Nonresponse in the National Survey of Children's Health, 2007

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Nonresponse in the National Survey of Children's Health, 2007

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Abstract

Objectives

For random-digit-dial telephone surveys, the increasing difficulty in contacting eligible households and obtaining their cooperation raises concerns about the potential for nonresponse bias. This report presents an analysis of nonresponse bias in the 2007 National Survey of Children's Health, a module of the State and Local Area Integrated Telephone Survey conducted by the Centers for Disease Control and Prevention's National Center for Health Statistics.

Methods

An attempt was made to measure bias in six key survey estimates using four different approaches: comparison of response rates for subgroups, use of sampling frame data, study of variation within the existing survey, and comparison of survey estimates with similar estimates from another source.

Results

Even when nonresponse-adjusted survey weights were used, the interviewed population was more likely to live in areas associated with higher levels of home ownership, lower home values, and greater proportions of non-Hispanic white persons when compared with the nonresponding population. Bias was found (although none greater than 3%) in national estimates of the proportion of children in excellent or very good health, those with consistent health insurance coverage, and those with a medical home. However, the level and direction of the bias depended on the approach used to measure it. There was no evidence of significant bias in the proportion of children with preventive medical care visits, those with families who ate daily meals together, or those living in safe neighborhoods.

Keywords: survey error • bias • evaluation • SLAITS

Nonresponse in the National Survey of Children's Health, 2007

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Introduction

Nonresponse in telephone surveys occurs when eligible sample members (e.g., selected households) are not measured, either in their entirety ("unit nonresponse") or for particular items ("item nonresponse"). Unit nonresponse occurs if contact cannot be established with eligible sample members, if eligible sample members refuse to participate, or if there is a language or other barrier that prevents the interviewer from conducting the survey with an eligible sample member (1). Of these causes, the first two (noncontact and noncooperation) are particularly troubling for random-digit-dial (RDD) telephone surveys.

Technological impediments to making contact with a household are one of the primary causes of unit nonresponse in telephone surveys (2). These impediments include answering machines and call-waiting, caller ID, and call-blocking features. Each of these services allows potential respondents to avoid contact with unknown callers and to be selective about which calls are answered. If contact is made with a household, respondent refusals also result in nonresponse. An individual's propensity to refuse cooperation (either directly or by avoiding contact) can be related to his or her personal characteristics and how those characteristics interact with the perceived cost or benefit of answering the telephone and participating in the survey (3).

If these personal characteristics are also related to the substantive topics of the survey, bias can occur. This nonresponse bias can vary by survey topic because different topics may be more or less strongly related to the personal characteristics that influence telephone survey response propensity. This report presents an analysis of unit-nonresponse bias for selected national estimates from the 2007 National Survey of Children's Health (NSCH).

The National Survey of Children's Health, 2007

According to its vision statement, the Maternal and Child Health Bureau (MCHB) of the U.S. Department of Health and Human Services' Health Resources and Services Administration strives "for a society where children are wanted and born with optimal health, receive quality care, and are nurtured lovingly and sensitively as they mature into healthy, productive adults" (4,5). This effort is fostered by block grants to states, which are matched by state funds. NSCH was conducted by the Centers for Disease Control and Prevention's (CDC) National Center for Health Statistics (NCHS) to assess how well individual states, and the nation as a whole, are meeting MCHB's strategic plan goals and national performance measures. The results from NSCH

support these goals by providing a basis for federal and state program planning and evaluation efforts.

The content of NSCH is broad, addressing a variety of physical, emotional, and behavioral health indicators and measures of children's health experiences with the health care system. The survey includes an extensive battery of questions about the family, including parental health, stress and coping behaviors, and family activities. NSCH also asks respondents for their perceptions of the child's neighborhood. No other survey provides this breadth of information about children, families, and neighborhoods with sample sizes sufficient for state-level analyses in every state, collected in a manner that allows comparison among states and nationally (6). Maternal and child health programs in each state, and MCHB at the federal level, use data from NSCH to characterize children's health status. understand their families and communities, and identify the challenges they face in navigating the health care system. Federal and state Title V programs find the data invaluable for planning and evaluating programs. Researchers and public policy analysts at the state and federal levels also use these data to assess issues such as the prevalence of uninsured children, the relationship of family health to children's health, and the impact of state programs on children's health and well-being. Finally, the data provide baseline estimates for several MCHB companion objectives for the Healthy People 2020 initiative (7).

The 2007 NSCH was conducted as part of the State and Local Area Integrated Telephone Survey (SLAITS) program (8), which is sponsored by NCHS. SLAITS is a broad-based, ongoing surveillance system available at the national, state, and local levels for tracking and monitoring the health and well-being of children and adults. SLAITS modules use the same sampling frame as CDC's National Immunization Study (NIS) and immediately follow NIS in selected households, using the NIS sample for efficiency and economy. In the course of identifying households with children aged 19-35 months, NIS

uses a landline RDD sample and computer-assisted telephone interview (CATI) technology to screen approximately 1 million households each year. The process of identifying this large number of households—most of which are ultimately age-ineligible for NIS—offers an opportunity to administer other surveys on a range of health- and welfare-related topics in an operationally seamless, cost-effective, and statistically sound manner.

Unit Nonresponse in the 2007 NSCH

The stages of the 2007 NSCH and the types of nonrespondents are shown in the Figure. A list-assisted (9) RDD sample of landline telephone numbers is drawn in each state, and an attempt is made to identify and interview households containing children under age 18 years. To contribute to the survey estimates, a telephone number that is part of the initial sample must first be "resolved"; that is, it must be determined whether the telephone number belongs to a household. If a

household is identified, it must then be screened for the presence of children under age 18. If the household contains such children, a child is selected randomly, a detailed interview about that child is administered, and survey estimates are produced from the resulting data (8).

Nonresponse can occur at any of the three stages. For some telephone numbers, it is never determined whether the number belongs to a household. That is, some numbers remain unresolved. Some households that have been identified do not complete the age-eligibility screener, and some households that are identified as containing children under age 18 do not complete the detailed interview. This report explores the effects of the three types of nonrespondents—nonresolved, non-age-screened, and noninterviewed—on key national survey estimates.

Nonresponse Bias

Nonresponse bias in a survey estimate (\overline{y}_r) can be expressed in two forms (10). The first formulation

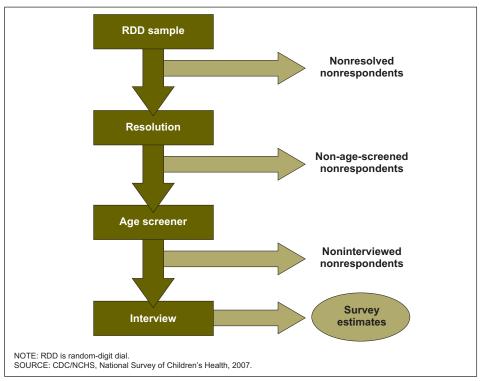


Figure. Stages and types of nonrespondents in the 2007 National Survey of Children's Health

assumes that each unit in the target population is, a priori, either a respondent or a nonrespondent:

$$\operatorname{Bias}(\overline{y}_{\mathrm{r}}) = \frac{M}{N}(\overline{Y}_{\mathrm{r}} - \overline{Y}_{\mathrm{m}})$$

where M is the number of nonrespondents in the population, N is the total number of units in the target population, \overline{Y}_r is the mean for respondents in the target population, and \overline{Y}_m is the mean for nonrespondents in the target population.

The second formulation assumes that each unit (*i*) in the target population has a propensity (ρ_i) to respond:

Bias
$$(\overline{y}_r) \approx \frac{\sigma_{y\rho}}{\overline{\rho}}$$

where σ_{yp} is the correlation between the survey variable and the response propensity (ρ) , and $\bar{\rho}$ is the mean response propensity in the population. In either formulation then, the bias is related to both the response rate and the degree to which the respondents differ from the nonrespondents with respect to the survey variable.

The response rate is known, or at least estimated, from the results of the survey data collection operation. Table 1 presents the national weighted response rate and its components. The response rate was calculated in accordance with the American Association for Public Opinion Research standards for Response Rate 4 (11). This response rate calculation recognizes that some cases of unknown eligibility (e.g., telephone lines that rang with no answer, or households in which the person answering the phone refused to say whether the household included children) were in fact eligible. In accordance with Council of American Survey Research Organizations guidelines, the proportion of eligible cases among those with unknown eligibility was assumed to be the same as the proportion of eligible cases among those with known eligibility. Although this response rate is on the upper end of the expected range for an RDD survey, 50%-60% nonresponse represents a potential for substantial

nonresponse bias. However, this is only a potential. A meta-analysis of nonresponse bias studies (10) revealed little to no relationship between the nonresponse rate and nonresponse bias. In fact, there was more variation in nonresponse bias between estimates from the same survey than between estimates from different surveys with differing response rates.

The more important factor contributing to nonresponse bias is the degree to which respondents differ from nonrespondents in regard to the survey variables. This quantity is generally unknown, and nonresponse bias analyses attempt to measure this difference in either a direct or an indirect way. From a review of the nonresponse bias literature, Groves (10) identified the following five nonresponse bias study designs and discussed the strengths and weaknesses of the design alternatives:

- Comparing response rates across subgroups.
- Using rich sampling frame data or supplemental matched data.
- Studying variation within the existing survey.
- Comparing similar estimates from other sources.
- Contrasting alternative post-survey adjustments for nonresponse.

The present report gives the results of studies based on four of these five designs. (Alternative post-survey adjustments for nonresponse are not available for the 2007 NSCH.) Each of these approaches has its weaknesses (10). Although there was no guarantee of the outcome, it was hoped that using several different approaches would overcome the weaknesses of any individual approach and would yield an accurate picture of nonresponse bias.

Information Available on Nonrespondents

Several of the approaches to assessing nonresponse bias rely on the availability of information on both respondents and nonrespondents. Because NSCH is an RDD survey, the

information available on nonrespondents is very limited. Table 2 shows the information known for both respondents and nonrespondents in the 2007 NSCH. Because this information is available on the sampling frame and is not collected during the survey itself, it is referred to here as the "frame information." The first two variables—residential listed status and advance letter status-are case-specific. The remaining variables are ecological; that is, they contain information not about each case specifically but about the telephone exchange containing the case's telephone number. (A telephone exchange is the area code plus the first three digits of the telephone number.) For example, although the income of each case is unknown, the median income for households sharing the case's telephone exchange is known. This ecological information is based on census-tract-level data, aggregated to the telephone-exchange level. Note that telephone exchanges vary widely in terms of the number of people they contain, from fewer than 10 to tens of thousands, and so there can be significant individual variation within a telephone exchange.

Key Survey Estimates

In assessing nonresponse bias, this report will focus on six selected survey estimates that represent the six major content areas for the survey: health, insurance coverage, health care utilization, health care quality, child and family well-being, and neighborhood characteristics. The following estimates were selected from among the key national indicators for children of all ages presented in MCHB's *The National Survey of Children's Health 2007* (12):

- The proportion of children in excellent or very good health.
- The proportion of children with consistent insurance coverage (i.e., with no periods of uninsurance) during the past 12 months.
- The proportion of children who have had one or more medical preventive care visits in the past 12 months.

- The proportion of children who receive coordinated, ongoing, comprehensive care within a medical home.
- The proportion of children whose families ate a meal together every day in the past week.
- The proportion of children usually or always safe in their community or neighborhood.

The survey respondent was a parent or guardian who lived in the household and who knew about the health and health care of the child. Data collected represent the experiences and perceptions of those respondents, and estimates may be subject to measurement errors (such as respondent memory, classification, and reporting errors) that are not considered in this nonresponse report.

NSCH Weighting

This report seeks to answer two questions:

- What level of bias would be present in the key survey estimates if no post-survey adjustments for nonresponse were performed? That is, what is the effect of nonresponse on the raw estimates?
- How well do the post-survey adjustments for nonresponse mitigate the raw nonresponse bias?

To answer these questions, each analysis presented in the next section is preformed twice: first using only the base weights (i.e., the weights that reflect the probabilities of telephone number selection but do not reflect post-survey adjustments) and then using either the nonresponse-adjusted weights (the weights that have been adjusted for nonresponse at each stage) or the final weights that have been both adjusted for nonresponse at each stage and raked to population control totals. For a full description of the weighting procedures, see "Design and Operation of the National Survey of Children's Health, 2007" (8).

Assessing Nonresponse Bias in the 2007 NSCH

Comparing Response Rates Across Subgroups

A comparison of response rates across subgroups could reveal the presence of nonresponse bias in a survey. If the response rate is lower for a particular subgroup relative to that of other subgroups, that could indicate that the subgroup is underrepresented in the final sample and, to the extent that the key survey estimate is different for that particular subgroup than for other subgroups, there would be bias in the overall survey estimate. Similarly, if the response rate is higher for a particular subgroup relative to other subgroups, that would indicate that the subgroup is overrepresented in the final sample, and, to the extent that the key survey estimate is different for that particular subgroup than for other subgroups, there would be bias in the overall survey estimate. On the other hand, if the response rate is the same across subgroups, or if the key survey estimate does not differ among subgroups, the key survey estimate could still be biased, but unequal response rates across these subgroups will have been ruled out as a source of bias.

Table 3 presents the national response rates for various subgroups. The response rates are presented first using only the base weights and then using the weights that have been sequentially adjusted for nonresponse at each stage. The subgroups were formed based on the frame information listed in Table 2; for each of the continuous variables in Table 2, cases were classified into two subgroups: those with values above and those with values below the median value of the variable for all sampled cases.

These tables show that it was more difficult to interview households in urban areas, in wealthier areas, and in areas with larger nonwhite populations.

The response rates were more than 5 percentage points higher for cases outside of metropolitan statistical areas (MSAs) than for cases inside MSAs, and about 3 to 4 percentage points lower for areas with higher household density. The response rates were lower in areas that were above the median in terms of measures associated with wealth (e.g., household income, home value, rental costs) and higher in areas with a relatively older population. Finally, the response rates were 5 to 6 percentage points higher in areas above the median in terms of percentage of the population that is white, and lower in areas above the median in terms of percentage of the population that is Hispanic, black, or Asian. As can be seen when comparing the base-weighted response rates with those using the adjusted weights, the weighting adjustments for nonresponse did little to remove these response rate differences.

There are two limitations to this approach. First, in order to form subgroups each continuous sampling frame variable in Table 2 had to be categorized into groups, resulting in a loss of some of the information contained in these variables. Second, the "adjusted" response rates presented in Table 3 necessarily reflect only the weighting adjustments for nonresponse at each stage and not the final raking of the weights to population control totals; the extent to which this final raking reduced the under- or overrepresentation of a particular subgroup in the final weighted sample is not captured by this analysis. The next section presents a similar approach that is not subject to the first limitation.

Using Rich Sampling Frame Data or Supplemental Matched Data

In the previous section, response rates were compared among subgroups defined using sampling frame information (i.e., the variables listed in Table 2). The converse of that analysis is presented here. The frame information

is used to compare the respondents at each stage of the survey with all cases eligible for the stage. With the frame information for both respondents and nonrespondents at each stage, the stage-specific nonresponse bias in these variables can be measured directly. Next, the overall nonresponse bias in each frame variable for the survey is estimated. For this second step, the stage-specific measures of bias in the frame variables are used to estimate the total nonresponse bias in each frame variable across the stages of the survey. Finally, statistical models are employed to translate the estimated overall biases in the frame variables into estimates of bias in the key survey estimates. In this way, the transition is made from nonresponse bias in the frame variables to estimates of nonresponse bias in the key survey estimates.

For each stage of the survey, Table 4 shows a comparison of the frame information for the entire sample eligible for the stage and for the respondents to the stage, first using the base weights only and then using the weights that have been sequentially adjusted for nonresponse at each stage.

An example will be useful. Looking at the "listed" variable in Table 4, using the base weights reveals that 40.84% of the entire sample of telephone numbers are residential-listed, and among the resolved cases (i.e., the respondents to the resolution stage), 36.50% are residential-listed. That is, using the unadjusted base weights, the resolved cases are 10.62% less residential-listed than they would be under full response to the resolution stage of the survey; after the resolution stage, without any adjustment for nonresolution, the sample is biased downward 10.62% in terms of residential-listed status. However, using the weights that have been adjusted for nonresolution, 40.84% of the resolved cases are residential-listed; that is, all of the bias in residential-listed status due to nonresolution has been removed by the nonresponse adjustment. (This is to be expected because residential-listed status was one of the variables used to form the nonresponse adjustment cells.)

Moving to the age-screener stage and using only the unadjusted base

weights, among all resolved households 86.39% are residential-listed, and among age-screener respondents 87.30% are residential-listed. That is, the age-screener respondents are 1.05% more residential-listed than they would be if there were full response at the age-screener stage, meaning that an upward bias of 1.05% was introduced in residential-listed status at the age-screener stage. However, using the nonresolution-adjusted weights, 88.29% of resolved households are listed and, using the weights that were adjusted for nonresponse to the age-screener, 88.29% of age-screened households are listed. Thus, the weighting adjustment for non-age-screening removed all the bias introduced by nonresponse to the age-screener stage.

Finally, moving to the interview stage and using only the base weights, among households with an age-eligible child 84.39% are residential-listed and 86.34% of the completed interviews are residential-listed; that is, households completing the interview were 2.31% more residential-listed than all households that screened as eligible to complete the interview, indicating an upward bias of 2.31% at the interview stage. Using the weights adjusted for non-age-screening, 85.45% of the age-eligible households are listed and. using the weights that were adjusted for nonresponse to the interview, 85.84% of interviewed households are listed. Thus, the interview nonresponse adjustment lowered, but did not completely eliminate, the residential-listed bias introduced due to interview nonresponse.

Multiplying together the biases at the resolution, age-screener, and interview stages calculated using only the base weights, it was estimated that the eligible household population identified and interviewed is 7.59% less residential-listed than the eligible household population as a whole. In making this multiplication, it is assumed (a) that the proportion residential-listed among unresolved cases that are really households, is equal to the proportion residential-listed among the resolved households, and (b) that the proportion residential-listed among the non-agescreened households that are really

age-eligible is equal to the proportion residential-listed among the agescreened eligible households. (These are the same types of assumptions that were made when calculating the response rates in this report.) By doing the same calculation but using the weights that were sequentially adjusted for nonresponse to each stage, it was estimated that the eligible household population identified and interviewed is 0.46% more residential-listed than the eligible household population as a whole. That is, although it was estimated that a bias of about 7%-8% in residential-listed status was introduced due to nonresponse at the resolution, age-screener, and interview stages, the weighting adjustments for nonresponse eliminated nearly all of that bias.

As shown in Table 4, this is generally the case for the other frame variables as well—although nonresponse introduced biases, the nonresponse adjustments substantially reduced those biases. The variables with the largest biases remaining after the nonresponse adjustments are advance letter status (-1.25%), the percentage of the population that is Hispanic in the telephone exchange (-2.25%), and the percentage of the population that is non-Hispanic black in the telephone exchange (-2.09%).

Table 5 shows the observed means of the frame variables for respondents and the means that would be expected under full response. For example, using the base weight, the median household income in the telephone exchange for respondents who completed the interview is \$55,940. Table 4 shows the estimated median income to be 0.65% less than would be expected under full response; that is, the median household income in the telephone exchange is expected to be \$56,305 under full response:

$$$56,305 = \frac{$55,940}{(1 - 0.0065)}$$
.

These biases in the frame information translate into biases in the key survey estimates only to the extent that the frame information is related to the key survey estimates. To examine these relationships, for each key survey

estimate a logistic regression model was filled on the respondents of the form:

$$p_i = \frac{e^{X_i'\beta}}{1 + e^{X_i'\beta}} ,$$

where p_i is the probability that the *i*th respondent's child is positive for the key survey variable (e.g., is in excellent or very good health and had consistent insurance coverage in the past 12 months), X_i is a vector containing the frame information for the *i*th child, and β is a vector of unknown parameters to be estimated.

Evaluating the fitted model first at the observed means of the frame information and then at the expected means of the frame information from Table 5 yields an estimate of the bias in each key survey estimate that can be attributed to biases in the frame variables due to nonresponse. These estimates of biases in the key survey estimates are shown in Table 6, first using the base weights only and then using the weights that have been sequentially adjusted for nonresponse at each stage.

As these tables show, the biases in the frame information translate into smaller biases in the key survey estimates. It is estimated that the largest bias when the base weights are used is in the proportion of children whose families ate a meal together every day in the past week (1.05% bias), but this bias is reduced to -0.10% when the nonresponse-adjusted weights are used. The largest absolute bias when the nonresponse-adjusted weights are used is in the proportion of children with a medical home (0.35% bias).

Although these results suggest that differences between respondents and nonrespondents in terms of the frame information lead to very little bias in the key survey estimates, this does not necessarily mean that the key survey estimates are biased very little. It is possible that there are differences between the respondents and nonrespondents that are not reflected in the frame information. Additionally, the results in this section do not reflect the final raking of the nonresponse-adjusted weights to population control totals. This final raking could have reduced or

increased bias, but if it did, that reduction or increase was not captured in the analysis in this section. The next section presents an analysis that makes use of the final, raked weights.

Studying Variation Within the Existing Survey

In a level-of-effort analysis, those respondents who respond only after a great deal of interviewing effort has been applied are assumed to resemble nonrespondents. Given this assumption, a difference in a survey estimate between "high-effort" respondents and "low-effort" respondents would indicate that a difference exists between the respondents and nonrespondents, and therefore the survey estimate is biased.

This "interviewing effort" is measured in three ways: verbal refusal status, nonverbal refusal status [i.e., whether the respondent "hung up during the introduction" (HUDI)], and the number of calls placed. It is assumed that respondents who verbally refused at least once, who nonverbally refused at least once, or who required more calls before completing the interview are high-effort respondents and resemble the nonrespondents with respect to the key survey variables.

Table 7 compares the key survey estimates for converted verbal-refusal cases with those for cases that completed the interview without verbally refusing. The comparison is made first using the base weights and then using the final weights that have been adjusted for nonresponse and raked to population control totals. Table 8 compares converted HUDIs with cases that completed without an HUDI, and Table 9 compares households completing the interview in five or more calls with those completing in four or fewer calls. If high-effort respondents resemble nonrespondents, then a difference in the survey estimate between converted refusals and nonrefusals, between converted HUDIs and non-HUDIs, or between those completing in five or more calls and those completing in four or fewer calls would suggest the presence of nonresponse bias.

The following summarizes the findings of the level-of-effort analyses for each of the key survey estimates presented in the tables:

- The percentage of children in excellent or very good health is significantly higher for converted refusals and significantly lower for converted HUDIs and households completing in five or more calls.
- The percentage of children with consistent insurance in the past 12 months is significantly higher for converted refusals and significantly lower for converted HUDIs and households completing in five or more calls.
- The percentage of children with one or more medical preventive care visits in the past 12 months is not significantly different for converted refusals, converted HUDIs, or households completing in five or more calls.
- The percentage of children with a medical home is significantly higher for converted refusals and significantly lower for converted HUDIs and households completing in five or more calls.
- The percentage of children whose families ate a meal together every day in the past week is not significantly different for converted refusals but is significantly lower for converted HUDIs and for households completing in five or more calls.
- The percentage of children usually or always safe in the community or neighborhood is significantly higher for converted refusals and significantly lower for converted HUDIs and households completing in five or more calls.

Conclusions that could be drawn from this level-of-effort analysis rely on the assumption that high-effort respondents resemble nonrespondents with respect to the survey variables. The validity of this assumption is highly questionable, and some studies have found that it does not hold (13,14). To test the assumption, the level-of-effort analyses were repeated using the frame information shown in Table 2.

Ideally, the same analyses would have been conducted, but instead of using the key survey variables (the values of which were lacking for nonrespondents), the frame information (which was available for both respondents and nonrespondents) would be used. That is, low-effort and high-effort respondents would be compared with nonrespondents. However, the definition of "nonrespondent" must be based on the definition of "respondent." If respondents are defined as all interviewed cases (as they were in the level-of-effort analyses above), then by the fact that they were interviewed it is known that they are households with children. To compare them fairly with nonrespondents, the nonrespondents would have to be defined in the same way; that is, nonresolved nonrespondents would have to be defined as households with children whose telephone number was never resolved; non-age-screened nonrespondents would have to be defined as households with children who were never age-screened; and noninterviewed nonrespondents would have to be defined as households with children who were never interviewed. Yet if the telephone number was never resolved or never age-screened, there is no way to know whether the number belongs to a household with children. Therefore, if respondents are defined as all interviewed households, the corresponding nonrespondents cannot be identified at the resolution and screener stages.

Therefore, in testing the assumptions, respondents and nonrespondents were defined at each stage separately; that is, at the resolution stage, respondents are all resolved telephone numbers and nonrespondents are all nonresolved telephone numbers; at the age-screening stage, respondents are all age-screened households and nonrespondents are telephone numbers that have been resolved as households but have not been age-screened; and at the interviewing stage, respondents are all age-eligible interviewed households and nonrespondents are all age-eligible households that were not interviewed. This test of the assumptions, then, is not

a full test of the level-of-effort analyses described above. Nevertheless, in defining nonrespondents and respondents differently at each stage, it is still possible to test the assumption that high-effort respondents resemble nonrespondents within each stage.

In testing the assumption, low-effort respondents at each stage are defined in three ways: as those cases completing the stage without refusing, those completing the stage without an HUDI, and those completing the stage in four or fewer calls. High-effort respondents are correspondingly defined as those cases completing the stage after refusing during the stage, those completing the stage after an HUDI during the stage, and those completing the stage in five or more calls.

Tables 10–12 show, for the frame variables, the percentage difference between nonrespondents and respondents at each stage and the percentage difference between high- and low-effort respondents at each stage, where "effort" is defined based on refusal status, HUDI status, and the number of calls for the stage. The tables also indicate which of the differences are significant at the 0.05, 0.01, and 0.001 levels.

Table 10 suggests that the difference between converted refusals and nonrefusals is not indicative of the difference between nonrespondents and respondents. For the frame variables, the refusal/nonrefusal difference and the nonrespondent/respondent difference disagree in sign or magnitude for the majority of the comparisons. In fact, the correlation between the refusal/ nonrefusal differences and the nonrespondent/respondent differences is actually negative (-0.49).

The difference between HUDI and non-HUDI is a better indicator of the nonrespondent/respondent difference.

Table 11 shows that the sign of the HUDI/non-HUDI difference is the same as the sign of the nonrespondent/ respondent difference for 25 of the 34 comparisons. The correlation between the HUDI/non-HUDI differences and the nonrespondent/respondent differences is 0.72, indicating fairly good agreement.

The high-call-attempt/low-call-attempt difference is the best predictor

of the nonrespondent/respondent difference. Table 12 shows that the signs of the differences agree for 46 of the 51 comparisons. The correlation between the high-call-attempt/low-call-attempt differences is very high at 0.98.

This test of the assumptions, then, supports the idea that high-effort respondents resemble nonrespondents when effort is defined in terms of the number of call attempts. (But note that just because this assumption holds for the frame variables, it need not hold for the key survey variables.) Returning to the analysis of the key survey variables by the number of calls needed to complete the survey (Table 9), and accepting the assumption that respondents requiring five or more calls to complete resemble nonrespondents, it would appear that the final estimates of the percentage of children in excellent or very good health, the percentage with consistent insurance coverage in the past 12 months, the percentage with a medical home, the percentage whose families ate a meal together every day in the past week, and the percentage usually or always safe in the community or neighborhood are all too high (i.e., they are biased upward).

To turn the differences between those completing in five or more calls and those completing in four or fewer calls into numerical estimates of bias for each key survey estimate, the five-or-more-calls respondent mean of the key survey estimate is assigned to all nonrespondents. The results are presented in Table 13. For example, when the base weights are used, the percentage of children in excellent or very good health based on all respondents is 87.27%, and Table 9 shows that the rate for respondents completing in five or more calls is 86.04%. According to Table 1, the response rate using base weights is 46.6% (and therefore the nonresponse rate is 53.4%). Assigning a weight of 0.466 to the 87.27% estimate for respondents, and assuming an estimate of 86.04% for the nonrespondents and assigning them a weight of 0.534, results in an overall estimate for both respondents and nonrespondents of the percentage of children in excellent or very good health of 86.61%.

With this method, the largest estimated bias across the key survey estimates was in the estimate of the percentage of children with a medical home (1.56% using base weights; 1.86% using final weights). Since the estimates of the biases are similar when the base weights and final weights are used, the weighting adjustments seem to have had little effect on the bias.

Comparing Similar Estimates From Other Sources

The National Health Interview Survey (NHIS) produces national-level estimates of health outcomes based on personal household interviews. Because NHIS is a face-to-face survey, the response rate is much higher than that of NSCH; in 2007, the overall response rate for the child component of NHIS was 76.5%, compared with 46.7% for the 2007 NSCH. In addition, NHIS covers households that do not have landline telephone service, whereas NSCH does not. NHIS is thus a higher quality source of national-level estimates of the health of children. By taking the NHIS estimates as "truth" and comparing NSCH estimates with corresponding estimates from NHIS, the bias in the NSCH estimates due to noncoverage and nonresponse can be estimated. This comparison is done for the estimates of the percentage of children in excellent or very good health and the percentage of children with consistent insurance in the past 12 months. (NHIS estimates are not available for the other key NSCH estimates.)

Table 14 shows a comparison of the national estimates of the percentage of children reported to be in excellent or very good health from the 2007 NSCH and the 2007 NHIS for all children and for age, gender, race, and household education subgroups. Table 15 shows the same comparisons for the national estimates of the percentage of children with consistent insurance in the past 12 months. The NSCH estimates are presented using both the NSCH base weights and the NSCH final weights;

the NHIS estimates are presented using the final NHIS weights.

Examination of Table 14 reveals that when the base weights are used, the NSCH estimate of the percentage of children in excellent or very good health is somewhat higher than the corresponding NHIS estimate. The NSCH weighting adjustments moved the estimate closer to the NHIS estimate, but the final weighted NSCH estimate remains 1.76 percentage points higher than the NHIS estimate, a difference that is statistically significant. This result is consistent with the level-ofeffort analysis, which found evidence of upward bias in the NSCH estimate (see Table 13).

The final NSCH estimates are also significantly higher than the NHIS estimates for several of the subgroups in Table 14 (children aged 0–4 years, children aged 12–17 years, males, non-Hispanic white children, and children whose mother has more than a high school education). The NSCH estimate is significantly lower than the NHIS estimate for Hispanic children and for children whose mother has less than a high school education.

Table 15 shows that the overall NSCH estimate of the percentage of children with consistent insurance in the past 12 months is similar to the corresponding NHIS estimate when the NSCH base weights are used; however, the NSCH weighting adjustments moved the final NSCH estimates lower: the NSCH estimate is 2.5 percentage points lower than the NHIS estimate when the final NSCH weights are used. The final NSCH estimate is also significantly lower than the NHIS estimate for most of the subgroups (children aged 0-4 years, children aged 5-9 years, males, females, Hispanic children, non-Hispanic black children, children in each mother's education category, and children whose father's education level is high school graduate or beyond).

The finding that the NSCH estimate of the percentage of children with consistent insurance in the past 12 months is significantly lower than the NHIS estimate is surprising. Based on the frame information analysis, finding bias in this estimate was unexpected;

and based on the level-of-effort analysis, the NSCH estimate was expected to be biased upward, not downward. It should be noted that these analyses measured nonresponse bias and not bias due to noncoverage, so the differences seen between the NSCH and NHIS estimates could be due to NSCH's noncoverage of no-phone and cell-phone-only households. Another explanation may be that although the concept of "consistent insurance" was the same in both NSCH and NHIS, the survey questions on which this estimate is based differed somewhat between the two surveys.

Conclusions

Assessing the extent to which nonresponse produces biased survey estimates is difficult, particularly in a multistage RDD survey where little is known about the nonrespondents. In this report, the most commonly used methods were applied; each has its shortcomings, but multiple approaches were taken with the hope of drawing reasonably accurate conclusions about the level of nonresponse bias in key survey estimates.

In general, it was found that the interviewed population was more likely to live in rural and other areas with lower household density when compared with the nonresponding population. The interviewed population was also more likely to live in areas associated with higher levels of home ownership, lower home values, and a greater percentage of non-Hispanic white persons. Even when the nonresponse-adjusted weights were used, minor differences by home ownership, home values, and race remained. Table 16 presents the resulting estimates of bias for each key NSCH estimate. These findings are summarized below, and some possible limitations are discussed.

Children in Excellent or Very Good Health

The reported national estimates of the percentage of children in excellent or very good health are likely too high. The final, national estimate is 84.37%,

with a 95% confidence interval of 83.67%-85.03%. Based on the frame information analysis and the level-ofeffort analysis, it is estimated that this percentage is biased by 0.12% and 0.98%, respectively. (Note that the biases are presented here in percentage terms, not absolute terms, so that a 0.98% bias in an estimate of 84.37% means that the reported estimate is 0.98% higher than the true value; that is, the true value is 84.37%/1.0098 =83.55%.) Similarly, if the corresponding NHIS estimate is taken as the true value, the NSCH estimate is found to be too high (1.76 percentage point bias, or 2.13% bias).

Children With Consistent Insurance in the Past 12 Months

Inconsistent measures were obtained for the bias in the estimates of percentage of children with consistent insurance in the past 12 months. The final, national estimate is 84.90% (84.23%-85.54%), and the estimates of bias are 0.06% (from the frame analysis) and 0.42% (from the level-of-effort analysis). Both of these bias estimates imply that the true value is within the reported 95% confidence interval. However, when compared with the corresponding estimate from NHIS, the NSCH estimate was found to have a statistically significant bias of -2.50 percentage points, or -2.86% bias. This inconsistency between the measures of bias may be due to the fact that the comparison with the NHIS estimate is measuring both noncoverage and nonresponse bias, whereas the frame analysis and level-of-effort analysis are measuring only nonresponse bias. Additionally, because the survey questions used to define "consistent insurance" differed between NSCH and NHIS, the estimates produced from the two surveys may not be measuring the same construct.

Children With One or More Preventive Medical Care Visits in the Past 12 Months

There was no evidence of significant bias in the percentage of children with one or more preventive medical care visits in the past 12 months. The final, national estimate is 88.50% (87.98%–89.02%). The estimated bias is 0.01% from the frame analysis and -0.10% from the level-of-effort analysis.

Children With a Medical Home

The estimate of the percentage of children with a medical home is likely too high. The final, national estimate is 57.52% (56.68%–58.37%), and the bias estimates are 0.35% (frame analysis) and 1.86% (level-of-effort analysis).

Children Whose Families Ate a Meal Together Every Day in the Past Week

Measures of the bias in the estimates of percentage of children whose families ate a meal together every day in the past week were inconsistent. The final, national estimate is 45.78% (44.96%–46.61%), and the estimates of bias are -0.10% (frame analysis) and 0.80% (level-of-effort analysis).

Children Usually or Always Safe in the Community or Neighborhood

The final, national estimate of the percentage of children usually or always safe in the community or neighborhood is 86.05% (85.45%–86.66%). The estimates of bias are 0.16% (frame analysis) and 0.40% (level-of-effort analysis), indicating that the final estimate is slightly too high.

Limitations

This report focused on six survey estimates. Each estimate was selected to represent its associated content area: health, insurance coverage, health care utilization, health care quality, child and family well-being, and neighborhood characteristics. However, evidence of nonresponse bias (or lack thereof) for one estimate does not indicate the presence (or absence) of nonresponse bias for all other estimates within the content area. Nonresponse bias can and does vary for every survey estimate. Still, the scope of any nonresponse bias analysis must be limited to selected survey estimates, and there is no reason to believe that the selected survey estimates are more or less susceptible to nonresponse bias than any others.

As with any nonresponse bias analysis, the findings are limited by the information that is available about the nonrespondents. Throughout, models were used and assumptions were made, some or all of which may be inaccurate or incomplete. In transforming the measured bias in the frame information into bias in the key survey estimates, models were used to relate the frame information to the key survey estimates; however, because the frame variables (which are nearly all at the telephoneexchange level and not at the case level) are not strongly related to the key survey estimates, the models may not have had much power to detect bias in those estimates. The level-of-effort analysis relied on the assumption that those responding only after five or more call attempts resemble nonrespondents with respect to the key survey variables. Although this was shown to be true with respect to the frame variables, it need not be true for the key survey variables. Finally, comparison of the key survey estimates with those obtained from NHIS relied on the assumption that the NHIS estimates are accurate, which may not be the case if NHIS suffers from nonresponse or other forms of bias. Moreover, the NHIS estimates were available for only two of the six key survey variables. To the extent that the

models and assumptions used in the present analyses are not valid, the conclusions may be incorrect.

Still, use of four different approaches consistently revealed no evidence of significant bias in the proportion of children with preventive medical care visits, with families who ate daily meals together, or those living in safe neighborhoods. Bias was found (although none greater than 3%) in national estimates of the proportion of children in excellent or very good health, with consistent health insurance coverage, and with a medical home. However, the level and direction of the bias depended on the approach used to measure it. Thus, no consistent evidence was found of significant bias in six survey estimates that represent the six major content areas of the 2007 National Survey of Children's Health.

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Table 1. National weighted response rates

Weights used	Resolution rate	Screener completion rate	Interview completion rate	CASRO ¹ response rate			
		Percent					
Base	81.9	86.3	66.0	46.6			
Adjusted	81.9	86.4	66.0	46.7			

¹CASRO is Council of American Survey Research Organizations. The CASRO response rate is the product of the resolution rate, the age-screener completion rate, and the interview completion rate.

Table 2. Information available for both respondents and nonrespondents

Variable name	Description
Listed	Indicator of residential listed status.
Advance_letter	Indicator of advance letter sent status.
MSA	Indicator of metropolitan statistical area (MSA) status.
Median_HH_income	Median household (HH) income in the telephone exchange.
Median_home_val	Median home value in the telephone exchange.
Median_rent	Median rent in the telephone exchange.
Median_years_educ	Median years of education of the population in the telephone exchange.
College_graduate	Percentage of the population in the telephone exchange that are college graduates.
Approx_median_age	Approximate median age of the population in the telephone exchange.
Hispanic_p	Percentage of the population in the telephone exchange that is Hispanic.
White_p	Percentage of the population in the telephone exchange that is non-Hispanic white.
Black_p	Percentage of the population in the telephone exchange that is non-Hispanic black.
Asian_pacif_p	Percentage of the population in the telephone exchange that is non-Hispanic Asian or Pacific Islander.
Household_density	Household density in the telephone exchange.
Percent_listed	Percentage of telephone numbers in the telephone exchange that are residential-listed.
Owner_occupied_p	Percentage of homes in the telephone exchange that are owner-occupied.
Rent_other_p	Percentage of homes in the telephone exchange that are rented or otherwise not owner-occupied.

Table 3. National response rates by frame variables using base weights and nonresponse-adjusted weights

Frame variable ¹	Value	Using base weights	Using nonresponse- adjusted weights
		F	Percent
Listed	Not listed	40.89	40.85
	Listed	43.10	43.03
Advance_letter	Not sent	41.48	41.53
	Sent	42.33	42.37
//ISA	Outside of MSA	51.25	51.38
	In MSA	45.71	45.79
Median_HH_income	Below median	47.60	47.72
	Above median	45.72	45.76
Median_home_val	Below median	49.12	49.26
	Above median	44.28	44.33
Median_rent	Below median	49.43	49.58
	Above median	44.01	44.06
Median_years_educ	Below median	46.59	46.73
	Above median	46.66	46.67
College_graduate	Below median	46.89	47.03
	Above median	46.39	46.39
pprox_median_age	Below median	45.43	45.53
	Above median	48.01	48.05
lispanic_p	Below median	49.44	49.56
	Above median	43.84	43.95
Vhite_p	Below median	43.80	43.91
	Above median	49.22	49.30
llack_p	Below median	47.86	48.00
	Above median	45.31	45.29
sian_pacif_p	Below median	48.73	48.73
	Above median	44.64	44.80
lousehold_density	Below median	49.00	48.97
	Above median	45.37	45.52
ercent_listed	Below median	45.56	45.65
	Above median	47.01	47.08
Owner_occupied_p	Below median	45.23	45.15
	Above median	47.74	47.92
Rent_other_p	Below median	47.73	47.92
	Above median	45.26	45.18

¹See Table 2 for description of each variable name.

Table 4. Use of frame information to compare respondents and nonrespondents at each stage

			Using base weights		Using nonresponse-adjusted weights			
Frame variable ¹	Stage ²	All cases eligible for the stage	Respondents at the stage	Percent difference ²	All cases eligible for the stage	Respondents at the stage	Percent difference ²	
				Pero	ent			
Listed	1. Resolution	40.84	36.50	-10.62	40.84	40.84	0.00	
	2. Age screener	86.39	87.30	1.05	88.29	88.29	0.00	
	Interview	84.39	86.34	2.31	85.45	85.84	0.46	
	Overall			-7.59			0.46	
Advance_ letter	1. Resolution	33.51	29.01	-13.43	33.51	31.88	-4.84	
	2. Age screener	79.14	80.01	1.10	79.67	80.20	0.66	
	3. Interview	78.03	80.90	3.68	78.12	80.53	3.09	
	Overall			-9.25			-1.25	
MSA	1. Resolution	81.72	81.24	-0.59	81.72	81.83	0.14	
	2. Age screener	81.97	81.57	-0.49	82.12	82.13	0.01	
	3. Interview	83.58	82.81	-0.93	84.27	84.22	-0.05	
	Overall			-1.99			0.09	
College_graduate	1. Resolution	26.27	26.15	-0.44	26.27	26.28	0.06	
33	2. Age screener	25.74	25.78	0.14	25.81	25.84	0.14	
	3. Interview	26.12	26.24	0.46	26.11	26.26	0.61	
	Overall			0.17			0.81	
Hispanic_p	1. Resolution	12.80	12.58	-1.75	12.80	12.78	-0.13	
_p	2. Age screener	12.38	11.99	-3.15	12.54	12.49	-0.45	
	3. Interview	13.06	12.46	-4.58	13.85	13.61	-1.68	
	Overall			-9.20			-2.25	
White p	1. Resolution	67.85	68.03	0.27	67.85	67.85	0.00	
•••••• <u> </u>	2. Age screener	69.72	70.40	0.98	69.68	69.80	0.19	
	3. Interview	69.40	70.44	1.49	68.70	69.14	0.63	
	Overall			2.76			0.81	
Black_p	1. Resolution	12.23	12.36	1.04	12.23	12.26	0.25	
	2. Age screener	11.23	11.04	-1.70	11.06	11.01	-0.49	
	3. Interview	10.78	10.50	-2.62	10.61	10.41	-1.85	
	Overall			-3.27			-2.09	
Asian_pacif_p	1. Resolution	4.37	4.28	-2.18	4.37	4.36	-0.29	
	2. Age screener	4.05	3.97	-2.03	4.10	4.09	-0.34	
	3. Interview	4.10	3.97	-3.11	4.17	4.18	0.16	
	Overall			-7.15			-0.47	
Percent_listed	1. Resolution	65.60	65.26	-0.51	65.60	65.47	-0.19	
	2. Age screener	70.13	70.32	0.27	70.13	70.14	0.02	
	3. Interview	69.85	70.19	0.48	69.67	69.75	0.11	
	Overall			0.25			-0.05	
Owner_occupied_p	1. Resolution	65.88	65.91	0.04	65.88	65.90	0.02	
oooooup.ou_p	2. Age screener	68.70	68.90	0.29	68.71	68.72	0.01	
	3. Interview	69.32	69.64	0.46	69.29	69.46	0.26	
	Overall			0.79			0.29	
Rent_other_p	1. Resolution	34.12	34.09	-0.08	34.12	34.10	-0.04	
sor_p	Age screener	31.30	31.10	-0.63	31.29	31.28	-0.04	
	3. Interview	30.68	30.36	-1.05	30.71	30.54	-0.58	
	Overall			-1.75			-0.64	
	Ovoidii	• • •		1.70	• • •		0.04	

See footnotes at end of table.

Table 4. Use of frame information to compare respondents and nonrespondents at each stage—Con.

		1	Using base weights		Using no	onresponse-adjusted	d weights
Frame variable ¹	Stage ²	All cases eligible for the stage	Respondents at the stage	Percent difference ²	All cases eligible for the stage	Respondents at the stage	Percent difference ²
		Value	(dollars)		Value	(dollars)	
Median_HH_income	Resolution Age screener Interview Overall	\$53,584 54,353 55,964	\$53,306 54,304 55,940	-0.52 -0.09 -0.04 -0.65	\$53,584 54,497 56,271	\$53,601 54,503 56,405	0.03 0.01 0.24 0.28
Median_home_val	Resolution Age screener Interview Overall	224,262 218,615 219,596	220,427 216,971 215,737	-1.71 -0.75 -1.76 -4.16	224,262 220,847 222,574	223,967 220,923 222,085	-0.13 0.03 -0.22 -0.32
Median_rent	 Resolution Age screener Interview Overall 	573 569 577	568 566 573	-0.90 -0.50 -0.82 -2.20	573 571 582	573 571 582	-0.01 -0.03 0.05 0.01
		Mediar	n (years)		Media	ın (years)	
Median_years_educ	Resolution Age screener Interview Overall	13.17 13.15 13.17	13.17 13.16 13.18	-0.05 0.05 0.10 0.10	13.17 13.15 13.16	13.18 13.16 13.17	0.01 0.02 0.10 0.13
Approx_median_age	Resolution Age screener Interview Overall	37.23 37.18 36.60	37.21 37.25 36.64	0.10 -0.04 0.18 0.12 0.26	37.23 37.20 36.47	37.22 37.22 36.49	-0.03 0.04 0.06 0.08
		Number o	of residents		Number	of residents	
Household_density	Resolution Age screener Interview Overall	2.53 2.57 2.63	2.52 2.56 2.62	-0.07 -0.34 -0.40 -0.82	2.53 2.57 2.65	2.53 2.57 2.64	0.07 -0.08 -0.16 -0.17

^{0.00} Quantity more than zero but less than 0.005.

^{. . .} Category not applicable.

¹See Table 2 for description of each variable name.

 $^{^2(\}mbox{Respondent mean at this stage}-\mbox{All eligible cases mean})/\mbox{All eligible cases mean}.$

The overall percentage is equal to the product of the percent difference across the resolution, age-screener, and interview stages. This provides an estimate of the percent difference in the frame variable between the interview respondents and the nonrespondents (at any stage) who are eligible for the interview (i.e., households with children); that is, it is an estimate of the over- or underrepresentation of the interviewed households compared with the eligible population as a whole. This technique assumes that the mean of the frame variable for the eligible nonrespondents is equal to the observed mean of the frame variable for the respondents. Using residential "Listed" as an example, it assumes that, among the nonresolved numbers that are actually households, the proportion listed is equal to proportion listed among the resolved households; and it assumes that, among the non-age-screened households that actually contain children, the proportion listed is equal to the proportion listed among the age-screened-eligible households.

Table 5. Observed and expected means of frame variables for respondents through the interview stage

	Using bas	se weights	Using nonrespons	e-adjusted weights	
Frame variable ¹	Observed	Expected	Observed	Expected	
			Percent		
Listed	86.34	93.44	85.84	85.45	
Advance_letter	80.90	89.15	80.53	81.55	
MSA	82.81	84.49	84.22	84.15	
College_graduate	26.24	26.20	26.26	26.05	
Hispanic_p	12.46	13.72	13.61	13.93	
White_p	70.44	68.54	69.14	68.58	
Black_p	10.50	10.85	10.41	10.64	
Asian_pacif_p	3.97	4.28	4.18	4.20	
Percent_listed	70.19	70.02	69.75	69.79	
Owner_occupied_p	69.64	69.10	69.46	69.26	
Rent_other_p	30.36	30.90	30.54	30.73	
		V	alue (dollars)		
Median HH income	\$55,940	\$56,305	\$56,405	\$56,247	
Median_home_val	215,737	225,110	222,085	222,790	
Median_rent	573	585	582	582	
			Years		
Median_years_educ	13.18	13.17	13.17	13.16	
Approx_median_age	36.64	36.55	36.49	36.46	
	Number of residents				
Household_density	2.62	2.64	2.64	2.65	

¹See Table 2 for description of each variable name.

Table 6. Estimates of nonresponse bias in key survey variables attributable to biases in frame information

	U	sing base weights		Using nonresponse-adjusted weights				
Key survey variable	Model evaluated at observed respondent means of frame information ¹	Model evaluated at means of frame information expected under full response	Estimated bias ²	Model evaluated at observed at means respondent means of frame information 1 full respor		Estimated bias ²		
			Per	cent				
Percentage of children in excellent or very good health	88.24	88.39	-0.17	86.43	86.32	0.12		
Percentage of children with consistent insurance coverage in the past 12 months	88.74	89.00	-0.30	86.73	86.68	0.06		
Percentage of children with one or more medical preventive care visits in the past 12 months	89.04	89.05	-0.01	89.19	89.19	0.01		
Percentage of children with a medical home	61.75	61.67	0.13	59.30	59.10	0.35		
Percentage of children whose families ate a meal together every day in the past week	42.99	42.55	1.05	45.00	45.04	-0.10		
Percentage of children usually or always safe in the community or neighborhood	90.84	90.86	-0.03	89.45	89.30	0.16		

¹Although the logistic regression models were evaluated at the observed means of the frame information, the results are not the observed means of the key survey variables (e.g., the final estimates of the proportion of children in excellent or very good health, or the proportion of children with a medical home), as would be the case for linear regression models.

 $^{^2}$ (Model evaluated at observed means – Model evaluated at expected means)/Model evaluated at expected means.

Table 7. Comparison of nonrefusals and converted refusals

		Using base weights				Using final weights			
Key survey variable	Estimate for nonrefusals	Estimate for converted refusals	Percent difference ¹	p value for test of no difference	Estimate for nonrefusals	Estimate for converted refusals	Percent difference ¹	p value for test of no difference	
		Percent				Percent			
Percentage of children in excellent or very good health	86.92	88.57	1.90	< 0.01	83.72	86.90	3.80	< 0.01	
Percentage of children with consistent insurance coverage in the past 12 months	87.30	89.72	2.78	< 0.01	84.33	87.14	3.33	< 0.01	
Percentage of children with one or more medical preventive care visits in the past 12 months	88.48	88.40	-0.10	0.87	88.57	88.22	-0.39	0.59	
Percentage of children with a medical home	61.04	63.47	3.97	< 0.01	56.84	60.22	5.95	< 0.01	
Percentage of children whose families ate a meal together every day in the past week	43.15	42.81	-0.79	0.68	45.95	45.12	-1.82	0.41	
Percentage of children usually or always safe in the community or neighborhood	88.76	90.15	1.57	< 0.01	85.60	87.85	2.63	< 0.01	

 $^{^{1}(}Converted\ refusal\ respondent\ mean-Nonrefusal\ respondent\ mean)/Nonrefusal\ respondent\ mean.$

Table 8. Comparison of non-HUDIs and converted HUDIs

	Using base weights				Using final weights			
Key survey variable	Estimate for non-HUDIs	Estimate for converted HUDIs	Percent difference ¹	p value for test of no difference	Estimate for non-HUDIs	Estimate for converted HUDIs	Percent difference ¹	p value for test of no difference
		Percent				Percent		
Percentage of children in excellent or very good health	88.85	83.60	-5.91	< 0.01	86.41	80.13	-7.28	< 0.01
Percentage of children with consistent insurance coverage in the past 12 months	88.68	85.80	-3.25	< 0.01	86.16	82.29	-4.49	< 0.01
Percentage of children with one or more medical preventive care visits in the past 12 months	88.61	88.13	-0.54	0.33	88.74	88.00	-0.83	0.21
Percentage of children with a medical home	63.68	56.63	-11.08	< 0.01	60.31	51.74	-14.22	< 0.01
Percentage of children whose families ate a meal together every day in the past week	43.71	41.60	-4.84	< 0.01	46.29	44.74	-3.34	0.09
Percentage of children usually or always safe in the community or neighborhood	90.00	86.85	-3.50	< 0.01	87.19	83.68	-4.02	< 0.01

 $^{^{1}(}Converted\ HUDI\ respondent\ mean-Non-HUDI\ respondent\ mean)/Non-HUDI\ respondent\ mean.$

NOTE: HUDI is hung up during the introduction.

Table 9. Comparison of low-call-attempt respondents and high-call-attempt respondents

		Using base weights				Using final weights			
Key survey variable	Estimate for respondents with 4 or fewer calls	Estimate for respondents with 5 or more calls	Percent difference ¹	p value for test of no difference	Estimate for respondents with 4 or fewer calls	Estimate for respondents with 5 or more calls	Percent difference ¹	p value for test of no difference	
		Percent				Percent			
Percentage of children in excellent or very good health	89.06	86.04	-3.39	< 0.01	86.72	82.83	-4.49	< 0.01	
Percentage of children with consistent insurance coverage in the past 12 months	88.51	87.34	-1.32	0.01	85.92	84.23	-1.96	0.01	
Percentage of children with one or more medical preventive care visits in the past 12 months	88.09	88.72	0.72	0.17	88.25	88.66	0.47	0.45	
Percentage of children with a medical home	64.11	59.79	-6.74	< 0.01	60.53	55.55	-8.22	< 0.01	
Percentage of children whose families ate a meal together every day in the past week	44.01	42.43	-3.59	0.02	46.83	45.10	-3.69	0.04	
Percentage of children usually or always safe in the community or neighborhood	89.98	88.42	-1.74	< 0.01	87.04	85.40	-1.88	< 0.01	

 $^{^1 (5\}hbox{-or-more-call respondent mean} - 4\hbox{-or-fewer-call respondent mean}) / (4\hbox{-or-fewer-call respondent mean}).$

Table 10. Use of frame information to compare nonrespondents and respondents, and nonrefusals and converted refusals, at each stage

Frame variable ¹	Stage ²	Nonrespondent/ respondent	High-/low-effort respondents ³
		Percent di	fference ^{4,5}
Listed	Age screener	-6.59 ***	-0.40
	Interview	-6.15 ***	1.86 *
Advance_letter	Age screener	-7.65 ***	-0.68
	Interview	-10.43 ***	6.47 ***
ISA	Age screener	3.55 ***	2.77 ***
	Interview	2.69 ***	0.27
1edian_HH_income	Age screener	0.68 *	3.94 ***
	Interview	0.06	2.52 **
1edian_home_val	Age screener	5.53 ***	5.78 ***
	Interview	5.52 ***	1.04
Median_rent	Age screener	3.68 ***	3.60 ***
	Interview	2.37 ***	1.10
/ledian_years_educ	Age screener	-0.35 ***	0.50 ***
	Interview	-0.31 **	0.41 *
College_graduate	Age screener	−1.05 **	3.28 ***
	CSHCN interview ⁶	−1.41 *	2.29 *
Approx_median_age	Age screener	-1.30 ***	-0.09
	Interview	-0.35 *	1.00 ***
lispanic_p	Age screener	23.75 ***	-3.59 *
	Interview	13.50 ***	-15.81 ***
Vhite_p	Age screener	-7.08 ***	1.98 ***
	Interview	-4.42 ***	4.59 ***
ilack_p	Age screener	12.35 ***	-11.28 ***
	Interview	8.66 ***	-6.29 **
sian_pacif_p	Age screener	15.26 ***	6.66 **
	Interview	8.43 **	-4.45
lousehold_density	Age screener	2.53 ***	0.71 ***
	Interview	1.20 ***	-1.24 **
ercent_listed	Age screener	-1.93 ***	0.51 **
	Interview	-1.36 ***	0.65
Owner_occupied_p	Age screener	-2.06 ***	1.27 ***
	Interview	-1.64 ***	1.45 **
Rent_other_p		4.57 *** 3.76 ***	-2.80 *** -3.30 **

^{*} p < 0.05

^{**} p < 0.01*** p < 0.001

¹See Table 2 for description of each variable name.

 $^{^{2}\}mbox{For this analysis, it is not possible for a case to refuse at the resolution stage.}$ ³High-effort respondents are those who refused at the stage before completing the stage. Low-effort respondents completed the stage without refusing.

⁴The percent difference for nonrespondent/respondent was calculated as follows: (Nonrespondent mean – Respondent mean)/Respondent mean.

⁵The percent difference for high-/low-effort respondents was calculated as follows: (High-effort respondent mean – Low-effort respondent mean)/Low-effort respondent mean.

⁶CSHCN is children with special health care needs.

Table 11. Use of frame information to compare nonrespondents and respondents, and non-HUDIs and converted HUDIs, at each stage

Frame variable ¹	Stage ²	Nonrespondent/ respondent	High-/low-effort respondents ³
		Percent di	ference ^{4,5}
Listed	. Age screener Interview	-6.59 *** -6.15 ***	-2.05 *** -1.36
Advance_letter	. Age screener Interview	-7.65 *** -10.43 ***	-1.37 *** 1.98
ISA	. Age screener Interview	3.55 *** 2.69 ***	-1.69 *** -0.80
1edian_HH_income	. Age screener Interview	0.68 * 0.06	-4.53 *** -4.52 ***
/ledian_home_val	. Age screener Interview	5.53 *** 5.52 ***	-3.85 *** -1.60
Median_rent	. Age screener Interview	3.68 *** 2.37 ***	-3.55 *** -2.87 *
Median_years_educ	. Age screener Interview	-0.35 *** -0.31 **	-1.29 *** -1.19 ***
College_graduate	. Age screener Interview	-1.05 ** -1.41 *	-7.36 *** -6.51 ***
Approx_median_age		-1.30 *** -0.35 *	-0.94 *** -0.26
dispanic_p	. Age screener Interview	23.75 *** 13.50 ***	23.63 *** 15.05 **
Vhite_p	. Age screener Interview	-7.08 *** -4.42 ***	-4.91 *** -5.70 ***
slack_p	. Age screener Interview	12.35 *** 8.66 ***	5.21 *** 17.95 ***
sian_pacif_p	. Age screener Interview	15.26 *** 8.43 **	2.90 5.77
lousehold_density		2.53 *** 1.20 ***	2.22 *** 1.10 *
Percent_listed	. Age screener Interview	-1.93 *** -1.36 ***	-0.96 *** -0.72
Owner_occupied_p		-2.06 *** -1.64 ***	-1.49 *** -1.81 ***
Rent_other_p		4.57 *** 3.76 ***	3.32 *** 4.19 ***

NOTE: HUDI is hung up during interview.

^{*} p < 0.05 ** p < 0.01 *** p < 0.001

¹See Table 2 for description of each variable name. ²For this analysis, it is not possible for a case to HUDI at the resolution stage.

³High-effort respondents are those who had an HUDI at the stage before completing the stage. Low-effort respondents completed the stage without an HUDI.

⁴The percent difference for nonrespondent/respondent was calculated as follows: (Nonrespondent mean – Respondent mean)/Respondent mean.

 $^{^{5}}$ The percent difference for high-/low-effort respondents was calculated as follows: (High-effort respondent mean – Low-effort respondent mean)/Low-effort respondent mean)

Table 12. Use of frame information to compare nonrespondents and respondents, and low-call-attempt respondents and high-call-attempt respondents, at each stage

Frame variable ¹	Stage	Nonrespondent/ respondent	High-/low-effort respondents ²	
		Percent dif	ference ^{3,4}	
istad	Resolution	65.76 ***	68.73 ***	
isted	Age screener	-6.59 ***	-3.24 ***	
	Interview	-6.15 ***	-2.25 **	
diaman latter				
dvance_letter	Resolution	85.85 ***	89.46 ***	
	Age screener	-7.65 ***	-3.34 ***	
	Interview	-10.43 ***	2.03 *	
SA	Resolution	3.31 ***	4.61 ***	
	Age screener	3.55 ***	3.49 ***	
	Interview	2.69 ***	2.47 ***	
edian_HH_income	Resolution	2.88 ***	4.34 ***	
	Age screener	0.68 *	-0.03	
	Interview	0.06	0.45	
adian hama yal		9.63 ***	8.54 ***	
edian_home_val	Resolution Age sergener	9.63 *** 5.53 ***	4.48 ***	
	Age screener	5.53 ^^ 5.52 ***		
	Interview		5.60 **	
edian_rent	Resolution	5.01 ***	5.72 ***	
	Age screener	3.68 ***	2.71 ***	
	Interview	2.37 ***	2.83 **	
edian_years_educ	Resolution	0.26 ***	0.68 ***	
→ =	Age screener	-0.35 ***	-0.49 ***	
	Interview	-0.31 **	-0.26	
allege graduate	Resolution	2.44 ***	5.12 ***	
ollege_graduate	Age screener	-1.05 **	-1.28 **	
	Interview	-1.41 *	-0.45	
pprox_median_age	Resolution	0.19 ***	-0.08 -1.42 ***	
	Age screener Interview	-1.30 *** -0.35 *	-1.42 -0.33 *	
spanic_p	Resolution	9.86 ***	2.96 **	
	Age screener	23.75 ***	30.71 ***	
	Interview	13.50 ***	11.13 **	
hite_p	Resolution	-1.51 ***	-0.55 **	
	Age screener	-7.08 ***	-7.19 ***	
	Interview	-4.42 ***	-4.37 ***	
ack_p	Resolution	-5.70 ***	-2.63 ***	
<u> </u>	Age screener	12.35 ***	7.73 ***	
	Interview	8.66 ***	12.46 ***	
sian nacif n	Resolution	12.32 ***	6.68 ***	
sian_pacif_p		15.26 ***	16.33 ***	
	Age screener Interview	8.43 **	11.86 **	
ousehold_density	Resolution	0.41 ***	0.42 ***	
	Age screener	2.53 ***	2.84 ***	
	Interview	1.20 ***	1.25 ***	
ercent_listed	Resolution	2.82 ***	2.12 ***	
	Age screener	-1.93 ***	-1.92 ***	
	Interview	-1.36 ***	-1.12 ***	
vner_occupied_p	Resolution	-0.24 **	0.11	
p	Age screener	-2.06 ***	-2.70 ***	
	Interview	-1.64 ***	-1.45 ***	
and address of				
ent_other_p	Resolution	0.47 **	-0.22	
	Age screener	4.57 ***	6.08 ***	
	Interview	3.76 ***	3.39 ***	

^{*} p < 0.05

^{**} p < 0.01

^{***} p < 0.001

¹See Table 2 for description of each variable name.

²High-effort respondents are those who completed the stage in five or more calls. Low-effort respondents completed the stage in four or fewer calls.

³The percent difference for nonrespondent/respondent was calculated as follows: (Nonrespondent mean – Respondent mean)/Respondent mean.

⁴The percent difference for high-/low-effort respondents was calculated as follows: (High-effort respondent mean – Low-effort respondent mean)/Low-effort respondent mean.

Table 13. Estimates of nonresponse bias in the key survey variables, based on comparison of all respondents and respondents with five or more calls

		Using base weights Using					final weights		
Key survey variable	All respondents	Respondents with 5 or more calls	Respondents and nonrespondents ¹	Percent bias ²	All respondents	Respondents with 5 or more calls	Respondents and nonrespondents ¹	Percent bias ²	
				Per	cent				
Percentage of children in excellent or very good health	87.27	86.04	86.61	0.76	84.37	82.83	83.55	0.98	
Percentage of children with consistent insurance coverage in the past 12 months	87.81	87.34	87.56	0.29	84.90	84.23	84.54	0.42	
Percentage of children with one or more medical preventive care visits in the past 12 months	88.47	88.72	88.61	-0.15	88.50	88.66	88.59	-0.10	
Percentage of children with a medical home	61.56	59.79	60.62	1.56	57.52	55.55	56.47	1.86	
Percentage of children whose families ate a meal together every day in the past week	43.07	42.43	42.73	0.80	45.78	45.10	45.42	0.80	
Percentage of children usually or always safe in the community or neighborhood	89.06	88.42	88.72	0.39	86.05	85.40	85.71	0.40	

¹(Estimate for all respondents * Response rate) + (Estimate for respondents with 5 or more calls * Nonresponse rate).

Table 14. Percentage of children in excellent or very good health: Comparison of estimates from the National Survey of Children's Health and the National Health Interview Survey

			NS	CH ¹				NHIS ²					
Characteristic	Using base weights			Using	Using final weights			Using final weights			Comparison using final weights		
	Estimate	Lower 95% limit	Upper 95% limit	Estimate	Lower 95% limit	Upper 95% limit	Estimate	Lower 95% limit	Upper 95% limit	Percent difference	Lower 95% limit	Upper 95% limit	
					Percent					Perc	entage poin	ts	
Overall	87.27	86.78	87.76	84.37	83.67	85.03	82.61	81.53	83.64	1.76	0.50	3.02	
Child's age													
0–4 years	90.08	89.22	90.94	87.76	86.48	88.93	82.99	81.16	84.67	4.77	2.63	6.91	
5–11 years	86.67	85.82	87.53	83.31	82.12	84.42	84.10	82.51	85.57	-0.79	-2.71	1.13	
12–17 years	85.97	85.16	86.78	82.84	81.65	83.97	80.66	78.83	82.37	2.18	0.07	4.29	
Child's gender													
Male	86.73	86.05	87.42	83.87	82.91	84.80	82.25	80.76	83.64	1.62	-0.10	3.34	
Female	87.85	87.15	88.55	84.92	83.91	85.88	82.99	81.52	84.37	1.93	0.20	3.66	
Child's race/ethnicity													
Hispanic	71.68	69.60	73.76	68.38	65.97	70.70	72.13	69.68	74.46	-3.75	-7.12	-0.38	
Non-Hispanic white only	91.94	91.53	92.34	91.00	90.38	91.59	87.73	86.40	88.94	3.27	1.87	4.67	
Non-Hispanic black only	81.76	80.31	83.21	80.43	78.71	82.04	77.59	74.93	80.04	2.84	-0.21	5.89	
Non-Hispanic other	88.08	86.52	89.64	86.54	84.52	88.34	82.92	78.80	86.37	3.62	-0.61	7.85	
Mother's education level													
Less than high school	65.26	62.52	68.00	63.03	59.99	65.98	68.03	64.85	71.05	-5.00	-9.31	-0.69	
High school graduate	83.13	81.85	84.41	81.08	79.50	82.56	80.36	78.13	82.41	0.72	-1.91	3.35	
More than high school	91.97	91.53	92.41	90.71	90.04	91.34	88.85	87.66	89.94	1.86	0.55	3.17	
Father's education level													
Less than high school	67.71	64.64	70.78	64.76	61.17	68.19	69.25	65.67	72.61	-4.49	-9.43	0.45	
High school graduate	86.71	85.58	87.85	84.55	82.98	86.00	83.01	80.86	84.97	1.54	-1.01	4.09	
More than high school	92.84	92.35	93.32	91.92	91.19	92.60	90.98	89.78	92.05	0.94	-0.39	2.27	

¹NSCH is National Survey of Children's Health.

²(Estimate for all respondents – Estimate for respondents and nonrespondents)/Estimate for respondents and nonrespondents.

 $^{^2 \}mbox{NHIS}$ is National Health Interview Survey.

Table 15. Percentage of children with consistent insurance coverage in the past 12 months: Comparison of estimates from the National Survey of Children's Health and the National Health Interview Survey

			NS	CH ¹				NHIS ²				
	Using	base weig	ghts	Using	final weig	jhts	Using final weights			Comparison using final weights		
Characteristic	Estimate	Lower 95% limit	Upper 95% limit	Estimate	Lower 95% limit	Upper 95% limit	Estimate	Lower 95% limit	Upper 95% limit	Percent difference	Lower 95% limit	Upper 95% limit
	Percent									Perc	entage point	s
Overall	87.81	87.34	88.29	84.90	84.23	85.54	87.40	86.42	88.31	-2.50	-3.65	-1.35
Child's age												
0–4 years	87.83 87.19 88.37	86.84 86.35 87.67	88.83 88.02 89.06	85.01 84.56 85.19	83.61 83.42 84.17	86.31 85.62 86.17	89.84 87.08 85.76	88.23 85.46 84.18	91.24 88.54 87.20	-4.83 -2.52 -0.57	-6.85 -4.41 -2.38	-2.81 -0.63 1.24
Child's gender												
Male	87.82 87.79	87.17 87.09	88.47 88.48	84.89 84.89	83.96 83.91	85.78 85.81	87.96 86.81	86.66 85.39	89.15 88.11	−3.07 −1.92	-4.61 -3.58	-1.53 -0.26
Child's race/ethnicity												
Hispanic	74.84 91.20 85.25 89.55	72.82 90.79 83.76 88.35	76.85 91.62 86.75 90.75	71.73 89.63 83.07 88.21	69.41 89.02 81.23 86.43	73.95 90.22 84.77 89.79	79.80 89.68 90.37 85.46	77.74 88.40 88.39 80.24	81.71 90.83 92.05 89.47	-8.07 -0.05 -7.30 2.75	-11.09 -1.41 -9.84 -2.13	-5.05 1.31 -4.76 7.63
Mother's education level												
Less than high school	72.15 83.76 91.47	69.60 82.50 91.02	74.71 85.02 91.93	71.02 80.90 89.75	68.18 79.30 89.07	73.71 82.41 90.39	76.61 86.31 91.75	73.70 84.25 90.69	79.29 88.14 92.70	-5.59 -5.41 -2.00	-9.52 -7.90 -3.20	-1.66 -2.92 -0.80
Father's education level												
Less than high school	72.59 84.29 92.54	69.76 82.94 92.08	75.42 85.63 93.01	71.79 80.95 91.01	68.67 79.12 90.27	74.72 82.65 91.70	75.96 84.96 92.71	72.61 82.67 91.48	79.01 87.00 93.77	-4.17 -4.01 -1.70	-8.58 -6.80 -3.05	0.24 -1.22 -0.35

¹NSCH is National Survey of Children's Health.

Table 16. Estimates of nonresponse bias in key survey variables, based on method used to estimate bias

			Method ²					
Key survey variable	Key survey estimate ¹ (95% confidence interval)	Frame information analysis	Level-of- effort analysis	Comparison with NHIS ³ estimate analysis				
		Percen	t					
Percentage of children in excellent or very good health	84.37 (83.67, 85.03)	0.12	0.98	2.13				
Percentage of children with consistent insurance coverage in the past 12 months	84.90 (84.23, 85.54)	0.06	0.42	-2.86				
Percentage of children with one or more medical preventive care visits in the past 12 months	88.50 (87.98, 89.02)	0.01	-0.10					
Percentage of children with a medical home	57.52 (56.68, 58.37)	0.35	1.86					
Percentage of children whose families ate a meal together every day in the past week	45.78 (44.96, 46.61)	-0.10	0.80					
Percentage of children usually or always safe in the community or neighborhood	86.05 (85.45, 86.66)	0.16	0.40					

^{- - -} Data not available (not collected in NHIS).

²NHIS is National Health Interview Survey.

¹Key survey estimates use final weights that have been adjusted for nonresponse and raked to population control totals.

²Estimates of bias use nonresponse-adjusted or raked weights, depending on the analysis. Here, the biases are presented as percentages, not absolute terms, so that a 0.98% bias in an estimate of 84.37 means that the reported estimate is 0.98% higher than the true value (i.e., the true value is 84.37/1.0098 = 83.55).

³NHIS is National Health Interview Survey.

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- Series 23. Data From the National Survey of Family Growth—These reports contain statistics on factors that affect birth rates, including contraception and infertility; factors affecting the formation and dissolution of families, including cohabitation, marriage, divorce, and remarriage; and behavior related to the risk of HIV and other sexually transmitted diseases. These statistics are based on national surveys of women and men of childbearing age.

DISCONTINUED SERIES

- Series 4. **Documents and Committee Reports**—These are final reports of major committees concerned with vital and health statistics and documents. The last Series 4 report was published in 2002. As of 2009, this type of report is included in Series 2 or another appropriate series, depending on the
- Series 5. International Vital and Health Statistics Reports—This type of report compares U.S. vital and health statistics with those of other countries or presents other international data of relevance to the health statistics system of the United States. The last Series 5 report was published in 2003. As of 2009, this type of report is included in Series 3 or another series, depending on the report topic.
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- Series 12. **Data From the Institutionalized Population Surveys**The last Series 12 report was published in 1974. Reports from these surveys are included in Series 13.
- Series 14. **Data on Health Resources: Manpower and Facilities**—
 The last Series 14 report was published in 1989. Reports on health resources are included in Series 13.
- Series 15. Data From Special Surveys—This type of report contains statistics on health and health-related topics collected in special surveys that are not part of the continuing data systems of the National Center for Health Statistics. The last Series 15 report was published in 2002. As of 2009, reports based on these surveys are included in Series 3.
- Series 16. Compilations of Advance Data From Vital and Health Statistics—The last Series 16 report was published in 1996.
 All reports are available online, and so compilations of Advance Data reports are no longer needed.
- Series 22. Data From the National Mortality and Natality Surveys—
 The last Series 22 report was published in 1973. Reports from these sample surveys, based on vital records, are published in Series 20 or 21.
- Series 24. Compilations of Data on Natality, Mortality, Marriage, and Divorce—The last Series 24 report was published in 1996.
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For answers to questions about this report or for a list of reports published in these series, contact:

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