2 Section A: Overview of Transmission of Pathogens in Healthcare Settings

3 Overview

- 4 Section A updates the conceptual framework for pathogen transmission, providing the rationale for
- 5 recommended infection prevention and control interventions. This framework focuses on elements of
- 6 transmission that are relevant in healthcare settings.
- 7 This guideline replaces the corresponding content in the "2007 Guideline for Isolation Precautions: Preventing
- 8 Transmission of Infectious Agents in Healthcare Settings," hereafter referred to as the '2007 Guideline.'1
- 9 Elements of the 2007 Guideline that are not directly affected by this update, including the 2007 Guideline's
- 10 Appendix A (hereafter referred to as "<u>Appendix A [2007]</u>"), will remain active until updated specifically.²
- 11 Pathogen-specific guidance that is currently in <u>Appendix A (2007)</u> will be updated over time and added as Part 2
- 12 of this guidance in the future.

13 Background

14 Factors Affecting Transmissibility

- 15 Transmission occurs when an at-risk person acquires a pathogen from an infectious person. Transmission is
- 16 determined by pathogen, environmental, and person factors at the time of event. While pathogen factors are
- 17 often biologically intrinsic (e.g., the ability of a pathogen to remain viable during transit), environmental and
- 18 person-specific factors may vary by location and over time. Environmental variables include air (e.g.,
- 19 temperature, humidity, ventilation) and surface (e.g., material, porosity) conditions. Factors that vary among
- 20 infectious persons include pathogen load and shedding rate. Factors that vary among at-risk persons include
- 21 host defense mechanisms that are non-immune-based (e.g., intact skin) and immune-based (e.g., pathogen-
- 22 specific immunity from prior infection or vaccination).

23 Significance of Transmission

- 24 Transmission can result in colonization or infection. Based on the health impact that a pathogen is expected to
- 25 have on an individual or the community, some pathogens are recognized as requiring intensive efforts to
- 26 prevent transmission, while others may not rise to that level. Less intensive effort might be indicated when
- 27 outcomes are not usually severe, the population has a high degree of immunity, and effective therapeutics and
- vaccines are available. The boundaries describing those categories require risk assessment and can vary by
 setting and population at risk.

30 Transmission Pathways

- 31 In the healthcare setting, pathogen transmission pathways can be grouped into two broad categories:
- 32 pathogens that spread via the air, and pathogens that spread via touch. Pathogens generally spread via a major
- 33 pathway, though multiple pathways might contribute to spread. Pathogen transmission epidemiology is
- 34 informed by observing patterns of infection spread.

35 Transmission via air

Pathogens can transmit via air over short distances through direct splash or spray of the pathogen onto a part of the body (e.g., spray from a sneeze landing on a person's eyes or mouth) or variably across ranges of distance

- 38 and time via suspended infectious particles. Pathogens suspended in the air cause infection via inhalation and
- deposition along the respiratory tract, anywhere from the nasal or oral passages to the lungs.
- 40 Historically, the infection prevention community has categorized transmission of respiratory pathogens as
- 41 'droplet' or 'airborne.' While these epidemiologic terms reflect observed patterns of short versus long distance
- 42 transmission respectively, the terms do not explicitly describe the continuum of respiratory pathogen
- 43 transmission through in the air.
- 44 Pathogens that spread via the air preferentially transmit over short distances, due to greater concentrations of
- 45 infectious particles in the air near an infectious person. However, each pathogen has a signature pattern of
- 46 observed transmission that extends variably across short-to-long distances and over time, reflecting unique
- 47 characteristics such as pathogen viability while suspended in the air and the required dose for causing an
- 48 infection in a susceptible person. Pathogens that remain infectious for a long time while suspended in the air
- 49 (e.g., *M. tuberculosis*, measles virus, and varicella virus) are capable of causing infections over long distances,
- 50 such as across a large part of a building or healthcare facility.

51 Transmission via touch

- 52 Transmission via touch occurs through physical contact with the pathogen. Transmission in healthcare settings
- 53 can occur via contact with intact skin, non-intact skin (including percutaneous routes such as needlestick injury),
- 54 or mucous membranes of the face and gastrointestinal tract.
- 55 Intact skin is inherently protective and resists infection by most pathogens. Some pathogens encountered in
- 56 healthcare settings can infect intact skin, including exoparasites, herpesviruses, and poxviruses. Potentially
- 57 pathogenic bacteria and fungi can cause short- or long-term colonization of intact skin, which can be a reservoir
- 58 for infection of the colonized person or for transmission to other individuals.
- 59 Percutaneous exposures, through non-intact skin or via skin trauma (e.g., by a needlestick), can deliver potential
- 60 pathogens to susceptible tissues normally protected by skin. Pathogens that are present in the blood and body
- 61 fluids of infected individuals (e.g., hepatitis B and C viruses, HIV, Ebola virus) can be transmitted by
- 62 percutaneous delivery of those fluids.
- 63 Pathogens that spread by contact with mucous membranes include organisms that target the gastrointestinal
- tract and those that can infect any mucosal surface. Bloodborne pathogens that transmit percutaneously canalso transmit via mucous membrane contact.
- 66 Transmission by touch can involve intermediary reservoirs such as people, surfaces, or equipment that facilitate
- 67 spread. Potential reservoirs include healthcare personnel (e.g., transient hand carriage with pathogenic
- bacteria), shared medical equipment (e.g., stethoscopes, blood pressure cuffs), environmental surfaces (e.g.,
- 69 bedrails and sink counter tops), and water systems (e.g., water supply or wastewater drainage).

70 Approach to Transmission-Based Precaution Recommendations

- 71 Recommendations for Transmission-Based Precautions are based on evaluation of clinical epidemiologic studies
- 72 in healthcare settings. Evidence reviews in this guideline focus on clinical studies with infection outcomes
- because such studies compare prevention strategies in the context of feasibility, user adherence, and
- 74 implementation within a hierarchy of controls (e.g., engineering, administrative, and personal protective
- equipment controls) available in the healthcare setting to reduce risk of infection. The methodology and
- revidence reviews informing recommendations in this guideline are available in this guideline's Appendix.

- 77 Recommendations in this guideline largely address infection prevention strategies available to frontline
- 78 healthcare personnel (HCP) at the point of care.

79

Section B: Fundamental Elements Needed to Prevent Transmission of Pathogens in Healthcare 80

81 Settings

82 **Overview**

83 Section B describes the fundamental elements of infection prevention available to frontline healthcare

84 personnel (HCP) in healthcare settings, with a focus on personal protective equipment (PPE). Other important

85 elements such as hand hygiene and environmental controls are highlighted, with details referred to other 86 existing guidelines.

87 The use of PPE falls within a hierarchy of controls designed to reduce risk of illness or injury for both infectious and non-infectious exposures in the workplace.³ In healthcare, multiple controls are used to lower the risk of 88 89 transmission of pathogens that may result in infection. The hierarchy of controls, in preferred order of action 90 based on general effectiveness, has five components:

- 91 • Elimination (remove or prevent entry of the pathogen into a facility, e.g., using virtual instead of in-92 person visits to manage some potentially infectious patients)
- 93 Substitution (although generally not applied to infectious pathogens, refers to substituting a more • hazardous agent with a less hazardous form, e.g., substituting toxigenic C. difficile with non-toxigenic C. 94 95 difficile)
- 96 Engineering Controls (isolate, capture, and reduce levels of pathogen in the environment, e.g., 97 improving ventilation)
- Administrative Controls (work policies and procedures that prevent pathogen exposure and disease, 98 • e.g., vaccination of HCP)
- Personal Protective Equipment (PPE used to prevent pathogen exposure and spread) 100 ٠

PPE is last in the hierarchy because it relies on the user to determine appropriate use (e.g., time, situation) and 101 102 to use PPE correctly, depends on availability at the point of care, and depends on PPE to function properly. 103 Other components may be more reliable in reducing risk when applied and maintained at the facility level (e.g.,

104 ventilation).

99

105 Hand Hygiene

106 Hand hygiene is a foundational component of infection prevention and control. Routine use of alcohol-based

107 hand sanitizer — and handwashing with soap and water when hands are visibly soiled or when otherwise

108 indicated — prevents transmission of potential pathogens to patients, personnel, and environmental surfaces

109 from hands that are soiled or transiently colonized. Detailed recommendations for hand hygiene are addressed

in the CDC Guideline for Hand Hygiene in Health-Care Settings.⁴ 110

111 Personal Protective Equipment (PPE) for Healthcare Personnel

112 **General considerations**

- 113 **Recommendations:**
- 114 1. HCP must be trained and demonstrate competency in the selection, putting on, use, removal, and disposal of PPE.^{5,6} (*Standard Practice*) 115
- 2. Employers in healthcare settings are required to provide readily available PPE to healthcare personnel 116 (HCP), ideally at or near likely points of use.^{5,6} (Standard Practice) 117

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- Sizing and models should be chosen to accommodate the needs of the local workforce.^{5,6} (Standard Practice)
- 120 Narrative:

121 PPE refers to various barriers (e.g., gowns, gloves), masks, and respirators used alone or in combination to 122 interrupt transmission of pathogens by touch or air.

123 'Reuse' refers to the use of the same PPE item for multiple encounters with different patients, with removal of

124 the PPE item between encounters. PPE can consist of products that are labeled for single use or as reusable.

125 Single use PPE is not intended to be reused. Reusable items are reprocessed between uses according to

126 manufacturer's instructions for use.

127 'Extended use' refers to use of the same PPE item for encounters with different patients, without removing the

- PPE item between patient encounters. Extended use is not considered standard practice and should be avoided
 unless otherwise specified in recommendations (e.g., extended use of masks for source control).
- Sterile gloves, gowns and other PPE used for surgery and aseptic procedures are addressed in the <u>CDC Surgical</u>
 Site Infection guidelines.⁷
- The <u>CDC PPE sequence document</u> demonstrates one approach to appropriate technique for putting on and
 removing each type of PPE.⁸

134 Gloves

- 135 Recommendations:
- 136 Indications
- Non-sterile gloves are indicated in any of the following situations: (1) any anticipated contact with body
 fluids or infectious material, (2) touching mucous membranes or non-intact skin, (3) handling soiled
 items such as used wound dressings, and (4) as indicated by Transmission-Based Precautions.⁵ Activities
 that do not meet these criteria do not require gloves. (*Standard Practice*)

141 Use

- HCP should perform hand hygiene prior to reaching into a box of non-sterile exam gloves and putting on
 gloves, to reduce the risk of contaminating both the remaining gloves in the box and the gloves being
 put on.⁹⁻¹¹ (*Expert Opinion*)
- 1453. During care of a single patient, gloves should be changed after a task or procedure if contact occurs with146potentially infectious material (e.g., if moving from a dirty task to a clean task).⁵ (Standard Practice)
- 147 4. Remove gloves if torn or soiled, and before caring for another patient.⁵ (*Standard Practice*)
- Hand hygiene should be performed immediately after removing gloves, because pathogens on used
 gloves can contaminate hands during glove removal.⁵ (*Standard Practice*)
- 150 6. HCP should not practice extended glove use in place of hand hygiene.⁵ (*Standard Practice*)
- 151 Selection
- Non-sterile gloves should be available in a range of sizes so that all users will be able to select a glove that fits comfortably without excess material that could impair function.¹² (*Standard Practice*)
- 154

155 Narrative:

- 156 Non-sterile exam gloves are worn to provide a protective barrier between hands and soiled material or surfaces,
- and to allow efficient removal of infectious material from hands by removing and discarding soiled gloves. Glove
- use is not a substitute for hand hygiene. Non-latex gloves are available for personnel with latex allergies.
- 159 Medical gloves, including non-sterile examination gloves that are used as part of Standard and Transmission-
- 160 based Precautions, are regulated by the United States Food and Drug Administration (FDA) to ensure that
- 161 performance criteria, such as leak resistance, certain physical properties, and biocompatibility, are met.^{13,14} FDA-
- approved medical gloves are also used by HCP for routine disinfection of surfaces or medical equipment
- 163 contaminated with blood or body fluids. Gloves that are used for routine janitorial functions in medical facilities
- are not regulated by FDA and might have specific requirements for chemical compatibility, thickness, and
- 165 durability beyond that of medical gloves.
- 166 **Gowns**
- 167 Recommendations:
- 168 Indications
- Non-sterile gowns are indicated in any of the following situations: (1) when an activity is anticipated to
 contaminate HCP clothing through direct touch or splash, and (2) as indicated by Transmission-Based
 Precautions.⁵ (Standard Practice)
- 172 Use
- 173 2. Gowns should be worn to cover the individual's clothing with all fasteners secured. (*Standard Practice*)
- 174 Narrative:
- 175 Gowns used in healthcare are intended to protect HCP and patients from transfer of infectious material.
- 176 Infectious material can transfer from one patient or environment to another on the clothing of HCP.¹⁵⁻¹⁷ Gowns
- also provide an easily removable layer in the event of recognized soiling (e.g., splash or spray) that would
- 178 otherwise require the HCP to change clothes. Gowns can be single use or reusable; reusable gowns are
- 179 reprocessed between uses.
- 180 The National Institute for Occupational Safety and Health (NIOSH) provides a detailed discussion of factors for 181 consideration when choosing gowns in <u>Considerations for Selecting Protective Clothing used in Healthcare for</u> 182 <u>Protection against Microorganisms in Blood and Body Fluids</u>.¹⁸ Some factors that influence these decisions 183 include intended use, fabric strength, liquid barrier resistance, and the extent of coverage. In addition, the ease 184 of putting on and taking off gowns is an important consideration in product selection, to decrease the risk of
- 185 self-contamination while removing.
- 186 Masks
- 187 Recommendations:
- 188 Indications
- Masks are indicated in any of the following situations: (1) when an activity is anticipated to create
 splashes or spray to the face, (2) as source control, and (3) as indicated by Transmission-Based
 Precautions.⁵ (Standard Practice)

192 Use

- Masks should not be reused⁵ as they can serve as a reservoir of infectious material if they become soiled during use.¹⁹⁻²³ (*Standard Practice*)
- 195 3. Masks should be changed when soiled, damaged, or harder to breathe through (*Standard Practice*)
- Extended use is not practiced with masks except when used for source control, and then disposed of
 when removed or after use when caring for a patient on Transmission-Based Precautions.^{24,25} (Standard
 Practice)
- 199 Selection
- A fluid resistant mask should be used in situations when splashes and sprays are anticipated.¹²
 (*Standard Practice*)
- 202 Narrative:
- 203 Masks are devices worn over the nose and mouth that perform three primary functions: (1) block direct splashes
- to the mucous membranes of the nose and mouth, (2) contain exhaled respiratory secretions (source control),
- and (3) provide filtration of inhaled air. Masks include surgical masks, face masks (sometimes referred to as
- 206 procedure masks), and <u>enhanced barrier face coverings</u>.²⁶
- 207 Among mask types, efficacy can vary depending on fit. Well-fitting masks refer to masks that fit closely against
- 208 the face with minimal gaps, especially along the edges of the mask. A loose-fitting mask may block splashes from
- reaching the nose or mouth, but may not fully contain secretions of the wearer or efficiently filter inhaled air.
- 210 Well-fitting masks may include: any mask approved for use in healthcare that fits well without adjustment;
- 211 masks with adjustments or modifications, such as knotted ear loops or mask fitters^{27,28}; and enhanced barrier
- 212 face coverings.²⁶

213 Respirators

- 214 Recommendations:
- 215 Indications
- 216 1. Respirators are used as indicated by Transmission-Based Precautions. (*Standard Practice*)

217 Use

- A seal check should be performed each time an HCP puts on a fit-tested respirator to ensure that the
 respirator is properly seated on the face.²⁹ (*Standard Practice*)
- 3. Single use disposable respirators should not be reused⁵ as they can serve as reservoir of infectious
 material if they become soiled during use. (*Standard Practice*)
- Reusable respirators must be cleaned, disinfected, and dried between uses according to the
 manufacturer's instructions for use. (*Standard Practice*)
- 224 5. Optimally, extended use is not practiced with single use respirators except when used for source control
 225 and then disposed of when removed or after use when caring for a patient on Transmission-Based
 226 Precautions.²⁴ (*Standard Practice*)
- 6. Respirators should be changed when soiled, damaged, or harder to breathe through (*Standard Practice*)
- 228 Selection

- A fluid resistant respirator should be used in situations when splashes and sprays are anticipated.¹²
 (*Standard Practice*)
- 231 Narrative:
- Respirators are devices worn over the nose and mouth that provide filtration of inhaled air. Respirators work by
- passing air delivered to the wearer through a filter with defined filtration efficacy. Respirators may perform two
- additional functions similar to masks: (1) block direct splashes to the mucous membranes of the nose and mouth
- 235 (if fluid-resistant), and (2) contain exhaled respiratory secretions (source control), if the respirator is the type
- that filters exhaled air. In most situations, respirators can be worn in place of a mask, whenever a mask is
- 237 indicated (See Masks Recommendations: Indications).
- 238 Respirators may be either disposable or reusable. Disposable filtering facepieces, such as NIOSH-approved® N95
- respirators, are most common in healthcare settings. Reusable powered air purifying respirators (PAPRs) are
- often used when HCP cannot pass fit testing (e.g., due to the presence of facial hair). Reusable elastomeric
- 241 respirators are used in some circumstances (e.g., during shortages of disposable respirators).
- 242 It is important to limit the amount of inhaled air that comes from leaks around the respirator, because leaked air
- 243 is not filtered. Filtration efficacy for fit-tested respirators is expected to be greater than that for masks. Factors
- 244 that influence the decision to use a respirator instead of a mask include pathogen-associated morbidity and
- 245 mortality from infection, the level of aerosols of infectious particles anticipated to be present, lack of effective
- treatment or vaccine, transmissibility of the pathogen, and situations in which the major mode of transmissionhas yet to be determined.
- 248 A respirator's effectiveness is reduced if it is not worn correctly for the entire duration of exposure. Respirators
- that are uncomfortable or those that are expected to be used for extended periods of time may provide
- 250 challenges with HCP tolerability and compliance.
- 251 Fit-testing requirements are specific to the model of respirator and can affect logistics and ability to use
- alternative models when supplies are limited. When respirators are required to be worn as PPE, they are used in
- 253 the context of a Respiratory Protection Program that complies with the standards established by the
- 254 Occupational Safety and Health Administration (OSHA) and include medical clearance, training, and fit testing.²⁹
- 255 Additional implementation support may be found in the <u>Hospital Respiratory Protection Program toolkit</u>.³⁰
- 256 Eye/Face Protection
- 257 Recommendations:
- 258 Indications
- Eye/face protection is indicated in either of the following situations: (1) when an activity is anticipated
 to create splashes or spray of potentially infectious material to the face, and (2) as indicated by
 Transmission-Based Precautions.⁵ (Standard Practice)
- 262 Use
- If reusable devices are used for eye and face protection, protocols must be in place for cleaning,
 disinfection, and drying between uses, per manufacturers' instructions for use. (*Standard Practice*)
- 265 Selection

3. The selection of eye and face protective equipment should consider the nature of the activity for which
it will be used.⁵ (*Standard Practice*)

268 Narrative:

- 269 Eye and face PPE are used singly or in combination with other PPE to protect the mucous membranes of the
- 270 eyes, nose and mouth from exposure to infectious material from patients or the environment. Splashes or
- 271 sprays to the face may occur during some medical procedures, as part of environmental cleaning activities such
- as pouring out liquid waste, and during the care of patients who might not be able to effectively contain their
- 273 coughs using source control (e.g., children). Eye and face PPE may also be used to reduce the risk of inadvertent
- self-inoculation (e.g., providing a barrier to prevent the wearer from rubbing their face with a soiled hand).
- 275 Available devices for eye and face protection include disposable face or eye shields, disposable fluid-resistant
- 276 masks with integral eye shields, reusable full-face shields, and reusable goggles combined with a fluid-resistant
- 277 mask or respirator that covers the nose and mouth. Certain combinations, such as goggles combined with a
- 278 fluid-resistant mask or respirator, or a reusable full-face shield, offer better protection when splashes from the
- 279 side are possible. General prescription eyeglasses do not provide full eye protection.

280 Environmental Controls

281 Environmental Cleaning and Disinfection

- 282 Environmental surfaces serve as reservoirs for some pathogens that transmit by touch. Routine and targeted
- 283 cleaning of environmental surfaces, as indicated by the level of patient or HCP contact and degree of soiling,
- 284 reduces the burden of environmental pathogens. EPA-registered disinfectants that have microbiocidal activity
- 285 against likely pathogens on surfaces are used according to manufacturers' instructions. Refer to "CDC Guidelines
- 286 <u>for Environmental Infection Control in Health-Care Facilities</u>" and "<u>CDC Guideline for Disinfection and</u>
- 287 <u>Sterilization in Healthcare Facilities</u>" for details.^{31,32}

288 Specialized Air Handling

- 289 Airborne infection isolation rooms for containment of air in a designated space (AIIRs) are engineered to prevent 290 flow of air from the room to other parts of the facility (e.g., into the hallway) through use of both negative 291 pressure and 100% outside exhaust (or HEPA-filtered exhaust). In addition, these rooms often have a higher 292 number of air changes per hour compared to standard patient rooms, which may provide a higher level of 293 protection to others entering the room. Additional features of AIIRs are described in the CDC Guidelines for 294 Environmental Infection Control in Healthcare Facilities. When such rooms are used for patients, the patient bed 295 is placed as near as possible to the air exhaust location (i.e., where the air leaves the room), and the functional 296 status of air handling for the room is monitored and verified.
- Other environmental controls can be useful components of the layered approach to preventing transmission of infection through air. Although full discussion would be out of scope for the current document, it is important to recognize the importance of interventions such as <u>general ventilation</u> with sufficient delivery rates of clean air to dilute pathogens in air, local exhaust ventilation to capture pathogens at their source, and removal of infective pathogens from air such as by filtration through portable HEPA filters or by inactivation via ultraviolet germicidal irradiation.³³ An advantage of these interventions is that they do not require individual compliance to be effective.
- 304

305 Section C: Precautions to Prevent Transmission of Pathogens in Healthcare Settings

306 Overview

- 307 There are two tiers of precautions to prevent transmission of infectious agents, Standard Precautions and
- 308 Transmission-Based Precautions. Standard Precautions apply to the care of all patients in all healthcare settings,
- 309 regardless of the suspected or confirmed presence of an infectious pathogen. **Implementation of Standard**
- 310 Precautions is the primary strategy to prevent transmission of pathogens in healthcare settings.
- 311 Transmission-Based Precautions apply to the care of patients with known or suspected infectious pathogens,
- 312 which require additional control measures to effectively prevent transmission. Since a patient's infectious status
- often is not known at the time of initial encounter with healthcare personnel (HCP), Transmission-Based
- Precautions are used empirically, according to the clinical syndrome and the likely etiologic agents at the time,
- and then modified as needed when the pathogen is identified or a transmissible infectious etiology is ruled out.
- 316 The specific elements of infection prevention, including personal protective equipment (PPE), are discussed in
- 317 Section B. Section C defines and updates the applications of Standard Precautions and Transmission-Based
- 318 Precautions. <u>Appendix A (2007)</u> outlines the application of Transmission-Based Precautions to specific
- 319 pathogens.

320 Standard Precautions

- 321 Recommendation:
 - Standard Precautions apply to the care of all patients, regardless of suspected or confirmed infection status, in any setting in which healthcare is delivered, and at all times.⁵ (Standard Practice)
- 324 Narrative:

322

323

330

- Standard Precautions are a group of infection prevention and control practices that are based on the principle
 that all blood, body fluids, secretions, excretions (except sweat in most circumstances), nonintact skin, and
 mucous membranes may contain transmissible infectious agents.
- 328 Components of Standard Precautions are defined in the <u>CDC's Core Infection Prevention and Control Practices</u>
 329 <u>for Safe Healthcare Delivery in All Settings</u>⁵ and include:
 - Hand hygiene
- Environmental cleaning and disinfection
- 332 Injection and medication safety
- Risk assessment with use of appropriate personal protective equipment (e.g., gloves, gowns, masks)
 based on activities being performed
- Minimizing Potential Exposures (e.g., having patients and visitors wear a mask when respiratory
 symptoms are present)
- Reprocessing of reusable medical equipment between each patient or when soiled
- 338 Standard Precautions have multi-directional benefits, protecting HCP and preventing HCP or the environment
- from transmitting pathogens to patients. Standard Precautions apply to the care of patients at all times,
- 340 including when Transmission-Based Precautions are implemented or discontinued.

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Performing a risk assessment is central to Standard Precautions; this includes assessment by HCP of their risk of
 exposure to potentially infectious materials for each activity being performed. Based on that assessment, HCP
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- 343 implement practices and use PPE to prevent possible exposure. For example, when planning to irrigate a wound
- and perform a dressing change, HCP would anticipate the potential for splashes and sprays during irrigation and
- the potential for contact with the wound or contaminated dressing materials. To prevent such exposures, they
- would put on gloves, a gown, eye protection and a mask prior to performing the activity.
- 347 Performing a risk assessment can be challenging, and HCP might not anticipate all potential opportunities for
- 348 exposure. To reduce this risk, facilities might choose to systematically apply elements of Standard Precautions to
- 349 situations recognized as likely to present a risk of pathogen transmission. For example, because it can be difficult
- to anticipate if a patient with a respiratory infection will cough or sneeze during an encounter, facilities may
- 351 choose to implement universal use of eye protection by HCP (in addition to the already indicated mask or
- 352 respirator) for the care of patients with respiratory virus infections.

353 Transmission-Based Precautions

354 Recommendation:

- HCP should be trained on how and when to apply Transmission-Based Precautions, including how to put
 on, correctly use, and remove PPE.⁵ (Standard Practice)
- 357 Narrative:
- 358 Transmission-Based Precautions are used when transmission is not completely interrupted using Standard 359 Precautions alone. For pathogens that have multiple routes of transmission (e.g., disseminated herpes zoster 360 virus infection), more than one Transmission-Based Precautions category will be used. Whether applied singly or 361 in combination, Transmission-Based Precautions are used in addition to Standard Precautions. See <u>Appendix A</u> 362 (2007) for recommended precautions for specific pathogens and infections.
- 363 When Transmission-Based Precautions are indicated, acceptance by patients and adherence by HCP may be 364 improved by addressing potential adverse effects on patients (e.g., anxiety, depression and other mood 365 disturbances, perceptions of stigma, and reduced contact with clinical staff).
- 366 Syndromic and Empiric Applications of Transmission-Based Precautions
- 367 Recommendation:
 - 1. Use appropriate Transmission-Based Precautions at the time a patient develops symptoms or signs consistent with a transmissible infection, to reduce transmission risk.⁵ (*Standard Practice*)
- 370 Narrative

368

369

- 371 While it is not possible to identify prospectively all patients needing Transmission-Based Precautions, certain
- 372 clinical syndromes and conditions carry a sufficiently high risk to warrant their use empirically while
- 373 confirmatory tests are pending (e.g., initiation of Contact Precautions for a patient with vomiting and diarrhea).
- 374 Once a diagnosis has been confirmed or ruled out, the need for Transmission-Based Precautions is reassessed.
- 375 Use of Transmission-Based Precautions to Prevent Transmission by Touch
- 376 Recommendations:
- 377 1. **Contact Precautions** (applies to all healthcare facilities):
- 378a.Patients are cared for in a dedicated space, preferably a single patient room.⁵ See Patient379Placement and Patient Transport sections below for more details. (Standard Practice)

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380	b.	A gown and gloves are used for all interactions that may involve contact with the patient or the
381		patient's environment. Gown and gloves should be put on upon entry into a patient's
382		designated space (generally defined the patient's bedspace or room) and properly removed and
383		disposed before exiting the designated space. (Standard Practice)
384	с.	Patient-care equipment (e.g., blood pressure cuffs, stethoscopes) is ideally dedicated to the
385		patient and the patient's designated space. Disposable equipment may be used to minimize
386		cross-transmission. If shared patient-care items are used, they should be cleaned and
387		disinfected prior to use with other patients in accordance with the manufacturer's instructions
388		for use. ⁵ (Standard Practice)
389	d.	In general, clean, unopened patient care supplies should not be stored in the room but should
390		be available near the room to allow easy access while ensuring that large amounts of supplies
391		do not become contaminated. Any disposable supplies that are brought into the room should
392		not be returned to the general supply; they may be sent home with the patient upon discharge
393		if needed (e.g., for dressing changes) or discarded. For clinical areas where supplies are stored
394		routinely within rooms (e.g., outpatient clinic rooms), supplies should be stored in covered or
395		closed clean storage areas. (Standard Practice)
396	e.	Frequent cleaning and disinfection of room surfaces (e.g., at least daily, or prior to use by
397		another patient in ambulatory settings) is used to reduce environmental reservoirs of infectious
398		material, focusing on frequently touched surfaces and areas in the immediate vicinity of the
399		patient. See Environmental Infection Control Guidelines for additional details. ³¹ (Standard
400		Practice)
401	2. Enhand	ced Barrier Precautions (applies to Skilled Nursing Facilities):
402	a.	Enhanced Barrier Precautions are indicated, when Contact Precautions do not otherwise apply,
403		for nursing home residents with multidrug-resistant organism (MDRO) infection or
404		colonization. ³⁴ (<i>Expert Opinion</i>)
405	b.	Enhanced Barrier Precautions may be considered for residents at high risk for MDRO
406		colonization, regardless of known MDRO status (e.g., residents with wounds and/or indwelling
407		medical devices). ³⁴⁻³⁷ (<i>Expert Opinion</i>)
408	с.	Use a gown and gloves for high-contact resident care activities including dressing,
409		bathing/showering, transferring, providing hygiene, changing linens, changing briefs or assisting
410		with toileting, device care or use (e.g., central venous catheter, urinary catheter, feeding tube,
411		tracheostomy/ventilator management), and wound care. ^{15,17,38,39} In general, gown and gloves
412		would not be required for resident care activities other than those listed above, unless indicated
413		per Standard Precautions. (Expert Opinion)
414	d.	Residents are not restricted to their rooms or limited from participation in group activities.
415		Because Enhanced Barrier Precautions do not impose the same activity and room placement
416		restrictions as Contact Precautions, they are intended to be in place for the duration of a
417		resident's stay in the facility or until the indication for Enhanced Barrier Precaution is resolved
418		(e.g., resolution of wound or discontinuation of the indwelling medical device). (Expert Opinion)
419	Narrative:	
719	Narialive.	

420 Contact Precautions and Enhanced Barrier Precautions are used to interrupt the route of transmission for 421 pathogens transmitted by touch. Application of these precautions to patients/residents with suspected or

- 422 confirmed MDRO infection or colonization vary by facility type (for healthcare facilities except skilled nursing
- 423 facilities, see **Table 1**; for skilled nursing facilities, see **Table 2**).
- 424 Enhanced Barrier Precautions are intended for the prevention of MDRO transmission in skilled nursing
- facilities.³⁴⁻³⁷ They refer to the use of gown and gloves during high contact resident care activities that risk
- 426 potential transfer of MDROs to HCP hands and clothing.^{15,17,38,39} Preventing this transfer can then help prevent
- 427 MDRO transmission when HCP perform high contact care activities on other residents.^{34,35} They also take into
- 428 account the special circumstances of care in a skilled nursing facility (e.g., home-like environment) and barriers
- 429 to implementing Contact Precautions for residents infected or colonized with an MDRO.⁴⁰ For example, MDRO
- 430 colonization may persist for long periods (e.g., months to years^{41,42}); restriction of a resident to their room on
- 431 the basis of their MDRO status, as recommended for residents on Contact Precautions, would result in
- 432 prolonged isolation of the resident to the detriment of their overall health and wellbeing. The target MDROs for
- 433 Enhanced Barrier Precautions may be prioritized by public health and through local risk assessment. Enhanced
- Barrier Precautions may be considered for other congregate settings in healthcare facilities other than skilled
- 435 nursing facilities (e.g., congregate behavioral health units in acute care hospitals).

Table 1: Transmission-Based Precautions to Prevent Transmission by Touch for Healthcare Facilities (Except Skilled Nursing Facilities)

Category	PPE	Situation	Dedicated Medical Equipment	Single occupancy
Contact Precautions		Any entry into designated patient space		Preferred; if not available, then cohort

438

439 Table 2. Transmission-Based Precautions to Prevent Transmission by Touch for Skilled Nursing Facilities

Category	PPE	Situation	Dedicated Medical Equipment	Single occupancy
Contact Precautions	Gown/glove for all activities	Any entry into designated patient space	Yes	Preferred; if not available, then cohort
Enhanced Barrier Precautions	during high	When Contact Precautions do not otherwise apply: Indicated for residents with infection or colonization with an MDRO Consider for residents at high risk for MDRO colonization, regardless of known MDRO status (e.g., residents with	Not required. Clean and disinfect equipment between residents (per Standard Precautions)	Not required

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	wounds or indwelling medical devices)						
440							
441	Use of Transmission-Based Precautions to Prevent Transmission through the Air						
442	Recommendations:						
443	1. Routine Air Precautions:						
444	a. A mask is worn by HCP on room entry, and eye protection is used based on Standard						
445	Precautions. (Standard Practice)						
446	b. Private rooms are preferred ⁵ ; if not available, then cohort. (<i>Standard Practice</i>)						
447	c. Rooms should be appropriately ventilated ³³ , but an AIIR is not routinely needed. (<i>Standard</i>						
448	Practice)						
449	d. Source control masking should be used by the patient when they leave their room (e.g., for						
450	transport to a procedure). ⁵ (<i>Standard Practice</i>)						
451	2. Special Air Precautions:						
452	a. A NIOSH-approved [®] fit-tested N95 (or higher-level) respirator and eye protection are worn by						
453	HCP on room entry. (<i>Expert Opinion</i>)						
454	 A private room is indicated.⁵ (Expert Opinion) 						
455	c. Rooms should be appropriately ventilated ³³ , but an AIIR is not routinely needed. (<i>Expert</i>						
456	Opinion)						
457	d. Source control masking is indicated for the patient when they leave their room (e.g., for						
458	transport to a procedure). ⁵ (<i>Expert Opinion</i>)						
459	3. Extended Air Precautions:						
460	a. A NIOSH-approved [®] fit-tested N95 (or higher-level) respirator is worn by HCP on room entry,						
461	and eye protection is used based on Standard Precautions. (Standard Practice)						
462	b. A private room is indicated. ⁵ (Standard Practice)						
463	c. An AIIR is required. (<i>Standard Practice</i>)						
464	d. Source control masking is indicated for the patient when they leave their room. ⁵ (<i>Standard</i>						
465	Practice)						
466	e. Travel outside the room should be limited (e.g., for necessary procedures and treatments).						
467	(Standard Practice)						
468	Narrative:						
469	The previous categories of Droplet Precautions and Airborne Precautions have now been divided into three						
470	categories to better reflect the continuum of transmission for reasons described in Section A. Pathogen-specifi						
471	recommendations may be found in <u>Appendix A (2007</u>), which will be updated with interim suggestions for how						
472	facilities may map existing categories to new categories of Transmissions-Based Precautions, until						
473	recommendations for all pathogens have been updated. Table 3 summarizes baseline recommended						
474							

474 requirements for care of patients in each precaution category for preventing transmission by air.

475 Table 3. Transmission-Based Precautions to Prevent Transmission by Air

Category	Mask or Respiratory Protection	Eye Protection	AIIRª
Routine Air Precautions	Mask	Per Standard Precautions	Not routinely recommended
Special Air Precautions	NIOSH-approved® N95 (or higher-level) respirator	Yes	Not routinely recommended
Extended Air Precautions	NIOSH-approved® N95 (or higher-level) respirator	Per Standard Precautions	Yes

476

a. AIIR = Airborne Infection Isolation Room for containment of air in a designated space

477 Routine Air Precautions are focused on reducing transmission of common, often endemic, respiratory pathogens

478 that spread predominantly over short distances based on observed patterns of transmission, and for which

479 individuals and their communities are likely to have some degree of immunity.

480 Special Air Precautions are applied to patients with a respiratory pathogen, typically new or emerging, that is not

481 observed or anticipated to spread efficiently over long distances (such as through ventilation systems), for which

482 infection generally leads to more than mild illness, and where immunity (or vaccine) and effective treatment are

483 not available.

484 Extended Air Precautions are used when providing care to patients with pathogens that are observed to spread

485 efficiently across long distances and over extended times, such that room air needs to be contained (e.g.,

486 prevented from moving into the hallway where individuals are not appropriately protected).

While not required for Routine Air Precautions, HCP may choose voluntarily to wear a NIOSH-approved® N95 (or higher-level) respirator, per existing federal regulations.^{29,43} For Routine and Extended Air Precautions, eye protection may be added as required PPE based on infection control risk assessment performed by the facility for specific pathogens (e.g., implementing eye protection for care of all patients with respiratory viral infections during periods of high incidence in the community or facility). For Special Air Precautions, although an AIIR is not routinely recommended, an AIIR may be suggested for certain pathogens listed in <u>Appendix A (2007)</u>, and for

493 pathogens with uncertain transmission characteristics.

494 Special Situations

- 495 Some procedures performed on patients may be more likely to generate higher concentrations of aerosols of 496 respiratory particles than others. There is neither expert consensus, nor sufficient supporting data, to create a
- 490 Tespiratory particles than others. There is hereie expert consensus, nor sufficient supporting data, to create a
- definitive and comprehensive list of these procedures (sometimes called "aerosol-generating procedures") for
- 498 healthcare settings. Certain procedures that involve manipulation of the patient's airway and close proximity
- between the patient and the HCP may increase risk of pathogen transmission by air. Facilities may perform an
- 500 infection control risk assessment to implement Special Air or Extended Air precautions for patients with certain
- target pathogens, or for all patients regardless of symptoms or confirmed infection, during certain higher risk
- 502 procedures.

503 Source control

504 Recommendations:

- 505 1. During periods of higher levels of community respiratory virus transmission, facilities should consider 506 implementing one of the tiers of source control:
 - a. Having HCP mask when interacting with patients (e.g., on entry to the patient's room or bedspace). (*Expert Opinion*)
- 509b. Having all individuals (e.g., patients, visitors, and HCP) mask upon entry to the facility or a510clinical area.⁵ (Standard Practice)
- Source control measures can be implemented facility-wide or targeted toward higher risk areas (e.g.,
 emergency departments, urgent care, bone marrow transplant units, or units experiencing an outbreak)
 based on a facility risk assessment.⁵ (*Standard Practice*)
- 514 Narrative:

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- 515 Individuals breathing, speaking, coughing, or sneezing generate aerosols of respiratory secretions that can
- 516 contain infectious organisms. The use of a mask or respirator by an infectious individual can reduce the amount
- 517 of secretions released into the environment (source control) and thus reduce exposure of people in a shared
- 518 space to respiratory pathogens.^{27,44,45}
- 519 Source control, included as part of respiratory hygiene and cough etiquette in <u>CDC's Core Infection Prevention</u>
- 520 and Control Practices for Safe Healthcare Delivery in All Settings, historically focused on use of masks by
- 521 symptomatic patients (e.g., in waiting areas).⁵ Source control is now recognized to be applicable to
- 522 asymptomatic individuals as well, since a proportion of such individuals may be asymptomatically or pre-
- 523 symptomatically infected with pathogens such as respiratory viruses.⁴⁶

524 Patient Placement

- 525 Recommendations:
- Single patient rooms are the preferred option for patients requiring Transmission-Based Precautions,
 whether to prevent transmission by touch or through the air.⁵ (*Standard Practice*)
- In long-term and other residential settings, room placement decisions should balance risks to the
 infectious individual and to other patients.⁵ (*Standard Practice*) Residents in Enhanced Barrier
 Precautions do not require placement in a single person room. (*Expert Opinion*)
- In ambulatory settings, patients requiring Transmission-Based Precautions should be placed in an exam
 room or cubicle as soon as possible rather than waiting in common areas.⁵ (Standard Practice)
- 5334. If single patient rooms are not available, patients housed (cohorted) in the same room should have the534same pathogen infection or colonization status to the greatest extent possible. (Standard Practice)
- 5. Any time room sharing occurs, practices need to be in place to limit potential for cross-contamination,
 including ready access to hand hygiene supplies, changing PPE between roommates, and dedicating
 patient care items or cleaning and disinfecting shared equipment after each use. (*Standard Practice*)
- 538 **Transport of Patients**
- 539 Recommendations:
- 540 Patient considerations

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1. Patients under Transmission-Based Precautions (with the exception of Enhanced Barrier Precautions 541 542 alone) should leave their room only when medically necessary for their evaluation or care. (Standard 543 Practice) 544 2. If the patient is being isolated for a pathogen transmitted through the air, they should use source control, (i.e., wear a mask), any time they are outside of their room, unless a mask is medically 545 contraindicated or the individual is not capable of wearing a mask safely.⁵ (Standard Practice) 546 547 3. If the patient is cared for using Contact Precautions for a pathogen transmitted by touch, appropriate 548 barriers (e.g., clean patient gown, wrapping sheet, or impervious dressing) should be used to cover 549 affected areas of the patient's body during transport when infectious skin lesions or drainage are 550 present. (Standard Practice) 551 4. Before transport, direct communication with the HCP receiving the patient is required to ensure notification regarding the nature of the infection, the type of Transmission-Based Precautions required, 552 553 and when the patient will arrive.⁵ (*Standard Practice*) a. Communication at time of transport applies to within-facility transfers and between-facility 554 555 transfers. (Standard Practice) 556 Transporter considerations 557 1. HCP transporting patients should follow Standard Precautions for pathogens to avoid spreading infectious material during transport.⁵ (*Standard Practice*) 558 559 a. This includes performing hand hygiene before beginning transport, ensuring that wheelchairs 560 and gurneys used for transport have been cleaned and disinfected prior to use, putting on all 561 appropriate PPE prior to contact with the patient when assisting with patient movement at the 562 destination location, and removing and discarding soiled PPE. (Standard Practice) 563 2. PPE might be recommended during transport in certain circumstances: 564 a. When transporting a patient with a pathogen that presents a high risk for morbidity and 565 mortality for HCP (e.g., Ebola virus), all pathogen-recommended PPE should be used. (Expert 566 opinion) b. When transporting a patient with a pathogen transmitted through the air, the transporter 567 568 should carry a mask or respirator with them based on the recommended Transmission-Based Precaution category. If the patient is unable to wear a mask for source control or if the patient 569 570 will require medical care during transport (e.g., suctioning), the transporter should put on a 571 mask or respirator prior to assisting the patient. (*Expert opinion*) 572 c. When transporting a patient with a pathogen transmitted by touch, gloves might be used if 573 there is a need to touch the patient during transport (e.g., a clean pair of non-sterile gloves can 574 be carried, put on prior to assisting the patient and discarded immediately afterward and 575 followed with hand hygiene). (Expert opinion) 576 3. If a patient on Special Air Precautions is unable to wear source control, or if a patient is on Extended Air Precautions for a highly contagious infection (e.g., varicella or measles), the transport route and process 577 578 should include a selection of the time and route of travel within a facility to minimize exposure of others 579 during transport (Expert Opinion), and use of appropriate PPE by staff during transport and at the 580 destination location (Standard Practice).

581 Use of Personal Protective Equipment by Visitors

- 582 The use of PPE (e.g., gowns, gloves, or masks) by visitors in healthcare settings may be considered, particularly in
- 583 settings where they are providing hands-on care and having very close patient contact (e.g., feeding, dressing).
- In these situations, visitors may have contact with other patients or the environment and could contribute to
- transmission if PPE is not used. Specific recommendations may vary by facility or by unit and are determined by
- the level of interaction and the suspected or proven infection for which Transmission-Based Precautions mightbe recommended.

588 Visitors as Sources of Infection

- 589 Visitors, including patient family members, have been identified as the source of several types of healthcare-590 associated infections (e.g., pertussis, *M. tuberculosis*, and respiratory viruses).^{47,48} Visitor symptom screening can 591 reduce risk of healthcare-associated infections, and may be especially important for high-risk patient care areas 592 such as oncology and neonatal intensive care units.
- 593 Visitor symptom screening may be *passive* (e.g., using signs that alert visitors with symptoms of infection not to 594 enter clinical areas) or *active* (e.g., asking each visitor to report current symptoms and recent exposures to 595 persons with infection or relevant travel, with subsequent review by facility staff to determine whether the 596 visitor can proceed with visitation).

597 Discontinuation of Transmission-Based Precautions

- 598 In general, Transmission-Based Precautions are intended to remain in effect for limited periods of time (i.e., 599 while the risk for transmission of the infectious agent persists or for the duration of the illness). For most 600 infectious diseases, this duration reflects known patterns of persistence and shedding of infectious agents 601 associated with the natural history of the infectious process and its treatment. Colonization with MDROs can persist for months to years.^{41,42} In acute care hospitals, Contact Precautions are often left in place throughout 602 the entire admission or may have a set duration based on repeat testing or symptom resolution. In nursing 603 604 homes, Enhanced Barrier Precautions are used to better accommodate the communal and residential 605 environment of the setting, and are left in place for the duration of the resident's stay or until their risk factors 606 have resolved (e.g., indwelling medical device is removed or wound is healed). Refer to Appendix A (2007) for pathogen/disease specific recommendations. 607
- 608

609 Appendix

610 Federal Advisory Committee Guideline Update Process

- 611 This document is the first in a two-part update to the 2007 Guideline for Isolation Precautions: Preventing
- 612 Transmission of Infectious Agents in Healthcare Settings (hereafter referred to as the 2007 Guideline). At an
- 613 August 2021 public meeting of the Healthcare Infection Control Practices Advisory Committee (HICPAC), the
- Division of Healthcare Quality Promotion (DHQP) requested input from HICPAC on an update to the 2007
- 615 Guideline. HICPAC responded by forming a workgroup to review and update the 2007 Guideline, and this
- 616 workgroup was announced at a public meeting of the committee in October 2021. This workgroup was
- 617 comprised of subject matter experts in infectious disease, infection prevention, occupational health, nursing,
- healthcare epidemiology, and healthcare management. Federal technical experts from DHQP and National
- 619 Institute of Occupational Safety and Health (NIOSH) were present during workgroup meetings in order to
- 620 answer workgroup questions as they arose.
- 621 The workgroup reviewed the 2007 Guideline and weighed peer-reviewed literature, existing regulations and
- 622 guidance, and expert opinion when updating the 2007 recommendations. The workgroup provided updates on
- 623 the guideline update process, draft Transmission-Based Precautions categories, their supporting
- 624 recommendations, and contextual systematic literature reviews at HICPAC Public Meetings in June, August, and
- 625 November of 2022, and in June, August, and November of 2023. (Meeting Minutes are found here).⁴⁹

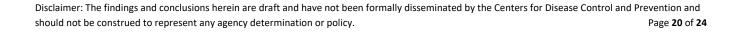
626 **Recommendation Formulation and Categorization**

- 627 The authors conducted a thorough review of the recommendations contained in the 2007 Guideline. This review
- 628 identified recommendations from the 2007 Guideline that remained relevant in 2023; these recommendations
- 629 were carried forward as Standard Practice and are noted as such in the 2024 update. The authors additionally
- 630 identified gaps in the 2007 Guideline that required the development of new recommendations.
- 631 New recommendations also were categorized as Standard Practice if they met any of the following criteria:
- Are consistent with recommendations in current CDC guidelines or guidance (e.g., the <u>Core Infection</u>
 Prevention and Control Practices for Safe Healthcare Delivery in All Settings⁵)
- 634 2. Are consistent with current federal regulations. Regulations include, but are not limited to:
 - a. Occupational Safety and Health Administration (OSHA) Bloodborne Pathogen Standard 29 CFR 1910.1030(g) (2),
- 636 637 638

635

- b. OSHA Reparatory Protection Standard 29 CFR 1910.134, and
- c. OSHA Personal Protective Equipment Standard 29 CFR 1910.132.
- Are consistent with manufacturer instructions for use (e.g., recommendations to follow instructions for
 proper use or reprocessing)
- 641 New recommendations not categorized as Standard Practice were categorized as Expert Opinion, with
- 642 supporting peer-reviewed literature where available.
- 643 In order to provide context to the update of the 2007 Guideline's Transmission-Based Precaution categories, the
- 644 authors requested three systematic reviews from DHQP that answered questions on the performance of several
- 645 PPE items. These systematic reviews, which were not conducted to support the development of specific
- 646 recommendations, answered the following key questions:

- For healthcare personnel caring for patients with respiratory infections, what is the effectiveness of N95
 respirators compared to medical/surgical masks in preventing symptomatic illness or laboratory confirmed infection? [cite webpage]
- For healthcare personnel caring for patients with respiratory infections, what is the effectiveness of
 adding eye protection to routine personal protective equipment (PPE), compared to routine PPE alone,
 in preventing symptomatic illness or laboratory-confirmed infection? [cite webpage]
- 653 3. For healthcare personnel, what is the effectiveness of risk-based use of gowns and gloves, or gloves 654 alone, to prevent transmission of pathogens? [cite webpage]
- 655 The detailed methods of each systematic literature review are available online in the respective documents.
- 656



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