-19 Test and Up to Date: Based on the number reported above for "Hospitalizations with a positive COVID-19 Test", indicate the number of residents who were hospitalized with a positive COVID-19 test and also up to date with COVID-19 vaccinations at the time of the positive COVID-19 test.





# RIFC Pathway Newly Added Data Element: Hospitalizations

### **Hospitalizations with a positive COVID-19 Test:**

- Number of residents who have been hospitalized (for any reason) and also had a positive COVID-19 test.
- Only include residents who have been hospitalized during this reporting period and had a positive COVID-19 test in the 10 days prior to the hospitalization, date of specimen collection is calendar day 1.

#### How to calculate:

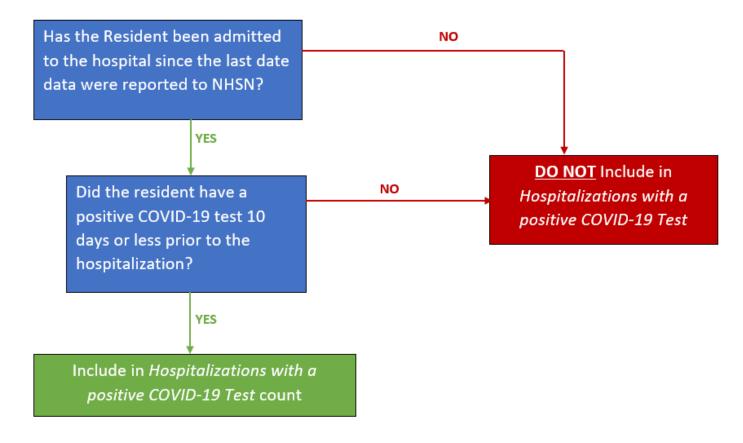
How many residents have been hospitalized since the last date data were reported to NHSN?



Of those residents how many had a positive COVID-19 test within the past 10 days?

Hospitalizations with a positive COVID-19 Test Decision

**Tree** 







# RIFC Pathway Newly Added Data Element: Hospitalizations

- Hospitalizations with a positive COVID-19 Test and Up to Date
  - Based on the number reported for "Hospitalizations with a positive COVID-19 Test", indicate the number of residents who were hospitalized with a positive COVID-19 test and also up to date with COVID-19 vaccinations at the time of the positive COVID-19 test.

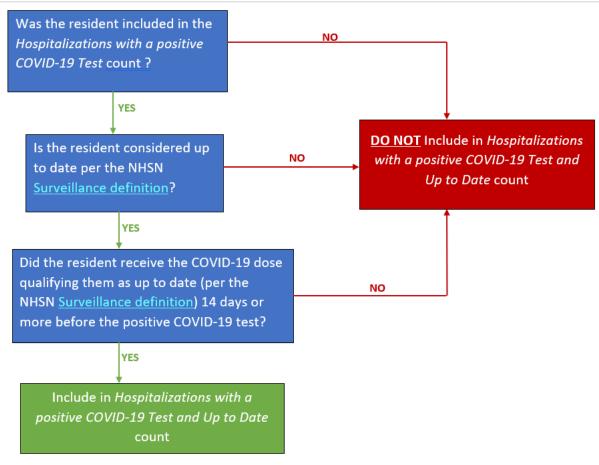
#### How to calculate:

- How many residents have been included in the Hospitalizations with a positive COVID-19 Test count?
  - Of those included in the Hospitalizations with a positive COVID-19 Test count how many are up to date per the NHSN Surveillance Definition?
    - Of those who are up to date, how many received the vaccine 14 days or more before the newly positive COVID-19 test?



### Hospitalizations with a positive COVID-19 Test and Up to Date

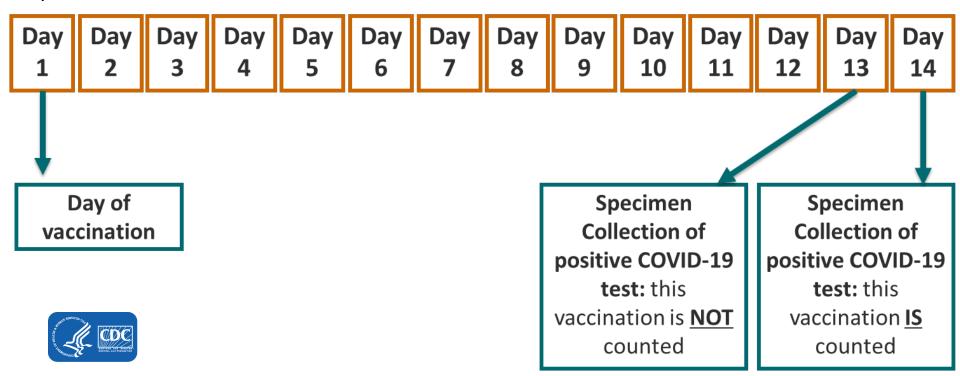
**Decision Tree** 





## Deciphering 14 Day Window for Hospitalizations with a positive COVID -19 Test and Up to Date

The resident is determined to be up to date based on the <u>NHSN Surveillance Definition</u>. Use the graphic below to decipher if the vaccination was received 14 days or more before the positive test



### **Hospitalizations Example 1:**

- Mr. Smith is an 89-year-old resident who tested positive for COVID-19 five days ago and since then has been progressively experiencing more severe symptoms. Today, he has been experiencing shortness of breath and was transferred to the ER for further evaluation. After spending several hours in the ER, Mr. Smith was admitted to the Med Surg floor and stayed in the hospital for 3 days before returning to the facility.
- Mr. Smith received the Bivalent COVID-19 Vaccine last year.

Should Mr. Smith be included in the Hospitalizations count?



### **Hospitalizations Example 1 Answer:**

#### **How to calculate Hospitalizations with a positive COVID-19 Test:**

- How many residents have been hospitalized since the last day data were reported to NHSN?
  - o 1, Mr. Smith
- Of those residents, how many had a positive COVID-19 test in the last 10 days?
  - 1,Mr. Smith. He received a positive COVID-19 test 5 days prior to hospital admission



### **Hospitalizations Example 1 Answer Continued:**

#### How to calculate Hospitalizations with a positive COVID-19 Test and Up to Date:

- How many residents have been included in the Hospitalizations with a positive COVID-19 Test count?
  - 1, Mr. Smith
    - Of those included in the *Hospitalizations with a positive COVID-19 Test* count, how many are up to date per the NHSN Surveillance Definition?
      - 1, Mr. Smith. He received the Bivalent Booster last year which qualifies him as up to date per the NHSN Surveillance definition.
      - Of those who are up to date, how many received the vaccine 14 days or more before the newly positive COVID-19 test?
        - 1, Mr. Smith. Since he received the Bivalent dose a year ago this would be 14 days or more prior to the newly positive COVID-19 test.



## **Hospitalizations Example 1 Answer Continued:**

#### Hospitalizations

This is not a subset of the "Positive Tests" count reported above. Include only the number of new hospitalizations with a positive COVID-19 test since the most recent date data were reported to NHSN.



\*Hospitalizations with a positive COVID-19 Test: Number of residents who have been hospitalized with a positive COVID-19 test.

Note: Only include residents who have been hospitalized during this reporting period and had a positive COVID-19 test in the 10 days prior to the hospitalization, date of specimen collection is calendar day 1.

1 \*\*Hospitalizations with a positive COVID-19 Test and Up to Date: Based on the number reported for "Hospitalizations with a positive

COVID-19 Test" indicate the number of residents who were hospitalized with positive COVID-19 test and also up to date with COVID-19 vaccinations at the time of the positive COVID-19 test.



# RIFC Pathway: Reporting Data

Examples



- Mrs. Daisy is an 88-year-old resident at DHQP nursing home. Mrs. Daisy began having chills and a fever 3 days ago and since then has started to experience shortness of breath. She also tested positive for COVID-19 yesterday. Mrs. Daisy received the primary COVID-19 vaccine series in 2021 and has since received 3 additional booster doses. She received the most recent dose, the Bivalent dose, 3 months ago. Since she has been experiencing shortness of breath, she has been transferred and also admitted to the hospital for further treatment (admitted to the 4<sup>th</sup> floor).
- Mr. Tulip is a 70-year-old resident at DHQP nursing home. Mr. Tulip received the Bivalent dose 2 months ago. Mr. Tulip was transferred to the hospital this week due to a fall and has been admitted to the 2<sup>nd</sup> floor.
- Mrs. Rose is a 92-year-old resident of DHQP nursing home and tested positive for COVID-19 during this reporting week. She has not received any COVID-19 vaccines. Mrs. Rose has not been transferred to the hospital yet but is starting to experience more severe symptoms.



\*DHQP Nursing Home did not have any deaths during this reporting week

- Mrs. Daisy is an 88-year-old resident at DHQP nursing home. Mrs. Daisy began having chills and a fever 3 days ago and since then has started to experience shortness of breath. She also tested positive for COVID-19 yesterday. Mrs. Daisy received the primary COVID-19 vaccine series in 2021 and has since received 3 additional booster doses. She received the most recent dose, the Bivalent dose, 3 months ago. Since she has been experiencing shortness of breath, she has been transferred and also admitted to the hospital for further treatment (admitted to the 4<sup>th</sup> floor).
  - Mrs. Daisy would be included in the following:
    - Positive Tests
    - Up to Date
    - Hospitalizations with a positive COVID-19 Test
    - Hospitalizations with a positive COVID-19 Test and Up to Date



- Mr. Tulip is a 70-year-old resident at DHQP nursing home. Mr. Tulip received the Bivalent dose 2 months ago. Mr. Tulip was transferred to the hospital this week due to a fall and has been admitted to the 2<sup>nd</sup> floor.
  - Mr. Tulip would NOT be included in any of the variables for the RIFC Pathway:
    - He did not have a positive test during this reporting week
    - He was transferred to the hospital; however, he did not have a positive COVID-19 test in the 10 days prior to admission



- Mrs. Rose is a 92-year-old resident of DHQP nursing home and tested positive for COVID-19 during this reporting week. She has not received any COVID-19 vaccines. Mrs. Rose has not been transferred to the hospital yet, but is starting to experience more severe symptoms.
  - Mrs. Rose would be included in the following:
    - Positive Tests
    - Not Up to Date: She will automatically be included in the count; the user will not have to manually enter a count for Not up to Date.
  - Mrs. Rose was not transferred to the hospital during this reporting week. If she is transferred during the next reporting week, she will be included in the *Hospitalizations with a positive COVID-19 Test* count.
    - However, she would NOT be included in the Hospitalizations with a positive COVID-19 test and up to date count since she has not received ANY COVID-19 vaccinations.



#### Resident Impact for COVID-19 (SARS-CoV-2)

2

\* POSITIVE TESTS: Enter the Number of residents with a newly positive SARS-CoV-2 viral test result (for example, a positive SARS-CoV-2 antigen test and/or SARS-CoV-2 NAAT (PCR).

Note: Do not include residents who have a positive SARS-CoV-2 antigen test, but a negative SARS-CoV-2 NAAT (PCR).

Only include residents newly positive since the most recent date data were collectd for NHSN reporting,

#### Vaccination Status of Residents with a Newly Confirmed SARS-CoV-2 Viral Test Result

#### Up to Date Vaccination Status

Up to Date: Include residents with a newly positive SARS-CoV-2 viral test result who are up to date with COVID-19 vaccines 14 days or more before the specimen collection date.

Note: Please review the current NHSN surveillance definition of up to date.

Not Up to Date: Based on the counts entered for POSITIVE TESTS and UP TO DATE, the count for residents who are NOT considered up to date based on the NHSN Surveillance definition has been calculated above.

This count is not editable, to edit please update the count entered for UP TO DATE.

#### Hospitalizations

This is not a subset of the "Positive Tests" count reported above. Include only the number of new hospitalizations with a positive COVID-19 test since the most recent date data were reported to NHSN.

1

\*Hospitalizations with a positive COVID-19 Test: Number of residents who have been hospitalized with a positive COVID-19 test.

Note: Only include residents who have been hospitalized during this reporting period and had a positive COVID-19 test in the 10 days prior to the hospitalization, date of specimen collection is calendar day 1.

\*\*Hospitalizations with a positive COVID-19 Test and Up to Date: Based on the number reported for "Hospitalizations with a positive COVID-19 Test" indicate the number of residents who were hospitalized with positive COVID-19 test and also up to date with COVID-19 vaccinations at the time of the positive COVID-19 test.

#### Resident Deaths

0

\*TOTAL DEATHS: Number of residents who have died for any reason in the facility or another location. Include only the number of new deaths since the most recent date data were collected for NHSN reporting.

OCVID-19 DEATHS: Based on the number reported for *Total Deaths*, indicate the number of residents who died from COVID-19 or related complications, either in the facility or another location.



# **Staff and Personnel Impact Pathway Updates**

NHSN COVID-19 Module



# **Staff and Personnel Impact Pathway**

The *Positive Test* count will be the **ONLY** reporting variable in this pathway

New Update



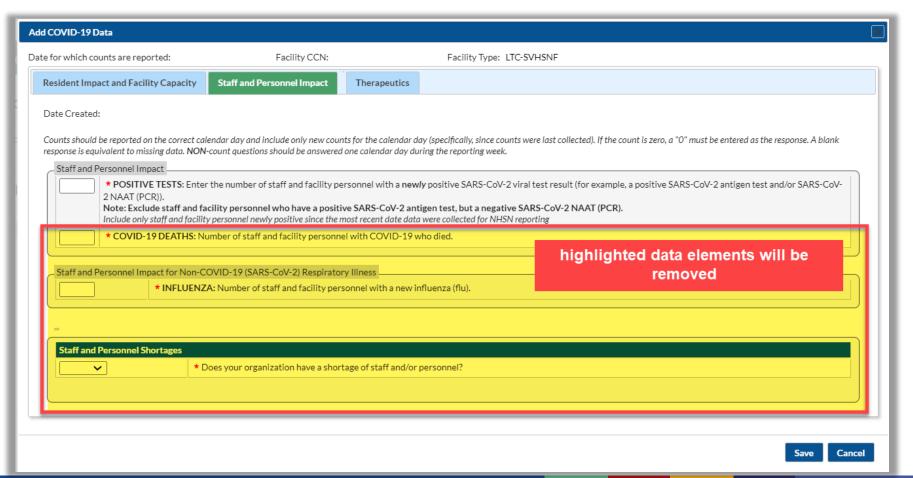
# Staff and Personnel Impact Pathway: Removing Data Elements

- COVID-19 Deaths
- Influenza
- Staffing shortages

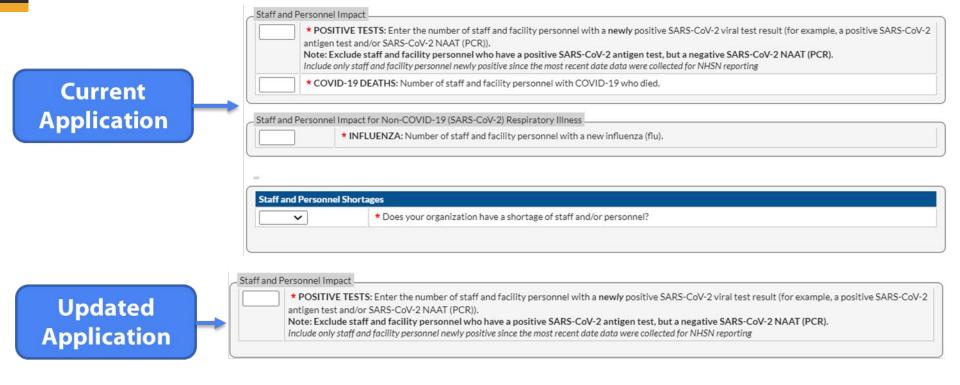
REMOVING



# **Staff and Personnel Impact Pathway**



## Staff and Personnel Impact Pathway: Updated Application





# **Therapeutics Pathway Updates**

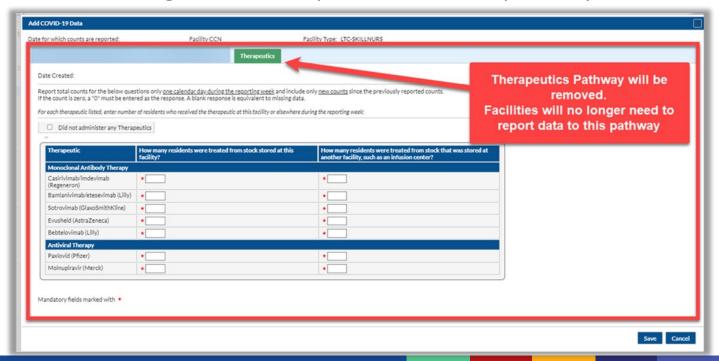
**Removing Pathway** 





# Therapeutics Pathway: Removing Pathway

- Removing this pathway
  - Facilities will no longer need to report data to this pathway





# NHSN COVID-19 Module Resources

Reporting Reminders and Helpful Reporting Tips



# RIFC Pathway: Up to Date vs. Not Up to Date

#### **Up to Date**

- Of the number of residents with a newly positive SARS-CoV-2 viral test result, record how many are up to date with COVID-19 Vaccinations.
  - ✓ Is the resident considered up to date per the <a href="NHSN Surveillance">NHSN Surveillance</a> definition?
  - ✓ Did the resident receive the COVID-19 vaccination 14 days or more prior to the Newly positive
     COVID-19 test?

### **Not Up to Date**

- Auto-populated by the system (Not editable but the user)
- Positive Tests Up to Date



# **RIFC Pathway: Hospitalizations**

# Hospitalizations with a positive COVID-19 Test

 Of the residents who were newly admitted to the hospital since the last date data were reported to NHSN, indicate how many of those residents had a positive COVID-19 test within the past 10 days

# Hospitalizations with a positive COVID-19 Test and Up to Date

 Of the residents who were included in the Hospitalizations with a positive COVID-19 Test count, indicate how many of those residents are also up to date per the NHSN surveillance definition



# Tips for NHSN Reporting: COVID-19 Surveillance Pathways

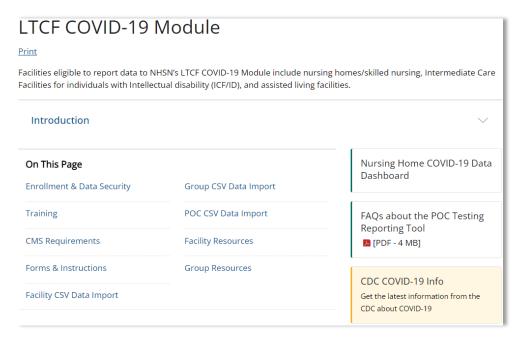
- Report in the pathway at least once during the reporting week
- Report only new counts since the last time counts were collected for reporting to NHSN
- Do not leave any data fields blank; enter '0' if appropriate





## One Stop Browsing for NHSN LTCF COVID-19 Module Resources

Visit NHSN LTCF COVID-19 Module web page for reporting resources



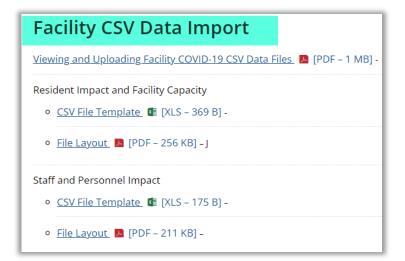


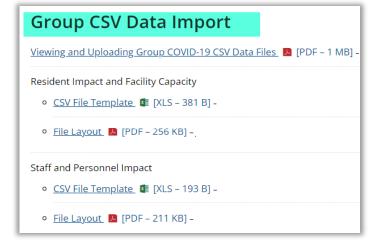


## **Resources for Importing Data**

#### Visit NHSN LTCF COVID-19 Module web-page

- CSV data file templates for facility and groups
- File Layout documents with importing requirements [variable names, values, format, description]

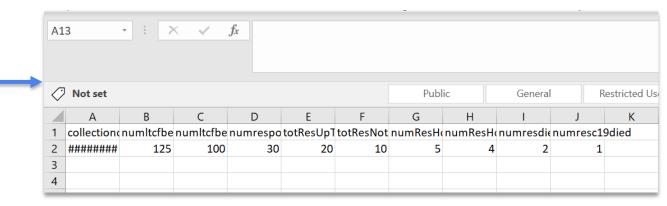






## **CSV Template and File Layout for CSV Upload: Example**

RIFC Template for CSV upload



RIFC file layout for CSV upload



# Facility - Importing COVID-19 using a .csv file for Resident Impact and Facility Capacity (RIFC) summary data

1. NHSN COVID-19 Resident Impact and Facility Capacity Import File Format – use this format for calendar dates June 12, 2023, and going forward

Field	Requirement	Values	Format <sup>†</sup>	Description of Field
orgid	Conditional			FACILITY IDENTIFIER – required if this is a group import Note: If
				this is a facility import do not include this field in the .csv
				upload
collectionDate	Required		mm/dd/ <u>yyyy</u>	Date for which patient counts are reported:
numltcfbeds	Required	0 to	Must be a whole number	ALL BEDS (FIRST SURVEY ONLY): Total number of beds within the
		3000	Must be <=3000	certified Medicare and/or Medicaid long-term care facility
numltcfbedsocc	Required	0 to	Must be whole number	CURRENT CENSUS: Total number of beds that are occupied on the
		3000	Must be <= <b>All Beds</b> , if	reporting calendar day

## Reminders



Do not report cumulative counts (Report only **new counts** since the last time counts were collected for reporting to NHSN).



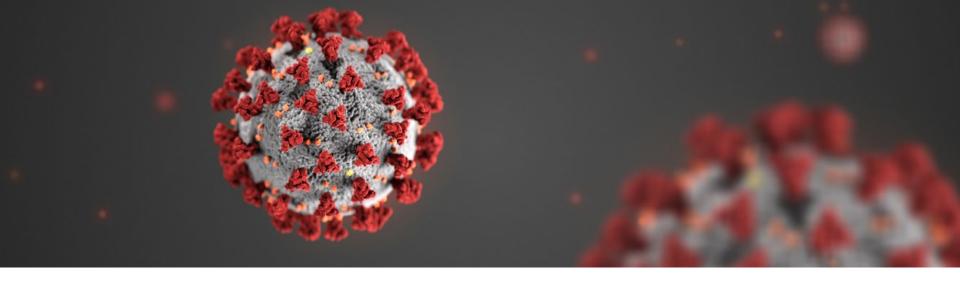
Report data into the COVID-19 Module at least once a week.



# **NHSN Helpdesk**

If you have further questions and or concerns, please e-mail <a href="NHSN@cdc.gov">NHSN@cdc.gov</a> Add a brief description of your inquiry in the subject header.





For more information, contact CDC 1-800-CDC-INFO (232-4636)
TTY: 1-888-232-6348 www.cdc.gov

The findings and conclusions in this report are those of the authors and do not necessarily represent the official position of the Centers for Disease Control and Prevention.

