Expanded Access IND Protocol: Use of Intravenous Artesunate for Treatment of Severe Malaria in the United States

IND Sponsor: Centers for Disease Control and Prevention (CDC)

CDC IRB #: 7171
IND #: 76,725

Revised February 22, 2021

CONFIDENTIAL - This expanded access IND protocol for Intravenous Artesunate from the Centers for Disease Control and Prevention is to facilitate and allow use of the artesunate product provided specifically with this protocol. It should not be further distributed, or its contents used for any other purpose without a prior authorization from the Centers for Disease Control and Prevention.
TABLE OF CONTENTS

PROGRAM CONTACT INFORMATION .............................................................. 4

1.0 SUMMARY .......................................................................................... 5

2.0 BACKGROUND .................................................................................. 5

3.0 QUINIDINE ....................................................................................... 7

4.0 ARTESUNATE .................................................................................... 7

5.0 ARTESUNATE: PRODUCT SOURCE AND DESCRIPTION ............. 12

6.0 OBJECTIVES .................................................................................... 13

7.0 TREATMENT DESIGN ..................................................................... 13

8.0 ADMINISTRATION OF ARTESUNATE AND FOLLOW-ON TREATMENT .......................................................... 14

9.0 SUPPORTIVE CARE AND FOLLOW-UP .......................................... 17

10.0 SAFETY DATA COLLECTION AND REPORTING ............................. 18

11.0 DATA COLLECTION, HANDLING, AND RECORDKEEPING .......... 19

12.0 PROGRAM MODIFICATIONS .......................................................... 20

13.0 PROGRAM RESPONSIBILITIES ..................................................... 20

14.0 ETHICAL, LEGAL, AND ADMINISTRATIVE REQUIREMENTS .............. 21

15.0 FINANCING AND INSURANCE .................................................... 23

16.0 PUBLICATION POLICY ................................................................. 23

17.0 REFERENCES ................................................................................. 23
Table of Contents (Continued)

APPENDICES

APPENDIX I: PARTICIPANT CONSENT / PARENTAL PERMISSION FORM
APPENDIX II: ELIGIBILITY CRITERIA
APPENDIX III: DEMOGRAPHICS & ADMISSION LABORATORY RESULTS
APPENDIX IV: TREATMENT PLAN & LABORATORY RESULTS
APPENDIX V: ADVERSE EVENT REPORT FORM
APPENDIX VI: INVESTIGATIONAL DRUG ACCOUNTABILITY AND RETURN FORM
PROGRAM CONTACT INFORMATION

Principal Investigators:
Francisca Abanyie, MD, MPH
Medical Epidemiologist, Domestic Response Unit
Division of Parasitic Diseases and Malaria
Centers for Disease Control and Prevention
1600 Clifton Road NE, MS H24-3 Atlanta, GA 30329-4027
Phone: 404.718.4775
Fax: 678.530.1153

Kathrine Tan, M.D. MPH
Chief, Domestic Response Unit
Division of Parasitic Diseases and Malaria
Centers for Disease Control and Prevention
1600 Clifton Road NE, MS H24-3 Atlanta, GA 30329-4027
Phone: 404.718.4701
Fax: 404.471.2555

Clinical Project Manager:
Francisca Abanyie, MD, MPH
Kathrine Tan, M.D., MPH

Medical Monitor:
Francisca Abanyie, MD, MPH
Kathrine Tan, MD, MPH
1.0 SUMMARY:

A well-proven treatment, recommended by the World Health Organization (WHO) and used worldwide for the treatment for severe malaria, is artesunate. Intravenous (IV) artesunate is also the first-line drug for treatment of severe malaria in the United States. On May 26, 2020 Artesunate for Injection™ was approved by the FDA and will be manufactured, distributed, and commercially available in the United States. It may take some time between the commercial launch of Artesunate for Injection™, and stocking of drug in pharmacies and hospitals. During this time, CDC will continue to distribute artesunate under its investigational new drug (IND) protocol for patients in situations where FDA-approved Artesunate for Injection™ is not yet available within 24 hours of a clinician requesting the drug. When the distribution of Artesunate for Injection™ expands nationwide and is stocked in the states where the most cases of malaria are found, CDC will discontinue its distribution of intravenous artesunate. Since 2007, CDC has sponsored an expanded access IND program to make IV artesunate available for the treatment of severe malaria. The investigational IV artesunate that CDC is providing currently under IND 76,725/CDC IRB Protocol #7171 is manufactured by Guilin Pharmaceutical Co., Ltd. (part of Fosun Pharma).

Guilin- manufactured IV artesunate is also sourced by the WHO for its recommended use of IV artesunate as the first-line treatment for severe malaria and was used in large clinical trials that demonstrated increased safety and efficacy compared to IV quinine (Dondorp 2005 and Dondorp 2010). The release of IV artesunate under this IND protocol by CDC is upon receipt of physician- request for a patient with severe malaria. Treatment with IV artesunate should be followed by oral treatment with artemether-lumefantrine (Coartem™); atovaquone-proguanil (Malarone); quinine plus either doxycycline or clindamycin; or mefloquine to ensure maximal curative effect.

Physicians who request and/or receive IV artesunate from CDC under this IND protocol are responsible for managing IV artesunate treatment and should notify CDC of any adverse event following administration of the drug.

2.0 BACKGROUND

2.1 Severe malaria

Severe malaria is a major global health problem. An estimated 440,000 deaths are caused by severe malaria, with most deaths occurring in young children (WHO 2017). Malaria is a medical emergency whose treatment has become increasingly difficult due to the advent and spread of drug-resistant parasites. This situation has been improved by the introduction of artemisinin derivatives, whose life-saving properties reside in their rapid action and their efficacy against multidrug-resistant parasites.

Severe malaria results from the infection of a large number of red blood cells by malaria parasites. Of the five species of malaria parasites that infect humans (Plasmodium falciparum, P. vivax, P. ovale, P. malariae, and P. knowlesi), almost all cases of severe malaria are due to P. falciparum. There have been rare case reports of severe manifestations of disease associated with P. vivax infection (Hwang 2014).

The large-scale destruction of parasitized red blood cells releases biologic products harmful to the infected host. The sequestration of infected red blood cells in the small vessels of vital organs such as the brain or kidneys leads to end-organ dysfunction. The resulting manifestations of severe malaria include those listed in Table 1.
Despite appropriate therapy and medical care, mortality from severe malaria remains high. Case fatality rates were 7.3–17.7% in children admitted with severe malaria to a Kenyan hospital (Marsh 1995), and 3–15% in European patients admitted in European hospitals (Mühlberger 2003, Krause 2006). The case fatality rate in the United States is estimated to be 1% (Newman 2004).

### 2.2 Severe malaria in the United States

In the United States, malaria is now a rare disease; for this reason, it is not always recognized, diagnosed and treated in time and correctly. In 2016, there were more than 2,000 diagnosed cases of malaria in the United States reported to the CDC (CDC 2019). Almost all of these cases occur in persons who, after acquiring the infection in a malaria-endemic area abroad are diagnosed after return to the United States (“imported malaria”). Most of these patients are US residents who have no acquired immunity to malaria and are, therefore, at risk of developing severe disease. A major contributing factor to continued malaria-associated mortality in the United States is delay in initiation of appropriate treatment (Newman 2004). In 1999–2004, there were 41 deaths from severe malaria in the United States (RD Newman, unpublished).

Based on surveillance data, an estimated 300 cases of complicated malaria requiring parenteral treatment occur every year in the US.

### 2.3 Diagnosis and treatment of severe malaria in the United States

A provisional diagnosis of severe malaria can be made in a patient who presents any of the features cited in Table 1, and who has malaria parasites demonstrated on microscopic examination of a blood smear. In most cases, the parasite will be identified as *P. falciparum*. In some cases, the parasites will be identified as *P. vivax, P. ovale, P. malariae, P. knowlesi* or a combination of the five species; or the microscopic examination may detect malaria parasites without being able to identify them specifically as being *P. falciparum* (diagnosis: *Plasmodium*, species undetermined). Because microscopic diagnosis is not always accurate (especially in the US where laboratory personnel are not always familiar with malaria), a patient with the features of severe malaria (Table 1) and malaria parasites of any species should be treated as if having *P. falciparum*, the species responsible for practically all cases of severe malaria. Treatment for *P. falciparum* will be effective against the blood stage of all five malaria species.

---

**Table 1: Clinical and laboratory features of severe malaria (WHO 2015)**

<table>
<thead>
<tr>
<th>Feature</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cerebral malaria</td>
</tr>
<tr>
<td>Generalized convulsions</td>
</tr>
<tr>
<td>Severe anemia</td>
</tr>
<tr>
<td>Hypoglycemia</td>
</tr>
<tr>
<td>Metabolic acidosis</td>
</tr>
<tr>
<td>Acute kidney injury</td>
</tr>
<tr>
<td>Acute pulmonary edema, acute respiratory distress syndrome (ARDS)</td>
</tr>
<tr>
<td>Circulatory shock</td>
</tr>
<tr>
<td>Abnormal bleeding</td>
</tr>
<tr>
<td>Disseminated intravascular coagulation</td>
</tr>
<tr>
<td>Jaundice</td>
</tr>
<tr>
<td>Hemoglobinuria</td>
</tr>
<tr>
<td>High fever</td>
</tr>
<tr>
<td>Hyperparasitaemia</td>
</tr>
</tbody>
</table>

---
In some patients suspected of having severe malaria based on travel history, clinical findings, and exclusion of other causes of illness, a reliable microscopic diagnosis cannot be secured in a timely manner due to lack of laboratory facilities or trained personnel. Such patients can justifiably be treated presumptively for severe malaria, because the risk of death due to untreated severe malaria outweighs the risk of fatal adverse events caused by a presumptive (and possibly unnecessary) treatment.

Patients with severe malaria in the United States should be treated with IV administration of a rapidly acting antimalarial drug (previously quinidine, now IV artesunate) (www.cdc.gov/malaria; hereafter will be referred as CDC malaria website 2019). Parenteral treatment aims to rapidly reach parasiticidal blood levels of the antimalarial drug, thus lowering the level of parasitemia and reversing end organ dysfunction and hemolysis. Although parenteral treatment is life-saving, because of its rapid action it often fails to eliminate all parasites; therefore, adjunct therapy is needed. Treatment with a follow-on, different drug ensures curative treatment through complete elimination of all remaining malaria parasites and includes artemether-lumefantrine; atovaquone-proguanil; quinine plus either doxycycline or clindamycin; or if these drugs are not available, mefloquine (CDC malaria website 2019).

Patients should also be evaluated for other possible infectious diseases. Clinicians should adhere to standard of care for a febrile patient, which may include blood cultures, lumbar puncture, empiric antibiotic treatment in addition to severe malaria treatment. General supportive care should be given which may include infusion of intravenous fluids; correction of metabolic disturbance such as hypoglycemia or acidosis; blood transfusions; assisted ventilation; vasopressors; and renal dialysis (WHO 2015; CDC malaria website 2019).

Response to therapy should be assessed by clinical observations and measurements of laboratory parameters such as serial blood smears. The goals for treatment should be decrease in parasitemia and eventual parasitemia clearance.

In addition to patients with severe malaria, patients who are unable to ingest drugs orally due to nausea and vomiting (a frequent complication of malaria) should also receive parenteral therapy.

### 3.0 QUINIDINE
Quinine, a quinolinemethanol that is a component of the bark of cinchona trees, is the oldest known antimalarial drug and remains a key component in the treatment of severe malaria. Parenteral quinine is not commercially available in the United States, whereby default severe malaria has historically been treated since 1991 with the D-isomer of quinine: quinidine (CDC 1991). The sole drug manufacturer of quinidine injectable ceased production in 2017 and parenteral quinidine is no longer available for use in the United States as of March 31, 2019.

### 4.0 ARTESUNATE

On May 26, 2020, Artesunate for Injection™ was approved by the FDA for treatment of severe malaria and is manufactured, distributed, and commercially available in the United States. Until
the time when intravenous artesunate is readily available to all clinicians within 24 hours of request, CDC will continue to make IV artesunate available through the Investigational New Drug (IND) protocol.

### 4.1 Artemisinin and its derivatives

Artemisinin is extracted from the “qinghaosu” or sweet wormwood plant (*Artemisia annua* L.) which has been part of traditional Chinese herbal medicine for centuries. Artemisinin was rediscovered and isolated in 1972 by Chinese scientists seeking new treatments for drug-resistant malaria and was first reported in the medical literature in 1979. It is a sesquiterpene lactone with an internal peroxide linkage (Klayman 1985). The mechanism of antimalarial action of artemisinin has been hypothesized to involve an iron-mediated cleavage of the endoperoxide bridge, which produces oxygen radicals, which then react with nearby molecules, interfering with parasite function (Meshnick 1994). Various derivatives of artemisinin differ by the substituent at position #10. They include dihydroartemisinin, artemether, arteether, and artesunate. Artesunate is the hemisuccinate of the ester of dihydroartemisinin. It is water based, and rapidly biotransforms to its active metabolite, dihydroartemisinin, which has a short half-life of approximately 1 hour. Artemisinin derivatives have been used for more than two decades and are now a key component of treatment of malaria worldwide. They are available in formulations that allow administration by different routes (oral, parenteral, rectal). WHO supports their use as first-line antimalarial drugs, in combination with other antimalarial agents, in most malaria-endemic areas of the world. Such artemisinin-based combination therapies (ACT) aim to treat drug-resistant *P. falciparum* malaria rapidly and successfully, while at the same time slowing the emergence of resistance to artemisinin (WHO 2015).

![Artemisinin](image1.png)

![Artesunate](image2.png)

### 4.2 Artesunate: Efficacy

Experimental studies have demonstrated the efficacy of artesunate against malaria parasites cultured *in vitro*, and against malaria parasites in experimental animal models (rodent and non-human primates). Artesunate has been used extensively to treat malaria for more than two decades outside the United States. Given intravenously, it has been highly effective, and has the advantage of a reliable and rapid pharmacokinetic profile. Studies on the treatment of severe malaria have been conducted in China, India, Thailand, Burma, Vietnam, Brazil, Ghana, Sudan, Gabon, and Malawi. These studies have utilized artesunate intravenously, intramuscularly, and rectally, alone and in combination with other drugs. Trials up to 1999 were summarized in the Cochrane Review Series (McIntosh and Olliaro 2000). For the purposes of this protocol, only trials that employed IV artesunate for treatment of severe malaria are summarized below.
A study of 79 Vietnamese adults compared IV artesunate plus mefloquine, artemisinin suppositories plus mefloquine, and IV quinine. It demonstrated that IV artesunate cleared parasitemia and fever significantly faster than IV quinine, but no significant differences were found in duration of coma or mortality (Hien 1992). Earlier unpublished studies (TK Anh 1989) comparing artesunate to IV quinine in 41 Vietnamese adults, and follow-up unpublished studies by the same author (TK Anh) in 190 Vietnamese adults from 1992 to 1995 showed statistically significant differences in mortality favoring artesunate. A study in Myanmar among 141 Burmese adults with cerebral malaria showed significantly less mortality in the artemisinin-derivative arms (which included an arm with IV artesunate plus mefloquine) when compared with the IV quinine plus tetracycline arm (Win 1992).

A randomized, open-label trial compared IV artesunate and IV quinine in 113 adults with severe malaria in two centers in Thailand (Newton 2003). Mortality was 12% in the artesunate arm and 22% in the quinine arm (relative risk 0.53; p=0.22), suggesting that artesunate is at least as effective as quinine. Importantly, parasite clearance times were shorter and fewer patients developed hypoglycemia on artesunate therapy.

The largest and most definitive trials on the efficacy of artesunate were two multicenter, open-label randomized control trials implemented by the South East Asian Quinine Artesunate Malaria Trial (SEAQUAMAT) group and the African Quinine Artesunate Malaria Trial (AQUAMAT) group. The SEQUAMAT trial enrolled 1,461 patients with severe malaria in Bangladesh, India, Indonesia and Myanmar (SEAQUAMAT 2005). The trial was stopped early as researchers witnessed a significant reduction in mortality in the artesunate arm (15%) compared to the quinine arm (22%) with a relative risk of 0.69 (95% CI 0.54-0.83). The number of patients needed to receive treatment with artesunate to save one life, in comparison to patients treated with quinine, ranged from 11.1 to 20.2, depending on the countries. Artesunate was well tolerated while quinine was significantly associated with hypoglycemia. The AQUAMAT trial enrolled 5,425 children in 11 African countries (AQUAMAT 2010). Mortality among those given artesunate (8.5%) was significantly lower than those given quinine (10.9%) with an odds ratio (adjusted for study site) of 0.75 (95% CI 0.63-0.90) and a relative reduction in mortality of 22.5% (95% CI 8.1-36.9; p=0.0022). There were no serious adverse events associated with artesunate, while quinine was associated with post-treatment hypoglycemia. The authors in both studies recommended that artesunate become the treatment of choice for severe \textit{P. falciparum} malaria.

The evidence-based 2015 WHO Guidelines for Treatment of Malaria consider artemisinin derivatives to be the first-line drug for the treatment of severe malaria (WHO 2015).

### 4.3 Artesunate: Toxicity

Clinical and animal studies suggest that artemisinin derivatives are much less toxic than the quinolones, the group that includes quinine and quinidine (Price 2000). The safety profile of artesunate is well established from decades of use and in the published literature. Furthermore, dose ranging and pharmacokinetic studies have demonstrated that artesunate does not accumulate. The studies are summarized below.

#### 4.3.1 Preclinical studies

Animal preclinical data suggest that the most common side effects of artemisinin derivatives might be reversible reticulocytopenia and mild hemolysis, of unknown mechanism (RS Miller, WRAIR, 2004 unpublished data). Neutropenia and diarrhea were seen in primates, but not in dogs. At high doses in non-human primates (>32 mg/kg), transient ataxia and hypersalivation
have been observed. Toxicity studies of IV artesunate given for 14 days to beagle dogs detected no adverse effects except for a decrease in red blood cell parameters, including reticulocytes.

Toxicity studies of beagle dogs given escalating doses of IV artesunate detected no cardiovascular or respiratory adverse effects. Toxicity studies in experimental animals (including rodents, monkeys, and dogs) demonstrated neurotoxic effects affecting mostly the brain stem (Miller and Blanchard 2003). Such neurotoxicity has not been found in humans, which suggests that their occurrence in experimental animals were due to the high doses administered or the formulation used (Gordi and Lepist 2004).

The most important concern about this drug class has been the neurotoxicity reported in animals with the long-acting intramuscular oil-based artemisinins, such as artemether and arteether (Brewer 1998, Gordi and Lepist 2004). All the artemisinins, including artesunate, are metabolized to dihydroartemisinin. Most experiments on artemisinins contain too many confounding variables to definitively determine the cause and mechanism of neurotoxicity, particularly since severe malaria may itself cause decreased neurologic function. Li et al (2002) concluded from studies with rats that prolonged drug exposure at low levels was related to a greater likelihood of severe neurotoxicity of artemisinin derivatives.

4.3.2 Human data
Artemisinin derivatives appear to be safe and have been used to treat several millions of cases of malaria without reported serious adverse events. Price (1999) combined findings from studies conducted in western Thailand during which 3,276 patients were treated orally with artesunate, of whom 2,646 also received mefloquine; 386 were treated with artemether, of whom 180 also received mefloquine; and 1,303 were treated with mefloquine alone. Symptomatic side effects in the pooled group of patients treated with artesunate or artemether monotherapy ranged from 34% (anorexia on days 1–2), to 15–16% (dizziness-nausea on days 1–2), to 1% (diarrhea on days 1–2), and were generally less than the incidence of side effects in the mefloquine monotherapy group (54%, 46%-54%, and 3%, respectively). There was no evidence of neurotoxicity, cardiotoxicity, or allergic reactions attributable to the artemisinin derivatives. Adverse events (AEs) were noted in 17 patients, all of whom had received mefloquine either as monotherapy (2), in combination with artesunate (13) or with artemether (2). The AEs consisted of urticaria, hemoglobinuria, seizures, neuropsychiatric reactions, and reversible neurologic changes, and could have resulted from malaria, mefloquine, or the artemisinin-based drugs. No AEs were noted in patients who had received artesunate monotherapy.

Severe allergic reactions have been reported in case reports. Two out of 17,000 patients treated with artemisinin derivatives in Thailand developed pruritus and urticarial rashes, and dyspnea following oral artesunate (Leonardi 2001). Among 59 patients with severe malaria treated with IV artesunate in western Thailand, one patient developed an urticarial rash (Newton 2003). Pharmacokinetic studies of intravenous artesunate at a range of doses have demonstrated the short half-life of both artesunate, and its active metabolite, dihydroartemisinin. (Li 2009 and Miller 2012). A single dose of 0.5, 1, 2, 4, or 8 mg/kg of artesunate found half-lives ranging between 0.12-0.24 and 1.15-2.37 hours for artesunate and dihydroartemisinin, respectively (Li 2009). Another study gave subjects multiple escalating intravenous doses of 2, 4, and 8 mg/kg of artesunate over three days and found no accumulation of the drug (Miller 2012).

Unpublished FDA pharmacokinetic (PK) simulations based on a published population-based meta-analysis of dihydroartemisinin (DHA) PK (Kouakou, et al 2019) revealed that using a dose
of 2.4 mg/kg in infants < 6 months was comparable or had greater predicted steady-state DHA AUC0-12 compared to that observed in older children or adults.

4.3.3 **Delayed hemolytic anemia after treatment for severe malaria with artesunate**

Between 2010 and 2012, 19 instances of delayed hemolytic anemia have been reported in the published literature following treatment of severe malaria with artesunate in other non-endemic countries (Kano 2010; Zoller, Junghanss et al. 2011; Caramello, Balbiani et al. 2012; Kreeftmeijer-Vegter, van Genderen et al. 2012; Rolling, Schmiedel et al. 2012). As of September 2012, CDC recommended that persons treated for severe malaria with artesunate be evaluated weekly for up to 4 weeks after that treatment for evidence of hemolytic anemia. Weekly laboratory evaluation should include hemoglobin, reticulocyte count, haptoglobin, lactate dehydrogenase (LDH), and total bilirubin. Post-артесунate delayed hemolysis is a nonrecurring event characterized by a 10% or greater decrease in hemoglobin levels in the setting of a haptoglobin level <0.1 g/L and an increase of LDH levels to >390 U/L, or an increase of ≥10% over baseline at least 7 days after initiation of parenteral artesunate treatment.

Eight cases of delayed hemolytic anemia have been identified in the US after the use of WRAIR-supplied artesunate for the treatment of severe malaria under the CDC-sponsored IND program. Artesunate kills the intraerythrocytic malaria parasites, which are then removed from the red blood cells by the spleen. The leading hypothesis for the occurrence of delayed hemolytic anemia is that the previously infected red blood cells have a decreased life span compared to red blood cells that were never infected. So rather than being lysed by the parasites at the time of the severe malaria episode, the red blood cells are cleared by the spleen about 7–14 days after the treatment with artesunate in a self-limited hemolytic event. Persons with higher parasitemias seem to have a higher likelihood of delayed hemolytic anemia after treatment with artesunate. Depending on the amount of hemolysis, transfusion may be needed. Any instances of hemolytic anemia after treatment with artesunate should be reported within 24 hours to the principal investigators, Dr. Francisca Abanyie at why6@cdc.gov or (404) 718-4775 or, Dr. Kathrine Tan at ktan@cdc.gov or (404) 718-4701.

4.4. **Artesunate usage during pregnancy and in lactating women**

Guilin’s IV artesunate prescribing information (version dated 10/2018) states that artesunate has been associated with fetal toxicity during the first trimester of pregnancy in animal studies; however, limited clinical experience with artesunate use in the first trimester of pregnancy as well as clinical data from use in over 2,500 pregnant women treated with artesunate mostly in the second and third trimesters do not indicate adverse effects on the pregnancy or fetus/newborn due to artesunate exposure. For lactating women, limited information indicates that low levels of dihydroartemisinin is found in breast milk. The drug levels are not expected to cause any adverse effects in breastfed infants, nor does it protect the infant from malaria. Below is a summary of the information on artesunate use and pregnancy from literature and studies conducted by WRAIR:

- Artesunate causes fetal toxicity in rats and rabbits resulting in fetal resorption and abortion, as well as a low incidence of cardiac malformations and skeletal defects.

- Studies in rats at WRAIR indicate that artesunate crosses the placental barrier and accumulates in the placenta and fetus, which may explain the observed fetal toxicity.

- From 1999 to 2006, a total of 2,045 pregnant women participating in clinical trials in Thailand, the Gambia, and Sudan were treated with artesunate alone or in combination with other
antimalarial drugs (such as quinine, mefloquine, atovaquone-proguanil and sulfadoxine-pyrimethamine). Most of these patients were in the second and third trimesters of pregnancy. The investigations found no significant differences from community rates in birth weight, duration of gestation, placenta weights, or congenital abnormality rates in newborns at delivery or in growth and developmental parameters of infants monitored for one year.

- McGready (2001) assessed artesunate safety in western Thailand, where 461 pregnant women were treated with artemisinin derivatives (predominantly artesunate) with no evidence of adverse effects. In 414 women with known pregnancy outcomes, the rates of abortion (4.8%), stillbirth (1.8%), congenital abnormalities (0.8%), and low birth weight (19.0%) did not differ significantly from rates found in the community. The vast majority of study participants were in their second or third trimesters of pregnancy. In 44 women who received artemisinin derivatives during the first trimester of pregnancy, the abortion rate was not significantly different from the community rate.

- Moore (2016) conducted an observational study of 55,636 pregnancies on the Thai- Burma border between 1994 and 2013, assessing the effects of instances of malaria during the first trimester as well as the effects of different malaria medicines on rates of miscarriage and major congenital malformations. There was no difference in those rates for women treated with artemisinins compared to those treated with quinine. The conclusion from this article is that artemisinins including artesunate appear to be safe for use during the 1st trimester of pregnancy.

Thus, while animal studies indicate that artesunate can have harmful effects on pregnancy, no such effects have been documented in humans. Ongoing studies continue to demonstrate the safety of artemisinins during pregnancy. Considering that severe malaria represents a substantial risk for pregnant women and their fetuses, WHO recommends that pregnant women with severe malaria be treated with artesunate (WHO 2015).

5.0 ARTESUNATE: PRODUCT SOURCE AND DESCRIPTION

5.1 Product

The IV artesunate product provided for use under this IND protocol is manufactured by Guilin Pharmaceutical which is supplied as 60 mg of sterile freeze-dried artesunate powder in a vial with an ampule of 1 mL sodium bicarbonate (5%, 50 mg/mL) for reconstitution. The reconstituted IV artesunate powder with the supplied 5% sodium bicarbonate must be diluted with 5 mL of the supplied 0.9% sodium chloride before infusion. See Section 8.2 for preparation instructions for administration.

Guilin- manufactured IV artesunate product should be stored below 30°C and away from light. CDC purchases the commercially-available product from Guilin and packages the product with the FDA-required IND label below and ships in containers to prevent contamination or deterioration during transport.

“CAUTION: INVESTIGATIONAL NEW DRUG LIMITED BY FEDERAL LAW TO INVESTIGATIONAL USE.”
IV Artesunate for Expanded Access Use in the United States

6.0 OBJECTIVE
The primary purpose of this program is to make available intravenous artesunate for treatment of severe malaria in US hospitals when the FDA-approved artesunate is not able to be obtained within 24 hours. CDC should be notified of adverse events associated with the administration of artesunate for reporting in accordance with 21 CFR 312.32.

7.0 TREATMENT DESIGN

7.1 Inclusion Criteria/Eligibility
In order to be eligible for IV artesunate, a patient must meet the following criteria:

a. Malaria confirmed by microscopy. It is expected that the great majority of the eligible cases will be diagnosed as *P. falciparum*. Patients with other microscopic diagnoses of malaria (*Plasmodium* of undetermined species, or species other than *falciparum*) will also be eligible, taking into account the unfamiliarity of some US laboratories with malaria diagnosis and the importance of timely treatment of severe malaria. In exceptional cases, microscopic diagnosis might be waived, e.g., a patient with strong clinical suspicion of severe malaria, for whom a timely, reliable microscopic diagnosis is not available. Current CDC guidelines advise that in such cases, parenteral antimalarial treatment is justified.

b. Parenteral treatment required due to one or more of the following reasons (1, 2, or 3):
   o Severe malaria based on at least one of the following:
     ▪ High parasite density (≥5%)
     ▪ Impaired consciousness
     ▪ Seizures
     ▪ Circulatory collapse/shock
     ▪ Pulmonary edema or acute respiratory distress syndrome (ARDS)
     ▪ Acidosis
     ▪ Acute kidney injury
     ▪ Abnormal bleeding or disseminated intravascular coagulation (DIC)
     ▪ Jaundice (must be accompanied by at least one other sign)
     ▪ Severe anemia (Hb <7 g/dL)
   o Inability to take oral medications despite attempt after an oral antiemetic;

   c. Inability to obtain commercially available IV artesunate within 24 hours

7.2 Exclusion Criteria
a. Known allergy to artesunate

7.3 Special considerations: Pregnant Women
For pregnant women, current data suggest that artemisinins are safe in all trimesters of pregnancy. Any concern regarding potential artesunate teratogenicity must be weighed against the risks of severe malaria. Ultimately, malaria is life-threatening for both the pregnant woman and the fetus, and artesunate should not be withheld.
7.4 Procedures

a. Malaria Branch medical epidemiologists who take CDC Malaria Hotline clinical calls from physicians will determine eligibility using the “Eligibility Criteria” form (Appendix II).

b. If the patient meets eligibility criteria and the attending physicians agree, CDC Malaria Branch staff will fax and/or email the required consent form (Appendix I) and drug administration protocol to the physician or other health care provider.

c. CDC Malaria Branch staff will initiate the procedure for release of IV artesunate from the Quarantine Station/Point of Distribution to the appropriate treatment facility.

d. Artesunate will be released from the Quarantine Station/Point of Distribution to the treatment facility as rapidly as possible (ground courier or air).

e. Artesunate will be administered at the health facility with a treating physician serving as a site investigator and consultation with CDC medical epidemiologists as needed.

f. All Serious Adverse Events (SAE) associated with IV AS treatment must be reported to CDC within 24 hours by telephone. The Adverse Event Report form (Appendix V) should be completed and sent to CDC within 10 calendar days of event by fax, email, or regular mail. See Appendix V for appropriate contact information.

8.0 ADMINISTRATION OF ARTESUNATE AND FOLLOW-ON TREATMENT

8.1 Schedule and dosage of IV artesunate

The dosing of artesunate is based on actual body weight, not ideal body weight. Using actual body weight, a packet of Guilin product, which contains 16 (60 mg) vials of artesunate will provide 4 treatment doses for patients up to 100 kg. The following weight-based dosing should be used to calculate the correct dose for administration of IV artesunate:

- All patients (regardless of age/weight): 2.4 mg/kg at 0 hours, 12 hours, and 24 hours

The dosing used is based on unpublished FDA pharmacokinetic simulations using a published population-based meta-analysis of dihydroartemisinin (DHA) PK (Kouakou, et al 2019). This analysis revealed that using a dose of 2.4 mg/kg in infants < 6 months was comparable or had greater predicted steady-state DHA AUC0-12 compared to that observed in older children or adults.

Per WHO guidelines, consistent with the pivotal SEAQUAMAT and AQUAMAT trials, 3-doses of IV artesunate, administered intravenously slowly over 1–2 minutes, at 12-hour intervals (0, 12, and 24 hours) is recommended for treatment of severe malaria. If after completion of the third dose, the patient can tolerate oral medications and has ≤1% parasitemia oral medications can be given. If after the third dose the patient is unable to tolerate oral medications or parasitemia is >1%, artesunate can be administered once a day for up to 6 additional days (not to exceed a total of 7-day treatment equaling 9 doses in total) or until the patient can tolerate oral medications and parasitemia is ≤1%.

If additional doses of the drug are required, contact the CDC Malaria Hotline at 770-488-7788 from 9:00 am to 5:00 pm Eastern time or after hours call the CDC Emergency Operations Center at 770-488-7100. Ask to speak with the malaria clinician on call for a consultation and request for additional IV artesunate doses.
8.2 Reconstitution, dilution and administration of IV artesunate

The investigational IV artesunate product is supplied as a vial containing 60 mg of sterile freeze-dried artesunate powder with an ampule of 1 mL sodium bicarbonate (5%, 50 mg/mL) for reconstitution. The reconstituted IV artesunate with the supplied 5% sodium bicarbonate must be diluted with 5 mL of the supplied 0.9% sodium chloride solution prior to infusion (resulting in a concentration of 10 mg/ml). To prepare IV artesunate for infusion, follow the instructions below:

1. Withdraw 1 mL of 5% sodium bicarbonate solution (5%, 50 mg/mL) from the glass ampule using a filter needle and sterile syringe.

To remove the sodium bicarbonate from its sealed glass ampule: Hold the ampule upright and gently tap to remove any excess drug from the top of the vial. Following hospital protocol for aseptic technique under at a minimum International Organization for Standardization (ISO) Class 5 conditions, wipe the ampule with an alcohol swab.

While holding gauze around the neck of the ampule, place a thumb and index finger from each hand on either side of the pre-scored neck of the ampule, then snap the neck of the ampule. The product should be inspected to ensure no glass particles fell into the solution. Withdraw the sodium bicarbonate from the ampule using a filter needle and sterile syringe.

2. Aseptically remove the filter needle and attach a non-filter needle to the filled syringe containing the sodium bicarbonate solution.

3. Insert the needle into the vial containing 60 mg of artesunate powder and inject the 1 mL of sodium bicarbonate through the non-filter needle into the vial.

4. Shake the mixture until the powder is completely dissolved and the solution is clear.

5. Using the supplied ampule of 0.9% sodium chloride solution for injection (9 mg/mL) and following the same instruction as in Step 1 above for using aseptic technique to open a glass ampule, withdraw 5 mL of 0.9% sodium chloride using a filter needle and sterile syringe. Aseptically remove the filter needle and attach a non-filter needle to the filled syringe containing the sodium chloride solution. Insert the needle into the vial containing the reconstituted artesunate in sodium bicarbonate solution and inject the 5 mL sodium chloride solution. Shake mixture until it is clear.

6. Based on the weight-based dose calculations (see Section 8.1), withdraw the corresponding volume of artesunate dose from the vial with a sterile syringe. Inject the required volume slowly intravenously (through an established IV line), over 1-2 minutes.

Note: Artesunate should NOT be administered as an intravenous drip.

7. Administer artesunate within 1 hour of reconstitution. Discard if not used within one hour.

8.3 Follow-on treatment

Please note that the follow-on treatment is not part of this program and will not be provided by CDC.

WHO recommends that after 24 hours of IV artesunate, oral medication can be used if the patient is able to tolerate medications by mouth. This is consistent with the sentinel IV artesunate efficacy trials, SEQUAMAT and AQUAMAT. Because of its rapid action, artesunate is used initially to reduce the parasitemia as quickly as possible. Then, once the patient is able to tolerate oral medication and has a parasitemia <1%, a treatment course of oral antimalarials can be used.
to ensure curative treatment through complete elimination of all remaining malaria parasites. The follow-on oral drug should be initiated at least 4 hours after the last IV dose of artesunate. Because IV artesunate has a short half-life (less than 2 hours), potential drug-drug interactions should not be an issue. Treating physicians should use an appropriate follow-on drug regimen to complete the treatment of malaria. The choice of which follow-on drug to use is at the discretion of the treating physician. Treating physicians should choose to use one of the drug regimens recommended by CDC against chloroquine-resistant *P. falciparum* (CDC malaria website, 2019), by order of preference:

### 8.3.1 Artemether-lumefantrine (AL, Coartem™), is the follow-on drug of first choice.

A 3-day treatment course is recommended for both adult and pediatric patients based on weight (see below). The patient should receive the initial dose, followed by the second dose 8 hours later, then 1 oral dose twice daily for the following 2 days (a total of 6 doses over 3 days).

Dosing per weight is described below (1 tablet = 20mg artemether/120 mg lumefantrine):

- 5 – <15 kg: 1 tablet per dose
- 15 – <25 kg: 2 tablets per dose
- 25 – <35 kg: 3 tablets per dose
- ≥35 kg: 4 tablets per dose

Artemether-lumefantrine can be used in second and third trimesters of pregnancy. It can be used in the first trimester of pregnancy if no other drug options are available. It is not recommended in infants weighing <5 kg.

### 8.3.2 Atovaquone-proguanil (AP, Malarone)

Atovaquone-proguanil (AP) was also the oral follow-on drug used in previous clinical studies of IV artesunate in Kenya. AP has been studied in combination with oral artesunate for treatment of uncomplicated malaria and no evidence of cardiotoxicity was found (Gupta, 2005).

Atovaquone-proguanil is available in two strengths, adult (250 mg atovaquone/100 mg proguanil) and pediatric (62.5 mg atovaquone/25 mg proguanil).

Adults should receive AP 1 g/400 mg (four adult tabs) once a day for 3 consecutive days.

Children should receive AP doses based upon body weight as indicated below.

- 5 –< 8 kg: 2 peds tabs orally once a day for 3 consecutive days
- 8 –<10 kg: 3 peds tabs orally once a day for 3 consecutive days
- 10 –<20 kg: 1 adult tab orally once a day for 3 consecutive days
- 20 –<30 kg: 2 adult tabs orally once a day for 3 consecutive days
- 30 –<40 kg: 3 adult tabs orally once a day for 3 consecutive days
- ≥40 kg: 4 adult tabs orally once a day for 3 consecutive days

Note: AP is not recommended for infants under 5 kg.

### 8.3.3 Quinine and doxycycline (or clindamycin for children under 8 years and pregnant women)

Quinine and doxycycline or clindamycin will be the follow-on drugs for patients who cannot take...
Adult dosage of quinine: 542 mg base (650 mg salt) po tid for 3 days (7 days if malaria from Southeast Asia), and doxycycline: 100 mg po bid for 7 days.

Pediatric dosage (children 8 years or older) of quinine 8.3 mg base/kg (10 mg salt/kg) pot tid for 3 days (7 days if malaria from Southeast Asia), and doxycycline 2.2 mg/kg/dose every 12 hours for 7 days. Children under 8 years of age or pregnant women should receive quinine as dosed above, and clindamycin 20 mg/kg/day divided tid for 7 days.

8.3.4 Mefloquine

Although a highly efficacious antimalarial, mefloquine is the follow-on drug of last choice because of the relatively high rate of adverse drug reactions in persons who receive treatment doses of mefloquine.

- Adult dosage: initial dose of 750 mg salt orally, followed by 500 mg salt orally given 6–12 hours after initial dose
- Pediatric dosage: 15 mg salt/kg orally as initial dose, followed by 10 mg salt/kg orally given 6–12 hours after initial dose

8.4 Patients still not tolerating oral medications after 3 doses of IV artesunate within 24-hour period

If parasitemia is <1% after three doses of IV artesunate administered at 12-hour intervals (0, 12, and 24 hours), and the patient still cannot tolerate oral medications, continue IV artesunate, 1 dose daily, up to additional 6 days. The total course should not exceed 7 days (a total of 9 doses). As soon as the patient can tolerate oral medications, switch to an appropriate oral follow-on treatment.

8.5 Unused IV artesunate

IV artesunate distributed by CDC is provided under an Investigational New Drug protocol, therefore any unopened and unused product should be returned to:

CDC DRUG SERVICE, H23-6 1600 CLIFTON RD.
ATLANTA, GA 30333
Telephone: 404-639-3670

These unused vials should be shipped using an expedited shipper who guarantees delivery within 24 hours. Additional details can be found in Appendix VI.

9.0 SUPPORTIVE CARE AND FOLLOW-UP

Based on their clinical status, patients should be treated in intensive care units and should receive appropriate supportive treatment (e.g., fluid administration, transfusions, assisted ventilation, vasopressors, etc.). This includes proper work up for other causes of fever if pertinent.

A thin blood smear should be prepared and read by an experienced microscopist to determine baseline parasite density, expressed as a percent of red blood cells infected. Blood smears should be repeated every 12–24 hours until at least two consecutive blood smears are negative, and also at the end of treatment.
Any adverse events (AEs) potentially associated with the administration of artesunate should be treated appropriately and reported to CDC (details below).

10.0 SAFETY DATA COLLECTION AND REPORTING
Attending or treating physicians are responsible for the real-time collection and evaluation of safety data. The occurrence and outcome of all adverse events (AEs) meeting the AE definition below should be documented to the extent possible, including relevant clinical data and concomitant medication for patients who experience an AE during and up to 28 days after completion of IV artesunate treatment course. All AEs meeting reporting criteria (see definitions below) should be documented on the Adverse Event Reporting Form (Appendix V), and faxed, emailed, or mailed to the Principal Investigators within 10 days of AE occurrence. All serious AEs (SAEs) should be notified to the Malaria Branch by telephone within 24 hours of occurrence and followed up with a completed Adverse Events Report Form (Appendix V) which should be faxed, emailed, or mailed to the Principal Investigators within 10 days of SAE occurrence. Please be informed that cases of delayed hemolysis occurring up to 28 days after IV artesunate treatment should be reported as an AE. Appendix V including the Supplemental Form for Delayed Hemolysis should be completed and submitted to CDC.

The Principal Investigator and Sub-investigators at the CDC Malaria Branch may request additional information from attending physicians for their review of AEs.

**Definitions of Adverse Events (AEs)**
An ADVERSE EVENT (AE) is any untoward medical occurrence associated with the use of a drug/biologic in humans, whether or not considered related to artesunate. It can be any unfavorable and unintended sign (e.g., an abnormal laboratory finding), symptom, or disease temporally associated with the use of artesunate, without any judgment about causality, that was not present prior to initiation of IV artesunate treatment. Any medical condition or laboratory abnormality that was present prior to IV artesunate treatment should be considered as a baseline condition and not an AE. However, if an existing medical condition deteriorates at any time during and through 28 days post completion of IV artesunate treatment, it should be recorded as an AE. Complete Appendix V for all AEs.

A SUSPECTED ADVERSE REACTION is any AE for which there is a reasonable possibility that artesunate caused the adverse event. It is a subset of all AEs for which there is a reasonable possibility that artesunate caused the event. “Reasonable possibility” means there is evidence to suggest a causal relationship between artesunate and the AE. “Suspected adverse reaction” implies a lesser degree of certainty about causality than “adverse reaction.”

An ADVERSE REACTION is any AE caused by artesunate. Adverse reactions are a subset of all suspected adverse reactions for which there is a reason to conclude that artesunate caused the event.

**EXPECTED AE:** An AE is considered “unexpected” if it is not listed in this protocol or is not listed at the specificity or severity observed.

**SERIOUS AE:** An AE or suspected adverse reaction is considered “serious” if in the view of either the treating physician or CDC, it results in any of the following outcomes:
• death
• a life-threatening AE
• inpatient hospitalization or prolongation of existing hospitalization
• a persistent or significant incapacity or substantial disruption of the ability to conduct normal life functions
• a congenital anomaly/birth defect

NOTE: Important medical events that may not result in death, be life-threatening, or require hospitalization may be considered serious when, based upon appropriate medical judgment, they may jeopardize the patient and may require medical or surgical intervention to prevent one of the outcomes listed in this definition.

LIFE-THREATENING AE: An AE or suspected adverse reaction is considered “life-threatening” if, in the view of either the treating physician or CDC, its occurrence places the patient at immediate risk of death. It does not include an AE or suspected adverse reaction that, had it occurred in a more severe form, might have caused a death.

CDC Reporting Requirements to FDA and CDC IRB
Upon receipt of reported AEs from treating physicians, CDC will review to determine any suspected adverse reactions that are both serious and unexpected. Any adverse events that are determined to be serious and unexpected will be reported to FDA within 15 calendar days. Per 21 CFR 312.32, a suspected adverse reaction is determined based on evidence to suggest a causal relationship between artesunate and the AE, such as:

a) A single occurrence of an event that is uncommon and known to be strongly associated with artesunate.
b) One or more occurrences of an event that is not commonly associated with artesunate, but is otherwise uncommon in patients who received artesunate for treatment.
c) Aggregate analysis of specific events observed in this treatment program that indicates those events occur more frequently in the patients treated with artesunate than in a concurrent or historical control group in which no artesunate treatment was given.

CDC will also report to FDA within 15 calendar days any clinically important increase in the rate of a serious suspected adverse reaction and any findings from clinical studies, animal studies or in vitro testing that suggest a significant risk in humans exposed to artesunate.

Unexpected fatal or life-threatening suspected adverse reactions will be reported to FDA as soon as possible and no later than 7 calendar days after CDC’s initial receipt of the information. CDC will also report AEs and incidents to CDC IRB according to CDC IRB’s policy and procedures.

AE Follow-Up
All AEs should be followed to resolution to the extent possible and outcomes classified as recovered, sequelae, death, or lost-to-follow-up. Additional information may be requested by CDC to complete AE follow-up.

11.0 DATA COLLECTION, HANDLING, AND RECORDKEEPING
In requesting and obtaining IV artesunate under this IND, treating physicians are responsible for data collection, handling, and recordkeeping pertaining to patients receiving IV artesunate under their care in accordance with the IND protocol. Treating physicians should complete the Demographics forms, and the Treatment Plan forms (Appendix III and IV) and submit them to
CDC. Adverse Event Report forms (Appendix V) should be completed and returned to CDC if the patient experiences an AE. To the extent practicable, safety information should be collected on cases experiencing AEs during and up to 28 days after IV artesunate treatment and reported to CDC according to the timeframes indicated above in Section 10.0 [initial phone notification on SAEs within 24 hours of occurrence, followed by completed AE form (Appendix V) within 10 days of occurrence.]

As IV artesunate is an investigational drug, the requesting or treating physician and his/her delegated entities (e.g., hospital pharmacy) are responsible for product accountability regarding record keeping of IV artesunate receipt, use/administration, and return as outlined in Appendix VI (Investigational Drug Accountability and Return Form). Completed form must be returned to CDC Drug Service by fax (404-639-3717) or email scanned copy to drugservice@cdc.gov. If unused, unopened vials are returned to CDC, also include a copy of the completed investigational drug accountability form (Appendix VI) in the shipment.

CDC Drug Service will document all releases through its secure database. CDC Malaria Branch will manage data collection and submitted patient data including reports of AE, which will be kept in a secure database. All data from AE cases will be included in the evaluation of safety.

12.0 PROGRAM MODIFICATIONS

Changes or modifications to the existing protocol should not be implemented without a formal amendment to the protocol, except to eliminate an immediate risk to patients. If protocol amendments necessitate a change in the informed consent form (ICF), it may be necessary for a participant currently receiving treatment under the protocol to be informed about the revision and asked to sign the revised ICF. A copy of the revised, signed (with date) ICF should be given to the participant and all original versions of the ICF retained as part of the participant’s permanent record. Copies of signed ICF will be retained at CDC.

13.0 PROGRAM RESPONSIBILITIES

13.1 Principal Investigators

The Principal Investigators are responsible for coordinating the activities and implementation of the protocol with the Malaria Branch staff and the CDC Drug Service as well as monitoring the safety of participants receiving IV artesunate.

13.2 CDC Malaria Branch Medical Officers/Epidemiologists

CDC Malaria Branch Medical Officers/Epidemiologists respond to clinical calls and evaluate all severe malaria cases to determine eligibility and, if necessary, initiate drug release per the treatment protocol. The Malaria Branch Medical Officers/Epidemiologists are responsible for initiating drug delivery and conducting follow-up phone calls.

13.3 Clinicians in Healthcare Facilities

Clinicians are responsible for determining whether the patient fulfills criteria for administration of artesunate; contacting CDC; administering the drugs as described in the protocol; and reporting to CDC any AEs recorded during the program—whether or not considered to be related to the administration of artesunate.
IV Artesunate for Expanded Access Use in the United States

14.0 ETHICAL, LEGAL, AND ADMINISTRATIVE REQUIREMENTS

14.1 Current Good Clinical Practice (cGCP)

The procedures set forth in this program are designed to ensure that the Sponsor and all program personnel abide by the Code of Federal Regulations (in particular, 21 CFR, Parts 56, 312, 314, and 600) and ICH Guidelines for GCP. By signing Form FDA 1572 (Investigator’s Agreement Form), each treating physician is agreeing to abide by these regulations and guidelines.

14.2 Informed Consent

Written informed consent, from each participant or the participant’s legal guardian, in compliance with 21 CFR 50, must be obtained before any program-related procedures are initiated. The informed consent form (ICF) must be dated, witnessed, and retained by the investigator as part of the program records at the medical facility administering the product. The signed consent form should be faxed to CDC within 48 hours of signing (fax number: 678-530-1153). Each participant will receive a copy of the signed ICF and any revised ICF. If the signed form is lost, the participant will be required to sign and date a new ICF before receiving subsequent treatment. Because artesunate will be used for a potentially life-threatening illness, the ultimate responsibility for decision making for this product for all minors should be the parent or guardian.

Informed consent includes the principle that it is critical that the individual be informed about the potential risks and benefits of participating in this program. This information will allow individuals to make a personal risk-versus-benefit decision and understand the following general principles:

1. Participation in this program is entirely voluntary.
2. Participants may withdraw from participation in this program at any time without penalty or loss of benefits to which they are otherwise entitled.
3. Refusal to participate in this program involves no penalty.
4. The individual is free to ask any questions that will allow him/her to understand the nature of this program.

If a patient is unable to respond and make wishes known about artesunate treatment, and no next of kin or legal representative is available, and the patient’s illness is life-threatening, per 21 CFR 50.23 “Exception from General Requirements”, informed consent may be deemed not feasible and the treating physician can make the determination to administer artesunate. Per 21 CFR 50.23, the patient’s treating physician, acting as site investigator, and a physician who is not otherwise participating in this expanded access IND treatment program, must document the following on the consent form and return a copy of the consent form to CDC:

1. Patient is confronted by a life-threatening situation necessitating the use of artesunate.
2. Informed consent cannot be obtained from the patient because of an inability to communicate with, or obtain legally-effective consent from, the patient.
3. Time is not sufficient to obtain consent from the patient’s legal representative.
4. There is no available alternative method of approved or generally recognized therapy that provides an equal or greater likelihood of saving the life of the patient.

CDC will also report the above to CDC IRB as required and according to CDC IRB’s policy and
If immediate use of artesunate is, in the treating physician’s opinion, required to preserve the life of the patient, and time is not sufficient to obtain the independent determination required above in advance of administering artesunate to the patient, the determinations of the treating physician shall be made and, within 2 working days after the use of artesunate, be reviewed and evaluated in writing by a physician who is not participating in this treatment protocol.

14.3 Risks
The risks to the patients include:

1. Accidental disclosure of private identifiable information
2. Risks inherent to the procedures needed for the diagnosis and treatment of severe malaria
3. Risks of AEs and SAEs or lack of treatment effectiveness

To minimize the risks of disclosure of private information, the patients’ records and identifiable databases will be kept under conditions of confidentiality routinely followed in hospitals. At CDC, the participants’ records will be kept in locked, secure storage areas at the Drug Service and at the Malaria Branch. To minimize the risks inherent to diagnostic and treatment procedures and the risks of AEs and SAEs, all medical personnel and CDC investigators will exercise due care in the management of the patients. These risks are justifiable in relation to the anticipated benefits to the patient, namely treatment of a potentially fatal disease with an effective, rapidly-acting antimalarial drug.

14.4 Inclusion of children and other vulnerable populations
Any person with severe malaria in the United States requires appropriate IV antimalarial treatment, and IV artesunate is the only option in the United States. Therefore, those treated with IV artesunate under this IND may include those in vulnerable populations. This protocol is greater than minimal risk, but presents the prospect of direct benefit to patients. The risk of adverse drug reactions is far outweighed by the benefit of being treated for severe malaria, a potentially fatal disease. The relation of the anticipated benefit to the risk is more favorable than that of other available treatments, as one of the eligibility criteria for this treatment is that other available treatments are not clinically appropriate.

In accordance with 21 CFR 50.55, permission must be sought from a parent or guardian. Minors who are legally able to give consent under local laws will not be considered children.

14.5 Privacy and Confidentiality
Participant identification number and date of birth will identify participants in a secure CDC database. Participants should be advised that they could be contacted by telephone or by mail at later dates for follow-up through their treating physician. This follow-up includes safety surveillance.

Representatives of the Sponsor, drug manufacturer, and the FDA are eligible to photocopy and review medical and program records related to this program as a part of their responsibility to protect human participants in this program.

No personal data will be used in any external communication or publication.
15.0 FINANCING AND INSURANCE
The CDC is funding this program. Should a participant be injured as a direct result of participating in this program, he/she will receive medical care for that injury. Should a participant require medical treatment, the costs for hospital and medical care will not be covered by the CDC and will need to be paid by the participant, the participant’s insurer, Medicare, or Medicaid. Participants should be informed that this does not constitute a waiver or release of legal rights. The investigators or designees should discuss this issue with the participant or legally authorized representative at the clinical site.

16.0 PUBLICATION POLICY
All data collected during the course of this program may be published in the open medical literature with the identity of the participants protected.

17.0 REFERENCES


4. CDC. Malaria Surveillance – United States, 2016. MMWR 2019; 68(5);1–35

5. CDC. Treatment of malaria (Guidelines for clinicians). Available at https://www.cdc.gov/malaria/diagnosis_treatment/index.html


12. Hwang J, Cullen KA, Kachur SP, Arguin PM, Baird JK. Severe morbidity and mortality


22. Miller RS, Blanchard TW. Toxicology of subacute, 7-day dosing of candidate intravenous artemisinins in Rhesus monkeys. In Weina PJ, United States Army Medical Component, Armed Forces Research Institute of Medical Sciences (USAMC- AFRIMS), Bangkok, Thailand: 41.


IV Artesunate for Expanded Access Use in the United States


33. Walter Reed Army Institute of Research. The Summary of studies on the adverse effects of AS on pregnancy of humans and animals: studies reported in literature and conducted in house. Cross reference document for IND 64,769. 2006.


APPENDICES

APPENDIX I: PARTICIPANT CONSENT / PARENTAL PERMISSION FORM
APPENDIX II: ELIGIBILITY CRITERIA
APPENDIX III: DEMOGRAPHICS & ADMISSION LABORATORY RESULTS
APPENDIX IV: TREATMENT PLAN & LABORATORY RESULTS
APPENDIX V: ADVERSE EVENT REPORT FORM
APPENDIX VI: INVESTIGATIONAL DRUG ACCOUNTABILITY AND RETURN FORM

Please send completed appendices I–V to fax number:
(404) 471-2555 OR (678) 530-1153
Appendix I

PARTICIPANT CONSENT / PARENTAL PERMISSION FORM

Flesch-Kincaid 7.6 (without title and signature block)

You or your child are being asked to take part in this treatment program because you or your child have severe malaria. Severe malaria is the most serious form of malaria, which one gets through the bite of an infected mosquito. Usually, this type of bite happens while traveling in a part of the world that has the malaria parasite. Severe malaria can lead to many problems including coma, kidney and lung trouble, anemia (lowered blood cells), and death.

This treatment program is sponsored by the Centers for Disease Control and Prevention (CDC). This program will use the drug artesunate to treat your or your child’s severe malaria. The artesunate used in this treatment program is an investigational drug and is being provided because you or your child have severe malaria and the commercial product may not be available in a timely manner. It is important to treat this infection as quickly as possible to prevent the problems mentioned above or death.

Intravenous (IV) (by vein) artesunate is used in many other parts of the world to treat severe malaria. Before deciding whether to take part, we want you or your child to know about the program.

This is a consent form. It gives information about this program. The program staff will talk with you or your child about this information. You or your child are free to ask questions at any time. Taking part in this program is voluntary. You or your child may leave this program at any time. If you or your child decide not to take part in or to stop this program, it will not affect the medical care you or your child would normally get. If you or your child agree to take part in this program, you will be asked to sign this consent form. You will get a copy to keep.

WHAT WILL HAPPEN TO ME?
We will take blood for tests to count the number of parasites (germs) in your or your child’s blood at the start of treatment and then every 12 hours.

We will ask you or your child about drugs you or your child are taking, any allergies, and your or your child’s health.

We will give you or your child artesunate by vein. Then we will watch you or your child for about 30 minutes to make sure that you or your child don’t have an allergic reaction to artesunate. If any allergic reactions or other side effects are seen, you or your child will be treated. Costs for hospital and medical care will not be covered by the CDC and will need to be paid by you, your insurer, Medicare, or Medicaid.

We will give you or your child doses of artesunate by vein every 12 hours, with a standard course of 3 doses given over 24 hours. Then we will switch you or your child to another medicine that you or your child can take by mouth.
If we cannot make the switch from medicine by vein to medicine by mouth because you or your child cannot take medicines by mouth, we will continue giving you or your child medicines by vein as needed; but you or your child will not receive artesunate for more than 7 days.

**HOW MANY PEOPLE WILL TAKE PART IN THIS TREATMENT PROGRAM?**
We do not know how many people will need artesunate to treat severe malaria.

**ARE THERE BENEFITS?**
By taking artesunate, you or your child may be cured of severe malaria.

**ARE THERE RISKS?**
Most people do not have serious problems when they take artesunate. In rare cases, some people may develop anemia (low red blood cells) up to one month after they take artesunate. There is a chance that you or your child will have side effects, including an allergic reaction. When we draw blood for tests, or when we inject drugs by vein, there is a chance that this may cause pain, bleeding, or bruises where the needle enters the skin. In rare cases, needle sticks may cause fainting or infection.

**WHAT ARE THE OTHER CHOICES BESIDES THIS PROGRAM?**
Artesunate for Injection™ was approved by the FDA for treatment of severe malaria on May 26, 2020 but may not be available at your hospital in a timely manner. Since there may be a delay between when the drug is approved and when it will be available for hospitals to purchase and stock, CDC is making an investigational artesunate (not the FDA-approved artesunate product) available through this treatment program. Patients at hospitals that do not have artesunate in stock and are unable to get commercially available artesunate are eligible to receive the investigational artesunate from CDC.

**WHAT IF I AM PREGNANT?**
Studies of about 2000 pregnancies found that artesunate did not seem to cause miscarriages or congenital malformations. Also, malaria in itself can be harmful to the pregnant woman and her baby. You and your doctor will have to weigh the risks and benefits of taking artesunate, for you and your baby, if you are pregnant.

**WHAT ABOUT PRIVACY?**
We will keep all information about you or your child as private as the law allows. People who work at CDC and FDA may look at your medical records. Your or your child’s name and personal information will not be used or listed in reports or articles in magazines or journals. However, FDA rules say that CDC has to follow certain laws and rules to give this drug to you or your child. Your or your child’s doctor has to give CDC a report about your or your child’s response to treatment with artesunate. This is necessary because CDC has to report to FDA about the safety of artesunate. If more details about your or your child’s treatment course are needed, your or your child’s doctor might have to give CDC a copy of your or your child’s medical records. Your or your child’s doctor may contact you in the future to obtain follow-up information that could be shared with CDC. FDA and the company that makes artesunate are allowed to look at CDC’s files about participants treated with this drug. Also, CDC is allowed to give your or your child’s name to public health or medical people who, for example, need to find out how you or your child got the infection and how to prevent other cases.
VOLUNTARY PARTICIPATION

It is your choice to take artesunate or for your child to be treated under this protocol.

- You may refuse or stop treatment at any time.
- You or your child will not lose any rights to get other health care by being in this program or by not being in this program.

WHAT IS THE COST OF THIS DRUG?

The drug will be given to you or your child free of charge. The other costs of the hospital and medical care are not covered by the CDC and will need to be paid by you, your or your child’s insurer, Medicare, or Medicaid.

WHAT HAPPENS IF I AM HARMED?

If you are hurt from being in this program, treatment will be given by the medical staff at your or your child’s hospital. CDC does not normally pay for harm done to you or your child as a result of being in a treatment program. Thus, you, your or your child’s insurer, Medicare, or Medicaid will have to pay for any care that is needed. However, by signing this consent form and agreeing to be in this program, you or your child are not giving up any of your or your child’s rights.

WHO CAN I CALL IF I HAVE PROBLEMS OR QUESTIONS?

If you have any questions about this program, you may talk with your doctor, Dr. _____________________ at the following phone number___________________. You may talk with the available CDC malaria doctor at either 770-488-7788 or 770-488-7100. If you feel that you or your child have been harmed, please contact Dr. Francisca Abanyie (at 404-718-4775) or Dr. Kathrine Tan (at 404-718-4701) during normal business hours Monday to Friday, 9 am- 5 pm EST. If you have questions about your or your child’s rights as a participant in this program, please contact CDC’s Human Research Protection Office at (800)584-8814. Please leave a message that includes your name and telephone number and refer to CDC protocol # 7171.
CONSENT STATEMENT

*FAX to CDC within 48 hours of signing (404-471-2555 or 678-530-1153)*

I. PARTICIPANT OR PARTICIPANT’S PARENT IS ABLE TO CONSENT

I have read the form, or it has been read to me. I have been given a chance to ask questions and my questions have been answered. I agree to get or for my child to get intravenous artesunate to help treat severe malaria.

Name of Participant/Parent (print): ______________________________________________

Signature of Participant/Parent: _________________________  Date: ________________

Signature of Witness: ___________________________________  Date: ________________

II. PARTICIPANT IS UNABLE TO CONSENT

(If participant is unable to sign, next of kin or legal guardian may sign)

I have read the form, or it has been read to me. I have been given a chance to ask questions and my questions have been answered. I am the legally authorized representative of the patient listed below and agree to the provision of intravenous artesunate to help treat severe malaria for the patient.

Name of Participant: ______________________________________________

Name of Legally Authorized Representative (print):__________________________________

Signature of Legally Authorized Representative:_________________________  Date: ________________

Signature of Witness: ____________________________________  Date: ________________

NOTE: The participant will be offered a copy of the consent form.

III. IF PARTICIPANT GIVES VERBAL CONSENT BUT IS UNABLE TO SIGN AND NEXT OF KIN OR LEGAL GUARDIAN IS NOT AVAILABLE

The patient should give his/her mark (either an “X” or a thumbprint), and the consenting physician should complete the following:

Name of Participant (print):__________________________________________

Participant’s Mark: _________________________________________________  Date: ________________

I, (Print Physician’s Name)_________________________________________ certify that the above-named participant has read this document, or had it read to them, is unable to sign the document, and has verbally consented to participate. I further certify that a next of kin or a legal guardian who could sign for the participant is not readily available.

Signature of Physician:____________________________  Date: ________________
IV. IF OBTAINING INFORMED CONSENT IS NOT FEASIBLE:

In the event that obtaining informed consent is not feasible because the patient is unable to respond and make wishes known about artesunate treatment and no legal guardian or next of kin is present the following provides for the treating physician to make a clinical determination to treat with artesunate provided that the treating physician and an independent physician certifies to the following within 2 working days of treating the patient with artesunate:

1. Patient is confronted by a life-threatening situation necessitating the use of artesunate.
2. Informed consent cannot be obtained from the patient because of an inability to communicate with or obtain legally-effective consent from the patient.
3. Time is not sufficient to obtain consent from the patient’s legal representative.
4. There is no available alternative method of approved or generally recognized therapy that provides an equal or greater likelihood of saving the life of the patient.

☐ Document above conditions in the patient’s medical record and ensure the patient or patient’s legally authorized representative is made aware that investigational artesunate was administered.

☐ Name & signature of treating physician who made the determination to administer artesunate to patient when informed consent could not be obtained:

<table>
<thead>
<tr>
<th>Name of Treating Physician</th>
<th>Signature</th>
<th>Date</th>
</tr>
</thead>
</table>

☐ Name & signature of second physician, who is not otherwise participating in this treatment protocol, reviewing and evaluating decision to administer artesunate to patient:

<table>
<thead>
<tr>
<th>Name of Independent Physician</th>
<th>Signature</th>
<th>Date</th>
</tr>
</thead>
</table>
APPENDIX II

Participant ID: □□□□□□□□

ELIGIBILITY CRITERIA

Determining eligibility:
A patient is eligible to receive intravenous (IV) artesunate treatment from CDC if at least one criterion is satisfied in EACH of sections A, B AND C.

Section A: (Malaria diagnosis). One of the following criteria must be satisfied:

□ Malaria confirmed by microscopy

□ Strong clinical suspicion of severe malaria, but timely microscopic confirmation is not possible, and parenteral treatment with antimalarial drug is urgently needed.

Section B: (Need for IV treatment). At least one of the following criteria must be satisfied (Check all that apply):

□ Unable to take oral medication
□ Parasitemia ≥ 5% (parasite count:_________)
□ Impaired consciousness
□ Seizures
□ Circulatory collapse/shock( (vasopressors used: □ YES □ NO)
□ Pulmonary edema or acute respiratory distress syndrome
□ Acidosis
□ Acute kidney injury (BUN:________ creatinine:___)
□ Abnormal bleeding or disseminated intravascular coagulation (DIC)
□ Jaundice (bilirubin:__________) [must be accompanied by one other severe criterion]
□ Severe anemia with hemoglobin under 7 g/dL (hemoglobin:_______)

Section C: (Lack of availability). □ Inability to obtain commercially available IV artesunate within 24 hours

DOES THE PATIENT MEET ELIGIBILITY CRITERIA? □ YES □ NO
(At least one criterion in each of the sections A, B, and C)

* Precautions concerning artesunate:

- Patients with known allergy to artesunate or other artemisinin derivatives should not receive artesunate.

- For pregnant women, especially women in the first trimester of pregnancy: the lack of data regarding potential artesunate teratogenicity must be weighed against the risks of severe malaria. Ultimately, malaria is life-threatening for both the pregnant woman and the fetus, and artesunate should not be withheld.

Date Informed Consent form signed (mm/dd/yyyy): ____________/_______/_____
APPENDIX III

Participant ID: □□□□□

DEMOGRAPHICS

1. Today’s date (mm/dd/yyyy): ____________ / / ____________

2. CDC Epidemiologist: _________________________________

3. Station delivering artesunate: _________________________________

4. Patient’s Name: _________________________________

5. Patient’s Medical Record Number: _________________________________

6. Patient’s Date of Birth (mm/dd/yyyy): _________________________________

7. Patient’s Gender: □ Male □ Female

8. Is Patient of Hispanic or Latino ethnicity? □ Yes □ No

9. Check the primary race of the patient. If patient considers him/ herself of more than one race, check ‘Other’ and specify the races:

| □ White | □ Asian |
| □ Black or African American | □ Native Hawaiian or Other Pacific Islander |
| □ American Indian or Alaska Native | □ Other, specify: |

10. Is the patient pregnant: □ Yes, how many weeks? ______ □ No □ N/A

11. Parasite species: □ Pf □ Pv □ Po □ Pm □ Unknown (Check all species that apply)

   □ Smear not performed □ Smear not read

12. Parasite density: _____________________________%

13. Antimalarial drugs administered for treatment prior to starting IV artesunate:

   □ Coartem □ Malarone □ Quinine □ Doxycycline □ Clindamycin □ Mefloquine

   □ Other, specify _____________________________

   □ None
Participant ID: □□□□□□□

14. Admission laboratory results:

<table>
<thead>
<tr>
<th>Lab</th>
<th>Value</th>
<th>Unit</th>
<th>Date drawn (mm/dd/yyyy)</th>
<th>Time drawn</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hemoglobin</td>
<td>g/dL</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Hematocrit</td>
<td>%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Platelets</td>
<td>/uL</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>White blood cells</td>
<td>/uL</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sodium</td>
<td>mmol/L</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Potassium</td>
<td>mmol/L</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Chloride</td>
<td>mEq/L</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Bicarbonate</td>
<td>mmol/L</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>BUN</td>
<td>mg/dL</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Creatinine</td>
<td>mg/dL</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Glucose</td>
<td>mg/dL</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lactate dehydrogenase (LDH)</td>
<td>U/L</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>AST</td>
<td>U/L</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>ALT</td>
<td>U/L</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Bilirubin, total</td>
<td>mg/dL</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

15. Physician’s Name: ____________________________________________

16. Physician’s Contact Information:
   i. Office: _________
   ii. Cellular: ________
   iii. Email Address: __________

17. Hospital Name: ______________________________________________

18. Hospital Address: ____________________________________________
_________________________________________________________________

19. Hospital Phone: ____________________________________________
Determine patient’s dose of artesunate, using patient’s actual body weight (not ideal body weight) (Guilin Pharmaceutical, Guilin, China) **NO FILTER NEEDED FOR ADMINISTRATION:**

**ALL PATIENTS (Adults and children):**

*Artesunate* 2.4 mg/kg at 0 hours, 12 hours, and 24 hours

Reconstitute artesunate (Lot #: Expiration date (mm/dd/yyyy):

The vials provided contain artesunate, the active component. To reconstitute the drug, sodium bicarbonate and sodium chloride injectable solutions (both co-packaged with artesunate) should be used as diluents according to the following instructions:

1. Withdraw 1 mL of the supplied 5% sodium bicarbonate solution (5%, 50 mg/mL) from the glass ampule using a filter needle and sterile syringe.

   To remove the sodium bicarbonate from its sealed glass ampule: Hold the ampule upright and gently tap to remove any excess drug from the top of the vial. Following hospital protocol for aseptic technique under at a minimum International Organization for Standardization (ISO) Class 5 conditions, wipe the ampule with an alcohol swab. While holding gauze around the neck of the ampule, place a thumb and index finger from each hand on either side of the pre-scored neck of the ampule, then snap the neck of the ampule. The product should be inspected to ensure no glass particles fell into the solution. Withdraw the sodium bicarbonate from the ampule using a filter needle and sterile syringe.

2. Aseptically remove the filter needle and attach a non-filter needle to the filled syringe containing the sodium bicarbonate solution.

3. Insert the needle into the vial containing 60 mg of artesunate powder and inject the 1 mL of sodium bicarbonate through the non-filter needle into the vial.

4. Shake the mixture until the powder is completely dissolved and the solution is clear.

5.Withdraw 5 mL of the supplied 0.9% sodium chloride (0.9%, 9 mg/mL), with a filter needle and sterile syringe following the same instructions as in Step 1 above for using aseptic technique to open a glass ampule. Aseptically remove the filter needle and attach a non-filter needle to the filled syringe containing the sodium chloride solution. Insert the needle into the vial containing the reconstituted artesunate in sodium bicarbonate solution and inject the 5 mL sodium chloride solution. Shake mixture until it is clear (resulting in a concentration of 10 mg/mL).

6. Based on the weight-based dose calculations (see Section 8.1), withdraw the corresponding volume of artesunate dose from the vial with a sterile syringe. Inject the required volume slowly intravenously (through an established IV line), over 1-2 minutes. (**NO filter needed**).

   **Note:** *Artesunate should NOT be administered as an intravenous drip.*

7. Administer artesunate within 1 hour of reconstitution. Discard if not used within one hour.

8. Watch patient for 30 minutes. Observe any development of allergic symptoms such as itching, redness, swelling, shortness of breath, chest pain, or watery eyes. *If patient develops any allergic reaction, manage symptoms accordingly and discontinue treatment.*

9. If the patient experiences any Serious Adverse Events (SAEs), report them to CDC within 24 hours by telephone.

10. Complete the Adverse Events Report Form. If the patient experiences any Adverse Events regardless of potential relationship to artesunate, it must be reported within 10 days of the AE.
IV Artesunate for Expanded Access Use in the United States

February 22, 2021 (v6.0)

Participant ID: □ □ □ □ □ □

FIRST ARTESUNATE DOSE (0 Hours)

1. Dosing date (mm/dd/yyyy): ____________ / ____________ / ____________

2. Participant’s weight: ________________ kg

3. Dose ordered: ________________ mg

4. Dosing start time (hh:mm): ____________ : ____________ ; Dosing stop time (hh:mm): ____________ : ____________

5. Did participant receive the entire dose? ☐ Yes ☐ No
   Total amount received: ________________ ml
   Record the reason the full dose was not received: ________________________________

SECOND ARTESUNATE DOSE (12 Hours)

Was participant dosed? ☐ Yes (complete below) ☐ No; reason not dosed: ____________________ (section is complete)

1. Dosing date (mm/dd/yyyy): ____________ / ____________ / ____________

2. Dose ordered: ____________ mg

3. Dosing start time (hh:mm): ____________ : ____________ ; Dosing stop time (hh:mm): ____________ : ____________

4. Did participant receive the entire dose? ☐ Yes ☐ No
   Total amount received: ________________ ml
   Record the reason the full dose was not received: ________________________________

THIRD ARTESUNATE DOSE (24 Hours)

Was participant dosed? ☐ Yes (complete below) ☐ No; reason not dosed: ____________________ (section is complete)

1. Dosing date (mm/dd/yyyy): ____________ / ____________ / ____________

2. Dose ordered: ________________ mg

3. Dosing start time (hh:mm): ____________ : ____________ ; Dosing stop time (hh:mm): ____________ : ____________

4. Did participant receive the entire dose? ☐ Yes ☐ No
   Total amount received: ________________ ml
   Record the reason the full dose was not received: ________________________________

- 36 -
Participant ID: □□□□□□□

Were additional doses of artesunate needed after 3 doses?

□ No

□ Yes

1. If yes, why?  □ still unable to take oral medication    □ parasitemia >1%
   □ Other, specify ________________________________

2. Indicate the additional IV artesunate doses administered by completing table below:

<table>
<thead>
<tr>
<th>Additional Dose #</th>
<th>Dose (mg) Administered</th>
<th>Date (mm/dd/yyyy)</th>
<th>Start Time (hh:mm)</th>
<th>End Time (hh:mm)</th>
<th>Full Dose Administered (Yes/No)</th>
<th>If No, Explain</th>
</tr>
</thead>
<tbody>
<tr>
<td>4th</td>
<td></td>
<td>/ / /</td>
<td>: :</td>
<td>: :</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5th</td>
<td></td>
<td>/ / /</td>
<td>: :</td>
<td>: :</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6th</td>
<td></td>
<td>/ / /</td>
<td>: :</td>
<td>: :</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7th</td>
<td></td>
<td>/ / /</td>
<td>: :</td>
<td>: :</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8th</td>
<td></td>
<td>/ / /</td>
<td>: :</td>
<td>: :</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9th</td>
<td></td>
<td>/ / /</td>
<td>: :</td>
<td>: :</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
**Follow-on Oral Treatment (oral antimalarial given after artesunate regimen is complete)** The follow-on oral drug should be initiated on the last day of artesunate treatment, at least 4 hours after and within 24 hours from the last dose of artesunate. Use ONE of the following, by decreasing order of preference, if patient can tolerate oral medication:

**Artemether-lumefantrine (Coartem) (first choice)**
The patient should receive the initial dose, followed by the second dose 8 hours later, then 1 oral dose twice daily for the following 2 days (a total of 6 doses over 3 days).

1 tablet = 20mg artemether/ 120 mg lumefantrine

- 5 - <15 kg: 1 tablet per dose; initial dose then second dose at 8 hours then 1 dose bid for the following 2 days
- 15 - <25 kg: 2 tablets per dose; initial dose then second dose at 8 hours, then 1 dose bid for the following 2 days
- 25 - <35 kg: 3 tablets per dose; initial dose then second dose at 8 hours, then 1 dose bid for the following 2 days
- ≥35 kg: 4 tablets per dose; initial dose then second dose at 8 hours, then 1 dose bid for the following 2 days

Note: Artemether-lumefantrine can be used in second and third trimesters of pregnancy. It can be used in first trimester of pregnancy if no other drug options are available. It is not recommended in infants weighing <5 kg.

**Atovaquone-proguanil (Malarone) (second choice)**
Adults: Tabs of 250/100 mg (adult tabs): four tabs po daily for 3 consecutive days
Children: Tabs of 62.5/25 mg (peds tabs) or 250/100 mg (adult tabs) po at the following weight-based doses:

- 5 – <8 kg: 2 peds tabs po daily for 3 consecutive days
- 8 – <10 kg: 3 peds tabs po daily for 3 consecutive days
- 10 – <20 kg: 1 adult tab po daily for 3 consecutive days
- 20 – <30 kg: 2 adult tabs po daily for 3 consecutive days
- 30 – <40 kg: 3 adult tabs po daily for 3 consecutive days
- ≥ 40 kg: 4 adult tabs po daily for 3 consecutive days

Note: Atovaquone-proguanil is not recommended for infants under 5 kg.

**Quinine + doxycycline (or clindamycin for children under 8 years or pregnant women) (third choice; for patients who cannot take artemether-lumefantrine or atovaquone-proguanil)**

- Adults (excluding pregnant women): quinine 650 mg salt tid x 3 days* + 100 mg doxycycline po bid for 7 days
- Children (8 years or older): quinine 10 mg salt/kg tid x 3 days* + 2.2 mg/kg/dose doxycycline every 12 hours for 7 days
- Children under 8 years or pregnant women: instead of doxycycline, administer clindamycin 20 mg/kg/day divided tid for 7 days

*7 days for infections acquired in Southeast Asia

**Mefloquine (fourth choice, for patients who cannot take artemether-lumefantrine, atovaquone-proguanil, quinine + doxycycline or clindamycin)**

- Adults: Initial dose of 750 mg salt po followed by 500 mg salt 6-12 hours after initial dose
- Children: Initial dose of 15 mg salt/kg po followed by 10 mg salt/kg po given 6-12 hours after initial dose

 Patients still not tolerating oral medications after 24 hours of IV artesunate:
If, after 24 hours of treatment with IV artesunate, the patient still cannot tolerate oral medications, continue IV artesunate, 1 dose daily, up to an additional 6 days. The total course should not exceed 7 days (a total of 9 doses). As soon as the patient can tolerate oral medications, switch to an appropriate oral follow-on treatment.
FOLLOW-ON DRUG REGIMEN

Was the participant started on an oral antimalarial after artesunate doses completed?
☐ Yes (complete below) ☐ No, reason not started: ________________________________

NOTE: follow-on doses should not take into account doses provided prior to artesunate.

Check medication given (check one):
☐ Coartem ☐ Malarone ☐ Quinine + Doxycycline ☐ Mefloquine
☐ Quinine + Clindamycin (children under 8 years or pregnant women)
☐ Other, specify ________________________________

Complete dosing information in table below. Cross through, initial & date any rows not needed.

<table>
<thead>
<tr>
<th>Date of dosing</th>
<th>Time of dosing</th>
</tr>
</thead>
<tbody>
<tr>
<td>(mm/dd/yyyy)</td>
<td>(hh:mm)</td>
</tr>
<tr>
<td><strong><strong>/</strong></strong>/_______</td>
<td><strong><strong>:</strong></strong></td>
</tr>
<tr>
<td><strong><strong>/</strong></strong>/_______</td>
<td><strong><strong>:</strong></strong></td>
</tr>
<tr>
<td><strong><strong>/</strong></strong>/_______</td>
<td><strong><strong>:</strong></strong></td>
</tr>
<tr>
<td><strong><strong>/</strong></strong>/_______</td>
<td><strong><strong>:</strong></strong></td>
</tr>
<tr>
<td><strong><strong>/</strong></strong>/_______</td>
<td><strong><strong>:</strong></strong></td>
</tr>
<tr>
<td><strong><strong>/</strong></strong>/_______</td>
<td><strong><strong>:</strong></strong></td>
</tr>
<tr>
<td><strong><strong>/</strong></strong>/_______</td>
<td><strong><strong>:</strong></strong></td>
</tr>
<tr>
<td><strong><strong>/</strong></strong>/_______</td>
<td><strong><strong>:</strong></strong></td>
</tr>
<tr>
<td><strong><strong>/</strong></strong>/_______</td>
<td><strong><strong>:</strong></strong></td>
</tr>
<tr>
<td><strong><strong>/</strong></strong>/_______</td>
<td><strong><strong>:</strong></strong></td>
</tr>
</tbody>
</table>

- 39 -
Participant ID: □□□□□□□□

**Malaria Microscopy**

Record all smears for the participant in the tables below. Cross through, initial and date any portion of the page not needed. 

If a smear was performed, do not leave any rows of the table blank - Record ‘0’ for those smears where parasites are not present.

*Provide parasitemia levels until 0% parasitemia is met; use additional paper or space as needed.*

Date drawn (mm/dd/yyyy): / / ; Time drawn (hh:mm): :

<table>
<thead>
<tr>
<th>Parasite Species</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td><em>P. falciparum</em></td>
<td></td>
</tr>
<tr>
<td><em>P. vivax</em></td>
<td></td>
</tr>
<tr>
<td><em>P. ovale</em></td>
<td></td>
</tr>
<tr>
<td><em>P. malariae</em></td>
<td></td>
</tr>
<tr>
<td>Unknown</td>
<td></td>
</tr>
</tbody>
</table>

Date drawn (mm/dd/yyyy): / / ; Time drawn (hh:mm): :

<table>
<thead>
<tr>
<th>Parasite Species</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td><em>P. falciparum</em></td>
<td></td>
</tr>
<tr>
<td><em>P. vivax</em></td>
<td></td>
</tr>
<tr>
<td><em>P. ovale</em></td>
<td></td>
</tr>
<tr>
<td><em>P. malariae</em></td>
<td></td>
</tr>
<tr>
<td>Unknown</td>
<td></td>
</tr>
</tbody>
</table>

Date drawn (mm/dd/yyyy): / / ; Time drawn (hh:mm): :

<table>
<thead>
<tr>
<th>Parasite Species</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td><em>P. falciparum</em></td>
<td></td>
</tr>
<tr>
<td><em>P. vivax</em></td>
<td></td>
</tr>
<tr>
<td><em>P. ovale</em></td>
<td></td>
</tr>
<tr>
<td><em>P. malariae</em></td>
<td></td>
</tr>
<tr>
<td>Unknown</td>
<td></td>
</tr>
</tbody>
</table>

Date drawn (mm/dd/yyyy): / / ; Time drawn (hh:mm): :
Participant ID: □□□□□

Adjunctive and supportive treatments used
Check all that apply:
☐ Blood transfusion
☐ Exchange transfusion
☐ Intubation
☐ Dialysis
☐ Vasopressors
☐ Other, specify ____________________
☐ None

Laboratory Results
Record at least 1 set of laboratory results during or within 8 hours of completion of IV artesunate treatment. If labs are drawn on multiple days, select the lab values drawn closest to administration of the last dose.

<table>
<thead>
<tr>
<th>Lab</th>
<th>Value</th>
<th>Unit</th>
<th>Date drawn (mm/dd/yyyy)</th>
<th>Time drawn (hh:mm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hemoglobin</td>
<td></td>
<td>g/dL</td>
<td>/ /</td>
<td>: :</td>
</tr>
<tr>
<td>Hematocrit</td>
<td></td>
<td>%</td>
<td>/ /</td>
<td>: :</td>
</tr>
<tr>
<td>Platelets</td>
<td></td>
<td>/uL</td>
<td>/ /</td>
<td>: :</td>
</tr>
<tr>
<td>White blood cells</td>
<td></td>
<td>/uL</td>
<td>/ /</td>
<td>: :</td>
</tr>
<tr>
<td>Sodium</td>
<td></td>
<td>mmol/L</td>
<td>/ /</td>
<td>: :</td>
</tr>
<tr>
<td>Potassium</td>
<td></td>
<td>mmol/L</td>
<td>/ /</td>
<td>: :</td>
</tr>
<tr>
<td>Chloride</td>
<td></td>
<td>mEq/L</td>
<td>/ /</td>
<td>: :</td>
</tr>
<tr>
<td>Bicarbonate</td>
<td></td>
<td>mmol/L</td>
<td>/ /</td>
<td>: :</td>
</tr>
<tr>
<td>BUN</td>
<td></td>
<td>mg/dL</td>
<td>/ /</td>
<td>: :</td>
</tr>
<tr>
<td>Creatinine</td>
<td></td>
<td>mg/dL</td>
<td>/ /</td>
<td>: :</td>
</tr>
<tr>
<td>Glucose</td>
<td></td>
<td>mg/dL</td>
<td>/ /</td>
<td>: :</td>
</tr>
<tr>
<td>Lactate dehydrogenase (LDH)</td>
<td></td>
<td>U/L</td>
<td>/ /</td>
<td>: :</td>
</tr>
<tr>
<td>AST</td>
<td></td>
<td>U/L</td>
<td>/ /</td>
<td>: :</td>
</tr>
<tr>
<td>ALT</td>
<td></td>
<td>U/L</td>
<td>/ /</td>
<td>: :</td>
</tr>
<tr>
<td>Bilirubin, total</td>
<td></td>
<td>mg/dL</td>
<td>/ /</td>
<td>: :</td>
</tr>
</tbody>
</table>
Participant ID: □□□□□□□

END OF TREATMENT

1. End date* (mm/dd/yyyy): _______ / _______ / _______ (completion of follow-on drug)

2. Did participant complete treatment (artesunate + follow-on drug)? □Yes □No (complete below)
   
   If not, check one primary reason the participant did not complete the treatment:

   □ Adverse event (AE)/ Serious adverse event (SAE)
   □ Death
   □ Withdrawal of consent (check one)
   □ Investigator request
   □ Participant request
   □ Lost to follow-up
   □ Other, specify ____________________________

*Complete ‘End date’ as follows:
If participant

<table>
<thead>
<tr>
<th>Record date as</th>
</tr>
</thead>
<tbody>
<tr>
<td>Completed through day XX          Day XX visit date</td>
</tr>
<tr>
<td>Discontinued due to AE/SAE       Date it was determined to discontinue due to AE/SAE</td>
</tr>
<tr>
<td>Died                            Date of death</td>
</tr>
<tr>
<td>Withdrawal of consent            Date of withdrawal (either investigator request or participant request)</td>
</tr>
<tr>
<td>Lost to follow-up                Date of last contact with subject (telephone call, office visit, etc.)</td>
</tr>
<tr>
<td>Other                           Date of ‘Other’ event</td>
</tr>
</tbody>
</table>

I have reviewed all case report forms and attest that the data contained in them are accurate and complete.

_________________________________________ / /
Clinician’s Signature                  Date Signed (mm/dd/yyyy)
APPENDIX V

Participant ID: □□□□□□□□

**Adverse Event Reporting** (make multiple copies of this page if required) Record Adverse Events (AE) reported/observed for the participant

OR **Check box below if NO adverse events experienced by patient.**

☐ NO adverse events (form is complete)

For all AEs: Fill out this form and fax or email it to the CDC Malaria Branch [fax: 678-530-1153 or 404-471-2555; email: why6@cdc.gov or ktan@cdc.gov] or mail it to the CDC Malaria Branch (Address: 1600 Clifton Road NE, MS A-06, Atlanta, GA 30329-4027) within 10 calendar days from the AE.

If serious adverse events (SAEs) occur: Notify CDC within 24 hours by telephone. Call the CDC Malaria Hotline at 770-488-7788 from 9:00am to 5:00 pm Eastern time; after hours call the CDC Emergency Operations Center at 770-488-7100. Ask to speak with the malaria clinician on call.

<table>
<thead>
<tr>
<th>Adverse event name &amp; description (see supplemental form below if hemolysis)</th>
<th>Severity</th>
<th>Was this an SAE?</th>
<th>If yes, specify:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>□ Mild</td>
<td>□ Moderate</td>
<td>□ Severe</td>
</tr>
<tr>
<td>□ No</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>□ Yes</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

| Date and Time of Onset | Date: mm/dd/yyyy Time: hh:mm |
| Date and Time of Resolution | Date: mm/dd/yyyy Time: hh:mm |

<table>
<thead>
<tr>
<th>Relationship to:</th>
<th>Artesunate</th>
<th>Follow-on drug</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>□ Not Related</td>
<td>□ Unlikely</td>
</tr>
<tr>
<td></td>
<td>□ Possible</td>
<td>□ Probable</td>
</tr>
<tr>
<td>Did AE require treatment?</td>
<td>□ No</td>
<td>□ Yes</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Action taken with artesunate due to AE</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>□ None</td>
<td></td>
</tr>
<tr>
<td>□ Dosing changed: describe</td>
<td></td>
</tr>
<tr>
<td>□ Dosing temporarily interrupted: describe</td>
<td></td>
</tr>
<tr>
<td>□ Artesunate permanently discontinued</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Did AE require treatment?</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>□ Recovered</td>
<td>□ Ongoing</td>
</tr>
<tr>
<td>□ Death</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Concurrent medications at time of event</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>List all with start and stop dates (mm/dd/yyyy):</td>
<td></td>
</tr>
</tbody>
</table>

Notes
Supplemental Adverse Event Form for Delayed Hemolysis

Weekly laboratory evaluation for up to 4 weeks after treatment should be conducted to assess for post-artesunate delayed hemolysis. Labs should include hemoglobin, reticulocyte count, haptoglobin, lactate dehydrogenase (LDH), and total bilirubin.

If hemolysis occurs **7-28 days** after treatment with IV artesunate, provide the following laboratory values at hemoglobin nadir:

<table>
<thead>
<tr>
<th>Lab</th>
<th>Value</th>
<th>Unit</th>
<th>Date drawn (mm/dd/yyyy)</th>
<th>Time drawn (hh:mm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hemoglobin</td>
<td></td>
<td>g/dL</td>
<td>/ /</td>
<td>:</td>
</tr>
<tr>
<td>Reticulocytes</td>
<td></td>
<td>%</td>
<td>/ /</td>
<td>:</td>
</tr>
<tr>
<td>Haptoglobin</td>
<td></td>
<td>mg/dL</td>
<td>/ /</td>
<td>:</td>
</tr>
<tr>
<td>Lactate dehydrogenase (LDH)</td>
<td></td>
<td>U/L</td>
<td>/ /</td>
<td>:</td>
</tr>
<tr>
<td>Bilirubin, total</td>
<td></td>
<td>mg/dL</td>
<td>/ /</td>
<td>:</td>
</tr>
</tbody>
</table>
APPENDIX VI INVESTIGATIONAL DRUG ACCOUNTABILITY AND RETURN FORM

A pharmacist should complete and return this form within 14 days of the patient completing treatment. Form should be returned to the CDC Drug Service: drugservice@cdc.gov or fax (404) 639-3717

DRUG ACCOUNTABILITY:

# of Dose Kits Received: ________________ Date Received: ___ / ___ / ___

Lot # of product: ________________

# of Dose Kits Used: ________________ Date(s) Used: START Date: ___ / ___ / ___

END Date: ___ / ___ / ___

# of Dose Kits Remaining: ________________

RETURN of UNUSED IV ARTESUNATE VIALS:

Unopened and unused IV artesunate and diluents should be returned to CDC Drug Service by shipping to following address for arrival on a weekday:

CDC DRUG SERVICE,
Mailstop H23-6 1600 CLIFTON RD NE. ATLANTA, GA 30329
Telephone: 404-639-3670

Please contact CDC Drug Service (404-639-3670 or drugservice@cdc.gov) to notify the staff of the return shipment of IV artesunate and provide shipment information (e.g., date of shipment, arrival date, courier, tracking #).

# of Dose Kits Returned: ________________ Date Returned: ___ / ___ / ___

*If unopened and unused IV artesunate and diluents cannot be returned, contact CDC Drug Service: 404-639-3670 or drugservice@cdc.gov

Name of Pharmacist Responsible for Product Accountability: ______________________________

Signature of Pharmacist Responsible for Product Accountability: ___________________________