Updates to Weekly COVID-19 Vaccination Data Reporting: Healthcare Personnel Safety Component

Division of Healthcare Quality Promotion
Centers for Disease Control and Prevention (CDC)
COVID-19

June 2023
CMS Reporting Requirements for Multiple HCP COVID-19 Vaccination Data Quality Reporting Programs

- Facilities can contact CMS with questions about reporting requirements:
  - Inpatient quality reporting program (hospitals): iqr@hsag.com
  - PPS-exempt cancer hospital quality reporting program: QRFormsSubmission@hsag.com
  - Inpatient psychiatric facility quality reporting program: IPFQualityReporting@hsag.com
  - Inpatient rehabilitation facility quality reporting program: IRF.questions@cms.hhs.gov
  - Ambulatory Surgery Centers: https://cmsqualitysupport.servicenowservices.com/qnet_qa?id=ask_a_question
Objectives

- **Simplification of the forms!!**
  - Highlight changes to the weekly COVID-19 vaccination reporting forms for Healthcare Personnel

- **Review recent changes and updates:**
  - Review form changes
  - Review up to date definition changes
  - Review example scenarios
  - Discuss frequently asked questions

Note: Form changes will be implemented Quarter 3, June 26, 2023

Note: These slides will be posted to the NHSN COVID-19 Vaccination website
Background for Form Changes

- In April of 2023, Food and Drug Administration (FDA) and the CDC announced changes to its Emergency Use Authorization for COVID-19 vaccines.

- Beginning on June 26, 2023 (Q3 2023), healthcare personnel are considered up to date with their COVID-19 vaccinations if they have received an updated (bivalent) vaccine(s).

- Due to the Quality Reporting Program (QRP) requirements, the primary COVID-19 vaccine series will continue to be reported for healthcare personnel at this time.
CMS Reporting Requirements for Healthcare Personnel Safety

- May 11, 2023 - End of COVID-19 Public Health Emergency
  - Facilities reporting through the HPS component will need to **continue** to report healthcare personnel COVID-19 vaccination data through the **COVID-19 Vaccination Modules**
  - Facilities should continue to report data for **at least one week per month** for each reporting quarter
CMS Reporting Requirements for Healthcare Personnel Safety Component (Cont.)

- Facilities can select any week within the month to report data.
  - A week is designated as belonging to the month that contains the week-end date.
  - For example, submitting data for the week of Monday, May 29, 2023 – Sunday, June 4, 2023, counts as reporting for a week in June (and not May)
Form Simplification
Up-to-Date Definition Change, effective June 26, 2023
## Changes to Weekly COVID-19 Vaccination: HCP Form

<table>
<thead>
<tr>
<th>Question</th>
<th>HCP Form</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Question 1:</strong> Individuals eligible to work at the facility</td>
<td>No change</td>
</tr>
<tr>
<td><strong>Question 2.1:</strong> Only 1 dose of a two-dose primary COVID-19 vaccine series 2.2</td>
<td>Remove</td>
</tr>
<tr>
<td><strong>Question 2.2:</strong> Any completed primary COVID-19 vaccine series</td>
<td>No change</td>
</tr>
<tr>
<td><strong>Question 3.1:</strong> Medical contraindication to COVID-19 vaccine</td>
<td>No change</td>
</tr>
<tr>
<td><strong>Question 3.2:</strong> Offered but declined COVID-19 vaccine</td>
<td>No change</td>
</tr>
<tr>
<td><strong>Question 3.3:</strong> Unknown COVID-19 vaccination status</td>
<td>No change</td>
</tr>
<tr>
<td><strong>Question 4:</strong> Individuals with a complete primary series vaccine who have received any booster(s) or additional dose(s) of COVID-19 vaccine since August 2021</td>
<td>Remove</td>
</tr>
<tr>
<td><strong>Question 5:</strong> Individuals in question #2 who are up to date with COVID-19 vaccines</td>
<td>No change (Will be Q#4)</td>
</tr>
</tbody>
</table>
### Weekly COVID-19 Vaccination: Current and Revised Forms

#### Current HCP Form

<table>
<thead>
<tr>
<th>Primary Vaccine Series</th>
</tr>
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<tbody>
<tr>
<td><strong>2.</strong> Cumulative number of HCP in Question #1 who have received primary series COVID-19 vaccine(s) at this facility or elsewhere since December 2020:</td>
</tr>
<tr>
<td>2.1. &quot;Only 1 dose of a two-dose Primary COVID-19 vaccine series&quot;</td>
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<td>2.2. &quot;Any completed Primary COVID-19 vaccine series&quot;</td>
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<td><strong>3.</strong> Cumulative number of HCP in Question #1 with other conditions:</td>
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<td>3.1. &quot;Medical contraindication to COVID-19 vaccine&quot;</td>
</tr>
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#### Revised HCP Form

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Up to Date

Question 5 asks about individuals who are up to date. Please review the current definition of up to date: [https://www.cdc.gov/hhs/edfshop/covidvax/UpToDateGuidance-May2022-508.pdf](https://www.cdc.gov/hhs/edfshop/covidvax/UpToDateGuidance-May2022-508.pdf)

**5.** Cumulative number of HCP in question #2 who are up to date with COVID-19 vaccines
Changes to the Weekly COVID-19 Vaccination: HCP Form

Removing
- Partial primary series and booster dose question

Keeping
- Question 1
- Question 2.2 (complete primary series)
- Question 3.1 - 3.3
- Question 5 (up to date)

Footnote for complete primary series will be updated
Weekly COVID-19 Vaccination: Revised HCP Form

New Version:

- Includes up-to-date changes and reduction of questions
- Updated footnote to reflect latest definition of complete primary series
Weekly COVID-19 Vaccination: Revised HCP Form cont., Updated Primary Series Definition

a sum of Employees (staff on facility payroll), Licensed independent practitioners: Physicians, advanced practice nurses, & physician assistants, and Adult students/trainees & volunteers.
b sum of Employees (staff on facility payroll), Licensed independent practitioners: Physicians, advanced practice nurses, & physician assistants, Adult students/trainees & volunteers, and Other contract personnel.
c all persons receiving a direct paycheck from the healthcare facility (i.e., on the facility’s payroll), regardless of clinical responsibility or patient contact.
d physicians (MD, DO); advanced practice nurses; and physician assistants only who are affiliated with the healthcare facility, but are not directly employed by it (i.e., they do not receive a paycheck from the facility), regardless of clinical responsibility or patient contact. Post-residency fellows are also included in this category.
e adult students/trainees and volunteers: medical, nursing, or other health professional students, interns, medical residents, or volunteers aged 18 or older that are affiliated with the healthcare facility, but are not directly employed by it (i.e., they do not receive a paycheck from the facility), regardless of clinical responsibility or patient contact.
f persons providing care, treatment, or services at the facility through a contract who do not fall into any other HCP (denominator) categories.

g Complete primary series as of June 26, 2023 is defined as receiving a 2-dose series of a monovalent COVID-19 vaccine OR a single dose of Janssen OR a single dose of bivalent vaccine.
Definition Changes (Quarter 3 - effective June 26, 2023)
Definition for Complete Primary Series

\(^a\) sum of Employees (staff on facility payroll), Licensed independent practitioners: Physicians, advanced practice nurses, & physician assistants, and Adult students/trainees & volunteers.

\(^b\) sum of Employees (staff on facility payroll), Licensed independent practitioners: Physicians, advanced practice nurses, & physician assistants, Adult students/trainees & volunteers, and Other contract personnel.

\(^c\) all persons receiving a direct paycheck from the healthcare facility (i.e., on the facility’s payroll), regardless of clinical responsibility or patient contact.

\(^d\) physicians (MD, DO); advanced practice nurses; and physician assistants only who are affiliated with the healthcare facility, but are not directly employed by it (i.e., they do not receive a paycheck from the facility), regardless of clinical responsibility or patient contact. Post-residency fellows are also included in this category.

\(^e\) adult students/trainees and volunteers: medical, nursing, or other health professional students, interns, medical residents, or volunteers aged 18 or older that are affiliated with the healthcare facility, but are not directly employed by it (i.e., they do not receive a paycheck from the facility), regardless of clinical responsibility or patient contact.

\(^f\) persons providing care, treatment, or services at the facility through a contract who do not fall into any other HCP (denominator) categories

\(^g\) Complete primary series as of June 26, 2023 is defined as receiving a 2-dose series of a monovalent COVID-19 vaccine OR a single dose of Janssen OR a single dose of bivalent vaccine.
Definition for Up-to-Date COVID-19 Vaccination Status

- In April of 2023, Food and Drug Administration (FDA) and the CDC announced changes to its Emergency Use Authorization for COVID-19 vaccines.

- Beginning on June 26, 2023 (Q3 2023), healthcare personnel are considered up to date with their COVID-19 vaccinations if they have received an updated (bivalent) vaccine(s).

- An individual is considered up to date once they received 1 updated bivalent Pfizer-BioNTech or bivalent Moderna COVID-19 vaccine. This up-to-date definition is the same for all individuals, regardless of age and immunocompromised status.
### Weekly COVID-19 Vaccination Form: Up-to-Date

**HCP Form**: Question 4 pertains to the Up-to-Date definition

<table>
<thead>
<tr>
<th>Question 4 asks about individuals who are up to date. Please review the current definition of up to date: <a href="https://www.cdc.gov">Key Terms and Up to Date Vaccination</a></th>
</tr>
</thead>
<tbody>
<tr>
<td>4. <strong>Cumulative</strong> number of HCP in question #1 who are <strong>up to date</strong> with COVID-19 vaccines.</td>
</tr>
</tbody>
</table>

Note: Please refer to the CDC NHSN guidance document that will be posted to the NHSN website with the current definition of up-to-date vaccination. Users should review this document at least once per quarter to check for definition updates. [UpTo Date Guidance Quarter 2 Of 2023 mockup_508 (cdc.gov)](https://www.cdc.gov)
Scenarios

These scenarios apply to the Up-to-Date definition change (beginning Quarter 3 - June 26, 2023)
**Weekly COVID-19 Vaccination: Revised HCP Form**

**New Version:**
- Includes up-to-date changes and reduction of questions
- Updated footnote to reflect latest definition of complete primary series

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**Cumulative Vaccination Coverage**

<table>
<thead>
<tr>
<th>Healthcare Personnel (HCP) Categories</th>
<th>Employee HCP</th>
<th>Non-Employee HCP</th>
</tr>
</thead>
<tbody>
<tr>
<td>All Core HCP*</td>
<td>All HCP*</td>
<td></td>
</tr>
<tr>
<td>Employees (staff on facility payroll)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Licensed independent practitioners:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Physicians, advanced practice nurses, &amp; physician assistants</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Adult students/trainees and volunteers</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other Contract Personnel</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

1. Number of HCP that were eligible to have worked at this healthcare facility for at least 1 day during the week of data collection

2. Cumulative number of HCP in Question #1 who have received complete primary series of COVID-19 vaccine(s) at this facility or elsewhere since December 2020

3. Cumulative number of HCP in Question #1 with other conditions:
   - Medical contraindication to COVID-19 vaccine
   - Offered but declined COVID-19 vaccine
   - Unknown/other COVID-19 vaccination status

4. Cumulative number of HCP in question #2 who are up to date with COVID-19 vaccines

**Key Terms and Up to Date Vaccination**

Question 4 asks about individuals who are up to date. Please review the current definition of up to date:
Scenario 1: Is this individual considered up to date with COVID-19 vaccines for Quarter 3, 2023?

Healthcare worker Marc completed his primary vaccine series on January 18, 2021 and received an additional dose in June 2021 and an updated (bivalent) dose in October 2022.

Answer:
Yes. He is considered up to date with COVID-19 vaccines for weeks during quarter 3 of 2023 since he has received the most recent recommended updated bivalent dose.

Where to document?
2. *Cumulative number of HCP in Question #1 who have received complete primary series of COVID-19 vaccine(s) at this facility or elsewhere since December 2020
4. *Cumulative number of HCP in question #1 who are up to date with COVID-19 vaccines.
Scenario 2: Is this individual considered up to date with COVID-19 vaccines for Quarter 3, 2023? (Cont.)

Healthcare worker Jessica did not complete her primary vaccine series but chose to receive an updated (bivalent) dose in May of 2023.

Answer:

Yes. She is considered up to date with COVID-19 vaccines for weeks during quarter 3 of 2023 since she has received the most recent recommended updated bivalent dose.

Where to document?

2. *Cumulative number of HCP in Question #1 who have received complete primary series of COVID-19 vaccine(s) at this facility or elsewhere since December 2020

4. *Cumulative number of HCP in question #1 who are up to date with COVID-19 vaccines.
Scenario 3: Is this individual considered up to date with COVID-19 vaccines for Quarter 3, 2023? (Cont.)

Healthcare worker Harry received one dose of the primary vaccine in February of 2021 and then received an updated (bivalent) dose in June 2023.

Answer:

Yes. He is considered up to date with COVID-19 vaccines for weeks during quarter 3 of 2023 since he has received the most recent recommended updated bivalent dose.

Where to document?

2. *Cumulative number of HCP in Question #1 who have received complete primary series ℡ COVID-19 vaccine(s) at this facility or elsewhere since December 2020

4.*Cumulative number of HCP in question #1 who are up to date with COVID-19 vaccines.
Scenario 4: Is this individual considered up to date with COVID-19 vaccines for Quarter 3, 2023? (Cont.)

Healthcare worker Ann received one dose of the primary vaccine in January of 2021 and then received another dose of the primary vaccine series in February of 2022. She received a monovalent booster in May of 2022.

Answer:

No. Although she completed the primary series, she is not considered up to date with COVID-19 vaccines for weeks during quarter 3 of 2023 since she has NOT received the most recent recommended updated bivalent dose.

Where to document?

2. *Cumulative number of HCP in Question #1 who have received complete primary series COVID-19 vaccine(s) at this facility or elsewhere since December 2020
Frequently Asked Questions
When do new the form changes take effect?

- Beginning on June 26, 2023 (Q3 2023), the new weekly summary form changes will take effect.
- At this time, please use the new up to date definition when reporting COVID-19 vaccination data through NHSN.
Question #2

With the PHE ending, will facilities need to continue reporting COVID-19 vaccination data through the Weekly COVID-19 Vaccination Modules? If so, how often?

- Yes. As mentioned earlier, reporting of COVID-19 vaccination data for HCP through the NHSN Healthcare Personnel Safety (HPS) Component will remain required for CMS-certified facilities following the ending of the PHE on May 11, 2023.
- Facilities should continue to report data for at least one week per month for each reporting quarter.
Question #3

Is a 66-year-old healthcare worker who has received a recommended bivalent dose in September of 2022, but no other bivalent doses, considered up to date?

- Yes, this individual is considered up to date

Note: Individuals who are 65 years of age and older or immunocompromised are eligible to receive additional COVID-19 vaccine doses because of the clinical benefit but it is not mandatory. Whether or not the individual receives additional updated bivalent doses, they are still up to date after receiving a single (updated) bivalent dose.
Question #4

Where do I document a Healthcare worker who only received partial primary series (a single dose of original monovalent Moderna or Pfizer)?

- On the simplified HCP form, this Healthcare worker would be documented in Question #3.3: Unknown/other COVID-19 vaccination status
- Reminder: partial primary series (formerly question #2.2) was removed from the HCP form
Summary of Updates and Changes

- Simplification of the forms!
  - Highlighted changes to the weekly COVID-19 vaccination reporting form for Healthcare Personnel

- Reviewed recent changes and updates:
  - Up to date definition change for HCP
  - Example scenarios
  - Discussed frequently asked questions
NHSN Website

- HPS | Weekly HCP COVID-19 Vaccination | NHSN | CDC
  - Training Slides
  - .CSV Files
  - Quick Reference Guides
  - Data Tracking Worksheets
  - FAQs

Weekly HCP COVID-19 Vaccination

Print

Updated April 5, 2023

Facilities can track weekly COVID-19 vaccination data for healthcare personnel (HCP) through NHSN.

On This Page

- Protocol
- Data Tracking Worksheets
- Training
- Resources
- Data Collection Forms and Instructions
- Retired Quick Reference Guides
- CSV Data Import

FAQs on Reporting COVID-19 Vaccination Data
Questions or Need Help?

E-mail user support at: NHSN@cdc.gov

Subject Line: Please write ‘Weekly COVID-19 Vaccination’ along with your facility type for a faster reply

For more information please contact Centers for Disease Control and Prevention
1600 Clifton Road NE, Atlanta, GA 30333
Telephone, 1-800-CDC-INFO (232-4636) / TTY: 1-888-232-6348
E-mail: cdcinfo@cdc.gov    Web: www.cdc.gov

The findings and conclusions in this report are those of the authors and do not necessarily represent the official position of the Centers for Disease Control and Prevention.