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### Exhibit

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1.1 Background

Head and neck squamous cell carcinoma (HNSCC) is a major cause of morbidity and mortality worldwide, with more than 560,000 new cancers diagnosed annually (10). In 2007, 42,000 Americans were diagnosed with HNSCC (8). HNSCC are etiologically heterogeneous, with one major subset attributable to tobacco, alcohol use, and poor oral hygiene, and another to oral, high-risk, human papillomavirus (HPV) infection (4). HNSCC associated with HPV, overwhelmingly (~90-95%) type PHV 12, arises predominantly from the lingual and palatine tonsils of the oropharynx (5), have risk factors related to sexual behavior and marijuana use (4), and occur four times more frequently among men than women in the United States (1). According to the Surveillance Epidemiology and End Results (SEER) data, incidence rates of HPV-related oral cancers (e.g., base of tongue and tonsil) steadily increased from 1973 through 2004 in the U.S. (particularly among men under the age of 60 years), in contrast to a decline in incidence rates for oral cancers not associated with HPV(1).

Age-period-cohort analysis of incidence trends for HPV-related and unrelated HNSCC revealed a strong birth cohort effect, consistent with changes in sexual behavior, tobacco, and alcohol use over the last 50 years in the U.S. The annual number of HPV-associated HNSCC diagnosed in the U.S. from 1998-2003 prior to FDA approval of Gardasil in 2006 was second only to cervical cancer (~10,800 cervical versus ~5,600 HNSCC) and exceeded the number for all other HPV-associated noncervical cancers combined (13). Oral, high-risk HPV infection has been strongly and consistently associated with HNSCC in several case-control studies (2, 4, 12). Despite the strong and consistent association between oral HPV infection and a type of HNSCC that has nearly doubled in incidence in the U.S. over the last 30 years, very little is known about the epidemiology of oral HPV infection in the U.S. population or in any other population worldwide.

The overall goal of the research proposal is to perform the first population-based study of oral HPV infection to determine the prevalence and type distribution of infection, and investigate the demographic and behavioral factors associated with infection. It is proposed that oral sample collection be continued in NHANES 2015-2016 for participants aged 14 to 69 years.
The 2015-2016 HPV oral rinse component of NHANES is sponsored by Maura L. Gillison M.D., Ph.D., Division of Viral Oncology, Johns Hopkins Kimmel Cancer Center.

1.2 Overview of Exam

SPs aged 14 to 69 are eligible for the HPV rinse component. The HPV rinse will be conducted in the oral health (OH) room by the dental examiner. SPs will be asked to do a 30-second oral rinse and gargle with Scope mouthwash (or saline mouthwash). After the rinse, SPs aged 14-69 years will begin the oral health exam. The protocol and procedures will be described in detail in Chapter 3, Examination Protocol.
Equipment and Supplies

The equipment and supplies needed to conduct the HPV rinse are described below:

**Equipment**

Dymo printer: A Dymo printer will be in the OH room. It will be used to print the SP labels.

**Supplies**

Scope mouthwash: Travel size Scope mouthwash is used to rinse the mouth.

Saline solution: An alternative to Scope mouthwash, it will be used for SPs with open oral ulcers or in recovering alcoholics concerned about the alcohol content.

Medicine cup: A 2 oz sterile medicine cup used for pouring in the mouthwash.

Specimen container: A 4 oz sterile specimen container used for spitting the mouthwash in and for storing.

Dymo labels: Used to label the specimen container, the label will print with the 6-digit SP_ID with the 3-digit vessel number.

Gloves: The dental examiner will use a new pair of gloves before the exam begins.
3.1 Eligibility Criteria

SPs aged 14-69 years are eligible for the HPV rinse component. There are no exclusion questions for this exam.

3.2 Examination Procedures

This section describes the procedures to conduct the HPV rinse. Both the dental recorder and examiner log into ISIS for this exam; however, given the space constraint, the dental examiner will conduct the exam while the dental recorder waits in the hallway. When the SP enters the oral health room, the dental examiner explains the purpose of the HPV rinse by reading the script as follows:

“We are going to ask you to swish some Scope mouthwash around your mouth, gargle, and then spit the mouthwash into a cup to test for a virus some people have in their mouth called the human papillomavirus or HPV. First, you will rinse your mouth with the mouthwash for 5 seconds and then gargle for 5 seconds. You will do this three times and then spit the mouthwash into a cup. Do you have any questions about this test?”

The dental examiner assesses if the SP can use Scope by asking the following question:

“Do you have any sores in your mouth that might hurt when you use a mouthwash with some alcohol in it, such as Scope?”

If the SP responds “No” to this question, the examiner provides the instructions for sample collection. If the SP responds “Yes” to this question, the following question is asked:

“Can you use a salt water mouthwash instead?”
If the SP responds “No” to this question, the dental examiner will not be able to collect a sample and will code as Sample Not Collected. If the SP responds “Yes” to this question, the dental examiner proceeds with the sample collection instructions.

The procedures to collect the HPV sample are as follows:

**Preparation:**

1. Put on gloves.
2. Give the SP a paper towel or tissue (to wipe his or her mouth with afterwards).
3. Remove the specimen cup from the packaging (do not open the cup).
4. Open the Scope mouthwash.
5. Open the medicine cup making sure not to touch the rim of the cup.
6. Pour 10ml of the Scope mouthwash into the medicine cup.

**Specimen Collection:**

1. Hand the medicine cup with the mouthwash to the SP, making sure not to touch the rim.
2. Tell the SP to take the mouthwash and start swishing.
3. Immediately press the “Start” button on the ISIS screen and follow the prompts to tell the SP when to swish, gargle, and spit. The swish and gargle prompts will be highlighted every 5 seconds.
4. Prior to the end of the 30 seconds, open the specimen container and keep the top lid face down to avoid contamination.
5. After the 30 seconds when the “Spit” prompt is highlighted, hold the specimen cup for the SP and have him or her spit the sample into the cup (try not to let the SP touch the rim of the cup with his or her lips or fingers).
6. Close the specimen container properly to prevent any leakage.
7. Complete the remaining ISIS questions.
8. Place the SP label on the specimen collection container (an SP label will automatically be printed).
9. Take the labeled specimen to the lab after the SP has been released from the oral health component.

Exhibit 3.1. Data entry screen

3.3 End of Exam

At the end of the collection, the examiner records the following information regarding the collection:

- Sample collected?
- Did SP swish and gargle for 30 seconds?
- Did SP request saline solution?
The examiner records “Yes” or “No.” See Exhibit 3-1 above for the data entry screen. When the HPV rinse section status screen appears (Exhibit 3-2), ISIS will automatically print an SP label on the Dymo printer, and the dental examiner will place the label on the specimen container. The dental examiner ensures that the specimen container is tightly sealed and is responsible for transporting the sample to the MEC laboratory.

The SP will continue with the oral health exam and then be escorted to his or her next examination or the reception area.

**Exhibit 3-2. HPV rinse section status screen**

If the HPV assessment is not done, the reason must be recorded in ISIS. The following reasons are programmed into the ISIS system and appear at the status screen:

- SP refusal
- Safety exclusion
- SP ill/Emergency
- No time
- Physical limitation
- Equipment failure
- Communication problem
- Interrupted
- Language barrier
- Other, specify. A reason not programmed in the ISIS system requires a comment.

Exhibit 3-3 provides a screen shot of the reasons for Not Done.

**Exhibit 3-3. Reason for “Not Done”**
3.4 Report of Findings

The HPV rinse results are not reported to the survey participant. HPV testing to screen for oral cancers is currently not the standard of care.

3.5 Quality Control

The dental examiner will use a new pair of gloves before the exam begins.
References


