

NAMCS and NHAMCS Restricted Data Available at the NCHS Research Data Center

For researchers who want to use NAMCS and NHAMCS data, but need data items that are not available on the downloadable public use micro- data files, the Research Data Center (RDC) at the National Center for Health Statistics is an alternative. Researchers must submit a proposal which is reviewed by NCHS staff. Fees are charged for accessing the data. More information is available at <http://www.cdc.gov/rdc>.

What types of NAMCS and NHAMCS data can researchers get at the RDC? All of the publicly available data are contained on the restricted files, using the same layout as the downloadable public use files. But certain variables, which are masked on the downloadable files, are not masked on the restricted files, and a selection of confidential data items have been appended to the restricted files.

All of the restricted items on the basic (non-supplement) confidential files are listed below. If no year is listed, the item is available for all years from 1993 through the current year. Work is in progress to add masked design variables to the public use files for years prior to 1993; as this is accomplished, the restricted files will be enhanced as well.

National Ambulatory Medical Care Survey

In addition to the standard dataset, the restricted files include:

Non-masked design variables

CSTRAT, CPSU, POPCPSU, POPCPROV, SUBFILE, PROSTRAT, PROVIDER, DEPT, SUSTRAT, SU, CLINIC, POPSU, POPVIS

Note: Only CSTRAT AND CPSU are needed for variance estimation in most analyses, for example, with SUDAAN WR option, or SAS, SPSS, or Stata variance estimation based on an ultimate cluster model. For analyses with SUDAAN WOR option, or other full multi-stage models, the additional design variables are provided. If you are unsure which you need, please contact the Ambulatory and Hospital Care Statistics Branch for assistance.

National Ambulatory Medical Care Survey (cont.)

Physician practice variables

MULTI - Single or multi-specialty practice (2001-current)

NUMPHYR – Number of physicians in this practice (2001-current)

FGRAD – Did physician graduate from foreign medical school? (2001- current)

PYOB – Physician year of birth

PHYSEX – Physician sex

PHYRACE – Physician race (added to the restricted file starting in 2001, but not available 2008-2009 except for community health center physicians; collected for all physicians 2010-current)

SPEC – Physician's 3-digit alphanumeric specialty code (only available on the restricted file starting with the 2008 survey year; in previous years this variable was available on the public use file)

The following 12 variables were added to the restricted file starting with 2006 data but were not collected after 2008:

CTSCAN - Does practice have ability to perform CT scans on site?

CHEMO - Does practice have ability to perform chemotherapy on site?

COLONSC - Does practice have ability to perform colonoscopy on site?

EKGECG- Does practice have ability to perform EKG/ECG on site?

MAMMOPII - Does practice have ability to perform mammography on site?

MRIPII - Does practice have ability to perform MRI on site?

PETSCAN - Does practice have ability to perform PET scans on site?

RADITHR - Does practice have ability to perform radiation therapy on site? 3

SIGMOID - Does practice have ability to perform sigmoidoscopy on site?

SPIROM - Does practice have ability to perform spirometry on site?

ULTRSND - Does practice have ability to perform ultrasound on site?

XRAYPII - Does practice have ability to perform x-rays on site?

Visit variable

PASTVIS2 – Number of past visits in last 12 months (not capped) (2007-current)

Geographic variables

FIPSST - FIPS State Code (based on physician's practice ZIP code)

FIPSCNY - FIPS County Code (based on physician's practice ZIP code)

URBANRU – Nonmasked urban-rural classification of patient's ZIP code of residence, using the NCHS Urban-Rural Classification (2005-current)

Census variables – Not Available for 2011 and Beyond

The following five variables were added to the restricted file in 2002. Starting with survey year 2006, two of them, PBAMORE and HINCOME, were added to the public use file using percent groupings based on quartiles in the population rather than actual percents.

National Ambulatory Medical Care Survey (cont.)

PHSMORE - Percent of population 25 years and over in patient's ZIP code of residence

PBAMORE - Percent of population 25 years and over in patient's ZIP code of residence

PFOREIGN - Percent of population in patient's ZIP code that are foreign born

PNOTENGL - Percent of population in patient's ZIP code that do not speak English at all or very well.

HINCOME - Median household income in patient's ZIP code of residence

The following variable, first added to the restricted file in 2005, was added to the public use file as a grouped percent variable starting in 2006.

PCTPOV - Percent of population in patient's ZIP code below the poverty level (2005-current)

IMPORTANT NOTE: None of these variables are available on NAMCS files starting with survey year 2011 because the data used to construct them are no longer collected through the Decennial Census. They are now asked on the American Community Survey (ACS), but are not released annually at the ZIP code level. The ACS replaces the "long form" that previously was sent to a percentage of households once every 10 years. The ACS is a mandatory data collection which is sent to a small percentage of the U.S. population on a rotating basis. The relevant Census estimates for 2011 and 2012 NAMCS have only recently been published as part of a pooled 5-year estimate file. These estimates cover 2008-2012, but because of their sampling variances at the small area level, they are more difficult to use and interpret in conjunction with NAMCS data. For this reason, the decision was made to no longer include them on NAMCS files. Researchers may opt to bring their own Census files with them for merging if desired.

National Hospital Ambulatory Medical Care Survey Emergency department (ED) file

First, here is an important reminder when preparing an RDC proposal. One should consider the NHAMCS sampling design when conducting analysis on emergency and/or outpatient department data. Hospitals were sampled prior to the selection of emergency service areas and outpatient clinics within hospitals. There are some hospitals in the panel with only an emergency department or only an outpatient department. If records from one file are used alone, one could potentially miss the sampling clusters for hospitals that only appear in the other file, and they would not be considered for variance estimation. To avoid this, when doing analysis on either the emergency or outpatient department files, both files should be used for variance estimation purposes.

In SUDAAN, this is accomplished by reading in the dataset of interest (for example, the emergency department file) along the corresponding design variables for the other dataset (the outpatient department file). The SUBPOPX or SUBPOPX statement can be

National Hospital Ambulatory Medical Care Survey Emergency department (ED) file (cont.)

used within the SUDAAN procedure to subset only the records of interest and obtain the most correct variance estimation. Records should never be dropped from the file prior to analysis, for the same reason.

In SAS, the same thing can be accomplished in PROC SURVEYMEANS or PROC SURVEYFREQ through the use of domain analysis, being sure to include the NOMCAR option to avoid dropping records with missing values for variance estimation. For more information, contact the Ambulatory and Hospital Care Statistics Branch at 301-458-4600.

Non-masked design variables

CSTRAT, CPSU, POPCPSU, POPCPROV, SUBFILE, PROSTRAT, PROVIDER, DEPT, SUSTRAT, SU, CLINIC, POPSU, POPVIS

Note: Only CSTRAT AND CPSU are needed for variance estimation in most analyses, for example, with SUDAAN WR option, or SAS, SPSS, or Stata variance estimation based on an ultimate cluster model. For analyses with SUDAAN WOR option, or other full multi-stage models, the additional design variables are provided. If you are unsure which you need, please contact the Ambulatory and Hospital Care Statistics Branch for assistance.

Hospital/ED characteristics

Type of emergency service area (2001-current)
Annual emergency department visit volume (2001-current)
Medical school affiliation (yes/no) (2001-current)

Geographic variables

FIPSST - FIPS State Code (based on hospital ZIP code)
FIPSCNY - FIPS County Code (based on hospital ZIP code)
URBANRU – Nonmasked urban-rural classification of patient's ZIP code of residence, using the NCHS Urban-Rural Classification (2005-current)

Census variables – Not Available for 2011 and Beyond

The following five variables were added to the restricted file in 2002. Starting with survey year 2006, two of them, PBAMORE and HINCOME, were added to the public use file using percent groupings based on quartiles in the population rather than actual percents.

PHSMORE - Percent of population 25 years and over in patient's ZIP code of residence
PBAMORE - Percent of population 25 years and over in patient's ZIP code of residence
PFOREIGN - Percent of population in patient's ZIP code that are foreign born

National Hospital Ambulatory Medical Care Survey Emergency department (ED) file (cont.)

PNOTENGL - Percent of population in patient's ZIP code that do not speak English at all or very well.

HINCOME - Median household income in patient's ZIP code of residence

The following variable, first added to the restricted file in 2005, was added to the public use file as a grouped percent variable starting in 2006.

PCTPOV - Percent of population in patient's ZIP code below the poverty level (2005-current)

IMPORTANT NOTE: None of these variables are available on NHAMCS files starting with survey year 2011 because the data used to construct them are no longer collected through the Decennial Census. They are now asked on the American Community Survey (ACS), but are not released annually at the ZIP code level. The ACS replaces the "long form" that previously was sent to a percentage of households once every 10 years. The ACS is a mandatory data collection which is sent to a small percentage of the U.S. population on a rotating basis. The relevant Census estimates for 2011 and 2012 NHAMCS have only recently been published as part of a pooled 5-year estimate file. These estimates cover 2008-2012, but because of their sampling variances at the small area level, they are more difficult to use and interpret in conjunction with NHAMCS data. For this reason, the decision was made to no longer include them on NHAMCS files. Researchers may opt to bring their own Census files with them for merging if desired.

National Hospital Ambulatory Medical Care Survey Outpatient department (OPD) file

Non-masked design variables

CSTRAT, CPSU, POPCPSU, POPCPOV, SUBFILE, PROSTRAT, PROVIDER, DEPT, SUSTRAT, SU, CLINIC, POPSU, POPVIS

Note: Only CSTRAT AND CPSU are needed for variance estimation in most analyses, for example, with SUDAAN WR option, or SAS, SPSS, or Stata variance estimation based on an ultimate cluster model. For analyses with SUDAAN WOR option, or other full multi-stage models, the additional design variables are provided. If you are unsure which you need, please contact the Ambulatory and Hospital Care Statistics Branch for assistance.

National Hospital Ambulatory Medical Care Survey Outpatient department (OPD) file (cont.)

Hospital characteristics

Medical school affiliation (yes/no) (2001-current)

Geographic variables

FIPSST - FIPS State Code (based on hospital ZIP code)

FIPSCNY - FIPS County Code (based on hospital ZIP code)

URBANRU – Nonmasked urban-rural classification of patient’s ZIP code of residence, using the NCHS Urban-Rural Classification (2005-current)

Census variables – Not Available for 2011 and Beyond

The following five variables were added to the restricted file in 2002. Starting with survey year 2006, two of them, PBAMORE and HINCOME, were added to the public use file using percent groupings based on quartiles in the population rather than actual percents.

PHSMORE - Percent of population 25 years and over in patient’s ZIP code of residence

PBAMORE - Percent of population 25 years and over in patient’s ZIP code of residence

PFOREIGN - Percent of population in patient’s ZIP code that are foreign born

PNOTENGL - Percent of population in patient’s ZIP code that do not speak English at all or very well.

HINCOME - Median household income in patient’s ZIP code of residence

The following variable, first added to the restricted file in 2005, was added to the public use file as a grouped percent variable starting in 2006.

PCTPOV - Percent of population in patient's ZIP code below the poverty level (2005-current)

IMPORTANT NOTE: None of these variables are available on NHAMCS files starting with survey year 2011 because the data used to construct them are no longer collected through the Decennial Census. They are now asked on the American Community Survey (ACS), but are not released annually at the ZIP code level. The ACS replaces the “long form” that previously was sent to a percentage of households once every 10 years. The ACS is a mandatory data collection which is sent to a small percentage of the U.S. population on a rotating basis. The relevant Census estimates for 2011 and 2012 NHAMCS have only recently been published as part of a pooled 5-year estimate file. These estimates cover 2008-2012, but because of their sampling variances at the small area level, they are more difficult to use and interpret in conjunction with NHAMCS data. For this reason, the decision was made to no longer include them on NHAMCS files. Researchers may opt to bring their own Census files with them for merging if desired.

National Hospital Ambulatory Medical Care Survey 2010 Ambulatory Surgery (AS) file

Ambulatory surgery data covers surgical and nonsurgical procedures, which are non-emergency, are scheduled in advance, and are delivered in hospitals and in ambulatory surgery centers (ASCs). Patients generally return home the same day without an inpatient admission.

First, here is an important reminder when preparing an RDC proposal. One should consider the NHAMCS sampling design when conducting analysis on ambulatory surgery, emergency department and/or outpatient department data. Hospitals were sampled prior to the selection of ambulatory surgery locations, emergency service areas and outpatient clinics within hospitals. There are some hospitals in the panel which did not provide data from all three of these settings. If records from one file are used alone, one could potentially miss the sampling clusters for hospitals that only appear in the other files, and they would not be considered for variance estimation. To avoid this, when doing analysis on the ambulatory surgery, emergency department or outpatient files, files from all three settings should be used for variance estimation purposes.

In SUDAAN, this is accomplished by reading in the dataset of interest (for example, the ambulatory surgery file) along with the corresponding design variables for the other datasets (that is, the emergency department and outpatient department files). The SUBPOPN or SUBPOPX statement can be used within the SUDAAN procedure to subset only the records of interest and obtain the most correct variance estimation. Records should never be dropped from the file prior to analysis, for the same reason.

In SAS, the same thing can be accomplished in PROC SURVEYMEANS or PROC SURVEYFREQ through the use of domain analysis, being sure to include the NOMCAR option to avoid dropping records with missing values for variance estimation. For more information, contact the Ambulatory and Hospital Care Statistics Branch at 301-458-4600.

Non-masked design variables

CSTRAT, CPSU, POPCPSU, POPCPROV, SUBFILE, PROSTRAT, PROVIDE, DEPT, SUSTRAT, SU, CLINIC, POPSU, POPVIS

Note: Only CSTRAT and CPSU are needed for variance estimation in most analyses, for example, with SUDAAN WR option, or SAS, SPSS, or Stata variance estimation based on an ultimate cluster model. For analyses with SUDAAN WOR option, or other full multi-stage models, the additional design variables are provided. If you are unsure which you need, please contact the Ambulatory and Hospital Care Statistics Branch for assistance.

National Hospital Ambulatory Medical Care Survey 2010 Ambulatory Surgery (AS) file (cont.)

Hospital/ASC characteristics

Whether ambulatory surgery data are from a hospital or from an ASC

Type of AS location within a hospital, or type of ASC:

General, multispecialty, gastrointestinal (GI), ophthalmological, orthopedic, pain block, plastic surgery, or other

Ownership – Non-profit, state or local government, proprietary, blank

Geographic variables

FIPSST – FIPS State Code (based on hospital/ASC ZIP code)

FIPSCNY – FIPS County Code (based on hospital/ASC ZIP code)

URBANRU – Nonmasked Urban-Rural Classification of patient's ZIP code of residence, using the NCHS Urban-Rural Classification

Census variables

PHSMORE - Percent of population 25 years and over in patient's ZIP code of residence

PBAMORE - Percent of population 25 years and over in patient's ZIP code of residence

PFOREIGN - Percent of population in patient's ZIP code that are foreign born

PNOTENGL - Percent of population in patient's ZIP code that do not speak English at all or very well.

HINCOME - Median household income in patient's ZIP code of residence

PCTPOV - Percent of population in patient's ZIP code below the poverty level

Additional items

See link below for data items gathered in the NHAMCS AS component.

http://www.cdc.gov/nchs/ahcd/ahcd_survey_instruments.htm#nhamcs

For information on additional data items gathered as part of the NHAMCS AS component which might be available see the IMPORTANT NOTES section below.

AMBULATORY SURGERY DATA COMPARISONS ACROSS YEARS

There are a number of reasons to use caution when comparing NHAMCS AS data with the 2006 data from the National Survey of Ambulatory Surgery (NSAS). Included among these are (1) these surveys had different data collection methods and different data items in their survey instruments, (2) it is possible that there was undercounting of ambulatory surgery procedures in hospitals in NHAMCS, (3) there were numerous major changes in the financing, organization, and delivery of AS services in hospitals, ASCs, and in physicians' offices during the time period from 2006 to 2010 which would make interpretation of changes in the data very difficult. Details about these issues

National Hospital Ambulatory Medical Care Survey 2010 Ambulatory Surgery (AS) file (cont.)

can be found in a presentation by Iris Shimizu, the mathematical statistician for both surveys, entitled "Sampling design for the 2010-12 National Hospital Ambulatory Medical Care Survey". Proceedings from the 2011 JSM Annual Meeting. Survey Research Section. Available at

http://www.amstat.org/sections/srms/proceedings/y2011/Files/300754_65586.pdf

Also a presentation by Margaret Jean Hall entitled "The challenges of gathering and interpreting national data on ambulatory surgery over time" presented at the 2013 JSM Annual Meeting is important to review. A summary of this presentation is available online at

<http://www.amstat.org/meetings/jsm/2013/onlineprogram/AbstractDetails.cfm?abstractid=308282>

The complete presentation can be obtained from the author by calling 301-458-4321.

IMPORTANT NOTES FOR NAMCS AND NHAMCS

For some hospitals in NHAMCS, masking is sometimes used for a relatively small number of records on the public use file for confidentiality purposes involving the variables of race, ethnicity, hospital ownership, metropolitan statistical area status, and selected electronic medical records items. The restricted files include non-masked versions of these variables. Typically, NAMCS data do not require as much masking as NHAMCS data, but, should they be masked, restricted files would include non-masked versions of these items as well.

Although some of the variables listed above were only added to the file in a specified year, they may have been collected prior to that time and could be added to a research file by special request.

In addition to the variables listed above, it may be possible to prepare a customized file that includes additional items collected as part of the physician or hospital induction interview. Such work would be subject to data availability and staff resources and would entail additional fees.

Data users who wish to get a better idea of the questions that might be available can see the survey instruments here:

http://www.cdc.gov/nchs/ahcd/ahcd_survey_instruments.htm#namcs

http://www.cdc.gov/nchs/ahcd/ahcd_survey_instruments.htm#nhamcs

ADDITIONAL FILES

In addition to the standard RDC data files based on data collected on the Patient Records (the main survey questionnaire) and the induction interviews, special supplements conducted in various years may also be accessed, including the NHAMCS Bioterrorism and Mass Casualty Preparedness Supplement, the Emergency Pediatric Equipment and Surveillance Supplement, and the Staffing and Capacity and Ambulance Diversion Supplement.

Other supplement data include the NAMCS Electronic Medical Records (EMR) Supplement (2008-2011), renamed as the National Electronic Health Records Survey (NEHRS) (currently available for 2012 and 2013), the 2008 NHAMCS Pandemic and Emergency Response Preparedness Supplement, and the NAMCS Physician Workflow Supplement.

The NAMCS EMR supplement, which began in 2008, is a mail survey designed to collect information on the use of EMR systems and the availability of specific computerized functionalities in physician offices. In 2008 and 2009, the mail survey shared the same sampling files as the in-person NAMCS. Starting in 2010, the EMR mail survey sample was selected from the 50 states and the District of Columbia to provide state-level estimates. Data from the mail survey are available on one file, and a second file is available which combines data from both the mail survey and the in-person NAMCS. The EMR supplement became the National Electronic Health Records Survey starting in 2012.

The 2008 NHAMCS Pandemic and Emergency Response Preparedness Supplement was added to the 2008 NHAMCS. Information was obtained on the content of the hospital's emergency response plan, staff training, participation in mass casualty drills, and the hospital's resources and capabilities.

Data from the 2011, 2012, and 2013 NAMCS Physician Workflow Supplement are also available in the RDC. This survey was a follow-up data collection initiative sponsored by the Office of the National Coordinator for Health Information Technology (ONC) to provide a better understanding of physician experiences with adoption and use of electronic health records.

For more information about NAMCS and NHAMCS restricted or public use data files, please contact Susan Schappert at 301-458-4480 (SSchappert@cdc.gov).

For more information about the National Electronic Health Records Survey and the NAMCS Physician Workflow Supplement, please contact Eric Jamoom at 301-458-4798 (EJamoom@cdc.gov).

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