



National Death Index

Inclusion Criteria

The NDI edit program rejects any user records not containing **at least one** of the following combinations of data items:

1. First and Last Name and Social Security Number
2. First and Last Name and Month and Year of Birth
3. Social Security Number and Date of Birth and Sex

Matching Criteria

The NDI Retrieval program is used to search the NDI file to determine whether a particular NDI death record qualifies as a possible record match with a particular user record. To qualify as a possible match, both records must satisfy at least one of the 7 conditions or matching criteria listed below. The specified data items must agree on both records.

1. Social Security Number.
2. Exact Month and ± 1 Year of Birth, First and Last Name.
3. Exact Month and ± 1 Year of Birth, First and Middle Initials, Last Name.
4. Exact Month and Day of Birth, First and Last Name.
5. Exact Month and Day of Birth, First and Middle Initials, Last Name.
6. Exact Month and Year of Birth, First Name, Father's Surname.
7. If the subject is female: Exact Month and Year of Birth, First Name, Last Name (user's record) and Father's Surname (NDI record).

Note: All matches on Last Name and Father's Surname are performed on the basis of either exact spelling or New York State Identification and Intelligence System (NYSIIS) Phonetic Codes.

For more information on the National Death Index contact:

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Retrieval Report

Whenever a user record matches with one or more NDI records, a NDI Retrieval Report is generated. Presented below is a hypothetical example of a Retrieval Report which shows one user record matching with four NDI records.

Note: The NDI Plus service lists cause of death codes in a separate report.

EXHIBIT 6: NDI Retrieval REPORT (**REPORT**) All names and other data elements used in this exhibit are hypothetical.

NATIONAL DEATH INDEX RETRIEVAL REPORT

USER RECORD: (POSSIBLE MATCHES = 004) NDI APPL NO : Y3-X456 CONTROL NO: 0156 USER DATA: 050988																						
POSSIBLE DECEDENT NAME	FATHER'S SURNAME	S S N			BIRTH DATE	AGE	SEX	RACE	Marital Status	Res State	Birth State											
MO	DAY	YEAR																				
ALBERT N BRADLEY	-	0	7	8	3	6	3	4	8	5	01/27/1953	40	M	-	-	NC	LA					
POSSIBLE NDI RECORD MATCHES (IN RANKED ORDER)																						
STATE OF DEATH	CERTIFICATE NUMBER	DATE OF DEATH	FN	MI	LN	FATHER'S SURNAME	LN/FS	S S N			BIRTH MO	DATE DAY	+/- YEAR	AGE	SEX	RACE	Marital Status	Res State	Birth State	Status Code		
North Carolina	069943	09/18/1993	X	?	X	-	-	X	X	X	X	X	X	X	X	X	-	-	X	X	1	
Utah	130911	12/06/1999	X		N	-	-	-	X	X	-	-	-	-	X	X	-44		X	-	-	0
Maine	002151	01/23/2000	X	?	N	-	-	-	X	-	X	-	-	-	X	X	+01		X	-	-	0
California	173589	10/26/1985	X		N	-	-	-	-	-	-	X	-	-	X	X	+01		X	-	-	0

Column heading abbreviations

Abbreviation	Definition
LN/FS	The LAST NAME (LN) supplied on the user record is compared with the FATHER'S SURNAME (FS) on the NDI record.
SSN	Social Security Number

Abbreviation	Definition
Res State	State of residence
Birth State	State of birth

Symbols used within report

Symbols	Definition
X	User's data item matches exactly with the comparable data item on the NDI record.
Blank	Data item supplied by the user DOES NOT match the data item on the NDI record.
?	Insufficient information on the NDI record; data item was provided on the user record.
- (dash)	General usage: Data item NOT provided on user record; see exceptions on page 23 in the <i>NDI User's Guide</i> .
*	Asterisk in the left margin indicates that ALL data items provided on the user record match exactly with the related items on the NDI record.
N	Names match only New York State Identification and Intelligence System (NYSIIS) phonetic codes.
I	Only the first initials of the first names agreed on the user and NDI records.

Symbols	Definition
B	Middle initials were NOT provided on either the user record or the NDI record. This occurrence is treated as a match on middle initial and ASSUMES that the individual(s) did not have a middle name.
A	The NDI record is an ALIAS record (also referred to as an "Also Known As" or "AKA" record).
+01	The birth year on the NDI record is one year <u>more</u> than the birth year on the user record.
-01	The birth year on the NDI record is one year <u>less</u> than the birth year on the user record.
±02 through ±99	Difference between the years of birth on the NDI and user records. The four-digit birth year on the user record is always subtracted from the four-digit birth year on the NDI record.
>99	Difference between the BIRTH YEARS on the NDI record and the user record is greater than 99 years.