

SPECIAL GOVERNMENT EMPLOYEE SUPPLEMENTAL REPORT: RESEARCH SUPPORT/PROJECT FUNDING

Name: _____

Advisory Committee: _____

As a supplement to the information provided on your Confidential Financial Disclosure Report (OGE Form 450), provide information below concerning **Research Support/Project Funding** (grants, contracts, or cooperative agreements), and **Patent Royalties**.

Reporting Research Support/Project Funding: Report the source of any research or project funding available to you, your employing institution, or sponsoring entity, for direct support of your research endeavors through research or training grants, cooperative agreements, or contracts (even if below the \$1,000 asset threshold and the \$200 income threshold indicated on the OGE Form 450). Reporting should also be made for funds that are available to a spouse, minor child, or general partner for research endeavors. If you have NO research support/project funding, check the NONE box below.

You should report the source of any such support that (1) you have received in the past twelve months, (2) you are currently receiving, and (3) you may have pending for review or award.

If you are employed by a university or other research institution, you may have little or no personal knowledge about certain financial interests of your employer (e.g., the details of certain research grants in which you are not personally involved). In those cases, you are required to report only what you actually know about the interest, and you have no duty to inquire about further details from your employer. In some situations, however, you may hold a position (such as department chair) in which you exercise some authority with respect to research projects in which you are not personally involved as an investigator or researcher. In those cases, inquiry into additional information about the interest could be helpful in preventing unintentional conflicts of interest or appearances of impropriety.

This information will be used by appropriate staff to determine whether this support might be perceived to constitute an actual or apparent conflict of interest in the exercise of your duties as a member of a CDC federal advisory committee.

None

Funding Organization (Name, City, State) If CDC, include Center	Please include the following information in the boxes below for each grant/research project: <ul style="list-style-type: none"> Entity receiving funds (e.g. institution, organization) Name of grant, cooperative agreement or contract If HHS/CDC grant, include grant number Your role (i.e., principal or co-investigator, researcher, or other) Amount and start and completion dates Example: UCLA, CDC Grant #; "Health Effects of Long Term.....;" \$300,000; July 2009-July 2011; Principal Investigator

IF MORE SPACE IS NEEDED. COPY AND ATTACH AS ADDITIONAL PAGES

Patent Royalties: Provide a list of all unexpired patents/patent applications in which you or your spouse have an ownership interest or are listed as an inventor, and in relation to which you or your spouse have a right or are entitled to share in royalties.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978 (5 U.S.C. App.), Executive Order 12674 (as modified by Executive Order 12731), and 5 CFR Part 2634, Subpart I, of the Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this form is for review by Government officials of your agency, to determine compliance with applicable Federal conflict of interest laws and regulations. Additional disclosures of the information on this report may be made: (1) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of a violation or potential violation of law or regulation; (2) to a court or party in a court or Federal administrative proceeding if the Government is a party or in order to comply with a judge-issued subpoena; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or decision; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) to the Department of Justice or in certain legal proceedings when the disclosing agency, and employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (7) to reviewing officials in a new office, department or agency when an employee transfers from one covered position to another, (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record, and (9) to contractors and other non-Government employees working for the Federal Government to accomplish a function related to an OGE Government wide system of records. This confidential report will not be disclosed to any requesting person unless authorized by law. See also the OGE/GOVT-2 executive branchwide Privacy Act system of records.

PENALTIES

Falsification of information or failure to file or report information required to be reported may subject you to disciplinary action by your employing agency or other authority. Knowing and willful falsification of information required to be reported may also subject you to criminal prosecution.

I certify that the statements I have made on this form and all attached statements are true, complete, and correct to the best of my knowledge.

Signature of Special Government Employee

Date