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# Plan and Operation of the Second National Health and Nutrition Examination Survey 1976-80 

## Programs and Collection Procedures Series 1, No. 15

A description of the National Health and Nutrition Examination Survey of a probability sample of the U.S. population 6 montḥs through 74 years of age.

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Under the legislation establishing the National Health Survey, the Public Health Service is authorized to use, insofar as possible, the services or facilities of other Federal, State, or private agencies. In accordance with specifications established by the National Center for Health Statistics, the U.S. Bureau of the Census participated in the design and selection of the sample and carried out the household interview stage of the data collection and certain parts of the statistical processing.

The Center for Disease Control acted as laboratory consultants and performed a series of biochemical, hematological, and serological assessments on blood specimens of persons participating in the survey.

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Symbols
... Data not available
... Category not applicable
Quantity zero
0.0 Quantity more than zero but less than ..... 0.05
Z Quantity more than zero but less than ..... 500

* Figure does not meet standards of reliability or precision
\# Figure suppressed to comply with confidentiality requirements


# Plan and Operation of the Second National Health and Nutrition Examination Survey, 1976-80 

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## Introduction

The second National Health and Nutrition Examination Survey is another in a series of related programs carried out over the past 20 years by the National Center for Health Statistics. These programs, authorized by Congress under the National Health Survey Act of 1956, are characteristically national in scope, based on probability sampling, and used to collect a broad range of morbidity data and related health information. The essential differentiating characteristic of the health examination surveys is their primary concern with those kinds of healthrelated data obtained only (or at least optimally) from specially standardized direct medical examinations, including tests and other procedures used in clinical practice. Such examinations given to persons selected in the scientific sample permit estimates of the prevalence of specifically defined diseases in the U.S. population, including cases not previously identified. They also permit estimation of the distribution within the population of a broad variety of health-related measurements, including not only physical measurements such as height, weight, and various skinfolds, but also physiological measurements, such as diastolic blood pressure and serum cholesterol level and psychological measurements.

During the years 1959-76, the National Center for Health Statistics (NCHS) conducted four separate examination surveys. The first of these, the National Health Examination Survey, Cycle I, (NHES I) focused on the prevalence of selected chronic disease in civilian noninstitutionalized U.S. adults aged 18-79. ${ }^{1}$ The next two surveys, which were conducted from July 1963 through March 1970, were largely devoted to the growth and development of children 6-11 (the National Health Examination Survey, Cycle II-NHES II) ${ }^{2}$ and 12-1 7 years of age (the National Health Examination Survey, Cycle III-NHES III). ${ }^{3}$ The fourth survey introduced a new emphasis. In 1969 the Department of Health, Education, and

Welfare established within NCHS a continuing activity to measure the nutritional status of the U.S. population and to monitor changes in status over time. After careful study by an NCHS task force, it was decided to combine the proposed national nutrition surveillance survey with the existing National Health Examination Survey in order to enhance the performance of each component and to permit relating nutritional variables to health measures. The resultant survey is known as the National Health and Nutrition Examination Survey, or NHANES.

The first segment of NHANES (the National Health and Nutrition Examination Survey-NHANES I) was conducted from 1971 through 1974. ${ }^{4}$ An assessment of nutritional status was made on a representative sample of the U.S. population aged 1-74 years, and a detailed examination was given to a subsample aged 25-74 years. This segment of the NHANES I program was followed by a 14 -month period (1974-75) in which an additional national sample of persons 25-74 years of age was given the detailed examination, to augment the size of the sample originally included in NHANES I (referred to as the National Health and Nutrition Examination Survey, Augmentation CycleNHANES IA). ${ }^{5}$ Data collected in successive surveys have been published in more than 100 separate publications ${ }^{6}$ and have also been made available on computer tapes for further study. ${ }^{7}$ The reports serve a broad spectrum of uses:

- They provide estimates of the prevalence of characteristics or conditions.
- Normative or descriptive data permit the monitoring or measurement of changes in health and nutritional status over time through successive assessment surveys.
- Problems of possible public health importance can be identified.
- The interrelationship of health and nutritional variables in the general population is made possible.


## Planning process

The continuing responsibility for measuring and monitoring the nutritional health status of the U.S. population meant that the first assessment survey, NHANES I, would be followed by later assessment surveys. These would permit comparisons with the NHANES I baseline data and thereby allow measurements of changes over time. Thus, in a sense, the planning of the nutritional aspects of the second National Health and Nutrition Examination Survey, 1976-80, NHANES II, began with NHANES I. Throughout the course of its operation there was an awareness of this. Constant consideration was given to procedures and content items in terms of whether they should be repeated in the succeeding survey. Then, too, the necessity for comparing NHANES II data with those from NHANES I required that some of the same measurements be made in the same way and on the same age segment of the U.S. population in both surveys. The complex process of planning the NHANES II program began in a systematic way, however, only in mid-1974, about a year and a half before the survey was to begin operation.

The planning phase of a national health examination survey is critically important. The planning process used in the NHANES and predecessor surveys has been described in more detail elsewhere, but part of that statement deserves repeating here:

One aspect of planning is of prime importance, namely, specifying the survey's specific goals or substantive purposes. . . With respect to each element to be considered for inclusion in a health examination survey-for example, information on diabetes- the following questions should be answered by the appropriate personnel:
(i) How and for what purposes will the information be used? (Outlines of proposed analyses are desirable.)
(ii) What specific data are needed?
(iii) How can those data be obtained? (What specific tests, measures, and questionnaire items are needed, and what level of skill is required of examining personnel?)
(iv) Is the health examination survey the appropriate mechanism to get these data?
(v) Is the expected prevalence level consonant with the ability of the planned survey to determine it within reasonable confidence limits?
(vi) Can the entire process of obtaining these data be adequately standardized?
(vii) What cost factors are involved in equipment, laboratory work, skilled personnel, and so on?
(viii) Finally, if questions (i)-(vii) all are answered satisfactorily-What is the place of this particular data need in an ordered priority listing with other potential needs?
The appropriate personnel vary with the question asked. For example, for question (i), the head of a health planning agency would qualify, while for (iii) it might be an expert in the medical specialty involved. In the USA the process of determining the conditions to be included in each health examination survey has been a multi-stage effort involving hundreds of institutions, organizations, and individuals. At the beginning a wide net is cast and opinions are sought from hundreds of health planners, health researchers, medical care providers, and health educators as to the kinds of data, appropriate to this type of survey, that are most needed. Important in this stage is the input from Federal Government agencies, particularly the various elements of the Department of Health, Education, and Welfare. Further follow-up contacts are made with respect to some of the suggested items which seem to be reasonable prospects for inclusion, and information is obtained in greater detail so as to answer each of the questions listed in the preceding paragraph.

This leads to further stages of consultation and perhaps to convening ad hoc meetings of experts in a particular field to assist in determining feasibility and relative priorities. In the end, decisions must be made at the level of the NCHS, but these must be approved at successive Governmental levels up to the Office of Statistical Policy within the Office of Management and Budget in the Executive Office of the President. ${ }^{8}$
The processes described in the foregoing paragraphs were the general pattern of the planning process carried out in 1974 and 1975 to determine the content and data goals of the NHANES II program. During this same time many related determinations had to be made concerning sample size and design, method of operation in data collection, quality control procedures, field staff retraining, pilot testing and pretesting, and further resultant modifications.

- Although it has not been unusual for NCHS to collaborate with other Federal agencies in the planning, data collection, and analysis of previous $\mathrm{Na}-$ tional Health Examination Surveys, the level of collaboration involved in NHANES II was unprecedented:
- The Bureau of Laboratories, Center for Disease Control, served as a technical consultant for the planning and quality control of NHANES laboratory efforts, in addition to performing most of the health- and nutrition-related biochemistry and providing some of the funding for this effort.
- The National Institute of Arthritis, Metabolism, and Digestive Diseases, National Institutes of Health, supported the serum creatinine testing, the development of a glucose tolerance testing protocol, plasma glucose determinations at the Center for Disease Control, and processing of the data to make it more quickly available for analysis.
- The National Heart, Lung, and Blood Institute, National Institutes of Health, developed plans for
assessing cholesterol, triglyceride, and high density lipoprotein (HDL) levels through the Lipid Research Clinic Laboratory at George Washington University, the results processed at the Coronary Patient Registry at the University of North Carolina.
- The Office of Pesticides and Toxic Substances, Environmental Protection Agency, served as a technical consultant in collecting blood and urine specimens suitable for processing for residues and metabolites of certain pesticides. It processed the samples, monitored the quality of the processing, and coded the data in machine-readable form.
- The Bureau of Foods, Food and Drug Administration, supported the development of a serum ferritin assessment as part of the characterization of anemia. It also supported the measurement of blood lead levels at the Center for Disease Control.
- The Department of Energy supported Dr. Edward Radford at the University of Pittsburgh in his assessment of carboxyhemoglobin levels in blood. Randomly selected blind samples both from Dr. Radford's laboratory and from NCHS mobile examination centers were analyzed by accepted gas chromatographic procedures at the Naval Medical Research Institute, insuring quality control and providing a reference standard.
- The Bureau of State Services, Center for Disease Control, made arrangements in each sample area for supplies and testing for gonorrhea.
The remaining sections of this report present the outcome of the planning with respect to the objectives of NHANES II. They describe in more detail some of the reasons for the selections and go into details of the sample design and operational plan.

The appendixes of this report contain listings of the examination components; blood and urine assessments; pesticide residue and metabolite determinations; staff participation in the planning, development, and operation of NHANES II; and data collection forms.

# Summary statement of data collection techniques 

The plan developed with respect to the content of NHANES II called for the following items.

## Questionnaires

Household questionnaire. -For each household member, this questionnaire included the family relationships; certain demographic items such as age, sex, and race; selected housing information; items such as occupation, income, veteran status; and an indication of participation in food stamp programs.

Medical history questionnaires. -For each sample person at ages 6 months to 11 years a questionnaire included items on birth weight, prematurity, developmental congenital conditions, medication, neurological conditions, lead poisoning, accidents, hospital care, disability, diarrhea, pica, vision, and a variety of chronic conditions. In addition, there were major sections on allergies, kidney and bladder disease, anemia, speech and hearing, lung and chest conditions, and participation in food programs.

Two questionnaires for each sample person at ages 12-74 years included items on medication; hospital care and tuberculosis; nutrition; a variety of acute and chronic diseases; tobacco, tea, and coffee usage; physical activity; weight; height; vision disability; exposure to pesticides; gastrointestinal problems; and for females, a menstrual and pregnancy history. In addition, there were major sections on anemia, diabetes, respiratory condition, hearing and speech, liver and gallbladder conditions, kidney and bladder disease, allergies, hypertension, cardiovascular conditions, stroke, arthritis (stressing middle and upper back and neck problems), and participation in food programs.

Two dietary questionnaires. -For each sample person, a dietitian recorded the quantity of every item of food or drink consumed during the previous day, so that after computer calculation, the data yielded measures of calories, cholesterol, fat, unsaturated fats, protein, carbohydrates, and specific
vitamins and minerals consumed during the recall period.

A food frequency interview ascertained the usual pattern of food consumption, recording whether or not it included any foods in various groupings, including milk, meat, fish, eggs, fats and oils, legumes and nuts, cereals, fruits, vegetables, and alcoholic beverages. It also showed reported daily and/or weekly number of times each food was consumed and noted the use of salt and vitamin and mineral supplements.

Medications and vitamin usage.-This elicited a history of the preceding week's usage of any medicines, vitamins, or minerals, for all examined persons.

Dietary supplement interview form. -This form recorded the history of special diets, prior medications, and barriers to purchasing groceries or eating foods for examined persons aged 12-74 years.

Behavior questionnaire.-This questionnaire elicited data on behavior possibly associated with coronary heart disease for examined persons 25-74 years of age.

## Examination by physician

A physician performed and recorded a medical ex amination giving special at ten tion to specified findings related to nutrition; hearing; the thyroid gland; and the cardiovascular, respiratory, neurological, and musculoskeletal systems.

## Special clinical procedures and tests

A specially trained health technician carried out the following tests and procedures on examined persons in the designated age ranges.

Spirometry trials.-These were digitized and recorded on magnetic tape for examined persons 6-24 years of age for various pulmonary function indicators such as forced vital capacity (FVC), forced expiratory volume in 1 second ( $\mathrm{FEV}_{1}$ ), and peak flow rate.

Electrocardiograms.-Digitized and recorded on magnetic tape for examined persons 25-74 years of age, electrocardiograms provided normative data on amplitudes and durations and permitted diagnostic interpretations of heart disease according to the Minnesota code.

Body measurements.-The measurements made on examinees included standing height, body weight, triceps and subscapular skinfolds, and several others.

Puretone audiometry.-This test carried out on examined persons between the ages of 4 and 19 permitted determination of threshold levels of hearing for frequencies of $500,1000,2000$, and 4000 Hertz for right and left ears.

Speech recording.-This involved the use of a tape recording of the subject's repetition of specially developed sentences. It was carried out on examined persons between the ages of 4 and 6 , permitting interpretations as an indication of problems with articulation and language development.

Allergy tests.-These involved skin tests (the prick test) with eight common allergens (housedust, alternaria, cat fur, dog fur, ragweed, oak, rye grass, and Bermuda grass). The tests were made on examined persons between the ages of 6 and 74 to obtain degrees of skin reaction.

## X-rays

For examined persons 25-74 years of age two X-rays were made. No X-rays were done on pregnant women, and no lumbar X-rays were done on women under 50 years of age.
$X$-ray of cervical and lumbar spine.-This provided evidence of osteoarthritis and degenerative disc disease.
$X$-ray of chest.-The chest X-ray was used in the diagnosis of respiratory diseases and served as a measure of left ventricular enlargement.

## Urine tests

Tests as follows were performed on casual samples of urine.

N-Multistix tests.-These urinary dipstick tests for qualitative protein, glucose, ketones, bilirubin, blood, urobilinogen, pH , and bacteriuria (nitrite test) were done for examined persons 6-74 years of age.

Urinary sediments.-Sediments including red cells, white cells, and casts were measured for a subsample of examined adults 20-74 years of age.

Gonorrhea cultures.-Cultures of urinary sediments were performed for male and female examined persons 12-40 years of age. However, of those females who received the glucose tolerance test (GTT), only those 20-24 years of age had the gonorrhea test performed.

Analyses for pesticide levels.-Urine samples from a subsample of examined persons 12-74 years of age
were tested for the presence of alkyl phosphate residues and metabolites, carbamate residues, phenolic compound residues and malathion metabolites. Appendix III has a complete listing of the pesticide residues and metabolites tested for.

## Tests on blood samples

Samples of blood provided a broad range of information related to health and nutrition. The particular tests performed varied with the specific target condition and age group (appendix II). The discussion of the development of the plan for NHANES II later in this report specifies the age groups and, in some instances, the subsampling pattern followed for each of the following tests.

Glucose tolerance test.-This test involved the collection of blood specimens from examined persons while they were in a fasting state as well as at 1 and 2 hours after glucose challenge. The test was performed on a specified subsample of examined adults to provide estimates of the prevalence of diabetes.

Tests related to liver function.-The postprandial liver bile acid test measured the ability of the liver to remove bile acids from the blood following consumption of a food preparation that induced the eventual addition of bile acids to the blood via contraction of the gallbladder.

Biochemical liver tests performed included bilirubin, SGOT, and alkaline phosphatase.

Anemia-related laboratory tests.-The tests made to diagnose anemia consisted of protoporphyrin, iron, total iron binding capacity (TIBC), zinc, copper, red cell folates, serum folates, serum ferritin, vitamin $\mathrm{B}_{12}$, and the determination of abnormal hemoglobin.

Other biochemical nutritional tests.-These tests included albumin, vitamin A, and vitamin C.

Serum lipids.-Because of their important relevance to cardiovascular disease, determinations were made of cholesterol, triglycerides, and high density lipoprotein (HDL).

Biochemical tests for body burden from environmental exposures.-Determinations were made of the levels of lead and organochlorine pesticide residues and metabolites. Tests were also performed for carboxyhemoglobin, which reflects environmental exposure to carbon monoxide and the individual's smoking habits.

Hematology.-The hematology included determinations of hemoglobin, hematocrit, red blood cell count, white blood cell count and differential analysis, and red blood cell morphology.

Kidney function.-The only test for kidney function performed on blood samples was the serum creatinine test.

Syphilis.-The serology determinations for syphilis included qualitative and quantitative ART, an FTA-ABS, and MHA-TP.

The foregoing list summarizes the content finally decided upon for inclusion in NHANES II. However, the planning process almost always involves a great deal of effort in connection with proposals that, for a variety of reasons, are not included in the final plan. A few of the important components considered in the process of planning but deleted from the final NHANES II plan deserve to be noted. Two of the proposals that were seriously considered had to be deleted because of staff limitations or examination time. One of these would have involved administering a tuberculin skin test at the examination site with subsequent reading at the household; the other would have involved administration of a psychological schedule used in NHANES I, the General Well-Being Test. A third proposal involved completion of a questionnaire at the school attended by children and youth who were sample persons. In that case, considerations related to confidentiality and privacy, and the related clearance process required more time than was available for their resolution. Finally, in the early
stages of planning, consideration was given to including an extensive neurological component based on computer analysis of tape recorded electroencephalograms. The main purpose would have been the provision of normative data on the distributions of the electroencephalogram variables in the general population and of some data on the prevalence of brain damage and related brain pathology. It was finally decided to drop this from NHANES II, with the possibility of considering it in a later program. A major factor in this decision was the recommendation by the National Institutes of Health advisory committee that reviewed the plan. While approving the general concept of such data collection and analysis, this group believed that the methodology available at the time was not appropriate for use in NHANES II. Certain other components considered in planning but finally omitted from NHANES II are noted later in the detailed description in this report.

# Nutritional status <br> assessments 

The básic purpose of the NHANES II program with respect to nutritional status assessment required that the program continue to use, with some modification, the same or essentially the same format of NHANES I. In order to monitor the nutritional status of the population, the data to be collected needed to be not only comparable, at least in considerable part, but also carried out as in NHANES I on a probability sample of the civilian noninstitutionalized population of the United States. Again as in NHANES I, emphasis needed to be placed on the segments of the population classified as at or below the poverty level, the young children and the aged, since these were assumed to be at special risk of having nutritional problems. These groups then would again be sampled at rates substantially higher than their proportions in the general population.

It is necessary, in order to assess nutritional status, to obtain data of four different types. The fourfold approach used in NHANES I and NHANES II involved the collection of information on dietary intake patterns along with the results of various hematological and biochemical tests, anthropometric measurements, and clinical assessments.

The experience gained in the NHANES I program, however, made possible certain modifications of NHANES II in order to make the data obtained more useful while continuing to provide a considerable amount of comparable data for monitoring purposes. The NHANES I information indicated that vitamin A deficiencies were not a problem in the older age groups in our U.S. population, and as a result, collection of information on the biochemical findings of vitamin A was limited in NHANES II to the 3-11 years age group. (It was not recognized at the time that vitamin A levels in adults would be of considerable interest in cancer research.) Technical problems in the collection of blood samples and their analysis for vitamin C during the NHANES I program had resulted in unsatisfactory data. These problems were solved, and vitamin C determinations were again
made in NHANES II. The methods used in NHANES I for determining the iodine, thiamine, and riboflavin values in urine were found to be inadequate, however. Therefore, the decision was made to exclude those determinations from NHANES II. Some consideration was given to using the more sensitive enzyme analysis method to detect any riboflavin or thiamine deficiencies. Some of the investigations at the Center for Disease Control involved the spectrophotometric erythrocyte transketolase method as well as a spectrophotometric method for erythrocyte gluthathione reductase. This work identified a number of compromises in basic enzyme assay principles and certain questions in the color development procedure that would require a considerable amount of additional time to evaluate fully. It was, therefore, decided not to include these in the NHANES II program. On the other hand, the serum albumin test used in NHANES I was continued in NHANES II as a monitor of protein deficiency in the U.S. population. The relationship of the serum albumin test to clinical health status was also an important factor in its retention, since as a whole there is little evidence of a gross pattern of protein deficiency in the U.S. population.

An important addition in NHANES II to the biochemical data obtained in NHANES I related to the investigation of the trace elements zinc and copper in blood. It was known in 1974 that there are more than 70 enzymes that need zinc for their proper function. Important factors in decreasing the absorption of dietary zinc are the fiber and phosphates in predominantly cereal-based diets. The consumption of alcohol increases urinary excretion. A number of diseases such as steatorrhea, regional enteritis, liver cirrhosis, hemolytic anemia, psoriasis, thalassanemia, and sickle cell disease may lead to zinc deficiency. Pregnancy may also predispose to zinc deficiency. Zinc is involved in the production of insulin, and zinc deficiency may impair wound healing. Copper deficiency is important for a number of reasons. The first
sign of copper deficiency in humans is usually neutropenia. In advanced copper deficiency, iron is not absorbed. A copper-containing enzyme (ceruloplasmin) is necessary for the human body to use iron. Copper is essential in hematopoiesis and plays a key role in connective tissue metabolism.

Since in trace element surveys many factors can grossly interfere with the integrity of the specimens, a number of precautions were taken. A thorough investigation was made of various aspects of the collection, storage, stability, and possibilities of contamination of specimens. Special blood-drawing equipment and specimen storage containers were employed. A laminar flow table was used to prevent airborn contamination during specimen processing at the laboratory in the examination center.

As in the NHANES I program, the two principal means of obtaining data on dietary intake were the 24 -hour recall and the food frequency questionnaire. In order to facilitate comparison of the various types of information, the schedules used were modified somewhat in NHANES II so that both of them used identical food groupings. This was done in a way that still permits the comparison of NHANES II with NHANES I data.

Considerably increased amounts of information on vitamin and mineral supplements were obtained in NHANES II as compared with NHANES I. In NHANES II, information was obtained on participation in such food programs as food stamps, commodities, school lunches, home-delivery meals, and the like. This information will permit comparisons between the measures of nutritional status of individuals participating in these programs and individuals of similar socioeconomic status who are not participating.

The body measurements obtained in NHANES II, the third part of the fourfold approach to assessing nutritional status, were the same as those used in NHANES I. They were as follows: standing height, sitting height, weight, bitrochanteric breadth, elbow breadth, upper arm girth, head circumference, triceps skinfold, and subscapular skinfold. The only change made was to obtain measures in 3 -year-olds of both standing height and recumbent length, along with sitting height and a crown-rump measurement.

The fourth approach to assessing nutritional status, a physician's examination, was also largely unchanged from the examination given in NHANES I. The examining physician's clinical diagnostic impression was based on the physical examination and medical history along with the examining physician's own reading of the electrocardiogram and X-ray and the results of some laboratory determinations imme-
diately available at examination time (hematocrit, hemoglobin, white blood cell, red blood cell, red-blood-cell-urinary test tape, and microscopic urinalysis). The examining physician's reading of the electrocardiogram and X-ray were not, of course, equivalent to the readings that were obtained later from medical specialists. The examining physician's clinical diagnostic impression of many conditions was, in fact, based on much less than a complete workup. For many other conditions, however, the examining physician's clinical diagnostic impression may have had a reasonable degree of accuracy. For their diagnostic impressions, the physicians entered the four-digit coding of the Eighth Revision International Classification of Diseases, Adapted for Use in the United States ${ }^{9}$ rather than the three-digit code used in NHANES I.

The most important change in the approach to nutritional assessment adopted for the NHANES II program was in relation to anemia. Since this condition had been revealed by NHANES I to be a significant health problem in the U.S. population, anemia was investigated in more detail in NHANES II. The approach used to characterize anemia was one that had been recommended by Dr. William Darby, President of the Nutritional Foundation, Inc., Center for Disease Control personnel, and others. It involved symptoms, signs, and causes of anemia gathered in medical history questionnaires and physicians' examinations; and it involved laboratory assessments in blood as follows:

- A complete blood count: hematocrit, hemoglobin, white blood cell, red blood cell, cell differential, red cell morphology, and the determination of hemoglobinopathies.
- Iron, iron-binding capacity, serum ferritin, and red cell protoporphyrin to designate iron status.
- Serum folates, red cell folates, vitamin B 12 , zinc, copper, lead, and other indicators of anemia.
The folate, ferritin, and vitamin $B_{12}$ determinations were done on anemic individuals and on a subsample of the entire group. This approach used to characterize anemia should make a better determination of the prevalence of anemia in the U.S. population possible than could be done from the NHANES I data and will enable the relationships among the various iron-related measures to be characterized. Such a determination is important for various public policy actions such as recommendations for enrichment of food products with iron.


## Detailed health examination

## Major new target conditions

The NHANES programs have been referred to as dual-purpose surveys, the purposes involving the assessment of both nutritional and health status. It might be more precise to refer to them as surveys to measure health status with special emphasis on one of the major determinants of health-nutrition. Be that as it may, information about a number of health conditions regarded as target conditions was collected in NHANES I, and many of these same target conditions were included in NHANES II. The new target conditions included in NHANES II were diabetes, kidney pathology, liver function, and allergy.

Diabetes.-Diabetes has long been recognized as an extremely serious disease affecting a significant proportion of the U.S. population. Despite this fact, there has been wide variation in the estimated prevalence of diabetes in the population. A problem arises as a result of the presence of unrecognized or undiagnosed cases of diabetes that need to be added to the recognized or diagnosed to obtain the total prevalence. A health examination survey is an ideal mechanism to obtain prevalence estimates that include both diagnosed and undiagnosed cases. The prevalence of known cases of diabetes has been monitored by another NCHS survey, the National Health Interview Survey, and unpublished data from that program appears to indicate an increase in the prevalence of diabetes. The apparent increase, however, may be due to the wider use of diabetes-detecting clinical tests in the U.S. population and not to a true increase in the prevalence of the disease. The first National Health Examination Survey ( 1960-62) provided some information on the prevalence of diabetes, based on a 1 -hour glucose tolerance test, $10-13$ buta closer approximation to a standard glucose tolerance test than was then used 14 would have been essential to provide an adequate estimate of the total prevalence of diabetes mellitus. Increased attention to diabetes was mandated by the National Diabetes Mellitus Research and Education Act,
enacted by Congress on July 23, 1974 (Public Law 93-354). Its purpose was to
(1) expand the authority of the National Institutes of Health to advance the national attack on diabetes mellitus; and
(2) as part of that attack, to establish a longrange plan to
(A) expand and coordinate the national research effort against diabetes mellitus;
(B) advance activities of patient education, professional education, and public education which will alert the citizens of the United States to the early indications of diabetes mellitus; and
(C) to emphasize the significance of early detection, proper control and complications which may evolve from the disease.
In planning NHANES II, NCHS worked closely with the National Commission on Diabetes (established under Public Law 93-354) and with the National Institute of Arthritis, Metabolism, and Digestive Diseases of the National Institutes of Health. Dr. G. Donald Whedon, Director of this Institute, specially requested that a diabetes component be included in NHANES II in order to determine both the prevalence of diabetes mellitus in the U.S. population and the ratio of previously diagnosed to undiagnosed cases. In addition, the distribution of diabetes within the population according to various demographic characteristics was of interest. In addition to the assistance obtained from the National Institutes of Health directly, a number of consultants on the diabetes component were used in planning the NHANES II program. The principal ones were Drs. Peter Bennett, John O'Sullivan, Kelly West, and Harvey Knolls.

A number of questions arose during the detailed
planning of the diabetes component. One of these was whether or not to require the consumption of a specific number of grams of carbohydrates during the 3 days before the examination. The major drawback of such a procedure for NHANES was the elimination of the 24 -hour recall diet history from the nutritional dietary survey for individuals undergoing the glucose tolerance test, since the diet preparation would have seriously altered the previous day's food intake. Consideration was given to interviewing persons to receive the glucose tolerance test at home at a time other than the 3 days before the examination, but limitations of budget and personnel precluded this solution. The question of diet preparation was brought up at a session of the work group on epidemiology of the Committee on Scope and Impact, a subcommittee of the National Commission on Diabetes. The work group did not reach general agreement.

The group's final decision was that the consumption of a specific amount of carbohydrates prior to the test would not be required. But data from the 24 -hour recall and the presence of ketones found in the urine sample would serve as an indication of whether or not there had been an inadequate consumption of carbohydrates prior to the test. Some consideration was also given to the collection of data reflecting levels of circulating insulin and glucagon. After due consideration, it was decided to omit determinations of insulin and glucagon, largely because of the lack of adequate resources.

The test finally decided upon for the diabetes component was as follows: a one-half sample of persons 20-74 years of age was scheduled for examination in the mornings. (Analysis of Cycle I glucose tolerance data indicated that sample variances for this reduced sample would be low enough to permit data analysis.) Three blood glucose specimens were collected, a fasting one and specimens collected at 1- and 2-hour intervals after the glucose "challenge" had been drunk. Data could then be tabulated for each blood specimen, and some combination of the three values could be used to decide whether or not sample persons had diabetes. Previous studies had indicated that a 3-hour value did not contribute significantly to the diagnosis of diabetes and that attempting to obtain it would only increase nonresponse and unduly lengthen the examination time. A 75 -gram glucose challenge was selected. Available information suggested that data derived from larger loading doses were generally interchangeable with the 75 -gram dose. The tests were done only in the morning because glucose tolerance decreases later in the day. In general, health conditions, such as pregnancy, that were known to alter carbohydrate metabolism were not grounds for exclusion from testing. The test was also given to those individuals who had been told by their physicians that they were diabetic and whose condition had been controlled by diet or by oral
hypoglycemic medication. The test was not given to insulin-dependent diabetics.

The examinees were instructed not to eat anything after 11:00 p.m. on the evening before the test. On the morning of the examination, after a fasting venal blood specimen had been drawn and a urine specimen had been analyzed for glucose, the examinee was given 7 ounces of caffeine-free cola (Glucola) to drink, which contained an equivalent of 75 grams of glucose. Two more specimens of blood were drawn at 1 - and 2 -hour intervals. The blood was processed in the examination center laboratory, and the frozen plasma was shipped to the Center for Disease Control in Atlanta, Ga. There the plasma was analyzed by the hexokinase Glucose 6-Phosphate Dehydrogenase Procedure, using an automated modification of the National Glucose Reference Method developed at the Center for Disease Control.

Kidney pathology.-A second major new target condition selected for inclusion in the NHANES II program was kidney pathology. Very little data directly bearing on this had been collected in previous NHANES or NHES programs, and numerous requests to have a kidney component in the examination survey programs had been received over the years from the National Institutes of Health, the National Kidney Foundation, and several nephrologists in the NHANES professional inquiry groups.

Malfunction of the kidneys is an important health condition, made more so by the very expensive and complex nature of the therapy that is provided by the artificial kidney. In planning this component, numerous people, including Dr. George Schreiner, Georgetown University Hospital, Dr. Nancy Cummings, National Institutes of Health, and Dr. James C. Hunt, Mayo Clinic, were consulted. A number of tests and procedures were considered in addition to an expanded medical history questionnaire, including a variety of questions related to urinary problems. Various modalities were investigated, some of which had to be rejected because of difficulties in the field situation. For example, because it was desirable to obtain a measure of bacteriuria, an indication of possible urinary infection, modifications of quantitative culture techniques and direct examination of urine for bacteria by gram stain were considered. However, to avoid the likelihood of false positive results, it is desirable to obtain at least three separate specimens in any procedure involving a bacterial culture. Previous examination survey experience had made apparent the difficult logistical problems encountered in requiring repeated visits. Given the constraints, it was finally decided to rely upon the simple nitrite test using a dipstick to test for bacteriuria. The test is highly specific but not highly sensitive.

The creatinine clearance test, a widely used test
of kidney function that involves the collection of timed urine specimens and a blood specimen, was also carefully considered. The original plans were to include a 2-hour creatinine clearance test with a water load of approximately 400 cubic centimeters at the start of the test. However, one of the major sources of error involved in 2-hour collection is inadequate emptying of the bladder. Since the amount of urine collected in this instance would be relatively small, any retained urine could cause considerable error in test results. Methods for measuring retention of urine, such as use of isotopes, were not regarded as feasible in the field survey. Pilot testing of the timed urine collection strongly suggested that a significant number of individuals did not empty their bladders adequately. As a result of all these things, it was decided not to use the 2 -hour creatinine clearance test but to rely only on a serum creatinine test, a widely used but less sensitive indicator. Support for the laboratory work for this biochemical determination was provided by the National Institute of Arthritis, Metabolism, and Digestive Diseases.

Microscopic examination of urinary sediments was another of the procedures considered for inclusion in the survey. While consideration was given to an exact quantitative test of urinary sediments using an aliquot of a timed urine specimen-a highly accurate procedure according to some reports-it was decided after the recommendation of consultants to use a method more closely approximating that used in clinical laboratories. The procedure finally adopted was the one used for urinalysis in the Mayo Clinic. It consisted of centrifuging the urine specimen, decanting the supernatent fluid, and examining the sediment for the presence of red and white blood cells and cell casts. Ten microscopic fields were examined for each specimen, using 10 -power and 40-power magnification. However, if the voided urine was dilute, the counts on urinary sediments would be much lower than if the urine sample had been highly concentrated. For this reason it was decided to do the microscopic analysis only on the adult subsample of persons $20-74$ years of age who were also to receive the diabetes test. This group would have had a sufficient number of hours of fluid deprivation immediately preceding the test, during the time spent sleeping, to produce sufficiently concentrated urine (specific gravity of 1.015 or greater) for the test. This particular procedure was also used in a study of kidney disease in the Scandinavian population. 15 One finding from that study was an average of almost 60percent lower frequency of pyuria in both men and women when midstream specimens were used. Therefore, a midstream collection procedure was used for women and a 2-glass procedure for men, with the sediment analysis carried out on the second specimen.

Dipstick tests for bilirubin, nitrite, urobilinogen,
blood glucose, and ketones were also included in the NHANES II program. Optical density, as read on a refractometer, was also determined to assist in interpreting the data, since it gives some indication of the concentration of urine. In addition, an osmolarity determination, another index of the concentration of urine, was made at the central laboratory where pesticide determinations in urine were made.

Liver disease.-There is a lack of reliable epidemiological data on the prevalence of liver disease in the general population. Some information on the prevalance of hepatitis comes as a result of serological tests; and considerable evidence based on mortality data, including autopsy records, indicates that liver disease is fairly widespread. Experts, including Dr. Paul Beck, of the National Institutes of Health, and Dr. Norman Javitt, of Cornell Medical Center, were consulted. The problem was to decide on appropriate tests to use in a sample survey. Unfortunately, the most commonly used test to detect liver disease (the BSP test), one both sensitive and specific, involves the intravenous injection of a material that may not be entirely safe. For this reason it was out of the question that it be used in the NHANES II program. Other tests that were considered, including various enzyme tests such as the SGOT, SGPT, alkaline phosphatase, and so on, are not as sensitive as the BSP test; nor are they specific, since results can be elevated when conditions other than liver disease are present. In this situation, Dr. Javitt suggested that a test for elevated serum postprandial bile acids be used. Bile acids are removed by the liver from blood returning to the heart via the portal vein. The liver cells rapidly secrete the recirculated bile salts into cuniculi where they pass down the ductal system to enter the gallbladder. Under the influence of gastrointestinal hormones, the bile is discharged into the intestine. The bile acids are then absorbed by the intestine and later enter the portal vein to start the cycle again. Because a diseased liver will not remove bile acids as efficiently as a healthy liver, and bile acids will accumulate in the blood stream, a measurement of bile acids in the serum is relevant. A meal containing fat causes a contraction of the gallbladder and in effect results in a greater elevation of bile acids than that occurring under fasting conditions. For the NHANES II survey it was decided that sufficient fat to elevate bile acids could be obtained by the sample person's drinking an eggnog preparation. Peanut butter cups were substituted for eggnog for the occasional person who was allergic to eggs and egg products. Blood was collected 2 hours after administering the eggnog preparation or the substitute, and the test was given only to adults 35 years of age and over, since the cost of laboratory work was relatively high. The results of the test were to be combined with information from special medical history questions related to liver disease. Since data on alcohol
consumption were also collected in NHANES II, there is the possibility of relating such data to the findings with respect to liver disease.

Allergy.-The need for better data on the epidemiology of allergic conditions in the U.S. population has long been known and was specifically pointed out to the National Center for Health Statistics by Dr. Sheldon C. Siegal, who at the time was president of the American Academy of Allergy. Dr. Siegal strongly recommended that an allergy component be included in the examination survey program. Data from other NCHS surveys and from other sources showed that the clinical manifestations of allergy were responsible for a large number of ambulatory care visits and widespread use of prescription and nonprescription drugs. Seasonality would be a problem in measuring the clinical manifestations of allergies in a survey with the NHANES design because of the scheduling of the examination sites. However, reactions to skin tests are closely related to the presence of various respiratory conditions, including asthma and allergic rhinitis. 16 Further consultation on the possibility of including such a component was held with Dr. Phillip S. Norman, who succeeded Dr. Siegal as president of the Academy. It was recommended that data be collected, including an allergy history and the results of a skin test. At Dr. Siegal's request, Drs. John Farghan, Charles Read, and Albert Schaeffer drew up a specific format and content for the allergy examination.

The recommendation of the consultants was that the prick test be used, which, along with the scratch test, is considered to be among the safest procedures used for skin testing. The test involves pricking the skin through a drop of antigen placed on the skin. Their recommendation was adopted, as was the recommendation to use eight separate aeroallergen extracts: housedust, alternaria, cat fur, dog fur, mixed long and short ragweed, oak, perennial rye grass, and Bermuda grass. In addition to the eight allergens, two controls, one containing the diluent used for the antigens and another consisting of a histamine phosphate solution, were used.

The allergy skin test was administered to examinees 6-74 years of age. The back, frequently considered the most uniform site for skin tests, was deemed impractical to use for testing because of lack of facilities for keeping examinees in a prone position for the required time. Therefore, the nonvascular area of the forearm was used. Special precautions were taken for individuals with a history of allergy to ragweed and even more particularly to cats or dogs, as revealed from the allergy history questions. After the administration of the allergens, readings were taken both at $10-$ and 20 -minute (the more commonly used standard measurement) periods. Both the length and width of the wheal and its flare were measured, and standard clinical recordings were made of the allergic reaction. The consultants
had originally recommended that lyophilized extracts of the allergen be used, but they were not commercially available, and standard scratch test antigens preserved in glycerin were used instead.

## Other important target conditions

Osteoarthritis and disc degeneration.-Osteoarthritis is one of the most common diseases in older Americans. The disease is an important cause of disability, causing limitation of activity and mobility. Osteoarthritis has two basic causes. A gene that is very common in the population produces a syndrome of hereditary osteoarthritis associated with Heberden's Nodes. In this condition, severe disc degeneration and degeneration of the apophysial joint of the cervical spine are commonly seen. The second type of osteoarthritis is due to mechanical wear and tear. There is little doubt that individuals who are exposed to high degrees of trauma develop severe disc degeneration of the cervical and lumbar spines. In addition to chronic pain, many syndromes may be noted. For example, severe involvement of the cervical spine may produce vertebral artery insufficiency and can cause severe dysphagia. Although findings from physical examination often lead to an inaccurate assessment of osteoarthritis, radiological methods are available for accurately assessing the severity of lesions. These methods were used in NHANES II. X-ray films taken in the survey include lateral views of the lumbar and the cervical spine. To avoid any possible X-ray damage to a fetus, lumbar spine X-rays of females were taken only at ages 50 and over. As in previous cycles of the National Health Examination Surveys, certain aspects of the physical examination and medical history were included in the survey to give a picture of the functioning of the joints and the disabilities associated with joint pathology.

Consultation on this aspect of the survey was mostly with Dr. William O'Brien of the University of Virginia and Dr. Peter Bennett, National Institute of Arthritis, Metabolism, and Digestive Diseases. The proposal was also reviewed by the Subcommittee of Epidemiology of the National Arthritis Commission.

Cardiovascular conditions.-One part of the planned NHANES II cardiovascular component was an investigation of cardiac arrhythmia by means of Holter electrocardiogram recordings. Because cardiac arrhythmias are believed to be responsible for most sudden cardiac deaths, this study appeared to provide the opportunity for uncovering epidemiological data of major importance. In clinical practice, the Holter electrocardiogram recorders are attached to the patient, and recordings are made during a $10-$ or $24-$ hour period while the patient goes about usual daily activities. To reduce the number of recorders and to lessen the operational complexities in NHANES II, the recordings were to be made over only a 2 -hour period, while the examinee was engaged in other
parts of the examination. A tryout of the procedure during the pilot test demonstrated that recordings of a good quality could be obtained. However, an expert committee assembled by NCHS and the National Heart, Lung, and Blood Institute to give advice on the proper processing of the tapes was of the opinion that certain parts of the examination, such as the glucose tolerance test, would affect the production of arrhythmias. Unfortunately, the committee recommendations would have necessitated a redesign of the examination that would have added more time to the length of the examination than was judged feasible. When this determination had been reached, there was not enough time left in the planning process to explore alternative proposals, and so the Holter electrocardiogram recordings had to be eliminated from the final NHANES II plan.

To record the electrocardiogram, equipment that would record three channels of data simultaneously (12-standard lead and 3-Frank lead), with immediate conversion from analog to digital format, was used. The electrocardiogram was taken with the examinee resting in a supine position. It should be noted that the computer program available for three-channel processing was much more accurate than those previously available for one-channel processing. To obtain continuing information on hypertension and the status of related medical control efforts in the United States, blood pressures were taken and appropriate medical history questions were included in NHANES II, as they had been in the previous cycle of examinations (NHANES I). As is mentioned above, determinations were made of cholesterol, triglycerides, and high density lipoproteins (HDL).

Spirometry.-To provide normative data on pulmonary function similar to that obtained in NHANES I for persons 25-74 years of age, spirometry was performed in NHANES II on individuals 6-24 years of age. As in NHANES I, the data were recorded on tape, using the same equipment as that used for the electrocardiogram recordings. A computer program was used for processing the data and converting it into the individual parameters that describe pulmonary function. The data can be analyzed in relation to the allergy component and the respiratory data obtained from the medical history and examination.

Speech pathology and hearing.-The originally planned speech and hearing component of the survey was markedly shortened as a result of consultation and pilot testing. Impedance audiometry had been an important component of the original plan. This procedure was designed to give a measure of the prevalence of middle ear pathology in the United States. During the pilot test, however, difficulties were encountered in getting an adequate airseal; several examinees experienced discomfort; and the test took longer than expected. A decision to discon-
tinue the procedure was made after the pilot test, since although additional months of experience with the procedure might have reduced the problems encountered, the entire survey schedule would still have been disrupted. Although impedance audiometry was dropped from the survey, puretone audiometry was included for all sample persons 4-19 years of age. It had originally been planned to obtain a speech sample from individuals 4-74 years of age for speech pathology testing, but the instrument finally selected for the speech test was the Stephens Oral Language Test, 17 a test using standardized stimulus sentences that had been used to screen children of from 4 through 6 years of age for deficiencies in syntax and articulation. Although the test had been used extensively in the 46 age group, there was only a very limited experience of its use in older age groups. In NHANES II only those 4-6 years of age were tested, since the test had received adequate validation only in that group. Because of substantial oversampling of this age group for the nutrition survey, there were enough children for the resulting data to be useful.

Since trained speech pathologists were not available for the survey team, speech recordings of the 15 sentences used in the test were made at the examination site. These recordings could be evaluated subsequently by a speech pathologist. Considerable effort was expended in designing a recording setup that would produce excellent high-fidelity recordings. In order to provide a standard stimulus for eliciting the speech sample, Dr. Irene Stephens, Associate Professor, Department of Communicative Disorders, Northern Illinois University, recorded a reading of the speech test on separate Language Master cards. Subsequent evaluation by Dr. Stephens of about 400 recordings taped by the survey demonstrated the feasibility of this approach.

Blood tests: carbon monoxide, lead and pesticide levels, and venereal disease. -The increasing involvement of NHANES in studying environmental health factors has reflected the increasing interest in the effect of the environment on health. In NHANES I the major project in the environmental field was the collection and analysis of household water samples for various bulk elements and trace metals. New environmentally related tests were developed for NHANES II.

Air pollution or, specifically, carbon monoxide pollution is an often cited problem in many cities of the United States. Carbon monoxide is a colorless, odorless gas that is a product of incomplete combustion and is primarily produced from industrial plants, electric power plants, and automobile exhaust. It has been suggested that carbon monoxide may act to precipitate cardiac symp tomatology or episodes by reducing the supply of oxygen to a heart already compromised by coronary disease. Because of the lack of acceptable information on the body burden
of carbon monoxide and the potential deleterious health effects due to carbon monoxide air pollution, it was thought to be an appropriate area of study for NHANES II.

Since smoking also results in higher carbon monoxide levels, questions on smoking were included in the survey. Carboxyhemoglobin determinations were done on a half-sample of examinees 3-74 years of age. Special care was taken in quality control for the laboratory determinations, including the use of a reference laboratory. Analysis of data should indicate whether and where carbon monoxide pollution is a significant problem.

For many years lead poisoning has been considered an important public health problem, particularly in children. Some important causes of high body levels of lead are contaminated foods, automobile exhaust, and, in children, lead paint. Lead poisoning can produce many adverse effects, including anemia, anorexia, colic, $p$ arietitis, hypertension, arteriola degeneration, permanent renal damage, encephalopathy, mental retardation, blindness, cerebral atrophy, glycosuria, visual disturbances, epilepsy, and palsy.

In a meeting on trace elements, Dr. Katherine Mahaffey of the Food and Drug Administration gave the following rationale for a survey of lead levels in blood:

- Available data come either from populations where lead contamination is suspected to be high or from specific control groups where lead contamination is expected to be very low. There is no information about the distribution of lead levels in blood for the general U.S. population.
- The variability with age is not known.
- With expected large-scale changes in exposure of the population to lead, knowledge of present serum lead levels is needed as a baseline for future studies. Normative information is essential to substantiate regulatory decisions based upon knowledge of the biological meaning of high lead levels coupled with available data on lead levels at minimal lead exposure.

Blood determinations were made on all children through the age of 6 and on a half-sample of all examinees over that age. Because of the interest of the Food and Drug Administration in the lead determinations, the laboratory cost of the test was underwritten by the Bureau of Foods, Food and Drug Administration, and the determinations were made by the Bureau of Laboratories of the Center for Disease Control.

The Environmental Protection Agency is authorized under Public Law 92-5 16 to monitor not only
the environment but human beings as well for evidence of pesticide exposure or contamination. The National Human Monitoring Program for Pesticides is operated by the Environmental Protection Agency in partial fulfillment of the legislative mandate. The program's goal is to determine on a national scale the amount of exposure of the general population to pesticides. It was considered by the Environmental Protection Agency that NHANES II could establish important baseline data on the body burdens of several types of pesticides through blood and urine analysis (appendix III). With the use of chlorinated hydrocarbon pesticides declining and that of organophosphate carbamate and phenoxy-type compounds increasing, the capacity to determine human exposure to these new, widely used pesticides has become imperative. In order to obtain this information, the Environmental Protection Agency offered to underwrite the laboratory cost of pesticide level determinations of a half-sample of NHANES II examinees 12-74 years of age. A few questions relating to exposure to pesticides were added to the questionnaires, and blood and urine specimens were obtained on the half-sample.

The Center for Disease Control asked NCHS to include a survey component for venereal disease in NHANES II. The two diseases to be studied were gonorrhea and syphilis. Syphilis testing involved few problems because it had already been included in NHES I (1960-62) ${ }^{1}$ and the 1974-75 NHANES I Augmentation Survey. 5 Inclusion of the serological tests for syphilis on the full sample of persons 12-74 years of age provided opportunity for analysis of the data by population subgroups as well as a comparison with the 1960-62 survey. The serology determinations for syphilis included qualitative and quantitative ART, an FTA-ABS, and an MHA-TP. The tests are classified respectively as flocculation, immunofluorescence, and hemeagglutination.

It is more difficult to test for the presence of gonorrhea. At present there is no serological test for gonorrhea specific enough to be suitable for survey purposes. The standard clinical method for women involves taking an endocervical culture at the same time that a Pap specimen is taken. Experience at our initial pretesting operation indicated that many women were unwilling to undergo this procedure in a survey setting, and it was therefore decided to omit it from the examination. Instead, a somewhat less sensitive method was used that involved culturing urinary sediments obtained after centrifuging urine specimens. The age range of individuals studied was 12-40 years for males and females, and of those females who received the glucose tolerance test, only those 20-24 years of age had the gonorrhea test done.

## Sample design for NHANES II

The general structure of the NHANES II sample design is similar to the designs of NHANES I ${ }^{4}$ and the first three health examination surveys conducted by the National Center for Health Statistics. 1-3, 18 The design is a stratified, multistage, probability cluster sample of households throughout the United States. The process of selecting a sample of persons to be examined is a cascading one that involves the selection of primary sampling units (PSU's-a PSU is a county or small group of contiguous counties), census enumeration districts (ED's), segments (a segment is a cluster of households), households, eligible persons, and finally sample persons. The major difference between the NHANES I and NHANES II designs is the use of a different set of definitions and stratification procedures for PSU's. The details of the NHANES II sampling plan, which resulted in a total of 27,803 sample persons and 20,325 examined persons in 64 PSU's throughout the United States, are described in the following sections.

## Design specifications

The planning phase for NHANES II is described in a previous section, along with many of the survey objectives. The survey specifications that directly affected the sample design were as follows:

- NHANES II should be a probability sample whose target population is the civilian, noninstitutionalized population of the United States (including for the first time Alaska and Hawaii) for persons 6 months through 74 years of age.
- Subgroups of the population of special interest for nutritional assessment should include preschool children ( 6 months - 5 years), the aged ( 60 - 74 years), and the poor (persons below the poverty level as defined by the U.S. Bureau'of the Census using 1970 census results). These groups should be oversampled to improve the reliability of the statistics for the subgroups.
- The total sample size selected for NHANES II
should result in approximately 21,000 examined persons.
- The number of sample persons selected in each PSU should be between 300 and 600.
- The data collection mechanism used in NHANES I should be used in NHANES II with appropriate modifications. Examinations should be conducted in three mobile examination centers. At any time during the survey period (except holidays) two of the centers should be operating in different locations while the third is being serviced or relocated.
- The total period of data collection should be 3 to 4 years.
- The average length of an individual examination should be between 2 and 3 hours, but it should vary depending on the age of the examinee. The time required to examine a preschooler should be less than 1 hour, while the time for an adult should not exceed $2^{1 / 2}$ to 3 hours.
- Approximately one person per sample household should be selected for an examination. The exact number of persons selected for an examination in each household should be determined by applying the sampling rates designated for the different age groups.
- The size of the PSU should be defined so that it is optimal with respect to cost and response and results in national statistics with an acceptable level of precision.
- The survey should be designed so that precise statistics can be produced for the four broad geographic regions of the United States and for the total population by age, $x$ : classifications.

These sample design specifications took a number of factors into account, including budgetary resources, logistical constraints, time limitations, equipment mobility, and unit operating costs. The specifications
also reflected the experience gained from past examination surveys.

One of the major survey objectives of NHANES II was the examination of a high percent of sample persons. The overall response rates in the examination surveys conducted by NCHS had continually declined since the 1960's. The response rate for the two surveys of the total U.S. population had declined from 87 percent in the early 1960's to 74 percent in the early and mid-1 970's. There were multiple reasons for this decline in response-some controllable and some not. Whatever the reasons, the results of the survey may have been biased because a large proportion of sample persons had not been examined. A design change that was investigated for improving response was the use of smaller geographical areas as PSU's. The PSU's used in previous examination surveys had been defined either as a single county or as a group of contiguous counties (except in certain parts of New England). Many of the larger PSU's were defined as standard metropolitan statistical areas (SMSA's) and often contained several counties. The PSU's that contained several counties and covered a large area were not ideally suited for an examination survey. Attempting to survey large geographic areas from a centrally located examination center created a number of logistical problems. Some examinees had been asked to travel more than 50 miles to be examined, while others had been asked to travel through very congested areas. Many respondents were reluctant to travel under such conditions. The cost of followup visits to the households was also a function of the distance or time from the examination center. An analysis of the response rates for several stands in NHANES I lent further support to these assumptions. The use of smaller areas as PSU's would reduce both the average distance traveled to the examination center by examinees and the cost of the field work. These considerations were the basis for redefining and restratifying the PSU's in NHANES II.

## Definition and stratification of primary sampling units

The first-stage sampling units selected in the previous NHES and NHANES I surveys were subsets of the sample PSU's in the National Health Interview Survey (NHIS). NHIS is one of the NCHS major data collection programs, the design of which is described in an NCHS report ${ }^{19}$ and in a technical paper ${ }^{20}$ by the U.S. Bureau of the Census. In NHIS the United States is subdivided into 1,924 PSU's, with 376 of the PSU's being selected for the sample. Sixty-five of these 376 sample PSU's were selected as the NHANES I sample. In redefining PSU's for NHANES II, the formation of PSU's for NHIS was reviewed. The PSU's for NHIS had been defined by the Bureau of the Census and are the same as those used for the Current Population Survey. ${ }^{20}$ With some slight over-
simplifications the following criteria had been used to define PSU's for NHIS:

- Each SMSA is a separate PSU.
- Each PSU is composed of a single county or contiguous counties (in some New England States minor civil divisions are used).
- Each PSU is defined within the four census regional boundaries.
- The area of a PSU is less than 2,000 square miles in the West and less than 1,500 square miles elsewhere.
- The 1970 population of a PSU is at least 7,500 in the West and at least 10,000 elsewhere.
The NHIS PSU's that contained more than one county were either SMSA's or had been defined using the last criterion above and represent rural areas. Since rural areas have traditionally had high response rates in the health examination surveys, the only PSU's considered for redefinition were the SMSA's. In the NHIS design, about 60 percent of the SMSA's contained a sufficiently large population to be selected for the sample with certainty (with a probability of one) and are referred to as self-representing PSU's. In NHIS, 156 of the 376 PSU's are self-representing SMSA's. It was these 156 self-representing SMSA's in the NHIS design that were redefined and restratified for the NHANES II design.

For NHANES II, the self-representing PSU's in NHIS were first split along county boundaries. Within each region, each of the counties was classified as being either a self-representing or a nonself-representing PSU. The PSU's that were nonself-representing were further combined into homogeneous classes or strata equal in size to the NHIS strata -containing nonself-representing PSU's.

The formation of new strata were governed by the following rules:

- Each new PSU with a population of more than 250,000 in 1970 was classified as a self-representing PSU. In a few special cases, some PSU's with slightly smaller populations were classified as selfrepresenting.
- The remaining newly defined PSU's were combined with other PSU's having similar sociodemographic characteristics to form a number of nonself-representing strata. The PSU's within a stratum were all located in the same geographic region.
- Each of the nonself-representing strata was made to have about the same population. The average stratum contained about 350,000 persons in 1970.

This method of stratification and the stratification variables used to form NHIS nonself-representing
strata are the basis for the procedures used to form the larger strata for NHANES II described in the next section.

The regional boundaries used in stratifying PSU's differ from regional boundaries as defined by the Bureau of the Census. Figure 1 shows the different regional boundaries used in NHANES II and the census. In order to produce regional estimates with approximately equal precision, the NHANES II regions were defined so that they would each contain approximately the same number of sample PSU's. Because of the small sample size for NHANES II, a regionally balanced design was needed for producing regional statistics.

Table A shows the effect of subdividing the selfrepresenting PSU's in NHIS and redefining the PSU's by using county boundaries. A total of 397 PSU's were formed from the 156 self-representing PSU's: 198 were defined as self-representing, and 199 were defined as nonself-representing and subsequently used to form an additional 43 nonself-representing strata. The average population of a self-representing PSU was reduced -from 838,000 to 584,000 . In area, the average size of these PSU's was reduced more than 60 percent, from 2,185 square miles to 855 square miles.

## Formation of superstrata in NHANES II

After the 461 first-stage units (NHIS strata) had been defined, they were further stratified into a total of 64 superstrata for the NHANES II design. One PSU was selected from each of the superstrata, and these PSU's represented the 64 geographic locations visited by the mobile examination centers during the survey period. The stratification and selection of first-stage units in NHANES II is as follows.

The number of primary sampling units had to be determined before the number of superstrata could be determined. Because of the design specifications, the maximum number of locations that could be visited during a 4 -year period is approximately 80 stands.

In order to decide the number of first-stage units to select, a series of design calculations were made. A general description of the process is presented elsewhere. ${ }^{18}$ The design model used incorporated such factors as total budget, unit costs, and precision of estimates obtained in previous surveys for a variety of health characteristics. These calculations showed that the optimum number of locations to select was 130 , examining 160 persons per stand. One important variable not built into the design model, however, was "down time." Moving from one location to another requires 1 full week, even when a third examination center can be relocated and hooked up in advance. Time is required for closing the office, packing the equipment, traveling to the new location, and setting up and calibrating the equipment. Locating in 130 different areas over a 3 - to 4 -year period implies that 2 weeks or less would be spent at each location. This length of time was felt to be too short to achieve required response rates since, in many areas, repeated callbacks are required to achieve a 75 -percent examination rate. Previous field experience had indicated that staying in an area for only 2 weeks could reduce response rates by as much as 10 percent.

Taking all of the logistical problems into consideration led to the selection of a design of 64 primary locations with an average expected number of about 440 sample persons per location. Thus, an examination center would be located in each area for a period of 4 to 6 weeks. With two examination teams being

Table A. Number and population of National Health Interview Survey (NHIS) strata before and after subdivision of self-representing primary sampling units, by type of stratum and National Health and Nutrition Examination Survey region
[Population estimates are based on 1970 Decennial Census]
$\left.\begin{array}{lccccc}\hline & & & & & \text { NHIS strata }\end{array}\right]$


Figure 1. Comparison of regional boundaries for the National Health and Nutrition Examination Survey, 1976-80, with those defined by the U.S. Bureau of the Census
employed simultaneously, about 16 stands could be completed per year. A final comparison was made between the selected design and the design that was optimum with respect to sampling error. It was concluded that the final selected design would decrease the reliability of the survey estimates by about 10 percent from those of the optimum design but would substantially reduce the nonsampling component of error.

Because of the small number of primary sampling units, it was decided that the maximum amount of stratification should be used: that the NHIS strata be stratified in 64 superstrata and one PSU be selected per superstratum. The object of stratification is to group the strata with similar characteristics into homogeneous superstrata. A stepwise regression analysis was used to determine which variables would be most effective for collapsing NHIS strata into superstrata. Since NHANES II is a health survey, it would be preferable to use health or health-related variables for stratification. The variables used for stratification must, however, be available at the county level to combine counties or groups of counties into strata. Since health variables were not available at the county level, the stepwise regression analysis was used to study the relationship between the sociodemographic variables that are available for all counties and a set of selected health variables from a previous health examination survey. For the analysis, measurements on all the variables listed below were made for each of the sample PSU's in the first health examination survey. The dependent variables used in the regression analysis were

- Infant mortality rate and number of infant deaths.
- Percent and number of persons with kidney trouble.
- Percent and number of persons with heart trouble.
- Percent and number of persons with hypertension.
- Percent and number of persons with high levels of serum cholesterol.

The independent variables used in the analysis were

- Population.
- Rate of growth.
- Density (population per square mile).
- Percent urban.
- Percent manufacturing.
- Median income.
- Percent races other than white.
- Percent below poverty level.
- Percent Hispanic origin.
- Total Hispanic population.
- Population below poverty level.

These variables were defined by the U.S. Bureau of the Census and included the variables that had previously been used for stratification in NCHS examination surveys.

A stepwise regression was performed for each of the dependent variables. When the total number (rather than percent) of persons with a health condition was used for a PSU as the dependent variable, the only independent variable that entered the regression model was population. This demonstrates the importance of either stratifying the PSU's according to their population size or selecting the sample PSU's from strata with a probability proportional to their size. When the stepwise regressions were run for the percent of persons with a given health condition, a number of independent variables entered the regression model. Table B presents the results of the analysis by region. Table $C$ shows the correlation matrix for the health variables and for selected sociodemographic variables. The independent variables that entered the final regression model varied by health condition and among regions. Summarizing the results over all of the health conditions within each region led to some general conclusions: median income was the first or second most important independent variable within each region; the percent of the population below the poverty level was always among the three most important variables in each region; and either "percent races other than white" or "percent Hispanic origin" was among the three most important variables in all but one of the regions. These results were further supported by the correlations shown in table C for the total U.S. population. Although the overall correlation between percent Hispanic and the health variables is low for the total United States, percent Hispanic entered the regression model for the Northeastern and Western Regions. Because of these results, the following sample design decisions were made and implemented:

- The first and second most significant independent variables in each region were used as stratification variables.
- The third most important independent variable in the stepwise regression analysis in each region was used as a control selection variable (described in the next section).
- The formation of superstrata was performed separately for self-representing and nonself-representing strata within each region.
- Population size was used at the first level of stratification within each region.
- Sixteen superstrata were formed in each region. The superstrata were each about the same size, each containing approximately $3,200,000$ persons according to the 1970 decennial census.

Table B. Variables in final stepwise regression model, by region

| Dependent variable | Independent variables in final regression model |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | Northeastern Region | Midwestern Region | Southern Region | Western Region |
| Infant mortality rate | Percent below poverty level <br> Percent races other than white <br> Median income <br> Percent Hispanic origin <br> Percent manufacturing | Percent races other than white Percent Hispanic origin | Percent races other than white <br> Percent urban <br> Percent below poverty level <br> Median income | Percent below poverty level <br> Median income <br> Percent manufacturing <br> Rate of growth <br> Percent Hispanic origin |
| Percent with kidney trouble | Percent Hispanic origin <br> Percent below poverty level <br> Median income <br> Percent races other than white | Median income Rate of growth | Percent manufacturing Percent below poverty level Median income | Percent Hispanic origin <br> Percent races other than white <br> Rate of growth <br> Percent manufacturing <br> Percent below poverty level <br> Median income |
| Percent with heart trouble | Percent races other than white <br> Percent manufacturing <br> Percent Hispanic origin <br> Median income | Median income <br> Rate of growth <br> Percent below poverty level | Median income <br> Percent manufacturing <br> Percent urban | Percent Hispanic origin |
| Percent with hypertension | Rate of growth Percent below poverty level | Rate of growth <br> Percent races other than white <br> Percent below poverty level <br> Percent Hispanic origin Median income | Percent below poverty level <br> Median income <br> Rate of growth <br> Percent urban <br> Percent races other than white | Percent Hispanic origin Rate of growth Percent manufacturing Median income |
| Percent with high serum cholesterol | Percent Hispanic origin Median income Percent manufacturing Percent below poverty level | Median income <br> Percent below poverty level <br> Percent Hispanic origin Percent races other than white | Percent manufacturing <br> Percent below poverty level <br> Median income Infant mortality rate | Median income <br> Percent Hispanic origin Rate of growth |

In accordance with the decision to use the first and second most significant independent variables in addition to population size, the following variables were used as stratification variables for NHANES II:
Northeastern Region:
Population in stratum
Median income
Percent below proverty level
Midwestern Region:
Population in stratum
Median income
Rate of growth
Southern Region:
Population in stratum
Median income
Races other than white plus Hispanics
Western Region:
Population in stratum

## Median income

Races other than white plus Hispanics
The actual formation of the superstrata in NHANES II was performed in two stages. During the
first stage the NHIS strata were classified into 64 superstrata according to region, type of stratum (selfrepresenting or nonself-representing), size of stratum (large or small), income (low, middle, or high), percent races other than white plus Hispanics (low or high), and percent below poverty level or rate of growth (low or high). The classification procedure used to form the preliminary superstrata is shown in table D. An important effect of the stratification process was the formation of superstrata containing only central cities, suburban counties, or rural counties. Although some precision was lost by splitting the larger SMSA's, it was hoped that a gain in precision would result from the division of central cities and noncentral cities into separate strata.

The final stage in the formation of superstrata was a cluster analysis of the superstrata formed in the first stage. The cluster analysis was performed separately in each region for the self-representing and nonself-representing strata. Within each of these subdomains the strata were ranked from lowest to highest by population size, area, percent manufacturing, rate of growth, percent urban, percent races other than white plus Hispanics, median income, and percent below poverty level. For each pairwise
Table C. Correlation matrix for health and sociodemographic variables

|  | Infant mortality rate | Percent with kidney trouble | Percent with heart trouble | Percent with hypertension | Percent with high serum cholesterol | Population | Rate of growth | Density | Percent urban | Percent <br> manu- <br> facturing | Median income | Percent races other than white | Percent below poverty level | Percent <br> Hispanic origin |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Infant mortality rate | 1.00 | . 20 | . 21 | . 25 | -. 46 | -. 20 | -. 19 | -. 08 | -. 42 | -. 38 | -. 50 | . 88 | . 77 | . 01 |
| Percent with kidney trouble |  | 1.00 | . 49 | . 14 | -. 16 | -. 41 | -. 13 | -. 27 | -. 32 | -. 50 | -. 61 | . 27 | . 54 | . 02 |
| Percent with heart trouble |  |  | 1.00 | . 69 | -. 17 | -. 11 | -. 49 | -. 05 | -. 39 | -. 10 | -. 56 | . 31 | . 44 | -. 31 |
| Percent with hypertension |  |  |  | 1.00 | -. 09 | -. 05 | -. 56 | . 05 | -. 35 | -. 01 | . 46 | . 23 | . 39 | -. 24 |
| Percent with high serum cholesterol |  |  |  |  | 1.00 | . 30 | -. 06 | . 01 | . 14 | . 04 | . 21 | -. 42 | -. 27 | -. 14 |
| Population |  |  |  |  |  | 1.00 | . 13 | . 61 | . 32 | . 34 | . 53 | -. 17 | -. 38 | . 23 |
| Rate of growth |  |  |  |  |  |  | 1.00 | -. 09 | . 51 | . 10 | . 59 | -. 23 | -. 44 | . 47 |
| Density |  |  |  |  |  |  |  | 1.00 | . 13 | . 11 | . 20 | -. 06 | -. 14 | . 18 |
| Percent urban |  |  |  |  |  |  |  |  | 1.00 | . 36 | . 79 | -. 36 | -. 70 | . 24 |
| Percent manufacturing |  |  |  |  |  |  |  |  |  | 1.00 | . 57 | -. 36 | -. 64 | -. 20 |
| Median income |  |  |  |  |  |  |  |  |  |  | 1.00 | -. 56 | -. 90 | . 22 |
| Percent races other than white |  |  |  |  |  |  |  |  |  |  |  | 1.00 | . 77 | . 13 |
| Percent below poverty level |  |  |  |  |  |  |  |  |  |  |  |  | 1.00 | -. 09 |
| Percent Hispanic origin |  |  |  |  |  |  |  |  |  |  |  |  |  | 1.00 |
| Average absolute correlation with health variables |  |  |  |  |  | . 21 | . 29 | . 09 | . 32 | . 21 | . 47 | . 42 | . 48 | . 14 |

combination of strata, the Euclidean distance between the ranks was computed. For stratum A and stratum B, the Euclidean distance is defined as

$$
d(\mathrm{~A}, \mathrm{~B})=\sum_{\mathrm{i}=1}^{\mathrm{p}}\left(r_{\mathrm{iA}}-r_{\mathrm{iB}}\right)^{2}
$$

where
$p$ is the number of variables,
$r_{\mathrm{iA}}$ is the rank of the ith variable for NHIS stratum A, and
$r_{\mathrm{iB}}$ is the rank of the ith variable for NHIS stratum B.
The smaller the value of $d(\mathrm{~A}, \mathrm{~B})$ the more alike the strata are. The $d(\mathrm{~A}, \mathrm{~B})$ values were then evaluated for each pairwise combination of strata in the NHANES superstrata. Because of the overlap between the variables used for stratification and the variables used to compute the measure $d(\mathrm{~A}, \mathrm{~B})$, the $d(\mathrm{~A}, \mathrm{~B})$ values within a superstratum should be relatively small. This was generally true. A substantial number of individual strata were identified, however, whose sum of $d(\mathrm{~A}, \mathrm{~B})$ values with other members of the superstratum was large. In these cases, an attempt was made to realine the strata within the superstrata so that the sum of the $d(\mathrm{~A}, \mathrm{~B})$ values over all of the superstrata was minimized for each subdomain. Because of the number of constraints imposed on the stratification process, these adjustments were performed manually. This procedure substantially reduced the sum of the $d(\mathrm{~A}, \mathrm{~B})$ values within the superstrata and produced a more efficient stratification. Cluster analysis was also similarly used for the formation of nonself-representing strata using the newly defined nonself-representing PSU's.

## Selection of sample locations

The selection of one PSU per superstratum utilized a modified Goodman-Kish ${ }^{2} 1,2$ control selection technique. The control selection procedure was used to insure that the selected first-stage sampling units represented a "balanced" sample with respect to the control selection variables used. For example, within a region one might want to insure that the final sample PSU's were distributed evenly across States or across groups of States. This could be achieved by using the "State groups" within a region to control the number of PSU's selected within each State group. The first step in this selection process involves defining a set of admissible patterns (samples) so that each pattern has an acceptable distribution of PSU's across the control classes. A pattern or potential sample is admissible if the difference between the number of selected PSU's is within 1 of the number of PSU's expected to be

Table D. Variables used for stratification in the National Health and Nutrition Examination Survey, by region

| Region and type of stratum | Number of superstrata | Stratification variables |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  |  | Income | Races other than white plus Hispanics | Rate of growth or percent below poverty level |
|  |  |  |  | Percent below poverty level |
| Northeastern. . . . . | 16 |  |  |  |
| Self-representing strata. | 12 |  |  |  |
| Highly urban-New England' | 1 |  |  |  |
| Other urban-New England. | 1 |  |  |  |
| Large counties (by population) | 6 | high, medium, low |  | high, low |
| Small counties (by population) | 4 | high, low, |  | high, low |
| Nonself-representing strata. | 4 |  |  |  |
| New England places. | 1 |  |  |  |
| Other. . . . . . . . | 3 | high, medium, low |  |  |
|  |  |  |  | Rate of growth |
| Midwestern | 16 |  |  |  |
| Self-representing strata. | 8 |  |  |  |
| Certainty*. . . . . | 1 |  |  |  |
| Large counties (by population) | 4 | high, low |  | high, low |
| Small counties (by population) . | 3 | high, medium, low |  |  |
| Nonself-representing strata. . . . | 8 |  |  |  |
| Large strata (by, population). | 4 | high, low |  | high, low |
| Small strata (by population). | 4 | high, low |  | high, low |
| Southern. | 16 |  |  |  |
| Self-representing strata. | 6 |  |  |  |
| Large counties (by population). | 3 | high, medium, low |  |  |
| Small counties (by population) . | 3 | high, medium, low |  |  |
| Nonself-representing strata. . . . . | 10 |  |  |  |
| Large strata (by population). | 6 | high, medium, low |  |  |
| Small strata (by population). | 4 | 'high, low | high, low |  |
| Western. | 16 |  |  |  |
| Self-representing strata. | 9 |  |  |  |
| Certainty*. . . | 2 |  |  |  |
| Large counties (by population) | 4 | ' high, low | high, low |  |
| Small counties (by population) | 3 | high, medium, low |  |  |
| Nonself-representing strata. | 7 |  |  |  |
| Large strata (by population). | 4 | high, low | high, low |  |
| Small strata (by population). | 3 | high, medium, low |  |  |

${ }_{2}^{1}$ New England is subdivided into townships rather than counties.
${ }^{2}$ Cook County in the Midwestern Region and Los Angeles County ( 2 stands) in the Western Region were selected into the sample with a probability of 1 .
drawn from each control class based on its population. The total set of patterns is formed so that the probability of selecting any PSU within a superstratum is proportional to its population. Each pattern within the set is assigned a probability of selection based on the size of the sample PSU's within the pattern. The sum of the probabilities of selection over all patterns is equal to 1 . After the probabilities of selection for the patterns were accumulated, a sample pattern was randomly selected for NHANES II. A detailed description of this controlled selection process is given in an NCHS report. ${ }^{18}$

Two control selection variables were chosen within each region for NHANES II. The variable "s tate group" was used in all four regions, and "percent below poverty level" was used in every region except the Northeastern, where "percent races other than white plus Hispanics" was used. Thus, the final sample of PSU's was drawn so that the sample did not appreciably overrepresent or under-represent
any State group or quartiles representing percent below poverty level or percent races other than white plus Hispanics. The control selection procedure was applied separately within the self-representing and nonself-representing superstrata in every region except the Northeastern, where the control selection was applied to the total region. The control variables used within each region are defined in table E, and the expected and actual number of PSU's selected from each control class are shown in table F. The "percent below poverty level" or "percent of races other than white plus Hispanics" classes were defined within each region by classifying approximately equal numbers of NHIS strata into quartiles.

Classifying the strata into control classes was straigh tforward for the self-representing strata (one PSU per stratum). The classification of the nonselfrepresenting strata into control classes was more complicated. The PSU's within each of the NHIS strata are often not all in the same State group,
"percent below poverty level," or "percent races other than white plus Hispanics." This complication was remedied by selecting a sample PSU within each of the nonself-representing strata. Within each of the original NHIS nonself-representing strata, the NHIS sample PSU was designated as the NHANES II sample PSU. In the newly defined nonself-representing strata a sample PSU was selected with a probability proportional to its size. The sample PSU's within the strata were selected before the sample strata were selected within the superstrata. The sample PSU's within the nonself-representing strata were then used to classify the strata by State group, percent below poverty level, or percent races other than white plus Hispanics. The selected survey locations for NHANES II are shown in table G.

## Selection of housing units within sample locations

The Bureau of the Census had the responsibility for selecting housing units and sample persons within each of the 64 primary locations. The Bureau of the Census was also responsible for specifying and implementing the sample design within PSU's and for oversampling the subgroups of the population of special interest.

Two sampling frames were used to select the sample of housing units within each of the PSU's. The larger frame was based on the 1970 census of the population. This frame was supplemented by a frame that contained new housing units constructed since the 1970 census.

The first stage of design within a PSU involved the selection of clusters of housing units (segments) within enumeration districts (ED's). An ED is a geographical area containing approximately 300 housing units. In order to oversample persons with low incomes, the ED's were sorted into poverty or nonpoverty strata as follows: the poverty strata contained ED's with 13 percent or more of persons below the poverty level, and the nonpoverty strata contained ED's with less than 13 percent of persons below the poverty level as determined by the 1970 census. The poverty index for households was based on 1969 income, size of family, sex of head of family, age (under 65 years or 65 years and over) of head of family, and farm or nonfarm status. A measure of size was determined for each ED by dividing the number of listed housing units in an ED by 4. Within each stratum the ED's were then selected with a probability proportional to their measure of size. The number of ED's selected in each stratum was based on a number of factors that are described below.

According to previous experience, it was assumed that a response rate of approximately 75 percent would be obtainable in NHANES II. To examine 21,000 persons, approximately 28,000 persons needed to be selected from the sample households. A mathematical model ${ }^{2} 3$ was used to determine the sample size for each PSU and the optimum number to select in the poverty and nonpoverty strata within PSU's. The sample was allocated in such a way as to minimize the variance of the estimated proportion of persons below the poverty level for a fixed total

Table E. Definition of controlclasses used for the selection of primary sampling units, by region: National Health and Nutrition Examination Survey, 1976-80

| Region | 1st variable |  | 2nd variable |  |
| :---: | :---: | :---: | :---: | :---: |
|  | State group code | State group | Quartile | Definition of quartile |
| Northeastern | A B C | Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont <br> New York <br> New Jersey, Pennsylvania | $\begin{aligned} & 1 \\ & 2 \\ & 3 \\ & 4 \end{aligned}$ | Percent races other than white-plus Hispanics Lowest <br> Low-middle <br> Middle-high <br> Highest |
| Midwestern | $\begin{aligned} & \text { A } \\ & \text { B } \\ & \text { C } \\ & \text { D } \\ & \text { E } \end{aligned}$ | Ohio <br> Indiana, Michigan, Wisconsin <br> Illinois <br> Minnesota <br> Iowa, Missou ri | $\begin{aligned} & 1 \\ & 2 \\ & 3 \\ & 4 \end{aligned}$ | Rate of growth and percent below poverty level Lowest Low-middle Middle-high Highest |
| Southern | $\begin{aligned} & \text { A } \\ & \text { B } \\ & \text { C } \\ & \text { D } \\ & \text { E } \end{aligned}$ | Delaware, District of Columbia, Maryland, Virginia Kentucky, Tennessee, West Virginia Alabama, Arkansas, Louisiana, Mississippi Georgia, North Carolina, South Carolina Florida | $\begin{aligned} & 1 \\ & 2 \\ & 3 \\ & 4 \end{aligned}$ | Percent below poverty level <br> Lowest <br> Low-middle <br> Middle-high <br> Highest |
| Western | $\begin{aligned} & A \\ & \text { A } \\ & \text { C } \\ & \text { D } \\ & \text { E } \end{aligned}$ | California <br> Oregon, Washington <br> Texas <br> Arizona, Colorado, Idaho, Montana, Nevada, New Mexico, Oklahoma, Utah, Wyoming, Alaska, Hawaii Kansas, Nebraska, North Dakota, South Dakota | $\begin{aligned} & 1 \\ & 2 \\ & 3 \\ & 4 \end{aligned}$ | Lowest <br> Low-middle <br> Middle-high Highest |

Table F. Expected and actual number of sample primary sampling units (PSU's) within control classes, by region and type of stratum
[The control classes are defined in table E. The expected number of PSU's in a control class is based on its population]

| Region and type of stratum |
| :---: |

${ }^{1}$ Self-representing and nonself-representing strata combined for control selection.
${ }^{2}$ Excludes self-representing superstrata from the National Health and Nutrition Examination Survey, 1976-80.
sample size. The allocation procedure employed produced a sample that varied in expected sample size from 281 to 781 , with an average of 437 persons per PSU. All but 11 of the sample sizes were within the operationally acceptable range of 300 to 600 sample persons. To conform to the design specifications, the expected sample size for each of these PSU's was adjusted to fall between 315 and 585 persons. The average ratio of the sampling rate within the poverty stratum to the sampling rate within the nonpoverty stratum was 2.3. This ratio ranged from 1.48 to 5.01 across the sample PSU's, with 90 percent of the ratios being between 1.5 and 3.0 .

The households within each ED were clustered into segments in order to reduce the expense of interviewing within ED's. Results from previous surveys had indicated that a cluster of eight listed addresses would provide an adequate design. To further insure the sampling reliability, clusters of 16 listed addresses were drawn from the sampling frames and then systematically subsampled at a rate of 1 out of 2 to produce a final segment of eight address listings.

Using the survey specification that approximately one person should be examined per household (see
the next section for the household sampling procedure), the expected number of segments needed within each PSU was determined by dividing the PSU sample size by 8 . The segments were drawn separately from within the poverty and nonpoverty strata. A systematic sample of segments were then selected across all ED's, with no more than one segment being selected per ED. The new construction frame was sampled at the same rate as the nonpoverty stratum.

Several factors were used to decide the sample size within each PSU. The sample size needed in each PSU was a function of the age distribution within the PSU, the proportion of the population below the poverty level, the expected number of vacant and other types of ineligible units, the expected number of refusals, and the expected number of persons in group quarters. Since the census information did not include the number of persons per segment and was out of date, an additional 15 reserve segments were drawn for each PSU as a precautionary measure. These segments were drawn from both poverty and nonpoverty strata.

Because of the complexity of the examination survey and the logistical arrangements that had to be planned in advance, the number of persons selected

Table G. Primary sampling units, stand sites, and percent of persons examined, by region: National Health and Nutrition Examination Survey, 1976-80

| Primary sampling units within regions | Stand site | Percent of persons examined | Primary sampling units within regions | Stand site | Percent of persons examined |
| :---: | :---: | :---: | :---: | :---: | :---: |
| United States . |  | 73.1 | Southern. |  | 73.8 |
| Northeastern. . . . . . . . . |  | 67.4 | De Kalb, Ga. . . . . . . . . . . Newport News (city), | Atlanta' | 70.6 |
| Bronx, N.Y. | New York City ${ }^{\text {, }}$ | 61.8 | Hampton (city), Va. . . . . | Newport News-Hampton' | 79.3 |
| Westchester, N.Y. . | New York City ${ }^{1}$ | 51.4 | Dade, Fla. . | Miami' | 72.8 |
| Manhattan, N.Y. . | New York City' | 56.7 | District of Columbia | Washington, D.C. ${ }^{1}$ | 68.7 |
| Bergen, N.J. . | Patterson-Clifton-Passaic' | 63.6 | Caddo, La. | Shreveport' . | 71.4 |
| Allegheny, Pa. | Pittsburgh' | 60.4 | Brevard, Fla. | Cocoa | 74.2 |
| Mercer, N.J. | Trenton' | 70.5 | Poinsett, Ark. | Marked Tree | 84.7 |
| Montgomery, Pa. | Philadelphia' | 57.8 | Bledsoe, McMinn, Meigs, |  |  |
| Union, N.J. . | Newark' | 61.9 | Rhea, Tenn. | Athens, Pi keville | 71.4 |
| Erie, Pa. | Erie' | 77.4 | Blount, St. Claire, Ala. | Oneonta, Pell City | 73.3 |
| Orange, N.Y. | Middletown' | 70.8 | Hardin, Larue, Nelson, Ky. | Elizabethtown, |  |
| Norfolk (part), Mass. | Boston' | 58.0 |  | Bordstown | 76.0 |
| Hartford (part), New Haven (part), Conn. | New Britain , ${ }^{1}$ Meriden ${ }^{1}$ | 69.2 | Greene, Harrisonburg (city), Rockingham, Va. | Harrisonburg | 70.4 |
| Cumberland (part), Maine . | Portland' | 70.8 | Lafayette, La. . . . . . . . . | Lafayette' | 69.2 |
| Lycoming, Pa. | Williamsport | 79.0 | Floyd, Johnson, Magoffin, Ky. | Saylersville, Prestonburg | 69.1 |
| Delaware, N.Y. . | Oneonta | 79.5 | Craven, Pitt, N.C. . . . . . . | Greenville, New Bern | 76.0 |
| Bristol (part), Norfolk (part), |  |  | Banks, Hall, Towns, White, Ga. | Gainesville, Cleveland | 74.5 |
| Mass. . . . . . . . . . . . . | Pawtucket | 74.8 | Cherokee, York, S.C. . . . . . | Rock Hill | 78.6 |
| Midwestern . . . . . . . . |  | 73.7 | Western. . . . | 16 | 77.4 |
| Cook, III. | Chicago' | 54.8 | Harris, Tex. | Houston' | 65.2 |
| Wayne, Mich. | Detroit' | 71.4 | Santa Clara, Calif. | San Jose' | 74.2 |
| Hamilton, Ohio | Cincinnati' | 73.2 | Honolulu, Hawaii | Honolulu' | 71.8 |
| Marion, I nd. . | Indianapolis' | 70.7 | San Diego, Calif. . . . . . | San Diego' | 73.4 |
| Hennepin, Minn. . | Minneapolis-St. Paul ${ }^{1}$ | 79.3 | Pierce, Wash. . . . | Tacoma' | 80.4 |
| Montgomery, Ohio | Dayton' | 74.2 | Sedgwick, Kans. | Wichita' | 76.7 |
| Lake, III. . . . . . . | Chicago' | 65.8 | Fresno, Calif. . | Fresno ${ }^{1}$ | 82.8 |
| Polk, lowa. | Des Moines' | 73.0 | Linn, Oreg. . . . . . | Albany | 84.1 |
| Dakota, Minn. | Minneapolis-St. Paul ${ }^{1}$ | 83.7 | Potter, Randall, Tex. | Amarillo' | 79.7 |
| Racine, Wis. . | Racine ${ }^{1}$ | 78.1 | Yolo, Calif. | Woodland | 82.6 |
| Greene, Monroe, Ind. . | Bloomington | 78.5 | Laramie, Wyo. | Cheyenne | 83.4 |
| Coles, Cumberland, I II. | Mattoon | 74.3 | Bingham, Idaho. | Blackfoot | 88.4 |
| Ionia, Montcalm, Mich. | Greenville | 80.6 | Hickory, St. Clair, Mo. . | Osceola | 75.8 |
| Richland, Ohio . . . . | Mansfield 1 | 74.8 | Parmer, Tex. . . . . . . . | Bovena | 85.4 |
| Cheboygan, Emmet, Mich. . | Cheboygan | 78.5 | Los Angeles (part), Calif. | Los Angeles' | 62.4 |
| New Madrid, Stoddard, Mo. | Baxter | 73.6 | Los Angeles (part), Calif. . . . | Los Angeles' | 69.5 |

${ }^{1} 1970$ standard metropolitan statistical area containing the survey location. Some of the SMSA's have been redefined since 1970.
for examination had to be carefully controlled. A sequential sampling procedure known as "Perkins' Stop Rule" was used to insure that the number of persons selected in each PSU was within 15 of the expected number of sample persons. Perkins' Stop Rule, as described in a Bureau of the Census publication, ${ }^{24}$ is an unbiased procedure for determining both the number of reserve segments to use in each PSU and when to stop interviewing sample persons within selected households. Since the expected number of persons in each PSU is between 315 and 585, the stop rule also insures that the actual number of sample persons in each PSU is between 300 and 600. For NHANES II, the number of sample persons ranged from 306 to 598 with an average of 334 per PSU.

## Selection of sample persons

After the sample segments had been identified and assigned to interviewers, a sample of persons to
be examined from individual households was selected. The sample was selected so that young and old age groups were oversampled and so that approximately one person was selected per household. The Bureau of the Census evaluated a number of alternative subsampling schemes within the household with respect to these objectives. The subsampling procedure that best satisfied both of these survey objectives was one that selected 3 out of every 4 persons who were 6 months through 5 years of age or 60 years through 74 years of age and 1 out of every 4 persons who were 6 through 59 years. The sample person selection sheet is shown in figure 2.

Once in the household, the interviewer listed everyone who lived in the household in a specified order. The number of persons within each age group was indicated, and letter codes were used to select persons from each of the three age groups for the sample. The letters used to sample persons from each age group are shown in figure 2. After a random start, 64 three-letter combinations were systematically
assigned to the household questionnaires for each PSU in the Bureau of the Census regional office. Three letters were circled on each questionnaire before it was assigned to an interviewer. For example, suppose that the letters "A," "K," and "W" were circled on the household questionnaire for a family of four: one baby 9 months old, two adults of ages 30 and 31 , and one adult aged 66 . The number of persons in each of the three age groups (see figure 2)
is 1,2 , and 1 , respectively. The letters " $A, "$ " $K$," and "W" indicate that the interviewer should select the first person in the age group 6 months to 5 years, the second person listed in the $6-59$ years age group, and the second person in the 60-74 years age group, as sample persons. In the example, since there was no second person listed in the 60-74 years age group, the 9 -month-old son and the 31 -year-old wife were selected as sample persons for the examination.

| 1a. What is the name of the head of this household? Enter name on first line. <br> b. What are the names of all other persons who live here? List oll persons who live here. Be sure to list all persons in the correct order. <br> c. I hove listed (Read names). Is there anyone else staying here now, such as friends, relatives, of roomers? <br> d. Hove I missed onyone who USUALLY lives here but is now away fram hame? <br> - .Doany of the people in this household have a home anywhere else?. <br> f. Are any of the persons in this household now on full-time active duty with the Armed Forces of the United Stetes? Yes $\qquad$ Line(s) $\qquad$ (Delete) |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Name (First. middle initial. lost) <br> Circle fine number of household respondent 20. |  | How is a- related to ... (head of houschold)? | What is the date of --'s birth? <br> Use cord to check birth dote ond age for consistency $\mathbf{2 c .}$ |  |  | Age | Age group Mark for each ELIGIBLE person Circle SP's 2. |  |  |
|  |  |  |  |  |  | ${ }^{69}{ }^{-} \mathrm{Y}$ r. | ${ }_{4}^{60} \bar{Y}{ }_{\text {r }}$ |
| 1 | Robert E. Smith |  | Head | 10 | 09 |  | 49 | 30 |  | $X$ |  |
| 2 | Mary S. Smith | M, fe | $05^{\prime}$ | 20 | 48 | 31 |  | (X) |  |
| 3 | Pquy E. Smith | Son | 03 | /1 | 79 | 9 mos | (x) |  |  |
| 4 | Far/ A. Sones | Father-in-law | 06 | 24 | 13 | 66 |  |  | X |
| 5 |  |  |  |  |  |  |  |  |  |
| 6 |  |  |  |  |  |  |  |  |  |
| 7 |  |  |  |  |  |  |  |  |  |
| 8 |  |  |  |  |  |  |  |  |  |
| 9 |  |  |  |  |  |  |  |  |  |
| 10 |  |  |  |  |  |  |  |  |  |
| SAMPLE PERSON SELECTION |  |  |  |  |  |  |  |  |  |
|  | PERSONS <br> 6 months -5 years | PERSONS <br> 6 years -59 years |  | PERSONS <br> 60 years -74 years |  |  |  |  |  |
|  |  | \|st, 5th. 9th |  | Ist, 2nd, 3rd. 5th. 6th, 7th |  |  |  |  |  |
|  | 2nd, 3rd, 4th, 6th. 7th, 8th |  |  |  |  |  |  |  |  |
|  | Ist, 3rd, 4th, 5th, 7th, 8th | 3rd. 7th, 11 th |  | \|st, 3rd, 4th, 5th, 7th, 8th |  |  |  |  |  |
| Ist, 2nd, 4th, 5th, 6th, 8th |  | M <br> 4th, 8th, 12th |  | $\begin{gathered} \mathbf{Z} \\ \text { 1st, 2nd. 4th, } 5 \mathrm{th}, 6 \mathrm{th}, 8 \mathrm{th} \end{gathered}$ |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |

Figure 2. An example of a sample person selection sheet used in the National Health and Nutrition Examination Survey, 1976-80

## Operational plan

## Stand sequencing and scheduling

As in previous cycles of NHES and NHANES, the scheduling of stands (examination locations) for NHANES II was arranged so that the North was avoided in winter. This was done because of operational problems that would otherwise have resulted. To the extent that any of the items of data collected by the survey were subject to seasonal variation, this procedure may have resulted in some bias, but since the survey was designed more to measure the prevalence of chronic conditions rather than acute manifestations of conditions, seasonal variation was not considered to be a major factor.

Another important consideration in the sequencing of stands was economy in operation. Efforts were made to insure the minimum amount of travel by sequencing examination locations with regard to geographic proximity. At each location, the regular procedure involved the following sequence of advance arrangements: U.S. Bureau of the Census interviewing in the household, mobile exam center setup, dry-run examinations, and, finally, follow-back with sample persons by Health Examination Representatives when indicated, and regular examinations of the sample persons. The number of weeks allotted for examinations was dependent upon the expected sample size at a particular stand but varied between 4 and 6 weeks.

## Advance contacts and logistics

Before household interviewing could begin in a sample area, contacts with professionals and the public and logistical arrangements were necessary. It was the policy of the survey to contact the Public Health Service representatives in the Department of Health and Human Services (formerly the Department of Health, Education, and Welfare) regional offices, the State and local health authorities, and the medical, dental, and osteopathic professional organizations in the States and communities. This was done to ac-
quaint them with the NHANES objectives and methods of operation, including the local schedule of operations. School officials were also notified because of the necessity of requesting release from school for the examination of school children. This notification usually consisted of a letter announcing the survey, the local areas to be sampled, and the dates of survey operations, along with a brochure describing the survey, mailed 2 months before examinations were scheduled to begin. The letters to local health authorities included a request to provide NHANES with a listing of local and State health agencies and clinics to which NHANES examinees who did not have current medical resources and who required medical care could be referred, or to which a report of the examination findings could be sent. Personal visits by NHANES medical staff were made to any health agencies or societies requesting them.

A general news release explaining the program was prepared for each sample area and distributed to local news media. The release was timed to coincide with the start of the Bureau of the Census interviewing. As a result, local newspapers at most of the locations published items concerning the program. Special efforts were also made to obtain television and radio publicity for the survey. Any pictures taken for these efforts used NHANES staff as subjects, because pictures of examinees would have involved a loss of confidentiality. Sample households with known addresses were sent an "advance" letter by the Bureau of the Census several days before interviewing began. This letter informed the household members that a Bureau of the Census interviewer would call at their home within the next few days in connection with a survey being conducted in the area by the Public Health Service.

Six to eight weeks before the start of examinations at a particular location, a member of the NHANES field staff, the Field Operations Manager, visited the sample area to make physical arrangements for the mobile examination center and the administrative
offices, to meet personally with local health and school officials, and to initiate the many logistical actions required for the survey. Selection of a site for the mobile examination center and arrangements for electricity, water, sewerage, telephone, and transportation services were also made on this initial visit to the area.

## Household interviewing and appointment process

Trained Bureau of the Census personnel conducted the household interviews to obtain household composition, demographic, and other data. At this initial visit the census interviewer determined which members of the household were to be selected for inclusion in the sample. The census interviewer explained the survey, asked a series of medical history questions of the prospective examinees, and made appointments for the selected sample persons willing to come in for the examination. As an incentive to participate in the examination, the sample persons were told that they would receive $\$ 20$ for any inconvenience caused them because of their participation. The census interviewer also obtained written consent for the examination of minors and written authorization to obtain additional information from the records of physicians, hospitals, schools, and State registry offices. The census interviewer informed sample persons that reports of significant findings would be sent to their physicians or clinics if they so desired.

An individual who did not make an appointment at the time of the visit by the census interviewer was subsequently visited by a Health Examination Representative, who explained the program more fully, using photographs and a film strip. The Health Examination Representative answered any questions about how the sample was selected or the examination conducted and about what was included in the examination. Points that were stressed included personal benefit to be derived from the examination, contributions to medical research, and civic pride. In addition, it was stressed to sample persons that they were statistically chosen for the survey and no one else could be substituted for them. By carefully explaining details of the examination, the representative attempted to allay any fears or anxieties about it. This additional effort resulted in scheduling for examination many of the persons from whom the census interviewer had been unable to obtain appointments. The typical weekly examination schedule called for five morning sessions (including Saturday), three afternoon sessions (including Saturday), and two evening sessions. Individuals receiving the glucose tolerance test were scheduled for the morning sessions only. Sample persons could elect to drive themselves to the examination center, but use of a taxi for which arrangements had been made was encouraged. Trans-
portation costs were paid by NHANES under either arrangement. Appointments for persons who for one reason or another had canceled or broken their appointments or who had not been available for taxi pickup at the scheduled time were rescheduled if possible. Any necessary rescheduling was accomplished by the health representative as soon as possible, preferably the same day, a policy that helped reinforce in the sample persons' minds the importance placed on their participation.

## Examination center and staff

As in the previous examination programs, examinations were carried out in specially designed mobile examination centers (figure 3), which were moved from location to location in a predetermined fashion so that a sample of the civilian noninstitutionalized population was administered a standardized set of questions, examinations, and laboratory tests in comparable settings by a fully trained staff. Each mobile examination center consisted of three trailers, each 45 feet long and 8 feet wide. The sets of trailers constructed for NHANES I had been refitted with some interior modifications and used for NHANES II. They were set up side by side on a level hard surface area and connected by enclosed passageways. The trailers themselves were then further leveled to enable connection of the plumbing and proper alinement of the passageways. Heating and air-conditioning units


Figure 3. Mobile examination center
helped provide a standardized environment in which to conduct the examinations and perform laboratory procedures.

For NHANES II the trailer setup was as follows: The first trailer contained the waiting room where the sample persons were checked in by a coordinator. The coordinator's main function was to assign the examinees to the staff members conducting different parts of the examination in such a way as to minimize the examinees' total waiting time. To the side of the waiting room were two small rooms used for dietary interviews. Another slightly larger room in this trailer was used for administering the allergy test and conducting health interviews. A laboratory was equipped with a Coulter Counter, a hemoglobinometer, an incubator, a microhematocrit centrifuge and reader, a centrifuge, a refrigerator and freezer, a microscope, and a laminar flow table. The room where respiratory testing was done was located next to the laboratory and contained a spirometer, a two-channel paper recorder, and an oscilloscope. The spirome ter was connected to a Marquette electrocardiogram recorder located in the third trailer.

The second trailer had an X-ray room containing an X-ray machine, reciprocating buckey, and table. This room was used for chest, back, and neck X-rays. Adjoining the X-ray room was a dark room. An X-omat for developing X-ray film automatically was in an open space adjacent to the dark room. The walls of the open space contained X-ray viewing boxes. The second trailer also contained one of the two washrooms used for dressing and obtaining urine specimens. In the second trailer there were two other rooms. One of these rooms contained an examining table and a mercury sphygmomanometer, and the other a table and equipment for drawing blood.

The third trailer contained a soundproof room used for hearing tests. At test frequencies, the background noise level was below 35 decibels relative to American Standards Association audiometric zero (National Bureau of Standards). This room contained an audiometer with masking capability and earphones for pure-tone audiometry. It also contained a Revox tape deck, a condenser microphone, and a playback machine for the Stephens Oral Language Screening Test. Adjoining the audiometry room was a washroom. Another room contained the Marquette electrocardiogram recorder and a table. Electrocardiograms as well as spirometries were recorded on tape there. The final examination room was the body-measurement room. It contained a large and very accurate weight scale, a set of calibration weights, a device for measuring heights, an examining table for measuring sitting heights, and a variety of anthropometric instruments. The third trailer also included a staff room. There was storage space both within and under the trailers.

The field staff necessary to carry out the opera-
tion of the survey consisted of three groups. The first one was the team of census interviewers and their supervisor. The second group consisted of administrative staff and Health Examination Representatives. The usual complement was a field operations manager, field management assistant, one or two local parttime employees, and five Health Examination Representatives. The third group was the examining staff, operating within the mobile examination center, consisting of a physician, a nurse, two dietary interviewers, three health technicians, two laboratory technicians, and a coordinator. Everyone on the examining staff had been thoroughly trained to conduct the standardized procedures. All the field staff except the physician were civil service employees; the physicians were employed on long-term personal services contracts. The administrative staff was responsible for all procedures involved in processing examinees prior to their entry in the exam center. The health technicians conducted most of the testing, including taking X-rays, electrocardiograms, body measurements, and spirometries; and audiometry, the allergy exam, and the administration of questionnaires. The laboratory technicians performed all the laboratory work that had to be done on site, including preparation of blood and urine specimens for shipment. The nurse was mainly occupied with drawing blood.

## Examination process and medical reports

Each examinee was assigned to whatever examiner happened to be free at the time. However, certain restrictions were built into the examination. For example, since oral glucose intake induces changes in electrocardiogram patterns, the electrocardiogram had to be done before the glucose tolerance test. Similarly, because of a possibility that an occasional allergy test might affect pulmonary function, spirometry was done before the allergy test. The requirement of a concentrated urine for microscopic examination necessitated urine collection before the glucose tolerance test. It was also desirable to expedite blood samples in order not to stretch out the laboratory work day unduly.

A report of medical findings, including laboratory results, was sent to the examinee's personal physician or other source of medical care designated by the examinee. Any condition that in the opinion of the examining physician required immediate medical attention was immediately reported by phone to the personal physician or medical care facility designated by the examinee. A chest X-ray and a copy of the electrocardiogram were sent with the report. Some findings were not included on the regular report because they were not available at the time the report was mailed. For example, the back and neck Xrays were read by three rheumatologists at a later
time, so the results of their assessment were not immediately available. If some degree of pathology was found, these results were reported to the ex-
aminee's source of medical care when they became available.

## Quality control

Measurement error, an important concern in any survey, was even more so in one as complex as NHANES. Minimizing measurement error required a considerable amount of careful effort. Before the collection of data, it was necessary to define precisely what was to be measured and to describe clearly how the measurements were to be taken. Before the survey began, the NHANES staff, assisted by advisers, delineated the necessary definitions and instructions, which were incorporated into a staff instruction manual covering all procedures. Intensive specialized training was given to all staff members in the specific procedures performed by them in the survey. Periodic retraining was provided in order to achieve consistency over the entire survey period.

An important requirement for quality control is the proper calibration of instruments. Among the instruments calibrated were the spirometers, audiometers, earphones, electrocardiogram recorders, speech recording equipment, laboratory equipment, scales, and body measurement equipment. The instruments were calibrated at different intervals, that is, with each examination, daily, weekly, or before the beginning of each stand location. Calibration of a particular instrument might be done in more than one fashion: for example, the spirometer was calibrated both electronically and pneumatically. Calibration of the audiometers was done both in the field and also more thoroughly at a central laboratory to which they were sent on a rotating basis.

Preventive maintenance was also quite important in keeping the equipment running properly. Prompt repair of the instruments was essential in order to avoid excessive loss of data. The staff biomedical engineer was invaluable in providing for the proper functioning of the equipment. The engineer also played a major role in designing the equipment setup, arranging for its installation, and working out any difficulties that developed in the system.

Several methods were used to obtain adequate quality control. For certain procedures such as those involved with height, weight, X-rays, spirometry,
electrocardiographs, and speech, "hard documents" were produced, the quality of which could be evaluated and the significance assessed at a central location. For example, X-ray films were evaluated for readability, interpreted by expert readers, and subjected to replicate readings. Replicates involved having the same part of the examination, for example, body measurements, performed independently at different times by two observers. Another more experienced observer, such as a supervisory technician, could be used as the standard. Replicates were a powerful tool in demonstrating interobserver differences. For biochemistry tests, replicates took the form of a duplicate pair of specimens being sent, one of them under a "dummy" number, to the same laboratory.

Another method of quality control in the evaluation of the different procedures was to compare mean values and frequency distributions by stand location and by individual observers. If there was an unusual set of results in one location, this could be investigated. Similarly, if one of the technicians consistently obtained higher or lower values than the others, this could also be investigated.

All recording forms were reviewed by the examining staff before the examinees left in order to detect errors such as omission of data. Samples of the forms were checked again, more thoroughly, at headquarters. If the staff was making a systematic error, it could be detected, and proper remedial action taken.

The performance of some of the field staff could also be checked by tape recordings. At every location, each dietary interviewer recorded two complete interviews on randomly selected subjects. The recorded interviews were evaluated later at headquarters for adherence to established procedures.

Retention of a reserve container of serum provided an opportunity for repeating and possibly correcting biochemical assessments. If an error was detected in the processing of a batch of serum, or an unusual value was observed, a reserve supply of serum was available for many sample persons to provide
analytical results, either to replace the unsatisfactory data or to verify the unusual value.

In all laboratories to which specimens had been sent for analysis, standard quality control procedures were used. These included blind quality control specimens from known control pools. For quality control samples, several statistics were produced, including trend lines, plots, means, and standard deviations. Known test materials were used; and all reagents, calibrations, and the like were logged. Determinations were repeated for specimens showing extreme values.

A useful procedure for quality control of laboratory data was implemented in 1978. This procedure was as follows: from a frequency distribution of values, the value closest to the 75 th percentile was selected. For example, suppose fasting blood glucose data showed .246 of the population with values of 98 or over. In a run of 13 specimens, if one were to find 9 specimens with values of 98 or over, the chances of this happening according to the cumulative binomial distribution is .0009 . This is quite unlikely, and the matter would be carefully looked into.

A similar procedure was followed with a low cutoff value at or near the 25 th percentile. In fact, the glucose determinations showed only four runs with a probability of less than .01 out of a total of 240 (including both high and low cutoffs). Since on a chance basis five runs might have been expected, this suggested that the procedure was in control during this period.

A major effort was made in all NHES surveys to control and reduce the magnitude of the nonresponse. If the nonrespondents in a survey differ from respondents with respect to the measurements being made, the survey results will be biased. The potential for a nonresponse bias is much greater when response
rates are low. A number of steps taken to reduce nonresponse in NHANES II have already been discussed. The size of the primary sampling units was reduced primarily to decrease the logistical problems of sample persons coming to the mobile examination centers. Much of the advance publicity was directed to improving the overall response rate in a community. The extra efforts of the Health Examination Representatives to schedule appointments and to arrange transportation to the Mobile Examination Centers were very important in the achievement of acceptable response rates. Several reports have been written that discuss cooperation in National Health Examination Surveys and the factors related to response. ${ }^{25-28}$

The response rates for both NHANES I and NHANES II were between 70 and 75 percent-lower than the response rates obtained in previous NCHS examination surveys. Concern over the lower response rate in the NHANES programs resulted in two studies. being conducted to determine the effect of paying respondents to participate in NHANES. The first study was conducted in San Antonio, Tex., in 1972. The findings from that study showed that the offer of a payment of $\$ 10$ to sample persons to participate in NHANES significantly improved the response rate. ${ }^{29}$ As a result of that study, a payment of $\$ 10$ was routinely offered to all sample persons for participating in the examination. A second study on the effects of remuneration to sample persons was conducted in two locations in 1978. A slightly more elaborate design was used to study the relationship between the amount of the payment offered sample persons to participate in the examination and the number of sample persons in the household. The results showed that the total amount of remuneration in a household had a significant positive effect on response. ${ }^{3} 0$

## Pilot testing

Pilot testing was much shorter in NHANES II than in NHANES I. The first pilot test was in Atlanta, Ga., from November 17 through December 19, 1975. Center for Disease Control personnel and their families were the examinees. The location was next to the Center for Disease Control in order to have ready access to assistance in carrying out the complicated
laboratory procedures. The second pretest was held in another part of the Atlanta metropolitan area from January 21 through February 12, 1976, using a population sample of the area selected by the U.S. Bureau of the Census. The NHANES II survey began examinations at its first regular location in Miami, Fla., on February 19, 1976.

## Plans for analysis and publication of data

Producing reports of findings involves the following steps:

- Sometimes, as with X-rays, there must be further processing to produce the data unit that is to be tabulated. This type of processing is done under contract concurrently with data collection if resources permit.
- Data must be reduced to machine-readable form.
- Data must be edited and validated.
- Data must be analyzed.
- Reports must be written, edited, and printed.

In addition, before any analysis can take place, the sampling weights, that is, the designated number of people a sample person represents in the population, must be determined. For selected measures, imputation procedures for item nonresponse must be developed and reviewed by consultants.

The procedure used before 1977 was to allot a certain number of years after completion of a survey in which NHANES analytical staff could publish series reports based on the survey. After that, a set of computer tapes containing the edited data was prepared for the use of outside investigators in universities, other government agencies, and so forth. The procedure used since 1977 has been to release for outside use all completely edited, validated, and
documented tapes, whether or not NCHS has published reports based on the data. It was planned to have a series of edited tapes containing the NHANES II data available for purchase from 1 to 2 years after completion of the NHANES II survey.

In general, descriptive, analytical, and methodological reports are published by the National Center for Health Statistics in Vital and Health Statistics, series 1,2 , and 11 . To a lesser extent, information is made available in journal articles and in papers presented at professional meetings. The reports are written by NCHS staff, staff of Federal agencies collaborating on data collection, and experts who are not Federal employees. In addition, to expedite publication of more detailed analyses of selected topics covered in the data collection, NCHS plans to support to a limited extent competitively awarded contractual analyses and report-writing efforts. A limited number of special tabulations and analyses are furnished on request to various individuals and groups both inside and outside the Government.

Procedures and methods manuals are made available upon request about a year after the surveys are completed or concurrently with the release of microdata tapes. In this way the data can be evaluated, and the methodology employed by NCHS in NHANES can be utilized by others.

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## Appendix I. Examination components by age groups

| 6 months-2 years | $3-11$ years | 12-19 years | $20-74 \text { years }$ <br> (bile acids test group) | $20-74 \text { years }$ <br> (glucose tolerance test group) |
| :---: | :---: | :---: | :---: | :---: |
|  | Urine: 6-11 years only | Urine | Urine | Urine |
| Body measurements | Body measurements | Body measurements | Body measurements | Body measurements |
| Physician exam | Physician exam | Physician exam | Physician exam | Physician exam |
| Ven ipunctu re | Venipuncture | Venipuncture | Venipuncture | Venipuncture |
| Dietary interview | Dietary interview | Dietary interview | Dietary interview | Dietary interview |
|  | Audiometry: 4-11 years only | Audiometry | $\ldots$ |  |
|  | Speech test: 4-6 years only | . . |  |  |
|  | Allergy test: 6-11 years only | Al lergy test | Allergy test | Allergy test |
|  | Spirometry: 6-11 years only | Spirometry | Spirometry: 20-24 years only | Spirometry : 20-24 years only |
|  | $\cdots$ | $\cdots$ | Electrocardiogram: 25-74 years only | Electrocardiogram: 25-74 years only |
| $\cdots$ | $\ldots$ | $\ldots$ | Chest and neck X-rays: 25-74 years only | Chest and neck X-rays: 25-74 years only |
|  | $\ldots$ | $\cdots$ | Back X-ray: 25-74 years for men; 50-74 years for women | Back X-ray: 25-74 years for men; 50-74 years for women |
| ... | $\ldots$ | '... | $\ldots$ | Glucose tolerance test |
| . | . | ... | \|Bile acids test: 35-74 years only | $\ldots$ |

## Appendix II. Blood and urine assessments by specimen types and age groups



## Appendix III. Pesticide residue and metabolite determinations

## Serum

Hexachlorobenzene
trans Nonachlor
DDT and Associated Analogs
alpha-BHC
gamma-BHC
beta-BHC
delta-BHC
Aldrin
Dieldrin
Endrin
Heptachlor
Heptachlor Epoxide
Oxychlordane
Mirex

Urine
alpha Monocarboxylic acid Dicarboxylic acid
3,5,6-Trichloro-2-pyridinol
Isopropoxyphenol
Carbofuranphenol
3-Ketocarbofuran
Dicamba
2,4-D
Pentachlorophenol
para-Nitrophenol
alpha-Naphthol
DMTP
DETP
DMDTP
DEDTP
DMP
DEP
2,4,5-T
Silvex
2,4,5-Trichlorophenol

# Appendix IV. National Center for Health Statistics and Center for Disease Control staff involved in the planning, development, and operation of NHANES II 

## National Center for Health Statistics

## Division of Health Examination Statistics

Robert S. Murphy, Chief, Survey Planning and Development Branch
James Scanlon
Everette M. Collins
Evelyn Stanton
Dorothy Blodgett
Dale Hitchcock
Mary Margret Wilson
Connie Dresser
Arnold Engel
Helen Barbano
Statistical Methods Staff
E. Earl Bryant, Chief

James T. Massey, Mathematical Statistician
Division of Operations
Headquarters Staff
Henry Miller, Branch Chief, Health Examination Field Operations Branch
Philip Howley, Operations Manager
Thomas Makepeace, Assistant Operations Manager
David Larson, Biomedical Engineer
Jean Findlay, Survey Statistician
Paula Wallace, Statistical Clerk
Hilda Davis, Management Technician
Judy Gray, Management Assistant
Robert Benson, Clerical Assistant
Kenneth McDowell, Supervisory Health Technician
Brenda Lewis, Supervisory Medical Technologist
Penny Allen, Management Assistant
Charles Gallese, Operations Manager
Field Staff
Joseph Campagna, Field Operations Manager
Christine File, Field Operations Manager
John Aldrich, Field Operations Manager
Jay Anderson, Field Operations Manager

Jerry Coffman, Field Operations Manager Althea Engle, Field Operations Manager Eileen Kennedy, Field Operations Manager Denis Hill, Field Operations Manager Margaret Kelly, Field Management Assistant Charlene Morton, Field Management Assistant Anita Allen, Field Management Assistant
Holly Ferazzi, Field Management Assistant
Gary Warren, Field Management Assistant
Janet Warren, Field Management Assistant
Marie Abbott, Health Examination Representative
Dorothy Briggs, Health Examination Representative
Mary Colbert, Health Examination Representative
Laurel McDowell, Health Examination Representative
Martha Peters, Health Examination Representative
Linda Fant, Health Examination Representative Barbara Greene, Health Examination Representative
Alfonso Small, Health Examination Representative
Paul Terr, Health Examination Representative
Doris Thompson, Health Examination Representative
Linda Day, Health Examination Representative
Alma Eubank, Health Examination Representative
Patricia Warchol, Health Examination Representative
Esther Allen, Field Operations Assistant
Carolyn Petty, Field Operations Assistant
Elizabeth Hill, Dietary Coordinator
Janet Williams, Dietary Coordinator
Ruth Griles, Dietary Coordinator Lorraine McCullen, Dietary Coordinator
Lori Hornfeck, Dietary Interviewer
Marie Mitchell, Dietary Interviewer
Connie Foster, Dietary Interviewer
Rebecca Wilson, Dietary Interviewer
Dollie Kendrick, Laboratory Technician
James McGuffey, Laboratory Technician
Patricia Dowling, Laboratory Technician
Ronette Hunt, Laboratory Technician
William Johnston, Laboratory Technician
Wilda Andress, Nurse
Judy McKnight, Nurse
Kevin Aubin, Health Technician
Roberta Brady, Health Technician

Vondell Clark, Health Technician
Charles Johnston, Health Technician
Charlotte Leahy, Health Technician
David Edwards, Health Technician
Meris Emery, Health Technician
Jane Robinson, Health Technician
Jerome Waite, Health Technician
Richard Driessel, Physician
William Dodd, Physician
Harold Holleran, Physician
Lindsey Kirkham, Physician
Verla McAnelly, Physician
JohnShirey, Physician
Robert Wildt, Physician

## Center for Disease Control

David Bayse, Director, Clinical Chemistry Division
Jane Neese, Chief, Nutritional Biochemistry Branch
Richard Carter, Chief, Nutritional Biochemistry Research and Reference Section
Wayman Turner, Chief, Nutritional Biochemistry Technical Services Section
Elaine Gunter, Supervisory Medical Technologist
Onno van Assendelft, Chief, General Hematology Branch
Cornelia R. McGrath, NHANES Hematological Coordinator

NOTE: This appendix shows the organization and staff as of the time of the survey

## Appendix V. Data collection forms for NHANES II

## NHANES Household Questionnaire










## Medical History Questionnaire, Ages 6 Months-1 1 Years

| U.S. DEPARTMENT OF COMMERCE ACTING AS COLLECTING AGENT FOR THE U.S. PUBLIC HEALTH SERVICE <br> MEDICAL HISTORY QUESTIONNAIRE (Ages 6 Month <br> health and nutrition <br> EXAMINATION SURVEY II | $\text { ths - } 11 \text { Years) }$ | NOTICE - All information which would permit identification of the individual will be held in strict confidence, will be used only by persons engaged in and for the purposes of the survey. and will not be disclosed or released to others for any purpose. |
| :---: | :---: | :---: |
| a. Child's name (First, middle initial, lost) | b. Sex $\square$ Male <br> 2 Female | c. Deck No. d. NCHS Sample No. <br> ow 100 |
|  | h. Age $\qquad$ Monith: $\qquad$ $y={ }^{2}$ |  |
| 1. How muah did -- woigh whon he wee born? | (101) $\qquad$ Pounds <br> 102 $\qquad$ Ounces <br> 99 $\square$ DK |  |
| 2. Wos -- born prematurely, that is, early or not carried the full nine months? | $\begin{array}{ll} 103 & 1 \square \not M_{4}^{\prime} \\ & 2 \square \mathrm{No} \\ & 9 \mathrm{DK} \end{array}$ |  |
| 3. How old was --'s mother when he was born? | (102) $\qquad$ Years old DK |  |
| 4a. How many children has --'s mother ever had? | 105 | - |
| b. How many were born before --? |  |  |
| 5. How many of --'s brothers and sisters weighed less thon five and one-half pounds at birth? | 107 $\qquad$ Brothers None <br> 99 $\square$ DK | sisters |
| 6. How old was -- when he first sot up by himself? | (108) Months $\begin{aligned} & 77 \square \text { Doesn'i si } \\ & \mathbf{9 9} \square \text { DK } \end{aligned}$ | sit up yet |
| 7. How old was -- when'he first walked by himself? | 109 $\qquad$ Months <br> ${ }_{77}$ $\square$ Doesn't w <br> 99 $\square$ DK | walk yet |
| Ba. Was -- breast fed at any time on a regular basis? |  |  |
| b. How old was -- when he stopped breost feeding? | (i11) | ast fed I month |


| 9a. As a baby, was -- at any time, regularly fed commercial milk or formula from a bottle? |  |  |
| :---: | :---: | :---: |
| b. Was the type'of milk or formula used - | Yes No | DK |
| Whole cow's milk? | (113) $1 \square \quad 2 \square$ | $9 \square$ |
| Commercially prepared nonfat milk solids? | $\text { (114) } 1 \square \quad 2 \square$ | $9 \square$ |
| A soy bore formula?. | (115) $1 \square \quad 2 \square$ | $9 \square$ |
| Commercially prepared milk or milk based formula? | $116 \quad 1 \square \quad 2 \square$ | $9 \square$ |
| Speci fibrand |  |  |
| Any other type? - Specify | (117) $\quad \square \quad 2 \square$ | $9 \square$ |
| 10. How old wos -- when he first started eating solid or mashed foods, such dS cereal or fruit? | 108 $\qquad$ Months |  |
| INTERVIEWER - Round down to nearest whole number of months. | 0 Less thanImonth <br> 99 DK |  |
| 11a. Does or did -- have any conditions he was born with that involved his - | Yes No | DK |
| Heort? | (119) $\square \square \square$ | $9 \square$ |
| Eyes? | (120) $\quad \square \quad 2 \square$ | $9 \square$ |
| Ears?. | (i21) $1 \square \quad 2 \square$ | $9 \square$ |
| Mouth or throat? | $122 \quad 1 \square \quad 2 \square$ | $9 \square$ |
| Stomach or intestines? | (123) $1 \square \quad 2 \square$ | $9 \square$ |
| Kidneys or urinary system? | (124) $1 \square$ | $9 \square$ |
| Muscles, bones, or joints? | (125) $1 \square$ | $9 \square$ |
| Brain or nervous system? | (126) $1 \square$ | $9 \square$ |
| Any other condition that he was born with? $\downarrow$ | (127) $2 \square$ | $9 \square$ |
| Specify |  |  |
| b. Would you soy - -'s health in general is excellent, very good, good, fair or poor? | (128) $1 \square$ Excellent <br>  $2 \square$ Very good <br>  $3 \square$ Good <br>  $4 \square$ Fair <br>  $\square$ Poor |  |
| 12a. Has -- ever occidentally swallowed any medicine, pills, or poison? |  |  |
| b. What was swallowed? - Specify |  |  |
| c. Did this result in any SERIOUS damage? | $\begin{aligned} & 130 \text { Yes } \\ & { }_{2} \square \mathrm{No} \text { (13) } \end{aligned}$ |  |
| d. What was the damage? - Specify |  |  |



| 18a. During the past six months, how many times has -- had diarrheo? $\qquad$ <br> b. Does he hove it now? | (144) Times <br> - $]$ None(19) |  |  |
| :---: | :---: | :---: | :---: |
|  | (145)$\begin{aligned} & 1 \square \$ M_{4}^{+} \\ & 2 \square \mathrm{No} \end{aligned}$ |  |  |
| 19a. Some children - ot unusual substances. Does -- eat clay, starch, paint, plaster, dirt, or any moteriol that might be considered unusual? | $\begin{array}{ll} \text { (146) } \\ 1 \square \text { Yes } \\ 2 \square \times \square & \text { (20) } \end{array}$ |  |  |
| b. Is it - | Yes No |  |  |
| Clay? | (147) $\square$ | $2 \square$ |  |
| Starch? . | (148) c I | $2 \square$ |  |
| Paint or plaster? | (149) c I | $2 \square$ |  |
| Dirt? | 150) $\square$ | $2 \square$ |  |
| Any other material? - Specify $\square$ |  |  |  |
| 200. Does -- hove unusual trouble seeing at night or in the dark? | 152 $1 \square \mathrm{Yes}$ <br>  $2 \square \mathrm{No}$ <br>  $9 \square \mathrm{DK}$ |  |  |
| b. Do you have any reason to think that -- is color blind? | $\begin{array}{ll} 153) & 1 \square \mathrm{Yes} \\ & 2 \square \mathrm{No} \\ & 9 \square \mathrm{DK} \end{array}$ |  |  |
| c. Has -- ever had a test to see whether he is color blind? | $\begin{array}{ll} (154) & 1 \square \mathrm{Yes} \\ & 2 \square \mathrm{No} \\ & 9 \square \mathrm{DK} \\ \hline \end{array}$ |  |  |
| 21. Has -- ever been treated for - | Yes | No | DK |
| Abnormal bleeding? . | ‘cl | $2 \square$ | $9 \square$ |
| Tuberculosis? | (156) c 1 | $2 \square$ | $9 \square$ |
| Any other chest or lung conditions? . | (157) $1 \square$ | $2 \square$ | $9 \square$ |
| Congenital heart disease? | (158) $1 \square$ | $2 \square$ | $9 \square$ |
| Rheumatic heart disease? | (159) ${ }^{1} \square$ | $2 \square$ | $9 \square$ |
| Any other heart condition? | (160) $\square$ | $2 \square$ | $9 \square$ |
| Diabetes? | (161) $\square$ | $2 \square$ | $9 \square$ |
| Epilepsy or convulsions? | 162 | $2 \square$ | $9 \square$ |
| Stomach or intestinal disorder, excluding diarrhea or flu? | (163) 1 $\square$ | $2 \square$ | $9 \square$ |
| Liver disorder? | 1(164) 1 | $2 \square$ | $9 \square$ |
| Thyroid diseose or goiter? | (165) $\square$ | $2 \square$ | $9 \square$ |
| Cancer or tumors? | (166) $\square$ | $2 \square$ | $9 \square$ |




| 24. Continued <br> f. Do the (allergies/symptoms) bother -- more when he is around - <br> Grass? $\qquad$ <br> Trees? $\qquad$ | $\begin{array}{ccc} & \text { Yes } & \text { No } \\ \text { (210) } & 1 \square & 2 \square \\ \text { (211) } & 1 \square & 2 \square\end{array}$ |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| g. How old was -- when he first began having trouble with the (allergies/symptoms) you mentioned? | $\left\lvert\, \begin{array}{lll}\theta^{2} & 2 & \\ & 0 \square \text { Less than one year }\end{array}\right.$ |  |  |  |
| h. Are there any things or places which YOU, NOT YOUR DOCTOR, associate with making --'s symptoms or al lergy problem worse? | $\text { (213) } \square \text { Yes - Specify } \downarrow$ |  |  |  |
| i. Has -- EVER had a If "Yes," ask 24j. | I |  |  |  |
|  | $1 \quad Y$ | No | Yes |  |
| Dog for a pet? | (214) ${ }^{1}$ |  |  |  |
| Cat for 0 pet? | 1215) ${ }^{1}$ | $\square$ | $3 \square$ | $\square$ |
| 25a. Does -- now have any health problems that you would like to talk to a doctor about? | $\begin{aligned} & \text { 216 } \quad \square \text { Yes } \\ & \quad 2 \square \text { No (26) } \end{aligned}$ |  |  |  |
| b. What are the problems? - Specify |  |  |  |  |
| 260. Has -- ever been tested for lead poisoning? | $\left.\begin{array}{rl} 1(217) \\ & 1 \text { Yes } \\ 2 \\ & \square \text { No } \\ \\ \text { DK } \end{array}\right\} \text { (27) }$ |  |  |  |
| b. How long ago was -- tested? | 218 $\qquad$ Years <br> $\{219$ $\qquad$ Months <br> 0 $\square$ Less than one month |  |  |  |
| c. Did the results indicate that he had lead poisoning or high lead? | $\begin{aligned} & { }_{i}^{2201} \mathrm{cl} \text { Yes } \\ & 0^{220} \quad 2 \quad \text { No (27) } \end{aligned}$ |  |  |  |
| d.. Has -- ever been treated for lead poisoning? | (221) ${ }^{c} \mathrm{C}$, Yes <br> 2 $\square$ No (27) $\qquad$ |  |  |  |
| - . How long ago was -- treated? |  |  |  |  |
| 27a. Does -- take any medicine regularly, not counting vitamins? | $\begin{cases}0224 & 1 \square \text { Yes } \\ 2 \\ \\ \text { No (28) }\end{cases}$ |  |  |  |
| b. What is the medicine for? - Specify |  |  |  |  |
| 28. Does -- now take any vitamin or mineral supplements? |  |  |  |  |


| KIDNEY <br> 29. Has -- EVER had any kidney, bladder, or other urinary problems? | (226) ${ }^{1} \mathrm{cl}$ Yes ${ }_{2} \square \quad \mathrm{No}$ (32) |
| :---: | :---: |
| 30a. Has -- EVER had any INFECTIONS of the kidney, bladder, or urinary tract? | $\begin{aligned} & 2271 \quad \text { Yes } \\ & \quad \square \mathrm{No} \text { (31) } \end{aligned}$ |
| b. About how many times hos he had $\propto$ infection of the kidney, bladder, or urinary tract? | (228) $\qquad$ Times |
| c. About how many times did tbe infection(s) involve the - <br> Kidney? $\qquad$ <br> Bladder? $\qquad$ <br> Urinary tract? $\qquad$ | 229 $\qquad$ Times <br> 1230 $\qquad$ Times <br> (231) $\qquad$ Times |
| d. Did -- have fever and chills with any of the infections? | $\begin{gathered} 232 \text { 1 } \square \text { Yes } \\ 2 \square \quad \text { No } \end{gathered}$ |
| - . For how many of these infections did he take antibiotics or sulfa drugs? | $0^{233}$ $\qquad$ Infections None |
| f. For how many of the infections did -- see a doctor? | 234 $\qquad$ Infections (3 I b) None |
| 31a. Hos -- EVER seen o doctor for any kidney, bladder, or other urinary problem? | $\begin{array}{ll} 235 & 1 \square \text { Yes } \\ 2 \square \text { No (32) } \end{array}$ |
| b. Was the doctor - | , Yes No |
| A General Practitioner? | (236) |
| An Internist? . | (237) $2 \square$ |
| A Urologist? | (238) $\square$ 2 $\square$ |
| A Nephrologist? | (239) $\square$ $2 \square$ |
| Some other type? - Specify $\downarrow$ | (240) $\square$ 2 $\square$ |
| , | \| |

\begin{tabular}{|c|c|c|c|c|c|}
\hline \multirow[t]{12}{*}{31. Continued
c. Did o doctor - vel tell you that -- hod

If "Yes, " ask 31d and e.
Nephritis? . . . . . . . . . . . . . .
Kidney stenes or stones in the ureter?
Nephrosis? . . . . . . . . . . . . . .
Kidney infection? . . . . . . . . . .
Kidney abcess? . . . . . . . . . .
Hydronephrosis? . . . . . . . . . .
Bladder infection? . . . . . . . . . .
Bladder stones? . . . . . . . . . . .
Urinary tract infection? . . . . . . .
Any other condition of the kidney,

bladder or urinary tract? Specify . .} \& $$
\rightarrow
$$ \& d. Does \& hove th \& dition? \& e. How old was -- when the condition firstoccurred? <br>

\hline \& Yes No \& Yes \& No \& DK \& Years <br>
\hline \& (241)* $\square 2 \square$ \& $3 \square$ \& $4 \square$ \& $9 \square$ \& 1020 <br>

\hline \& (243)* $1 \square \quad 2 \square$ \& $3 \square$ \& $4 \square$ \& $9 \square$ \& $$
0_{0}^{0} 2 .
$$ <br>

\hline \& (245)* $\quad \square \quad 2 \square$ \& ${ }^{3} \square$ \& $4 \square$ \& $9 \square$ \& $$
V_{246}
$$ <br>

\hline \& (247) * $\quad \square \quad 2 \square$ \& $3 \square$ \& $4 \square$ \& $9 \square$ \& 2.0 $\qquad$ <br>
\hline \& (249)* $\square \square \square$ \& ${ }^{3} \square$ \& $4 \square$ \& $9 \square$ \& U250 <br>
\hline \& (251) ${ }^{\text {a }}$, $\square \quad 2 \square$ \& $3 \square$ \& $4 \square$ \& $9 \square$ \& 0252 <br>
\hline \& (253)* $\square \quad 2 \square$ \& $3 \square$ \& $4 \square$ \& $9 \square$ \& 254 <br>
\hline \& (255)* $\quad \square \quad 2 \square$ \& ${ }^{3} \square$ \& $4 \square$ \& $9 \square$ \& ) 256 $\qquad$ <br>
\hline \& (257) , $\square \quad 2 \square$ \& $3 \square$ \& $4 \square$ \& $9 \square$ \& 258 <br>

\hline \& (259)* 2 $\square$ \& 3 $\square$ \& $4 \square$ \& $9 \square$ \& $$
0^{260}
$$ <br>

\hline \multicolumn{6}{|l|}{1} <br>

\hline \multicolumn{6}{|l|}{| f. |
| :--- |
| . Has -- EVER had any special X-rays of the kidnoy, bladder, OR urinory tract? |} <br>

\hline g. Has -- EVER been hospitalized overnight or longer because of any trouble in his kidney, bladder, or urinary tract? \& (262)
No \& Yes \& \& \& <br>

\hline h. When was the last time -- saw a doctor for a kidney, bladder, or urinary tract condition? \& | 263 $\qquad$ Years |
| :--- |
| 0 $\square$ Less than | \& I year ago \& \& \& <br>

\hline \multicolumn{6}{|l|}{i. Has -- EVER been treated for a kidney, bladder, or urinary tract problem by -} <br>
\hline Diuretics or pills to lose water? . . \& (264) $\quad \square \quad 2 \square$ \& \& \& \& <br>
\hline Steroids such as cortisone and prednisone? \& $1_{0}^{265} 12 \mathrm{cl} 2 \square$ \& \& \& \& <br>
\hline Antibiotics? . . . . . . . . . . . . . . \& (266) $\quad \square \quad 2 \square$ \& \& \& \& <br>

\hline Sulfa drugs? . . . . . . . . . . . . . . \& $$
267
$$

$\square$

$$
2 \square
$$ \& \& \& \& <br>

\hline Surgery? . . . . . . . . . . . . . . . . . \& (268) $\square 1 \square$ \& \& \& \& <br>

\hline Medicines to reduce blood pressure? \& $$
\begin{array}{lll}
269 & 1 \square & 2 \square
\end{array}
$$ \& \& \& \& <br>

\hline A special diet? Specify $\not \downarrow$. . . . . . \& $$
270 \quad 1 \square \quad 2 \square
$$ \& \& \& \& <br>

\hline Any other treatment? Specify.... \& (271) $\square$ 2 $\square$ \& \& \& \& <br>
\hline
\end{tabular}



| CHECKITEM•B | (305) $1 \square$ Under 3 years (48) <br> $2 \square 3$ t years (35) |  |  |
| :---: | :---: | :---: | :---: |
| 35a. Has -- ever had a running ear or any discharge from his ears, not counting wax in the ears? | $\left.\begin{array}{ll} (306) & 1 \square \text { Yes } \\ & 2 \square \text { No } \\ & 9 \mathrm{DK} \end{array}\right\}(36)$ |  |  |
| b. How often has -- had this problem? |  |  |  |
| c. Was this his left ear, right ear, or both ears? |  |  |  |
| d. Did -- see a doctor because of the condition? |  |  |  |
| 36a. Did a doctor ever tell you that -- had on ear infection? | $\begin{array}{ll} 1 \square 10 \text { Yes } \\ 2 \square \text { No (37) } \end{array}$ |  |  |
| b. How many times has -- had on ear infection? | (311) Times |  |  |
| c. For how many separate infections did a doctor - prescribe any - <br> Oral medicine (Pills or liquid medicine)? $\qquad$ <br> Shots or injections? $\qquad$ <br> Ear drops or other external application? $\qquad$ | 1312 $\qquad$ Infections l 弓殳13-Infections <br> (314) $\qquad$ Infections |  |  |
| d. Did o doctor ever treat --'s ear infection by placing tubes in his ear? | $\begin{array}{ll} 1 \square \mathrm{Yes} \\ & 2 \square \mathrm{No} \\ & 9 \square \mathrm{DK} \end{array}$ |  |  |
| 37a. Has -- ever had deafness or trouble hearing with one or both ears? Do not include any problems which lasted just a short period of time such os during a cold. | (316) $\square \mathrm{Yes}$ $\square$ $\left.\begin{array}{c} 2 \square N_{0} \\ 9 \square D K \end{array}\right\}$ <br> (38e) |  |  |
| b. Did -- ever see a doctor about it? | $\begin{array}{cl} 1(317) \\ & \square \mathrm{Yes} \\ 2 \mathrm{No} \end{array}$ |  |  |
| c. How old was -- when his heoring trouble wos first noticed? | (318) $\qquad$ Years old |  |  |
| d. Since this trouble began, has it gotten worse, gotten better, or stayed about the same? | $\left\{\begin{array}{ll}\text { (319) } & 1 \square \text { Gotten worse } \\ & 2 \square \text { Gotten better } \\ & 3 \square\end{array}\right.$ Stayed about the same |  |  |
| e. Wos --'s hearing trouble or deafness caused by - | Yes | No | DK |
| An ear infection? . . . . . . . | (320 $1 \square$ | $2 \square$ | $9 \square$ |
| A loud noise, such os that from mochinory, gun fire, blosts. or explosions? | (321) | $2 \square$ | $9 \square$ |
| Ear surgery? | (322) $\square$ | $2 \square$ | $9 \square$ |
| An ear injury? | (323) $\square$ | $2 \square$ | $9 \square$ |
| Was he born with it? | (324) $\square$ | $2 \square$ | $9 \square$ |
| Some other cause? - Specify | (325) $\square$ | $2 \square$ | $9 \square$ |


| 380. How would you rate --'s hearing in his RIGHT ear good, fair, poor, or is he deaf? | Good Fair <br> 3 $\square$ Poor <br> 4 $\square$ Deaf |  |
| :---: | :---: | :---: |
| b. How would you rote --'s hearing in his LEFT ear good, fair, poor, or is he deaf? |  $\square$ $\square$ <br>   Good <br>  $\square$ Fair <br>  $\square$ Poor <br>   $\square$ |  |
| c. Has -- ever had an operation for an ear problem? | $\begin{array}{lll}  & 1 \square \text { Yes } \\ 0^{328} & 1 \square & { }^{2} \mathrm{No}(38 \mathrm{e}) \end{array}$ |  |
| d. Was it | । Yes No | DK |
| An incision of the ear drum? | (329) 1 $\square$ 2 $\square$ | $9 \square$ |
| An operation on the stapes, one of the bones in the middle ear? | $\square$ | $9 \square$ |
| A mastoidectomy? | (331) 1 $\square$ $21$ $\square$ | $9 \square$ |
| Some other operation? $\qquad$ | (332) 1  $2$ $\square$ | $9 \square$ |
| e. Has -- ever had his hearing tested? | (333) $\begin{aligned} & \text { c I Yes } \\ & 2 \square \mathrm{No}(38 \mathrm{~h}) \end{aligned}$ |  |
| f. How old was he when his hearing was LAST tested? | (334) $\qquad$ Years old |  |
| g. Was his hearing normal? | (335) ${ }^{1} \mathrm{E}$ $\qquad$ , Yes 2 [ $\square$ No |  |
| h. Has -- ever used a hearing aid? | (336) $\square$ Yes No (39) |  |
| i. Which ear? |  |  |
| j. Does - - now use a hearing aid? | $\begin{array}{ll} : 0338 & 1 \square \text { Yes } \\ 1 & 2 \square \text { No } \end{array}$ |  |
| 39a. Has - - ever had any difficulties with his speech which lasted for 6 months or longer? | (339) $\square$ Yes <br> 2 $\square$ No |  |
| b. Has a teacher or any other person mentioned to you that -- might hove a speech problem? | 3401 Yes <br> 2 $\square$ No |  |
| c. Does -- now have any speech difficulties? | (341) 1 $\square$ Yes No |  |
| CHECK ITEMC | (342) 1 $\square$ No to $39 a, b$ and $c(40)$ <br> 2 All others (39d) |  |




| 41. Cont inued <br> f. Does -- now speak any language other than English? |  |
| :---: | :---: |
| g. Does -- now use (this/these) other language(s) (Mark one box and stop) <br> All of the time? <br> Most of the time? <br> Some of the time? <br> Very little of the time? |  |
| CHECK ITEM D |  |
| 42a. Does -- have trouble with recurring or persistent cough attacks? | $\begin{array}{lll} 13831 & \text { Yes } \\ { }_{2} \square & \text { No (42c) } \end{array}$ |
| b. Has -- been bothered by such coughing attacks during the post year? |  |
| c. During the past 3 years, has -- had a period of increased cough and phlegm lasting for 3 consecutive weeks or more? | (385) 1 cl Yes ${ }_{2} \square \times \square$ |
| 43a. Has -- ever seen a doctor about a lung or chest condition? | $\left\{\begin{array}{l} \left.1386 \begin{array}{l} 1 \square \text { Yes } \\ 2 \\ \\ 9 \times \square \\ \square \mathrm{DK} \end{array}\right\} \text { (46) } \end{array}\right.$ |
| b. What did the doctor say the condition or conditions affecting his chest or lung were? - Specify |  |
| c. How old was -- when he first hod the condition(s)? | (391) $\qquad$ Years old <br> 0 Less than I year old |
| 44. About how many days of school has -- missed during the past 12 months because of his ..., (not counting colds or the "flu")? |  |
| 45. Has -- ever stayed in a hospital overnight or longer because of a lung or chest condition? | $\begin{array}{cc}  \\ 0^{393} & 1 \square \text { Yes } \\ & \square \text { No } \end{array}$ |
| 46. Did a doctor or other specialist ever tell you that -- hod - <br> Polio or paralysis? $\qquad$ <br> Cerebral palsy? $\qquad$ <br> Any type of brain damage? $\qquad$ <br> Vision trouble? $\qquad$ <br> An emotional problem or disturbance? $\qquad$ <br> Hyperactivity? $\qquad$ <br> Mental retardation? $\qquad$ |  |



\begin{tabular}{|c|c|}
\hline 500. How much does --'s mother weigh? \& \begin{tabular}{l}
(418) \(\qquad\) Pounds \\
999 \(\square\) DK
\end{tabular} \\
\hline b. How tall is she? \& \begin{tabular}{l}
819 \(\qquad\) Feet \\
420 \(\qquad\) Inches \\
999 DK
\end{tabular} \\
\hline 51a. How much does --'s father weigh? \& (421) \(\qquad\) Pounds \\
\hline b. How tall is he? \& \begin{tabular}{l}
(122) \(\qquad\) Feet \\
(123) \(\qquad\) Inches \\
sss \(\square\) DK
\end{tabular} \\
\hline 52a. Name of respondent \&  \\
\hline b. Respondent's relationship to child covered by this questionnaire. \& \begin{tabular}{l}

Mother <br>
2 Father

Sister or brother <br>
4
$\square$ Other - Specify $\qquad$
$\qquad$
\end{tabular} <br>

\hline CHECK ITEM F \& \begin{tabular}{l}

Another SP available for interview (Next Medical History Questionnoire) <br>
${ }_{2}$ No other SP available for interview (Page 3 of the Household Quesționnaire)
\end{tabular} <br>

\hline Notes \& | $(126)$ |
| :--- |
| $(127)$ |
| 128$)$ | <br>

\hline
\end{tabular}

## Medical History Questionnaire, Ages 12-74 Years






| 1 lo. Do you cot clay, starch, or ony materials which might be considered unusual? | $\begin{aligned} & 1 \square \text { Yes } \\ & 2 \square \text { No (12) } \end{aligned}$ |
| :---: | :---: |
| b. Which - <br> Cloy? |  Yes No <br> $(212)$ $1 \square$ $2 \square$ |
| Starch?. . | (213) $\square$ 2 $\square$ |
| Some other material? -Specify | (214) $\square$ 2 $\square$ |
| 120. Are you on a special diet? | $\begin{array}{ll} (215) & { }^{1} \square \text { Yes } \\ & { }^{2} \square \text { No (Check I tem A) } \end{array}$ |
| b. Wos this diet ordered by a doctor? | $\begin{array}{ll} 1 \square \mathrm{Yes} \\ 2 & { }^{1} \square \mathrm{No} \end{array}$ |
| CHECK ITEM <br> A | $\begin{aligned} & 1 \square 18+(13) \\ & 2 \square \text { Under } 18 \text { (14) } \end{aligned}$ |
| 130. Have you smoked ot least 100 cigarettes during your entire life? | $\begin{aligned} & \text { (218) } 1 \square \mathrm{Yes} \\ & 2 \square \mathrm{No}(13 h) \end{aligned}$ |
| b. Do you smoke cigarettes now? | $\begin{array}{ll} 1 \square \text { Yes } \\ 2 \square \text { No (13d) } \end{array}$ |
| c. On the averoge, how many a day do you smoke? | (220) Cigarettes per day (13e) |
| d. How long has it been since you smoked cigarettes fairly regularly? | ```(221).-Y e a r s (13f)``` |
| e. On the average, how many cigarettes a day were you smoking 12 months ago? | (222) $\qquad$ Cigarettes per day <br> 98 $\square$ Did not smoke <br> 99 $\square$ DK |
| f. During the period when you were smoking the most, about how mony cigarettes a doy did you usually smoke? | 223 $\qquad$ Cigarettes per day , <br> 99 $\square$ DK |
| g. About how old were you when you first started smoking cigarettes fairly regularly? | 24 $\qquad$ Years old <br> 98 $\square$ Never smoked regularly <br> 99 $\square$ DK |
| h. Do you smoke cigars now? | $\begin{array}{ll} 1 \square \text { Yes } \\ 2 \square \mathrm{No}(13 \mathrm{j}) \end{array}$ |
| i. About how mony cigars a day do you smoke? | 226-Cigars per day |
| j. Do you smoke a pipe now? | $\text { (227) } \begin{aligned} & 1 \square \text { Yes } \\ & 2 \square \text { No (14) } \end{aligned}$ |
| k. About how many pipefuls of tobacco a day do you usually smoke? | 228 $\qquad$ Pipefuls per day $\qquad$ <br> ```(IF LESS THAN 7 PER DAY) \\ 77 \(\square\) 3 to 6 per week \\ 98 \(\square\) Less than 3 der week``` |


| 14a. Do you drink coffee? | $\begin{aligned} & \text { (229) } \square \text { Yes } \\ & 2 \square \mathrm{No}(1.4 \mathrm{e}) \end{aligned}$ |
| :---: | :---: |
| b. On the average, how many cups or glasses a doy do you drink? | 230 $\qquad$ Cups or glasses $\square$ Less than one per day |
| c. Do you usually drink decaffeinated coffee or regular coffee? | (231) |
| d. Were you EVER advised by a doctor to use decoffeinoted coffee? (For example, Brim. Decof, or Sanka) | $\begin{array}{r} 1 \square \text { Yes } \\ 2 \square \mathrm{No} \end{array}$ |
| e. Hove you EVER been advised by a doctor to stop drinking regulor coffee? | $\begin{aligned} & 233 \text { Y Yes } \\ & 2 \square \text { No } \end{aligned}$ |
| 15a. Do you drink tea? | $\begin{aligned} & 284 \square \text { Yes } \\ & 2 \\ & \text { No (15c) } \end{aligned}$ |
| b. On the overage, how many cups or glosses a day do you drink? | (235) $\qquad$ Cups or glasses <br> $\circ$ Less than one per day |
| c. Have you EVER been advised by a doctor to stop drinking tea? | (236) 1 Yes <br> 2 $\square$ ivo |
| 16a. During the past 6 months, did you use any aspirin or aspirin-type pills? |  |
| b. On the average, do you use these pills one or more times per week? | $\text { (238) } \begin{aligned} & 1 \square \mathrm{Yes} \\ & 2 \square \mathrm{No} \end{aligned}$ |
| 17. In things you do for RECREATION, for example, sports, hiking, dancing, and so forth, do you get much exercise, moderate exercise, or little or no exercise? | (239) 1 Much exercise <br> 2 Moderate exercise 3 Little or no exercise |
| 18. In your usual day, ASIDE FROM RECREATION, are you physically very active, moderately active, or quite inactive? |  240 1 <br>   $\square$ <br>  $\mathbf{2}$ $\square$ <br>  Very active  <br>  $\mathbf{3}$ $\square$ Quite inactive |
| 19a. What is the most that you have ever weighed? (Do not include the times you we re pregnant.) | 241 $\qquad$ Pounds |
| b. How old were you then? | (242) $\qquad$ Years old |
| Notes |  |


| CHECK ITEM B | $\begin{array}{ll}  & 1 \square 18+(20) \\ & 243 \text { Under } 18 \text { (Check Item D) } \end{array}$ |
| :---: | :---: |
| 20 a . What is the least you have weighed since you were 18 ? | (2) $4 P O \quad u n d s$ |
| b. How old were you then? | (245) $\qquad$ Years old |
| CHECK ITEM C | $\begin{array}{ll} \text { (246) } 1 \square 25+(21) \\ & \square \text { Under } 25 \text { (Check Item D) } \end{array}$ |
| 21. About how much did you weigh when you were 25? | (247) $\qquad$ Pounds |
| CHECK ITEM D | $\begin{array}{ll} 17 \square^{17+(22 a)} \\ 2 & \square^{\text {Under } 17(23)} \end{array}$ |
| 22a. How many living children do you have? | (249) $\frac{\mathrm{Ch} \text { i I } d r e n}{o \square \text { None }}$ |
| CHECK ITEM | $\begin{array}{ll} 1 \square \text { Male }(23) \\ & 2 \square \text { Female }(22 b) \end{array}$ |
| 22b. How many children have you EVER had? | (251) $\qquad$ Children <br> 0 None (23) |
| c. How many of these children weighed 9 or more pounds at birth? | (252) $\qquad$ Children None |
| 23a. About how tall are you without shoes? | (253) $\qquad$ Feet <br> (254) $\qquad$ Inches |
| b. About how much do you weigh without clothes or shoes? | 255 $\qquad$ Pounds |
| 24a. During the past 6 months, have you lost any weight without trying to? | (256) 10 Yes $\left.\begin{array}{l} 2 \square \mathrm{No} \\ 9 \square \mathrm{DK} \end{array}\right\}$ |
| b. About how much weight hove you lost? | (257) - Pounds |
| 25a. Do you have any reason to think that you are color blind? | $\begin{aligned} & 1 \square \mathrm{Yes} \\ & 2 \square \mathrm{No} \\ & 9 \square \mathrm{DK} \end{aligned}$ |
| b. Have you ever had a test to see whether you ore color blind? | $\begin{array}{ll} 259 \square \mathrm{Yes} \\ 2 \square \mathrm{No} \\ & 9 \square \mathrm{DK} \end{array}$ |
| c. Do you have SERIOUS trouble seeing with one or both eyes EVEN WHEN WEARING GLASSES? | $\begin{array}{ll} 260 & 1 \square \text { Yes } \\ & 2 \square \text { No (26) } \end{array}$ |
| d. Can you see well enough to read ordinary newspaper print WITH GLASSES with your - <br> Left eye? <br> Right eye?. |  Yes No <br> 261 $\square$ $2 \square$ <br> 262 $1 \square$ $2 \square$ |
| e. Was your eye condition the result of an accident? | $\begin{array}{ll} 1 \square \mathrm{Yes} \\ 2 \square \mathrm{No} \end{array}$ |


| DIABETES <br> 26a. Do you have diabetes or sugar diabetes? | $\text { (264) } \begin{aligned} & 10 \text { Yes } \\ & 2 \square \text { No (27) } \end{aligned}$ |
| :---: | :---: |
| b. Did a doctor tell you that you hod it? | $\begin{aligned} & 1 \text { Yes } \\ & 2 \square \mathrm{No} \end{aligned}$ |
| 270. How many living brothers and sisters do you have? Do not count adopted, step or half brothers and sisters. | 266 $\qquad$ Living <br> $\circ$ $\square$ None (27c) |
| b. How many of these brothers and sisters have diabetes or sugar diabetes? | 267 $\qquad$ Di abeti cs <br> 0 $\square$ None |
| c. How many of your brothers and sisters are not living? | (268) $\qquad$ Not living <br> 0 $\square$ None (27e) |
| d. How many of these-brothers and sisters had diabetes or sugar diabetes? |  |
| e. Including those living and deceased, how mony of your brothers and sisters were born before you? | (270) N _u_m b e r <br> None |
| f. Is your mother still living? | (27) $\square$ Yes <br> 2 $\square$ No |
| g. Does (did) she have diabetes or sugar diabetes? | (272) $\square$ Yes <br> 2 $\square$ No |
| h. Is your father still living? | (273) $\begin{aligned} & 1 \square \text { Yes } \\ & 2 \square \text { No } \end{aligned}$ |
| i. Does (did) he have diabetes or sugar diabetes? | $(274) \begin{aligned} & 1 \square \mathrm{Yes} \\ & 2 \square \mathrm{No} \end{aligned}$ |
| 28. Hove you EVER been told by a doctor that you have - <br> Borderline diabetes? <br> Prediabetes? <br> Potential diabetes?. | Yes <br> No <br> (275) • c I <br> 2 $\square$ <br> (276) 1 $\square$ 2 $\square$ <br> 1 $\square$ |
| Notes | $279$ |


| CHECK ITEM F | (280) ${ }^{1} \square$ " $\mathrm{No}^{\prime \prime}$ in 26 a and all of 28 (Check Item G) <br> 2 $\square$ All other (29) |
| :---: | :---: |
| 29a. About how old were you when the doctor first told you that you had (diabetes/. . .)? | 12 $8 \quad 1$ Years old |
| b. Were you a patient in a hospital at the time a doctor first told you that you had it? | $\begin{array}{ll} 1 \square \text { Yes } \\ & 282) \\ & 2 \square \text { No (30) } \end{array}$ |
| c. Were you in the hospital at that time because you had symptoms of (diabetes/. . .)? | 123 $\square$ $\square \mathrm{Yes}$ <br> 2 $\square$ No |
| 30. (Not counting that first time) Have you ever been hospitalized because of your (diabetes/. . .)? | $\begin{aligned} & \text { iß84 } 1 \square \text { Yes } \\ & 2 \square \text { No } \end{aligned}$ |
| 31a. Have you EVER taken insulin injections? | $\begin{array}{ll} 285) & 1 \square \text { Yes } \\ 2 \square \text { No (33) } \end{array}$ |
| b. Have you been taking insulin injections for most of the past $\mathbf{1 2}$ months? | (286) 1 $\square$ Yes 2 $\square$ No |
| c. Are you NOW taking insulin injections? | (287) $\square$ Yes <br> 2 No |
| d. How many years (hove you been taking/did you take) them? | (2) 8 $\qquad$ Years <br> 0 $\square$ Less than I year |
| 320. Do you know what an insulin reaction is? | 289 $\square$ Yes <br> 2 $\square$ No (33) |
| b. Have you EVER had an insulin reaction? | $\begin{array}{ll} 1(290 & 1 \square \text { Yes } \\ 2 \square \text { No (33) } \end{array}$ |
| c. How many insulin reactions have you had during the past 30 days? | 291 $\qquad$ Number <br> 0 None |
| d. (Including these reactions) About how many have you had during the past 12 months? | (2)2 $\qquad$ Number None |
| 33a. Have you EVER taken diabetes pills? | 293 Yes <br> 2 No (34) |
| b. Hove you taken them most of the past 12 months? | (294) 1 $\square$ Yes <br> 2 $\square$ No |
| c. Are you NOW taking diobetes pills? | $\begin{array}{ll} 1(295) & 1 \square \mathrm{Yes} \\ 2 \square \mathrm{No}(33 \mathrm{e}) \end{array}$ |
| d. What is the name of the medicine? - Specify |  |
| e. How many ears (have you been taking/did you take) them! | 296 $\qquad$ Years <br> 0 $\square$ Less than I year |



| RESPIRATORY CONDITIONS <br> 38a. Do you have trouble with recurring persistent cough attacks? | (314) $\square$ Yes (39) |  |
| :---: | :---: | :---: |
| b. Have you been bothered by such coughing attacks during the past 12 months? | (315) $\square$ Yes <br> 2 $\square$ No |  |
| 39. During the past 3 years have you had a period of increased cough and phlegm lasting for 3 weeks or more? | (316) $\square$ Yes <br> 2 $\square$ No |  |
| 40a. Have you EVER seen a doctor about a lung or chest condition? | $\begin{aligned} & 1 \square \text { Yes } \\ & 2 \square \text { No (43) } \end{aligned}$ |  |
| b. What did he say the condition or conditions affecting your lung or chest were? $\qquad$ |  |  |
| c. How old were you when you first had the condition? | $3188 c^{1}$ <br> Under 10 - Specify IO-19 years old 20-24 years old |  |
| 41. About how many work or school days have you lost during the past 12 months because of your lung condition, not counting colds or the "flu?" | (319) 1 None <br> 2 I-4days 5-9 days 4 I O-I 4 days <br> 5 $\square$ 15-19 days 6 $\square$ 20-29 days <br> 7 30 days or more |  |
| 42. Have you EVER stayed in a hospital overnight or longer because of a lung or chest condition? | (320) Yes No |  |
| HEARING and SPEECH <br> 43a. During the past 12 months, have you EVER been bothered by ringing or other funny noises in your ears? | (321) 1 $\square$ Yes <br> 2 $\square$ No (44) |  |
| b. How often - every few days or less often? | (322) 1 Every few days <br> 2 $\square$ Less often |  |
| c. When it does occur, does it bother you quite a bit, just a little, or not at all? | (323) $\qquad$ Quite a bit $\square$ Just a little <br> 3 $\square$ Not at all |  |
| 44a. Have you EVER had a running ear or any discharge from your ears not counting wax in the ears? | $\left\{\begin{array}{lll} 34 & 1 & \square M \\ 2 & \square \mathrm{No}  \tag{45}\\ & 9 & \square \mathrm{DK} \end{array}\right\}$ |  |
| b. How often have you had a running ear or any discharge from your ear? | (325) $c^{1}$ $\qquad$ Once only Twice <br> 3 3-5 times <br> 4 6 or more times <br> 9 DK |  |
| c. Did you see a doctor because of this condition? | $\begin{array}{r} 1 \square \mathrm{Yes} \\ 2 \mathrm{Y}^{26} \mathrm{No} \\ 9 \square \mathrm{DK} \end{array}$ |  |





| 49a. Have you EVER had trouble with persistent itching all over your body? | $\begin{array}{ll} 382 & 1 \square \text { Yes } \\ & { }^{2} \square \text { No (50) } \end{array}$ |
| :---: | :---: |
| b. Was there a rash along with the itching? | 383 $\square$ Yęs $2 \square$ $\square$ No |
| 50a. Have you EVER lost your appetite for a period lasting one month or longer? | $\begin{array}{ll} 1 \square 84 \text { Yes } \\ 2 \square \text { No (51) } \end{array}$ |
| b. Do you have this problem now? |  <br> $2 \square$ No |
| KIDNEY PROBLEMS <br> 51. Hove you EVER had any kidney, bladder, or other urinary problems? | $\begin{aligned} & 386) 1 \square \mathrm{Yes} \\ & 2 \square \mathrm{No}(56) \end{aligned}$ |
| 52a. Have you EVER had kidney stones? | (387) 1 $\square$ Yes <br> 2 $\square$ No (53) |
| b. Have you EVER passed a stone? | (388) Yes <br> 2 $\square$ No |
| c. Have you EVER had any of the following kinds of treatment for stones - <br> Medicines?. $\qquad$ <br> Surgery? $\qquad$ <br> Special diet? $\qquad$ <br> Any other treatment? - Specify $\qquad$ |  Yes No  <br> 389 $1 \square$ $2 \square$  <br> 390 $c^{\prime}$ 1 $2 \square$ <br> 391 $\square$ $\square$ $2 \square$ <br> 392 $\square$ $\square$  <br>     |
| 53a. Have you EVER had any infections of the kidney, bladder or urinary tract? |  |
| b. About how many times have you had an infection of the kidney, bladder or urinary tract? | (394) $\qquad$ Times |
| c. About how many times did the infection(s) involve the - <br> Kidney? <br> Bladder? <br> Urinary tract?. | (395) $\qquad$ Times <br> 396 $\qquad$ Times <br> (397) $\qquad$ Times |
| d. Did you have fever and chills with any of the infections? | $\begin{array}{r} 398 \text { c } \quad \text { Yes } \\ 2 \square \text { No } \end{array}$ |
| e. For how many of these infections did you take antibiotics or sulfa drugs? | (399) $\qquad$ Infections None |
| f. For how many of the infections did you see a doctor? | (400) $\qquad$ Infections (54b) <br> $\circ$ $\square$ None |



| 5s.Continued <br> h. Have you EVER been hospitalized overnight or longer because of any trouble in <br> (433) $\square$ Yes your kidney, bbddet, or utinoty troct? $2 \square N$ |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| i. Whea was the bst time you sow a dector for a kidney, bbddet, or utinoty condition? $\qquad$ Years ago <br> 0 $\square$ Less than I year ago |  |  |  |  |  |
| j. Did the treatment fot a kidney, bladder or urinary frect problem include - <br> Yes |  |  |  |  |  |
| Diuretics (Di-yr-ret-ic) or pills for water loss? | (135) $\qquad$ |  |  |  |  |
| Steroids such as cortisone (cor-ti-zone) and prodnisone (pred-ni-zone)? | (136) $1 \square$ |  |  |  |  |
| Antibiotics? . .............. | (37) $1 \square$ |  |  |  |  |
| Sulfa dtugs? ................. | (130) $1 \square$ |  |  |  |  |
| Medicines to reduce blood pressure?. | (139) $1 \square$ |  |  |  |  |
| Surgerr? . . . . . . . . . . . . . . . . . . . | (40) $1 \square$ |  |  |  |  |
| Special diet? - Specify . . . . . . . . . | (414) $\square^{\square}$ |  |  |  |  |
| Any other treatment? - Specify .... | (412) 1 |  |  |  |  |
| 55a. Nave you hod any trouble with pain due to kidney, bladder or utinoty problems? <br> (443) 1 Yes $\square$ $2 \square$ <br> (56) |  |  |  |  |  |
| b. Was the poin located in - $\quad$ Y ${ }^{\text {a }}$ |  |  |  |  |  |
| Your right side AND back? | (144) $\square$ |  |  |  |  |
| Your left side AND bock? . . . . . . . | (405 1 |  |  |  |  |
| Both sides AND bock? .......... | (466) c 1 |  |  |  |  |
| The ares over the bladder? ....... | (447) c 1 |  |  |  |  |
| Your lower abdomen? . . . . . . . . . | (48) $1 \square$ |  |  |  |  |
| c. About how many times have you hod this pain? | (49) |  |  |  |  |
| 56. Hos your mother, father, sisters, or btotbets EVER had - | Mother ! | Father | Sister | Brocher | No |
| (Anyone else?) |  |  |  |  |  |
| Polycystic disease of the kidney? . . . . | (450) $1 \square$ | $2 \square$ | ${ }^{3} \square$ | $4 \square$ | $5 \square$ |
| Both chronic nephritis (Kidney disease) and nerve deafness in childhood? | (45) ${ }^{1} \square$ | $\square$ | ${ }^{3} \square$ | $4 \square$ | $5 \square$ |
| Kidney or bladder stones?. . . . . . . . . | (152) ${ }^{\text {1 }}$ - | $2 \square$ | ${ }^{3} \square$ | $4 \square$ | ${ }_{5} \square$ |
| High blood pressure? | (453)* $\square$ | $2 \square$ | ${ }^{3} \square$ | $4 \square$ | s $\square$ |



| 60a. During the past 12 months, not counting colds or the flu, have you FREQUENTLY had trouble with - <br> Wheezing? <br> Stuffy nose? $\qquad$ <br> Itchy nose? <br> Watery discharge from the nose? <br> Post nasal drip?. <br> Watery, itchy eyes? <br> Itchy ears? <br> Sinus infections? |  | $\begin{gathered} \text { No } \\ 2 \square \\ 2 \square \\ 2 \square \\ 2 \square \\ 2 \square \\ 2 \square \\ 2 \square \\ 2 \square \\ 2 \\ 2 \end{gathered}$ |  |
| :---: | :---: | :---: | :---: |
| CHECK ITEM I | $\begin{aligned} & 1495 \\ & 2 \square \\ & \\ & \hline \end{aligned}$ | $60 a(60 b)$ |  |
| b. Because of the (allergies/symptoms) you just mentioned' have you ever - <br> Taken medication? <br> Moved to a different location? <br> Installed air-conditioning, a humidifier or an air-cleaner? <br> Tried to keep away from the things that seem to bring on the condition or make it worse?. <br> Ask if $17+$ <br> Changed jobs? | Yes <br> (496) $\square$ <br> 4971 $\square$ <br> 498) 1 $\square$ <br> 499 $\square$ <br> 500 $\square$ | $\begin{aligned} & \text { No } \\ & 2 \square \\ & 2 \square \\ & 2 \square \\ & 2 \square \\ & 2 \square \end{aligned}$ | Under 17 |
| c. Do these (allergies/symptoms) you mentioned bother you in the - <br> Spring? <br> Summer? <br> Fall until frost? <br> Fall after frost? | $\begin{array}{ll} \hline & \\ \text { Yes } \\ 501 & 1 \square \\ 502 & 1 \square \\ 503 & 1 \square \\ 504 & \square \end{array}$ | $\begin{gathered} \text { No } \\ 2 \square \\ 2 \square \\ 2 \square \\ 2 \square \end{gathered}$ |  |
| d. Do the (allergies/symptoms) you mentioned bother you - <br> Indoors? $\qquad$ <br> Outdoors? $\qquad$ | $\begin{array}{cc}  & \text { Yes } \\ 505 & 1 \square \\ 506 & \square \end{array}$ | No <br> 2 $\square$ <br> 2 $\square$ |  |
| e. Do the (allergies/symptoms) you mentioned seem to get worse in - <br> Dry weather? $\qquad$ <br> Rainy or humid weather? | $\begin{aligned} & \text { Yes } \\ & 507: \square \\ & 508: \square \end{aligned}$ | $\begin{gathered} \text { No } \\ 2 \square \\ 2 \square \end{gathered}$ |  |
| f. Do the (allergies/symptoms) both you more when you are around - <br> Grass? <br> Trees? | Yes <br> (509) 1 $\square$ <br> (510) $\square$ | $\begin{aligned} & \text { No } \\ & 2 \square \\ & 2 \square \end{aligned}$ |  |



| HYPERTENSION <br> 61a. Have you EVER been told by a doctor that you had high blood pressure? | (518) Yes ( 61 c ) <br> 2 No |
| :---: | :---: |
| b. Another nomt for high blood pressure is hypertension. Hove you EVER been told by a doctor that you hod hypertension? | 519 $\begin{aligned} & 1 \mathrm{cl} \text { Yes } \\ & 2 \square \text { No (65) } \end{aligned}$ |
| c. About how long ogo were you FIRST told by a doctor that you hod (high blood pressure/hypertension)? | (520) $\qquad$ Months <br> (521) $\qquad$ Years <br> 0 $\square$ Less than I month |
| 620. During the past 12 months, about how mony times have you seen or talked to o doctor about your (high blood pressure/hypertension)? | 522 $\qquad$ Times <br> 0 $\square$ None |
| b. Hos o doctor EVER advised you to lose wei ht BECAUSE OF (HIGH BLOOD PRESSURE? HYPERTENSION)? | (523) 1 cl Yes <br> 2 No |
| 63a. Hos o doctor EVER prescribed medicine for your (high blood pressure/hypertension)? | 524) 1 cl Yes <br> 2 No (64) |
| b. Are you NOW taking any medicine prescribed by a doctor for your (high blood pressure/hypertension)? | 525 $\begin{aligned} & 1 \square \text { Yes } \\ & 2 \square \text { No (64) } \end{aligned}$ |
| c. How often ore you supposed to toke this medicine more than once o doy, once o day, or less thon once a doy? | 526 1 More than once a day 2 $\square$ Once a day <br> 3 $\square$ Less than once a day |
| d. How often do you take your medicine when you are supposed to - all the time, often, once in 0 while, or never? | $0^{5271}$ All the time <br> 2 Often <br> 3 Once in a while <br> 4 $\square$ Never <br> $s$ $\square$ Other - Specify |
| 64. ABOUT how mony days during the post $\mathbf{1 2}$ months has (high blood pressure/hypertension) kept you in bed all or most of the doy? | (528) $\qquad$ Days <br> 0 $\square$ None |
| 65. During the post $\mathbf{1 2}$ months, how many times was your blood pressure token? Do not count times while a patient in o hospital. | $5^{29}$ $\qquad$ Times <br> 0 $\square$ None |
| CHECK ITEM J | (530) $\begin{aligned} & 1 \square \text { Under } 18 \text { (76) } \\ & 2 \square 18-24(75) \\ & 3 \square 25+(66) \end{aligned}$ |

\begin{tabular}{|c|c|}
\hline \begin{tabular}{l}
CARDIOVASCULAR CONDITIONS \\
66. Have you EVER had any trouble with pain, discomfort, or pressure in your chest when you walk fast or uphill?
\end{tabular} \& \[
\begin{array}{r}
\square \square \mathrm{Yes} \\
2 \square \mathrm{No}
\end{array}
\] \\
\hline 67a. Have you EVER had severe pain acrioss the front of your chest lasting for half an hour or more? \& \begin{tabular}{l}
(532) Yes \\
2 No (68)
\end{tabular} \\
\hline b. How many of these attacks hove you hod? \& \begin{tabular}{l}
(533) One \\
2 2-3

4 or more
\end{tabular} <br>

\hline c. Are you taking any medication to strengthen your heort beat or to regulate it? \& $$
\begin{aligned}
& \text { (534) } \square \text { Yes } \\
& 2 \square \text { No }
\end{aligned}
$$ <br>

\hline 680. Have you EVER had shortness of breath either when hurrying on the level or walking up o slight hi II? \& $$
\left\{\begin{array}{rrr}
535 & 1 & Y e \square \\
2 \square & \text { No (69) }
\end{array}\right.
$$ <br>

\hline b. Have you had this problem for ot least 90 days of the year? \& $$
\begin{array}{cc}
536 & 1 \square \mathrm{Yes} \\
\\
& \square \mathrm{No}
\end{array}
$$ <br>

\hline | 69. Have you EVER hod - |
| :--- |
| Loss of vision or blindness lasting from several minutes to several days? |
| Difficulty in speaking or slurred speech lasting from several minutes to several days? |
| Loss of sensation, numbness or tingling sensations lasting from several minutes to several doys? |
| A severe head injury leading to unconsciousness lasting for more than 5 minutes? , ...., , ., ., . |
| Prolonged weakness or paralysis of one or both-sides of the body lasting up to severa I months? | \& |  | Yes | No |
| :--- | :--- | :--- |
| (537) | $1 \square$ | $2 \square$ |
| 538 | $\square$ | $2 \square$ |
| $539)$ | $\square$ | $2 \square$ |
| (540) | $\square \square$ | $\square$ |
|  | $\square$ | $2 \square$ | <br>


\hline Notes \& | 542 |
| :--- |
| 543 | <br>

\hline
\end{tabular}

| 70a．Have you EVER had a stroke？ | $\begin{cases}544 & 1 \square \text { Yes } \\ & 2 \square \mathrm{No}(71)\end{cases}$ |  |
| :---: | :---: | :---: |
| b．Did a doctor tel I you this？ | 1 |  |
|  | $\begin{gathered} 1\left(45{ }^{1} \square \mathrm{Yes}\right. \\ \quad 2 \square \mathrm{No} \end{gathered}$ |  |
| c．How many strokes have you had？ | 546 <br> 1 $\square$ One |  |
| d．How long ago did you have the （first）stroke？ | 547 $\qquad$ Years <br> 0 Less than I year |  |
| If one stroke only，go to 70f | 1 |  |
| e．How long ago did you hove your LAST stroke？ | （548） $\qquad$ Years $\square$ Less than I year |  |
| f．When you had your stroke（s），did you have－如入＂Yes，＂万•\＆70g |  | g．Do you still have ．．．？ <br> Yes <br> No |
| Paralysis of the face？． | $\int_{*}^{549} 1 \square \quad 2 \square$ | $3 \text { [ }$ $4[$ |
| Paralysis of the arm or leg？． | $\underbrace{550}_{*} \cdot \square \quad 2 \square$ | $3$ |
| Numbness of the arm or leg？ | $\left.i_{1}^{1551}\right)_{*} \square \quad 2 \square$ | $31$ |
| Change in vision？．． | $\underbrace{1}_{*}$ $2$ $\square$ | $3[$ $\square$ 4 $\square$ |
| Change in speech？． | $\underbrace{553}_{*}: \square \quad 2 \square$ | $3 \text { [ }$ $\square$ $41$ $\square$ |
| Any other symptoms？－Specify | 554． $21$ $\square$ | $3 \square$ |
| Notes | 555 |  |



| 76a. Is -- attending school now? <br> b. What is the name and address of the school he goes to? | (571) Yes <br> 2 $\square$ No (80) |  |
| :---: | :---: | :---: |
|  |  |  |
|  | Address (Number ond street) |  |
|  | City State | ZIP code |
|  | 1 |  |
| 77a. Is there a school lunch progrom ot the school he attends? | (572) Yes $\left.\begin{array}{l} 2 \square \mathrm{No}^{2} \\ 9 \square \mathrm{DK} \end{array}\right\}$ <br> (77d) |  |
| b. How many times a week does he usually participate? | 573 $\begin{aligned} & \mathrm{T} \mathrm{i} \mathrm{~m} \text { e } \mathrm{s} \\ & \circ \square \text { None (77d) } \end{aligned}$ |  |
| c. How much does he pay for his lunch per day? | (574) $C$ e n t $s$ <br> $\circ$ $\square$ Free |  |
| d. Is there a special milk program at the school he attends? | (57) 1 Yes $\left.\begin{array}{l} 2 \square \mathrm{No} \\ 9 \square D K \end{array}\right\}$ |  |
| e. How much does he pay for his milk per day? | 556 $\qquad$ Cents <br> 0 $\square$ Free |  |
| f. How many times a week does he usually participate? | 57 $\qquad$ Times <br> 0 $\square$ None |  |
| g. Is there a school breakfast program at the school he attends? | (578) $\square$ Yes $\left.\begin{array}{l} 2 \square N_{0} \\ 9 \square D K \end{array}\right\}$ |  |
| h. How many times a week does he usually participate? | (5)9 $\begin{aligned} & \frac{\mathrm{T} ~ \mathrm{~m}}{} \mathrm{e} \mathrm{~s} \\ & \mathrm{o} \square \text { None (60) } \end{aligned}$ |  |
| i. How much does he pay for his breakfast per day? | $\left.\begin{array}{c}\text { (580) } \frac{\mathrm{C} \mathrm{n}^{\mathrm{t}}}{\mathrm{t}} \mathrm{G} \text { Free }\end{array}\right\}(80)$ |  |




Heath History Supplement, Ages 12-74 Years





| 4a. Have you ever had pain in your back on most days for at least two weeks? | (168) 1 $\square$ Yes <br> 2 $\square$ No - SKIP to 5 |
| :---: | :---: |
| b. What is the longest episode of back pain you have ever had? | (169) 1 Less than one month <br> 2 $\square$ One but less than 2 months <br> 3 2-3 months <br> 4 $\square$ 4-5 months <br> 5 $\square$ 6 months or more <br> 9 $\square$ Don't remember |
| c. Where is the pain usually located? <br> In the - <br> Upper back?. <br> Mid-back?. <br> Lower back? |  Yes No <br> 170 $1 \square$ $2 \square$ <br> 171 $1 \square$ $2 \square$ <br> 172 $1 \square$ $2 \square$ |
| If only one marked in $c$, mark $d$ without asking. <br> d. When you have this back pain, where is it most intense? <br> Upper back? $\qquad$ <br> Mid-back?. $\qquad$ <br> Lower back? $\qquad$ |    <br>  Yes No <br> 173 $1 \square$ $2 \square$ <br> 174 $1 \square$ $2 \square$ <br> 175 $\square$ $\square$ |
| e. Is the back pain usually present when you ore resting at night? |  |
| f. When you have the back pain does it awaken you from sleeping at night? | $\begin{array}{ll} 18 \mathrm{~N} & \square \mathrm{~N} \\ & 2 \square \mathrm{No} \end{array}$ |
| g. Does the back pain ever seem to spread? | (2) $\square \rightarrow$. <br> 2] ${ }_{x}$ - SKIP |
| h. Does it spread to the <br> Back of the right leg? <br> Back of the left leg? <br> Back of both legs? <br> Top of the head?. <br> Sides of the body? |  Yes No <br> 179 $1 \square$ $2 \square$ <br> 180 $1 \square$ $2 \square$ <br> 181 $1 \square$ $2 \square$ <br> 182 $1 \square$ $2 \square$ <br> 183 $1 \square$ $2 \square$ |
| i. Is your back pain made worse - <br> By coughing, sneezing, or deep breathing?. <br> With bending or twisting motion? <br> After prolonged sitting? <br> After prolonged standing? <br> After prolonged activity? |  Yes No <br> (184 1 $\square$ <br> 185 $\square$ $2 \square$ <br> 186 $\square$ $\square$ <br> $187)$ $1 \square$ $2 \square$ <br> 188 1 $\square$ |


| 4. Continued <br> $j$. How. old were you when you first experienced this recurring bock pain.? | (189) 1 $\square$ Less than 20 years old <br> 2 20-29 years old <br> 3 30-39 years old <br> 4 $\square$ 40-49 years old <br> 5 $\square$ SO-59 years old <br> 6 $\square$ 60 years old or older |
| :---: | :---: |
| k. When was the last time you had this pain? | (6) Have it now <br> 2 Less than I year ago, but not now <br> 3 1-2 years ago <br> 4 $\square$ 3-5 years ago <br> 5 6 yearsagoormore |
| I. Does this back pain occup more frequently now than it used to occur? | $\begin{gathered} (1) 1 \square \text { Yes } \\ 2 \square \text { No } \end{gathered}$ |
| m . Has this back pain usually been mild, moderate or severe? | (192) Mild <br> 2 $\square$ Moderate <br> 3 $\square$ Severe |
| n. Have you ever had a sprained back due to some type of physical activity? | 01 $\square$ <br> 2 No |
| o. Have you ever had a disc problem in either your back or neck? | $\begin{aligned} & 194 \text { Yes } \\ & 2 \square \text { No - SKIP to } u \end{aligned}$ |
| p. Was the problem a ruptured diss? | (195) $\square$ Yes <br> 2 No |
| q. Was the disc problem in your back or neck? | (196) Back 2 Neck <br> 3 $\square$ Both |
| r. How old were you when you first had the di sc problem? | (1) 7 $\qquad$ Years old |
| s. Were you in traction? | $\begin{aligned} & 1(198 \mathrm{Yes} \\ & 2 \square \mathrm{No} \end{aligned}$ |
| t. Was surgery necessary? | (199) 1 $\square$ Yes <br> 2 $\square$ No |
| u. Have you ever stayed in a hospital overnight or longer for back pain? | 2001 Yes <br> 2 No |

\begin{tabular}{|c|c|}
\hline 5a. Hove you ever hod poin in your neck on most doys for at least two weeks? \& \begin{tabular}{l}
201 \(\square\) Yes \\
2 \(\square\) No - SKIP TO INTERVIEWER CHECR 1 TEM 二
\end{tabular} \\
\hline b. Whot is the longest episode of neck pain you hove ever hod? \& \begin{tabular}{l}
(202) 1 Less than one month \\
2
\(\square\) One but less than two months \\
3 \(\square\) 2-3 months
4-5 months \\
5 \(\square\) 6 months or more \\
s \(\square\) Don't remember
\end{tabular} \\
\hline c. Is the neck pain present when you are resting at night? \& \[
\text { (203) } \begin{aligned}
\& 1 \square \mathrm{Yes} \\
\& 2 \square \mathrm{No}
\end{aligned}
\] \\
\hline d. Does the neck poin ever seem to spreod? \& \(1(201\)
\[
\text { I } \& m
\]
\(\square\)
\[
\left.\frac{\hat{x}}{} \square-S K I P \backslash\right]
\] \\
\hline \begin{tabular}{l}
Does it spread to - \\
The top ond back of the head?. \\
Either shoulder area? \(\qquad\) \\
The arms or hands? \(\qquad\) \\
Other? - Specify \(\qquad\)
\end{tabular} \& \begin{tabular}{cccc} 
\& Yes \& No \\
205 \& \(1 \square\) \& \(2 \square\) \\
206 \& \(1 \square\) \& \(2 \square\) \\
207 \& c \& \\
208 \& 1 \& \(2 \square\) \& \(2 \square\)
\end{tabular} \\
\hline \begin{tabular}{l}
f. Is your neck poin made worse - \\
By coughing, sneezing, or deep breathing? \\
With bending or twisting motion? \\
After prolonged activity? \\
After prolonged sitting?, \\
After prolonged stonding?
\end{tabular} \& \begin{tabular}{lll} 
\& Yes \& No \\
209 \& \(1 \square\) \& \(2 \square\) \\
210 \& \(1 \square\) \& \(2 \square\) \\
211 \& \(1 \square\) \& \(2 \square\) \\
212 \& \(\square \square\) \& \(2 \square\) \\
213 \& \(1 \square\) \& \(2 \square\)
\end{tabular} \\
\hline g. How old were you when you first experienced this recurring neck poin? \& \begin{tabular}{l}
(214) \(1 \square\) Less than 20 years old \\
\(2 \square\) 20-29 years old \\
\(3 \square\) 30-39 years old \\
\(4 \square\) 40-49 years old \\
\(5 \square\) 50-59 years old \\
6 \(\square\) 60 years old or older
\end{tabular} \\
\hline h. When was the last time you hod this pain? \& \begin{tabular}{l}
i215 1 Have it now \\
2 \(\square\) Less than I year ago but not now \\
3 \(\square\) I-2 years ago \\
4 \(\square\) 3-5 years ago \\
5 \(\square\) 6 years ago or more
\end{tabular} \\
\hline i. Does this neck poin occur more frequently now thon it used to occur? \& \begin{tabular}{l}
(216) \(\square\) Yes \\
2 No
\end{tabular} \\
\hline j. Has this neck pain usually been mild, moderate, of severe? \& (217) 1 Mild
Moderate

Severe <br>
\hline k. Have you ever hod a "whiplash" injury of the neck? \& $11 \square \quad \mathrm{Yes}$
$2 \square \mathrm{No}$ <br>
\hline \multicolumn{2}{|l|}{INTERVIEWER CHECK ITEM II - If "Yes" in Questions 4a or 5a, (i.e., back pain or neck pain), ask questions 6-10;
otherwi se SKIP to Question I I} <br>
\hline
\end{tabular}

| 6a. Have you ever used any of the following kinds of treatment for your back or neck trouble? | $\Gamma_{\text {Yes }}$ | No | 6b. Did it do you any good? <br> Yes <br> No |  |
| :---: | :---: | :---: | :---: | :---: |
| Splints or casts | (219) $\qquad$ | $2 \square$ | (220) | $2 \square$ |
| Braces | (221) $\square$ | $2 \square$ | (222) $1 \square$ | $2 \square$ |
| Diathermy or paraffin. | (223) $\square$ | $2 \square$ | (224) $\square$ | $2 \square$ |
| Hot packs or heating pads | (225) $\square$ | $2 \square$ | (226) $1 \square$ | $2[\square$ |
| Cold packs or ice. . | $\text { (227) } 1$ | $2 \square$ | (228) $\square$ | $2 \square]$ |
| Rest . | (229) $\square$ | $2 \square$ | (230) 1 | $2\lceil\square$ |
| Traction | (231) $\square$ | $2 \square$ | $321$ | 2 [込 |
| Exercises or physical therapy | (233 1 $\square$ | $2 \square$ | $2341 \square$ | $2 \square$ |
| Aspirin. . | (235) $\square$ | $2 \square$ | (236) $1 \square$ | $2 \square$ |
| Cane | (237) $\square$ | $2 \square$ | (238) <br> 2.1 | $2 \Gamma$ |
| Crutch . | (239) $\square$ | $2 \square$ | $\text { (240) } \left.24^{\circ}\right]$ | $2[\square]$ |
| Stiff mattress. . | (241) $1 \square$ | $2 \square$ | (242) 24 | 2[] |
| Bed board. . . | $243$ <br> 1 | $2 \square$ | (244) $\left.24^{\wedge}\right]$ | 21. |
| If "Yes" to $6 a$ and b, ask: | ! |  |  |  |
| c Are you now using it regularly for your back or neck trouble? | Yes | No |  |  |
|  | 1 Yes |  |  |  |
| Splints or casts . | (245) $\square$ | $2 \square$ |  |  |
| Braces . |  | $2 \square$ |  |  |
| Diathermy or paraffin, | (247) 1 | $2 \square$ |  |  |
| Hot packs or heating pads. | (248) $1 \square$ | 2[] |  |  |
| Cold packs or ice. ., | $\text { (2498) } 1$ | $2 \square$ |  |  |
| Rest . | $\text { (250) } 1$ | $2 \square$ |  |  |
| Traction . . | (251) $\square$ | $2 \square$ |  |  |
| Exercises or physical therapy ${ }_{7}$ | $\text { (252) } 1$ | $2 \square$ |  |  |
| Aspirin . . . . . . . . . . . . . . | $2531$ | $2 \square$ |  |  |
| Cane . | 2564) | $2 \square$ |  |  |
| Crutch . . . . : . | $255$ | $2 \square$ |  |  |
| Stiff mattress . . . | $2561$ | $2 \square$ |  |  |
| Bed board.. . . . . . . . . . . . . . . . . . . . | $257$ | $2 \square$ |  |  |

\begin{tabular}{|c|c|}
\hline 7a. At the present time, does your back or neck condition restrict your physical activity very little, quite a bit, or a whole lot? \& $258 \quad 1$ Very little

Quite a bit

A whole lot <br>

\hline b. Have you ever had to stay in bed at home for long periods of time because of your back or neck trouble? \& | (259) Yes |
| :--- |
| 2 $\square$ No | <br>


\hline c. Have you ever stayed overnight in a hospital because of back or neck problems? \& | (260) 1 Yes |
| :--- |
| 2 $\square$ No | <br>


\hline 8. With respect to your back or neck trouble, would you say your condition is mild, moderate, or severe? \& | (261) 1 Mild |
| :--- |
| 2 Moderate |
| 3 Severe | <br>


\hline 9a. At any time during the past year did your back or neck trouble cause you to cut down on the things you usually do? \& | (26) 1 $\square$ Yes |
| :--- |
| 2 $\square$ No - SKIP to 10 | <br>


\hline b. During the past year, about how many days did you cut down on your activity? \& | (263) $\qquad$ Days |
| :--- |
| 000 $\square$ None - SKIP to 10 | <br>

\hline c. During the past year, about how many days did your condition keep you from work or school, not counting work around the house? \& $$
\begin{array}{ll}
0^{264} & - \\
& -\quad \square \text { None }
\end{array}
$$ <br>

\hline d. During the past year about how many days did your condition limit the kind or amount of work around the house you could do? \& (265) $\qquad$ Days
$\square$ None-SKIPtol 0 <br>

\hline e. During the past year, about how many days has this condition kept you in bed all or most of the day? \& | (266) |
| :--- |
| Days |
| 000 None | <br>

\hline 1Oa. Have you ever had pain, swelling, or stiffness in your back or neck as the result of an accident or injury? \& (267)

$$
\begin{aligned}
& 1 \square \text { Yes - back } \\
& 2 \square \text { Yes - neck } \\
& { }_{2} \square \text { Yes - both } \\
& 4 \square \text { No - SKIP to II }
\end{aligned}
$$ <br>

\hline b. Do you think the accident or injury is the cause of any pain, swelling, or stiffness which might still be present? \& | 128 |
| :--- |
| 1 Yes |
| 2 $\square$ No |
| 9 Don't know | <br>

\hline
\end{tabular}






| 16a. Have you ever had a job which placed frequent stress or strain on your back? <br> $363 \quad 1$ $\square$ Yes <br> $2 \square$ $\square \mathrm{No}$-SK P to 17 |  |
| :---: | :---: |
| b. Hov'ong did you work at that kind of job? | $!$ |
|  |  |
| 17. Has a doctor ever told you that you had mononucleosis? | (366) 1 $\square$ Yes <br> 2 No |
| 18a. Have you ever had yellow jaundice which caused your skin or eyes to turn yellow? |  |
| b. When thi s happened, did your u:ine become darker? | (368) $\square$ Yes <br> 2 $\square$ No |
| c. Did your stools become lighter in color? | (369) 1 $\square$ Yes <br> 2 No |
| d. Did your skin remain yellow for a month or longer? | (370) 1 Yes <br> 2 No |
| e. Have you had yellow jaundice more than once? | (371) 1 $\square$ Yes <br> 2 No - SKIP to $\boldsymbol{g}$ |
| f. How many times did you have it? | 372 $\qquad$ Times |
| g. As far as you know, hove you ever been in contact with a person who may have had yellow jaundice? | (373) 1 $\square$ 4m, <br> 2 $\square$ No 9 $\square$ Don't know |
| 19. Have you ever had an operation for a hernia not including hiatus hernia of the diaphragm? | (374) 1 $\square$ Yes <br> 2 $\square$ No |
| 20. How many times have you used or had any contact with carbon tetrochloride? <br> (Used, for example, in dry cleaning) | (375) 0 None <br> 1 Once <br> 2 2-4 times <br> 3 $\square$ 5-9 times <br> 4 10 or more times <br> 9 Don't know |




| 27a. Have you ever noticed that your urine was a different color than the usual yellow? (FOR WOMEN - other than at the time of your period) | (400) 1 D al. <br> $2 \square$ No - SKIPto 28 |
| :---: | :---: |
| b. How many different times has this happened? | (401) 1 $\square$ Once SKIP to e <br> ${ }^{3}$ |
| c. How old were you when it happened? | (402) Years old |
| d. How long did the change in color last? |  |
| e. How old were you when it FIRST happened? | (404) $\qquad$ Years old |
| f. How long ago did it last happen? | (405) $\qquad$ Years ago |
| g. How long did the change in color last that time? | f06 o $\square$ Less than one day <br> - Days |
| h. Did you see a doctor about it? | $4871 \square m$. <br> ${ }^{2}$ $\square$ No - SKIP to 28 |
| i. What did the doctor say the problem was? $\qquad$ |  |
| 28. Do you have trouble with your bowels which makes you constipated or gives you diarrhea? |  |
| 29a. Have your bowel movements ever been white, gray, dark black, or streaked with blood? | (409) 1 D 竞! <br> $2 \square$ No - SKIP to Question 30a |
| b. Which was it? | Yes |
| White. | (410) <br> $2 \square$ |
| Gray . . . . . . . . . . . . . . . . . . . . . . | $i_{1}(41111$ $\square$ $2 \square$ |
| Dark black ..................... | (412), $\qquad$ $2 \square$ |
| Streaked with blood | (413) 1 $\square$ $\square$ |



| 320. Hove you token birth control pills during the past six months? |  |
| :---: | :---: |
| b. Are you taking them now? |  $\square$ Yes <br> 2 $\square$ No |
| 33a. Have you EVER been pregnant? | ```(436) 1 \(\square\) Yes - Ask b \\ \(2 \square\) No-END OFQUESTIONNAIRE``` |
| b. What is the totol number of pregnancies you hove had? | 437 $\qquad$ |
| c. What is the totol number of miscarriages you hove had? | (438) $\qquad$ Number |
| d. What is the total number of live births you have hod? | 439 $\qquad$ Number |
| e. Are you pregnant now? | $\begin{aligned} & \text { (440) } \left.\begin{array}{l} 1 \text { Yes - Ask f } \\ 2 \square \text { No } \\ 9 \square \text { Don't know } \end{array}\right\} \text { SKIP to } 8 \end{aligned}$ |
| f. Which month of pregnancy are you in? | $\qquad$ |
| g. Have you had a pregnancy which ended within the last twelve months? | (442) 1 Yes-Askh <br> 2 $\square$ No - END OF QUESTIONNAIRE |
| h. How many months ago did that pregnancy end? | (443) 1 $\square$ 10-12 months ago <br> 2 7-9 months ago <br> 3 $\square$ 4-6 months ago <br> 4 $\square$ $0-3$ monthsago |
| i. Are you breast feeding? | $\begin{aligned} & \text { (444) } \square \text { Yes } \\ & 2 \square \text { No } \end{aligned}$ |
|  | STIONNAIRE |
| Notes |  |

Dietary-24 Hour Recall and Dietary Frequency



| FORM HRA-11-3 | Form Approved; O.M.B. No. 68-R1 502 |
| :---: | :---: |
| public health service <br> MEALTHRESO.JRCES ADMINISTRATIONTICS NATIONAL CENTER FOR HEALTH STATISTICS <br> HEALTH AND NUTRITION EXAMINATION SURVEY II DIETARY SUPPLEMENT AGES 12-74 | NOTICE - All information which would permit identification of the individual will be held in strict confidence, will be used only by persons engaged in and for the purposes of the survey and will not be disclosed or released to others for any purpose. |
| a. Deck number <br> (1-3) <br> b. Age <br> 313 <br> (4-5) | c. Sample number (6-10) |
| This section of the examination contains questions about diets, medicines and problems you might have that can affect your nutrition: For each question check the answer box which best adolies to you. |  |
| lo. Are you on a special diet? | (11) $1 \square$ YES $\quad 2 \square$ NO - SKIP to question $2 a$ |
| b. If "YES," is this diet - | YES NO |
| To lose weight? | (12) $\square$ |
| To gain weight? | (13) $\square \square$ |
| For diabetes? | (1a) $\square \square$ |
| For kidney failure? | (15) $\square \square 2 \square$ |
| For ulcers? | (16) $\square \square$ |
|  | (17) $\square \square$ |
| For allergies? . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | (18) $\square \square$ |
| For heart trouble?. . . . . . . . . . . . . . . . . . . . . . . . . . . . | (19) $1 \square \square$ |
| For high blood pressure? | (20) $\square \square 2 \square$ |
| FEMALES ONLY - For pregancy? | (21), $\square \quad 2 \square$ |
| For ony other reason? <br> If "YES," give the reason | (22) $\square \square 2 \square$ |
| c. What kind of diet is it - |  |
|  | YES - NO |
| Low fat? | (23) $1 \square \quad 2 \square$ |
| Low protein? | (24) $1 \square$ |
| High protein? | (25) $1 \square$ |
| Low salt? | (26) $\square \square$ |
| Low carbohydrate? | (27) $1 \square$ |
| Low sugar? . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | (28) $1 \square \square$ |
| Low ca loriei . . . . . . . . . . . . . . $0+0.00000 \pm .00$ | (29) $\square \square$ |
| Low cholesterol? . . . . . . . . . . . . . . . . . . . . . . . . . . . . | (30) $1 \square$ |
| High calorie? . - ${ }^{\text {a }}$, | (31) $1 \square \square$ |
| Vegetarian with animal by-products (eggs, dairy, etc.)? . | (32) $1 \square$ |
| Vegetarian without onimol by-products? | (33) $1 \square$ |
| A blond diet? . . . . . . . . . . . . . . . . . . . . ..*...... | (34) $\square \square 2 \square$ |
| Some other type? <br> If "YES," describe the type of diet | (35) 1 $\square$ $2 \square$ |
|  |  |
| d. About how long have you been on this diet? Specify how many weeks, months, OR years | (36.37) $\qquad$ weeks |
|  | (38-39) $\qquad$ months <br> (40-41) $\qquad$ years |
| - . Was this diet prescribed by o doctor, o dietition, or o nurse? | (42) 1 $\square$ YES $\quad 2 \square$ NO |


| 2a. Have you token any of the following medicines or drugs within the PAST WEEK - |  | toke it during the last 24 hours? |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  | YES | NO | YES | NO |
| Diuretics or pills for woter loss? | (43) , $\square$ | $2 \square$ | (44) 1 El | $2 \square$ |
| Other medicines to lose weight except fluid pills?. | (45) , $\square$ | $2 \square$ | (46) $1 \square$ | $2 \square$ |
| Hormones? | (47) ! $\square$ | $2 \square$ | (48) $1 \square$ | $2 \square$ |
| Steroids? | (49) , $\square$ | $2 \square$ | (50) $\square^{\square}$ | $2 \square$ |
| FEMALES - Birth control pills? | $!(51), \square$ | $2 \square$ | (52) $\square$ | $2 \square$ |
| Dilantin, used to treat epilepsy or seizures? | $!(53), \square$ | $2 \square$ | (54) $1 \square$ | $2 \square$ |
| Medicine for lowering cholesterol? | (55) $1 \square$ | $2 \square$ | (56) $1 \square$ | $2 \square$ |
| Antihistamines (cold or hayfever pills)? | (57) $1 \square$ | $2 \square$ | (58) $1 \square$ | $2 \square$ |
| INH (Isoniazide, a drug used for TB treatment and prophylaxis)? | $i(59) 1$ | $2 \square$ | (60) $\square \square$ | $2 \square$ |
|  |  |  |  |  |

- IF YOU ARE 19 YEARS OLD OR YOUNGER YOU HAVE FINISHED THE FORM. THANK YOU FOR YOUR YOUR COOPERATION.
- IF YOU ARE 20 YEARS OLD OR OLDER, PLEASE ANSWER QUESTIONS 3 AND 4.

| 3. Do any of the following problems FREQUENTLY prevent <br> you from obtaining the groceries you need? <br> Lack of transportation <br> Lack of enough money <br> A health problem - Specify $\qquad$ <br> Any other problem - Specify $\qquad$ | YES <br> (61) 1 $\square$ <br> (62) 1 $\square$ <br> (63) 1 $\square$ <br> (64) 1 $\square$ | NO <br> 2 <br> $2 \square$ <br> $2 \square$ <br> $2 \square$ |
| :---: | :---: | :---: |
| 4. Do you FREQUENTLY have - <br> Trouble swallowing your food? <br> Pain or discomfort in your rtomoch ofter oting? <br> Spells of vomiting? $\qquad$ <br> Spells of nausea? $\qquad$ <br> Loss of appetite? |  YES  <br> $(65)$ 1  <br> $(66)$ $\square$  <br> 1 $\square$  <br> $1(67)$ $\square$  <br> $(68)$ 1 $\square$ <br> $(69)$ 1 $\square$ | NO <br> 2 $\square$ <br> 2 $\square$ <br> 2 $\square$ <br> 2 $\square$ <br> 2 $\square$ |
| Form completed by - | $\begin{array}{ll} \hline & 1 \\ 1 & 2 \square \\ 1 & \square \\ \hline \end{array}$ | wer - Specify name |

## Medications/Vitamin Usage



## Behavior Questionnaire, Ages 25-74 Years



| 10. When you are in the midst of doing a job and |
| :--- | :--- | :--- | :--- |
| someone (not your boss) interrupts you, how do |
| you usually feel inside? |




## Body Measurements




Audiometry (Air), Ages 4-19 Years


## Allergy Testing






| $\underset{(3.24-76)}{\text { FORM HRA-12-4 }}$ |  |  | Form Approved <br> O.M. B. No. $68-\mathrm{R} \mid 502$ |  |
| :---: | :---: | :---: | :---: | :---: |
|  |  | ALTH SERVICE <br> COS ADMINISTRAT JN <br> HOLOGY TEST <br> ES 4-6) <br> EXAMINATION SURVEY II | NOTICE - All information which would permit identification of the individual will be held in strict confidence, will be used only by persons engaged in and for the purposes of the survey, and will not be disclosed or released to others for any purpose. |  |
| cl. Deck No. 308 | b. Examiner No. | c. Examiner name | d. Age | e. Sex $\square$ Male /-'J Female |
| f. NOTE - Hearing aid should be worn during test if examinee normally wears one. <br> (102) 1 Hearing aid worn <br> 2 $\square$ Does not wear hearing aid |  |  |  |  |
| g. SPEECH PATHOLOGY TEST <br> READ - "(Now we're going to play a game.) I'm going to play some words and sentences on this machine. You say just what the machine says. Let's practice. 'Hello' (Hello.) 'I'm fine, thank you.' (l'm fine, thank you.) 'Is it raining?' (Is it raining?) Good. Let's go on." <br> Note - Sentences may be repeated once. |  |  |  |  |
| 1. Let's talk together. <br> 2. I like you. <br> 3. Robert found a shiny penny. <br> 4. He wants to wash himself. <br> 5. Someone burned a hole in the lug. <br> 6. Why didn't they tell another story? <br> 7. She put the cover on the jar very tightly. <br> 8. There's no reason for fighting with him. <br> 9. Is Ralph playing a different game? <br> 10. After Dad fixed my bike I rode around a lot. <br> 11. My aunt who fell couldn't walk. <br> 12. Let him go to the store because we need some milk. <br> 13. Where wi II they sing for the chi Idren? <br> 14. If you eat too much candy, you'll be sick. <br> 15. We thought the baby knew how to say thank you. <br> 16. Joe must have bought three oranges. <br> 17. It's not for me but I would 【ike to look at it. |  |  |  |  |
| ft. Conditions affecting the test |  |  |  |  |
| Notes |  |  |  |  |
|  |  |  | Sample number |  |




| H. CHEST EVALUATION - <br> If findings, mork applicable If no findings, SKIP to H 6 . <br> 1. Beading of ribs $\qquad$ <br> 2. Follicular hyperkeratosis of <br> 2. Wheezing on auscultation <br> a. Diffuse $\qquad$ <br> b. Focal $\qquad$ <br> 4. Decreased breath sounds (di <br> 5. Masses (Breast) $\qquad$ | and contin <br> pper back. $\qquad$ $\qquad$ <br> use) $\qquad$ | with I. |  | (198) $\square$ <br> $\square$ <br> $2 \square$ <br> Yes <br> (199) 1 $\square$ <br> (200) 1 $\square$ <br> (201), $\square$ <br> (202) $\square$ <br> (203) $\square$ <br> (204) $\square$ <br> 2 <br> 3 | indings <br> 0 findings <br> ght <br> :ft <br> oth |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 6. Auscultation | Right chest Upper lobe | Dimin. brth. sounds | $\begin{gathered} \text { Absent } \\ \text { b.s. } \end{gathered}$ | $\begin{gathered} \text { Bronchial } \\ \text { b.s. } \end{gathered}$ | Rales | Rhonchi | Wheeze |
| (205) No findings - <br> 2 Findings $\qquad$ |  | (206) $\square \square$ | $2 \square$ | (207) $\square$ | (208) $\square$ | (209) | (210) $\square$ |
|  | Middle lobe | (211) $1 \square$ | $2 \square$ | (212) $\square$ | (213) $1 \square$ | (214) 1 | (215) $1 \square$ |
|  | Lower lobe | (216) $\square \square$ | $2 \square$ | (21) | (218) 1 | (219) | (220) $\square$ |
|  | Left chest Upper lobe | (22) $\square \square$ | $2 \square$ | (222) $\square$ | (223) $\square$ | (224) $\quad \square$ | (225) $\square$ |
|  | Lower lobe | (226) $\square$ | $2 \square$ | (2) $7 \times$ | (228) $\square$ | (229) $\square$ | (230) , $\square$ |
| 7. Other chest findings <br> (231, $\square$ None 2 $\qquad$ Findings |  |  |  |  |  |  |  |
| Notes |  |  |  |  |  |  |  |







U.S. DEPARTMENT OF COMMERCE
ACTING ASEAUOF THE CENSUS
U.S. PUBLIC HEANGAGENT FOR THE


INTERVI WER
INFORMATION
CARD BOOKLET

## HZOLTH EXOMINOTION SURVEY

EXPLANATION
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\end{tabular} <br> \section*{Explanation of <br> \section*{Explanation of <br> <br> the Health Examination Survey} <br> <br> the Health Examination Survey}

The basic purpose of the Health Examination Survey is to obtain a complete picture of the health and health needs of the Nation．In such a survey，data are collected by examining and testing a selected Sasal pue suongeu！mexa yons suosıad fo ajdures
 interviews or from medical records．The exami－
 pasouse！pun moqe osje inq suont！puos pasouse！p conditions of which people are not aware．In addition，information about family nutrition and
 such as height，weight，visual acuity，blood pressure and cholesterol can be obtained．Such data are
 is normal can the abnormal be defined．

Data are compiled for use by Federal，State，and local health departments，medical schools，research organizations，and other groups or individuals．

The Bureau of the Census is conducting the HES
 of the urgent need for up－to－date statistics on the


 used only to prepare statistical summaries．Partici－
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 completeness and accuracy of the data． addition，information

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## National Origin or Ancestry

## 01 Countries of Central or South America

02 Chicano
03 Cuban
04 Mexican
05 Mexicano
06 Mexi can -American
07 Puerto Rican
08 Other Spanish

09 Other European, such as German, Irish, English, French
10 Black, Negro, or Afro-American
I I American Indian or Alaskan Native
12 Asian or Pacific Islander, such as Chinese, Japanese, Korean, Philippino, Samoan Korean, Philippino, Samoan
TARJETA I - INGRESOS


## CARD I

Which of these income groups represents your total combined family income for the PAST 12 MONTHS? Under $\$ 1,000$ (including loss) . . Group A $\$ 1,000-\$ 1,999 \ldots . . . . . .$. Group B
$\$ 2,000-\$ 2,999 \ldots . . . . . .$. Group C
$\$ 3,000-\$ 3,999 \ldots . . . . . .$. Group D \$ 4,000-\$4,999. . . . . . . . . . Group E \$ 5,000-\$5,999. . . . . . . . . Group F \$ 6,000 - \$ 6,999 . . . . . . . . . . Group G \$ 7,000-\$ 9,999. . . . . . . . . . Group H $\$ 10,000-\$ 14,999 . . . . . . . . . .$. Group I \$15,000 - \$19,999. . . . . . . . . . Group J $\$ 20,000-\$ 24,999 . . . . . . . . .$. Group K \$25,000 and over. . . . . . . . . . . Group L

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## Vital and Health Statistics series descriptions

SERIES 1. Programs and Collection Procedures.-Reports describing the general programs of the National Center for Health Statistics and its offices and divisions and the data collection methods used. They also include definitions and other material necessary for understanding the data.

SERIES 2. Data Evaluation and Methods Research.-Studies of new statistical methodology including experimental tests of new survey methods, studies of vital statistics collection methods, new analytical techniques, objective evaluations of reliability of collected data, and contributions to statistical theory.

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[^0]:    FORM-HES-6 (9-26-77)

