State Case ID:	Lab ID:	NNDSS ID:	

# Mumps Outbreak Case/Contact Investigation Form

Patient Name (Last, First):	Date Reported to Health Department://
Date Investigation Began://	NNDSS Entry Date:
Interviewer Name:	Interviewer Phone/Email:
Interview/Call Log:         Date:       Response:       Left Message       Busy       Wrong#         Date:       Response:       Left Message       Busy       Wrong#	·
Date: Response:  □Left Message □Busy □Wrong# □	Completed Other: Interviewer Initials:

Working Case Status: a) Date: Notes:	Status:	□ Suspected □ Probable □Confirmed □Ruled Out
b) <b>Date:</b> Notes:	Status:	□ Suspected □ Probable □Confirmed □Ruled Out
c) <b>Date:</b> Notes:	Status:	□ Suspected □ Probable □ Confirmed □ Ruled Out

General Notes:	 	 	

Final Investigative Findings
Final Patient Status:   Ruled out  Confirmed  Probable  Suspect  Unknown
Case Outbreak Related?  Ves No Unknown If yes, outbreak ID:
Import Status:  International Importation  U.S. Acquired

#### Note to Interviewer:

- Prior to beginning the interview, fill in the introductory script at the top of page #2 and the questions/sections in blue/bold throughout the form.
- The questions in **blue/bold** should not be asked during the interview.
- Say the scripted text that is in italics throughout the form to introduce the different sections.
- Use Mr., Mrs., or Ms. Last Name; preferable not to use their first name
- If they are still a suspect case pending results, do not say they have mumps when leaving a message or on the phone
- If the patient is not the interviewee, replace 'your' with the patient's name throughout the interview

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	Pre-Fill Questions	
P1. Did the patient die?		🗆 Yes 🗆 No 🗖 Unknown
a. What was the date of death?		//
b. Notes to include:		
P2. If source case of this patient is knows specific source Case ID:	own (i.e., if there is a known direct epid	emiological link), please add the
	sles/Mumps/Rubella (MMR) vaccine be	fore the outbreak began?
a. How many doses (If never reco	eived mumps-containing vaccine, put 0)	?
a.1. If not vaccinated, reason:	Religious exemption	Medical contraindication
	Philosophical objection	□ Lab evidence of previous disease
	☐ MD diagnosis of previous disease	□ Underage for vaccination
	Parental refusal	□ Other □ Unknown
b. What is the last known date(s	) the mumps-containing vaccine was giv	ven?//
P4. Did the patient receive an outbrea	k (3 <sup>rd</sup> ) dose after the outbreak began?	🗆 Yes 🗆 No 🗖 Unknown
a. Date received		//
If vaccination information is unavailabl	le, proceed with asking the vaccine quest	ions to the patient. The patient will need
to have documentation of mumps vacc	ination. Questions P3 and P4 would be a	sked in the vaccination section on page 7.

P5. Was mumps testing performed?

*If no, skip the laboratory information section on page 7.* 

□ Yes □ No □ Unknown

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#### **Introductory Script: Mumps Investigation**

Hello, my name is [insert name], I am calling from the [Insert Health Department]. May I please speak to [Insert name of patient or parent]?

I am calling because [PICK ONE: 1. you were identified as someone who may have had contact with mumps at [location]; 2. you are suspected of having mumps; or 3. you have tested positive for mumps]. We would like to ask you a few questions about your recent whereabouts and contacts, recent symptoms, and medical history. We would also like to ask about people you have had contact with to better understand the possible spread of the virus to others in your family and community.

We hope that your answers will help identify those with mumps and stop the spread of the virus to keep everyone in the community safe. We estimate that these questions will take 20 minutes or less to answer. Your participation is voluntary. You do not have to answer any questions that make you uncomfortable, and you can stop at any time. The personal identifiable information you share with me today will be kept confidential and will not be shared outside of [Insert Health Department].

Would you like to continue with the questions?  $\Box$  Yes  $\Box$  No

------ Investigation Begins ------

We will now begin the interview with some general questions.

#### **Demographic Information**

1. May I ask your name:			
(If parent or guardian) What is your n	elationship to the patient?_		
2. What is your: Address:		City:	County:
State: Zip:	Telephone:	Count	ry of usual residence:
3. What is your sex?		🗆 Female 🛛	🗆 Male 🗆 Intersex 🗆 No Answer
4. Which gender do you most identify?	$\Box$ Female $\Box$ I	Male 🗆 Transgen	der Female 🗌 Transgender Male
			□Non-binary □No Answer
5. What is your age?			years
6. What is your date of birth?			//
7. How would you describe your race?	🗆 Black/African American 🗆	American Indian/A	Alaska Native $\Box$ Asian/Pacific Islander
	□White □Other		
8. Would you describe yourself as Hisp	panic/Latino?		🗆 Yes 🗆 No 🗆 Unknown
9 What is your occupation? (if studen	t. ask for school/arade)		

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## **Clinical Information**

Next, I will ask you about any symptoms or recent illness you might have had.

**Note for interviewer:** To improve understanding, you can utilize the description of parotitis instead of using clinical terminology. *Parotitis is the inflammation/enlargement/swelling of the salivary glands which are located on the side of the face between the ear and jaw. Parotitis can be found on one or both sides of the face and depending on severity can appear as a protruding lump on the jawline.* 

### 10. Did you have (a):

Parotitis or salivary gland swelling?	🗆 Yes 🗆 No 📄 Unknown
When did you notice the swelling?	//
How many days did the swelling last?	(days)
Or is it still ongoing?	Yes, ongoing
Was the swelling on only one side or both sides?	One-sided Two-sided Unknown
Fever?	□Yes □ No □ Unknown
Jaw pain? (can be felt on the angle of jaw by neck and/or ear)	□Yes □ No □ Unknown
As applicable:	
Inflammation or swelling of testicles (Orchitis)?	🗆 Yes 🗆 No 📄 Unknown
Ovary inflammation or swelling (Oophoritis)?	🗆 Yes 🗆 No 📄 Unknown
Breast inflammation or swelling (Mastitis)?	□Yes □ No □ Unknown
Pancreas inflammation or swelling (Pancreatitis)?	□Yes □ No □ Unknown
Inflammation of the tissue covering the brain and spinal cord (Meningitis)?	□Yes □ No □ Unknown
Brain inflammation or swelling (Encephalitis)?	□Yes □ No □ Unknown
Hearing loss?	□Yes □ No □ Unknown
Did you have any other symptoms or complications?	□Yes □ No □ Unknown

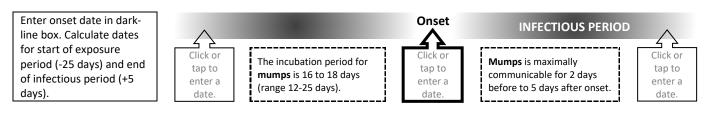
If yes, describe other symptoms or complications

For complications selected, other than parotitis, please include:
Date of complication onset:///
Clinical notes:

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11. Did you visit a healthca				□Yes □		
a. If yes, where did you	visit? (Check all that	t apply)	🗆 Clinic 🗆 Emer	gency Department	School	Nurse
	ealth Services $\Box$ C	other, describe:				
b. If yes, what date did	you visit a health ca	re provider?			/	_/
c. If yes, what is the nar	me of the facility?					
12. Were you hospitalized	because of your illn	ess?		🗆 Yes 🗆 N	No 🗆 Unl	known
a. If yes, what was the	name of the hospit	al?				
b. If yes, what was the	admission date?				/	/
c. If yes, what was the	discharge date?			//	□ Still hos	pitalized
Epidemiologic Investigatio						
13. Have you traveled out a. If yes, what were the		• •				
14. Before your parotitis of	or salivary gland swe	lling appeared,	were you in contac	t with someone kn	own to ha	ve
mumps or with similar	symptoms to you?			□Yes □	No 🗆 U	nknown
a. If yes:						
a.1 Where did the c	contact with this per	son occur?				
🗆 Day Care 🛛 S	School 🗆 College	$\Box$ Doctor's Of	fice/Outpatient	□ Hospital □	Home [	🗆 Work
Military	Place of Worship	🗆 Prison or Ja	il	$\Box$ Immigration D	etention F	acility
🗆 Unknown 🛛	Other? If other, ca	n you describe?				
	me of the location?					
a.3 When did the c	ontact occur (list da					
				//	/	/
a.4 Are there any o	other details that yo	u want to share	about this contact	(e.g., relationship t	o contact)	)?

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State Case ID:	Lab ID:	

**Note for Interviewer:** Utilize the calculation chart below to help the patient determine their exposure period (when they might have been infected with mumps) and infectious period (when they may have spread mumps).



Next, to try to identify where you might have been infected with mumps, I would like to ask about locations/events where you may have had close contacts within the 25 days before your parotitis appeared.

Note to Interviewer: Consider the definition of close contact for mumps for the section below:

- Having direct contact with a mumps patient's infectious respiratory secretions by droplet transmission (e.g., kissing, sharing saliva-contaminated objects like water bottles, or being coughed or sneezed on). Droplets generally travel ≤3 feet when an infected person talks, coughs, or sneezes; or
- 2. Being in close proximity for a prolonged period of time with a person infected with mumps during their infectious period (2 days prior, to 5 days after, onset of parotitis or other salivary gland swelling)

Examples of groups with likely close contact include:

- Students from the same study group, social group, theater or choir group, or fraternity or sorority as a mumps patient
- Coworkers on the same shift or who socialize after work with a mumps patient
- Athletes who practice together or share sports facilities or equipment with a mumps patient
- People in a prison or jail who are assigned to the same housing unit or cell with a mumps patient

Potential exposure notes (i.e., close contact group types, how many people in group)

Next, to help prevent further spread of the virus, I would like to ask about locations/events where you may have had close contacts during the time you may have been contagious (2 days before to 5 days after parotitis appeared).

Table of possible events where there could have been close contact infections

Days	Date Range	Locations/Events Visited
Up to 2 days before		
Day of Parotitis Onset	Click or tap to enter a date.	
Up to 5 days after		

·····		
State Cace ID:		
; State Case ID:	LdD ID:	NNDSS ID:

**Note to Interviewer:** If the vaccine information and laboratory information is known before the interview (e.g., from prior review of the immunization registry and laboratory results), this is the end of the interview. Skip to the end to thank the interviewee for participation. It may be helpful to collect provider information if follow up is needed regarding vaccination information or underlying medical history.

Vaccination Status	

Treating provider name:

Treating provider contact number:

Reference pre-fill questions P3 and P4.

#### Laboratory Information

**Reference pre-fill question P5.** 

**Note to Interviewer:** For newly identified patients, please ask if patient will give permission to have specimen collected to confirm mumps disease. All information will be strictly confidential.

Permission Granted: 
Ves 
No

#### 15. Fill in testing information:

**Note to Interviewer:** For individuals presenting with symptoms of mumps without known epidemiologic-linkage, multiplex testing for other etiologies is recommended concurrent with mumps testing. (Refer to appendix B if other etiologies are tested)

Test Type	Test Result*	Date Specimen Collected	Performing Laboratory (e.g., commercial, state, APHL ref lab, CDC)
Preferred/Confirmatory tests			
PCR Specimen type: □ Buccal □ Oral □ Urine □ CSF	□Pos □ Neg □ Indet □ Pend		
Genotype (if available, PCR+)		Click or tap to enter a date.	
Other/Supportive tests			
Igm	□Pos □ Neg □ Indet □ Pend	Click or tap to enter a date.	
IgG acute	🗆 Pos 🗆 Neg 🗆 Indet 🗆 Pend	Click or tap to enter a date.	
lgG conval	□Pos □ Neg □ Indet □ Pend	Click or tap to enter a date.	
Culture	□ Pos □ Neg □ Indet □ Pend	Click or tap to enter a date.	

\*Notes: Indet=Indeterminate; If health department is responsible for testing, report results back to provider/patient.

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----- End of Investigation-----

That concludes the questions we have for today, thank you for your participation. Do you have any questions? If you have any questions in the future, please contact [State Health Department] at [Phone Number]. Any pending updates on lab results or mumps status will be communicated by [State Health Department] when they become available. Thank you again and have a nice day.

# Appendix A: Identification of specific persons and spread

To identify the potential spread of the virus in your [home/dormitory/prison/jail/immigration detention facility,] I would like to ask you about those who live in your household/housing unit.

If multiple housing units were used it is encouraged to interview those at every housing unit with date ranges of stay specified for each location. DOB 1

Name	Relation to Patient	DOB	Age	Symptoms	MMR Vaccination Status	Number of Vaccine Doses (0 if none)
					$\Box$ Vaccinated (Vac)	
					Unvaccinated (UnVac)	
					🗌 Unknown (Unk)	
					🗆 Vac 🗆 UnVac 🗆 Unk	
					🗆 Vac 🗆 UnVac 🗆 Unk	
					🗆 Vac 🗆 UnVac 🗆 Unk	
					🗆 Vac 🗆 UnVac 🗆 Unk	
					🗆 Vac 🗆 UnVac 🗆 Unk	

## Appendix B: Testing for other parotitis etiologies

For individuals presenting with symptoms of mumps without known epidemiologic-linkage, multiplex testing for other etiologies is recommended concurrent with mumps testing.

**Note:** If mumps testing is negative and there is a more likely alternative diagnosis with a positive laboratory result, individuals should be classified as not a mumps case.

Other parotitis etiologies	Test res	sult*			
Parainfluenza virus types 1-3	□Pos	🗆 Neg	🗆 Indet	🗆 Pend	🗆 NA - test not done
Epstein Barr virus	□Pos	🗆 Neg	🗆 Indet	🗆 Pend	🗆 NA - test not done
Influenza A virus (H3N2)	□Pos	🗆 Neg	🗆 Indet	🗆 Pend	🗆 NA - test not done
Human herpes virus 6A and 6B	□Pos	🗆 Neg	🗆 Indet	🗆 Pend	🗆 NA - test not done
Herpes simplex viruses 1 and 2	□Pos	🗆 Neg	🗆 Indet	🗆 Pend	🗆 NA - test not done
Coxsackie A virus	□Pos	🗆 Neg	🗆 Indet	🗆 Pend	🗆 NA - test not done
Echovirus	□Pos	🗆 Neg	🗆 Indet	🗆 Pend	🗆 NA - test not done
Adenoviruses	□Pos	🗆 Neg	🗆 Indet	🗆 Pend	🗆 NA - test not done
Lymphocytic choriomeningitis virus	□Pos	🗆 Neg	🗆 Indet	🗆 Pend	🗆 NA - test not done
Human immunodeficiency virus	□Pos	🗆 Neg	🗆 Indet	🗆 Pend	🗆 NA - test not done
COVID-19	□Pos	🗆 Neg	🗆 Indet	🗆 Pend	🗆 NA - test not done

\*Note: Indet=Indeterminate

·····		
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The below appendix includes applicable questions to an outbreak in a university setting. Questions can be tailored or added that are specific to the university's needs or- the nature of the outbreak.

Appendix C: Additional information for location-specific outbreak settings - University

Note to Interviewer: If can be helpful to collect university ID or email for contact tracing and/or vaccination records.

**University ID/email:** 

**Demographic Information** 

1. Are you a student, faculty, or staff?	🗆 Student 🗆 Faculty 🗆 Staff
If student, complete the questions below:	
2. What class are you in?	$\Box$ Freshman $\Box$ Sophomore $\Box$ Junior $\Box$ Senior $\Box$ Grad student $\Box$ Other
3. How would you describe your housing typ	be? 🗌 On campus 🗌 Off campus
a. If off campus, indicate the type of hou	using:
	$\Box$ Own home $\Box$ Living with parents $\Box$ Other
b. If on campus, can you describe your d	lormitory/floor?
4. Who do you live with (check all that apply	ı)?
🗆 Live alone (skip to	Q5) $\square$ Roommate (shared bedroom) $\square$ Housemate (not shared bedroom)
a. How many people do you share a roo	m with?
	ise, but not room, with?
5. Do you work on campus/have a job?	🗆 Yes, full-time 🗆 Yes, part-time 🗆 No (skip to Q6)
a. What type of work are you doing?	$\Box$ Athletics $\Box$ Food Service $\Box$ Healthcare provider $\Box$ Other
b. If healthcare provider or other, speci	fy:
6. Do you participate in any of the following	(check all that apply and specify):
□ Sports, collegiate:	
Sports, intra-mural:	
□ Fraternity/sorority:	
$\Box$ Place of worship:	
□ Volunteer organization:	
□ Other clubs/organizations:	

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Epidemiologic Investigation			

This section provides opportunity to investigate timing and location of potential exposure. For example, was there a large university event (e.g., rush week, spring break, football game) in which the case had attendance? In which case, contact tracing can begin here for infection determination. Questions can be created/included to reflect these circumstances.

**Note to Interviewer:** In addition to the basic questions asked in the main outbreak investigation, use this section to determine potential infection contacts and location.

7. Did this include travel to another university/college?

□Yes □No	Unknown
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If yes, specify:\_\_\_\_\_ Dates: \_\_\_/\_\_\_/\_\_\_\_- - \_\_\_/\_\_\_/\_\_\_\_

**Note to Interviewer:** As a supplement to the main investigation of infectious period, use the section below to help identify other locations visited by the patient while infectious.

8. Thinking up to 2 days before you developed parotitis, did you:

Stay home from any classes or required activities?	🗆 Yes 🗆 No
If yes, how long?	(days) <b>OR</b> # of classes
Stay home from sports or other activities?	🗆 Yes 🗆 No
If yes, how long?	(days)
Stay away from other people, including roommates or others in your household?	□ Yes □ No □ Sometimes
If yes or sometimes, how long did you stay away from others?	(days)

9. Thinking up to 2 days before you developed parotitis, did your medical provider recommend any of the following precautions to you:

Isolation from other people?	🗆 Yes 🗆 No 🗆 Don't Know
If yes, for how long?	(days)
Wearing a mask while out in public?	🗆 Yes 🗆 No 🗆 Don't Know
If yes, for how long?	(days)
If yes, did you wear a mask when out in public?	$\Box$ Yes $\Box$ No $\Box$ Sometimes
	$\Box$ N/A (did not go out)

10. Feel free to provide any other information on your illness that may be helpful for us to understand.

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The below appendix includes applicable questions to an outbreak in a correctional/detention facility. Questions can be tailored or added that are specific to the facility's needs or the nature of the outbreak.

Appendix D: Additional information for location-specific outbreak settings – Correctional/Detention Facility

**Note to Interviewer:** Begin the investigation by speaking with the facility operator first. If possible, you can then continue investigation of patient detained.

Facility Name:
Facility ID:
Facility Type: 🛛 Jail 🗋 State Prison 🗌 Federal Prison 🗌 Immigration Detention Facility 🔲 Other:
Patient is under the custody of which entity while in this facility:
□ Local law enforcement □ State law enforcement □ Federal Bureau of Prisons
U.S. Immigration and Customs Enforcement U.S. Marshals Service Other:
Government entity with authority over the facility:
Is this facility privately run? 🗆 Yes 🗆 No
If yes, name of the company operating the facility:

The first series of questions will allow us to get a better understanding of your facility.

1. What is the number of incarcerated/detained people in your facility?

Questions for Facility Operator

2. The following questions are related to people transferred to or from other correctional/detention facilities to your facility.

Frequency of transfers (# persons transferred by week)			
Where do most transfers come from or go to?			
Do transfers come in as:	Large Groups      Individually		
Are there opportunities to house groups together when they are transferred in?	□ Yes □ No		
If yes, describe:			
	_		

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3. Describe the housing ur	nit structure of the facility? (select a	ll that apply) 🛛 Dorms 🗆 Privat	e Rooms 🗆 Shared Room
Note: If the facility is larger in size, only describe where the patient was housed.			
If housing unit structure	are <b>dorms:</b>		
How many dorms are	in your facility?		
How many beds are in	each dorm?		
If housing unit structure	are <b>private rooms:</b>		
How many private roc	ms are in your facility?		
If housing unit structure	are <b>shared rooms:</b>		
-	ms are in your facility?		
	each shared room?		
4. The following questions	are regarding your staff.		
Is there a vaccination	policy for MMR in place for staff?		🗆 Yes 🗆 No
Do you provide a way	for staff to get vaccinated?		🗆 Yes 🗆 No
Can you describe the	movement of staff?		
5 The following questions	are regarding the healthcare mode	l in your facility	
Is healthcare provided			🗆 Yes 🗆 No
	e model used in your facility?	County/State/Federal     Providers	
	rs have access to patient detainee's ere held in this facility?	previous medical/vaccination	□ Yes □ No □ Unknown
Are medical records tr	ansferred?		🗆 Yes 🗆 No
If yes, what is the method of transfer of medical records between facilities? $\Box$ Electroni		🗆 Electronic 🗆 Paper	
Describe the nature of	f pharmacy/vaccine procurement fo	r the facility:	

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	MR vaccines or held any MMR vaccin	e-specific events?	🗆 Yes 🗆 No
a. If yes, please describe:			
b. If no, are you able to h	ave vaccination at intake or have a cl	inic or event?	🗆 Yes 🗆 No
c. Please describe any suc	cesses or challenges you may have re	egarding vaccination in your facility.	
7. The following are high-lev	el details needed regarding the mum	ips cases.	
How many mumps cases	in total have there been?		cases
What was the date of the	e first case?		//
What was the date of firs	st case isolation?		//
What was the date of	cohorted isolation? (if applicable)		//
What was the date of la	st recorded/known case?		//
Have cases been cohorte	ed-to certain housing units or areas?		🗆 Yes 🗆 No
Number contained:			_
Location contained:			
8. To understand the potent	ial spread, we'd like to gather more i	nformation about the first case.	
Was the person detained w	vithin the last 25 days?		🗆 Yes 🗆 No
If yes, when?			//
Which community (e.g.,	county, state) did they come from?_		
Did someone visit them red	cently?		🗆 Yes 🗆 No
If yes, when was the dat	e of the visit?		//
Did the visit allow for clo	ose contact (within 6 feet for more th	an 10 minutes)?	🗆 Yes 🗆 No
Has the person been recen	tly transferred in or out of your facili	ty? 🛛 Yes, into facility 🖓 Yes, ou	ut of facility 🗌 No
If yes into facility, from v	vhere?		
	here?		

acility during their infectious period? table dates in investigation above) ork release	transportation?
table dates in investigation above) ork release  Trial/legal proceedings  Other: dical visit at hospital, hospital name: cles with other detained people during transfers or t ny additional activities such as programming or job	transportation?
dical visit at hospital, hospital name: cles with other detained people during transfers or t ny additional activities such as programming or job	transportation?
cles with other detained people during transfers or the second seco	transportation?
	duties within the
nities for the patient to have high levels of contact wing the gym, playing sports, any programming or job	
It has access to hand hygiene supplies in the facility	
ilable: □ Free of Cost □ Purchased on Commissary □ Supplemental amounts purchased beyond	d issued amount
ent incarcerated 🛛 Staff 🗆 Unaccompanied Minc	or
share a room/dorm with?	
	ers while feeling ill (indicate dates of
	ng the gym, playing sports, any programming or job nt has access to hand hygiene supplies in the facility ailable:  Free of Cost Purchased on Commissary

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3. Do you have access to h	and hygiene products (e.g., soap)?		🗆 Yes 🗆 No
a. How do you get these	e products? 🛛 Free of Cost 🗌 Purc	hased on Commissary	
b. Can you describe the	types of products you use (e.g., soap,	hand sanitizer)	
4. What date were you mo	oved into a separate housing space bec	cause you had mumps?	//
Note to Interviewer: Ques	tions 5 and 6 are very specific for pati	ent detained.	
5. What countries were yo	u in during the month before you acq	uired mumps?	

6. Have you had a previous mumps infection?

 $\Box$  Yes  $\Box$  No