

Amendment I (1/13/2012):

1. *Page 26 – The following language was added:
“Objective review panels for this announcement will be held for each of the respective sites/health facilities. Minimum eligibility criteria is the letter of support of the institution that owns the health facility where the applicant is proposing to provide services. If more than one application is deemed eligible per site then an objective review panel will be held for the individual site/health facility. The health facilities are listed in “PART 2. FULL TEXT, I. FUNDING OPPORTUNITY DESCRIPTION, Purpose Section” of the FOA.”*

2. *Page 28 – The following language was deleted from the first bullet under Personnel: “in Program A.”*

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PART 1. OVERVIEW INFORMATION

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Federal Agency Name: Centers for Disease Control and Prevention (CDC)
Funding Opportunity Title: Provision of Comprehensive HIV/AIDS Care, Treatment and Prevention Services in indigenous Health Facilities in the Republic of Uganda under the President’s Emergency Plan for AIDS Relief (PEPFAR)
Announcement Type: New
Agency Funding Opportunity Number: CDC-RFA-GH12-1209
Catalog of Federal Domestic Assistance Number: 93.067

Key Dates:

Application Deadline Date: **March 7, 2012, 11:59pm Eastern Standard Time**

OVERVIEW

Measurable objectives of the program will be in alignment with one (or more) of the following performance goal(s):

Primary HIV Prevention

Measurable Outcome # 1: HIV Prevention: Condom Programs and Other Means

1a. Number of targeted condom service outlets: 24

1b. Number of individuals reached through community outreach that promotes HIV/AIDS prevention through other behavior change beyond abstinence and/or being faithful: 80,000

1c. Number of individuals trained to promote HIV/AIDS prevention through other behavior change beyond abstinence and/or being faithful: 120

Measurable Outcome # 2: Safe male circumcision (MC)

2a. Number of locations providing MC surgery as part of the minimum package of MC for HIV prevention services: 20

2b. Number of males circumcised as part of the minimum package of MC for HIV prevention service: 30,000

Measurable Outcome # 3: Prevention with Positives (PwP)

3a. Number of People Living with HIV/AIDS (PLHIV) reached with a minimum package of Prevention with Positives (PwP) interventions 80,000

3b. Number of care and treatment program sites providing PwP services (including partner and family counseling and testing, Sexually Transmitted Infections (STI) management, Prevention Mother-to-Child Transmission (PMTCT), among others): 20

3c. As part of Positive Prevention (PwP) services, number of eligible female clients of reproductive age under care and treatment provided or referred and receiving Family Planning Services: 30,000

3d. Number of individuals trained to promote evidence-based HIV/AIDS prevention through PWP programs: 120

Measurable Outcome # 4: HIV Care, Support, Treatment, Counseling and Testing (excluding PMTCT activities)

4a. Number of service outlets providing counseling and testing according to national or international standards: 36

4b. Number of individuals who received counseling and testing for HIV and received their results, disaggregated by sex: 150,00

4c. Number of individuals who received in-service training in counseling and testing according to national or international standards: 600

Measurable Outcome # 5: Prevention of Mother to Child Transmission (PMTCT)

5a. Number of direct support outlets that provide at least the minimum package of PMTCT services – Some of the service outlets are expected to serve as part of a large network of PMTCT service sites that include sites with and without direct PEPFAR support: 20

5b. Number of pregnant women who will be tested for HIV, provided counseling and receive their results through direct activities: 40,000

5c. Number of HIV positive pregnant women who will receive a complete course of antiretrovirus (ARV) prophylaxis according to national guidelines: 6,500

5d. Number of health care workers who will be trained to provide the minimum package of PMTCT services according to national and international standards: 80.

Measurable Outcome # 6: Palliative Care and support

6a. Number of service outlets providing HIV-related clinical care (including TB/HIV): 36

b. Number of HIV-infected adults and children receiving a minimum of one clinical care service: 80,000

Measurable Outcome # 7: Tuberculosis (TB)/ HIV

7a. Number of service outlets providing treatment for tuberculosis (TB) to HIV-infected individuals (diagnosed or presumed) according to national or international standards: 20

7b. Number of HIV-infected clients attending HIV care/treatment services that are receiving treatment for TB disease: 4,000

7c. Number of individuals trained to provide TB treatment to HIV-infected individuals (diagnosed or presumed) according to national or international standards: 60

7d. Number of registered TB patients who received HIV counseling, testing, and their results: 4,000

7e. Number HIV-positive incident TB cases that received treatment for TB and HIV during the reporting period: 4,000

Measurable Outcome # 8: Pediatric Care and Support & Orphans and Vulnerable Children (OVC)

8a. Number of eligible children (OVC) provided services in 3 or more OVC core program areas beyond Psychosocial/spiritual support: 20,000

8b. Number of individuals trained to provide OVC services according to national and international: 36

8c. Number of HIV-infected children receiving a minimum of one clinical care service: 8,000

Measurable Outcome # 9: Treatment for HIV/AIDS through Antiretroviral (ARV) Services:

9a. Number of service outlets providing ARV therapy: 24

9b. Number of adults and children with advanced HIV infection newly enrolled on antiretroviral therapy: 5,000

9c. Number of adults and children with advanced HIV infection receiving antiretroviral therapy (ART): 35,000

9d. Number of adults and children with advanced HIV infection who ever started on ART: 40,000

9e. Number of individuals trained to provide ART services, according to national and/or international standards: 60

Measurable Outcome # 10: Health System Strengthening (HSS) General and District Health System Support

10a. Number of districts supported that have an analysis of district health resources available, projected HIV service needs, and internal and external budget resources for HIV activities, including budget gaps, for the current program year: 40

10b. Percent of sanctioned health provider positions filled in all the service outlets: 50

10c. Percent of HIV/AIDS trainings planned by the program in the last 12 months that were completed: 80

10d. Percent of clinical staff providing HIV services that have attended an initial or refresher training on clinical care in the last 24 months: 50

10e. Percent of health facilities that received supportive supervision visits from district health management teams at least twice in last 12 months: 100

10f. Percent of health facilities that have all basic equipment functioning as mandated for the level of facility by national guidelines: 80

10g. Percent of health facilities that have active involvement of PLWHA groups in the last 12 months: 100

10h. Percent of health facilities that have had quality improvement activities atleast thrice in the last 12 months: 100

10i. Percent of health facilities that have a monthly spending report for the last three complete months: 100

Measurable Outcome # 11: Strategic Information (SI)

11a. Percent of health facilities that have and use approved Ministry of Health data collection tools in the last 12 months: 100

11b. Number of individuals trained in SI including monitoring and evaluation, surveillance, and/or health management information systems: 60

11c. Percent of supported health facilities with complete HMIS HIV data covering the past 12 months reported to the District Health Office: 100

Measurable Outcome # 12: Laboratory Services

12a. Number of testing facilities (laboratories) with capacity to perform clinical laboratory tests: 20

12b. Percent of supported health facilities able to perform all clinical laboratory tests required by national guidelines: 90

12c. Number of individuals trained in laboratory related activites (Lab technician): 36

12d. Percent of supported health facilities which received lab quality control visits by the District Health Team at least twice in the past 12 months: 100

12e. Number of service providers trained in prevention, diagnosis and treatment of STIs: 60.

This announcement is only for non-research activities supported by CDC. If research is proposed, the application will not be reviewed. For the definition of research, please see the CDC Web site at the following Internet address:

<http://www.cdc.gov/od/science/integrity/docs/cdc-policy-distinguishing-public-health-research-nonresearch.pdf>

PART 2. FULL TEXT

I. FUNDING OPPORTUNITY DESCRIPTION

Statutory Authority:

This program is authorized under Public Law 108-25 (the United States Leadership Against HIV/AIDS, Tuberculosis and Malaria Act of 2003) [22 U.S.C. 7601, et seq.] and Public Law 110-293 (the Tom Lantos and Henry J. Hyde United States Global Leadership Against HIV/AIDS, Tuberculosis, and Malaria Reauthorization Act of 2008).

Background:

The President's Emergency Plan for AIDS Relief (PEPFAR) has called for immediate, comprehensive and evidence based action to turn the tide of global HIV/AIDS. As called for by the PEPFAR Reauthorization Act of 2008, initiative goals over the period of 2009 through 2013 are to treat at least three million HIV infected people with effective combination anti-retroviral therapy (ART); care for twelve million HIV infected and affected persons, including five million orphans and vulnerable children; and prevent twelve million infections worldwide (3,12,12). To meet these goals and build sustainable local capacity, PEPFAR will support training of at least 140,000 new health care workers in HIV/AIDS prevention, treatment and care. The Emergency Plan *Five-Year Strategy* for the five year period, 2009 - 2014 is available at the following Internet address: <http://www.pepfar.gov>. The overarching purpose of this FOA is to fund activities to prevent or control disease or injury and improve health, or to improve a public health program or service. Recipients may not use funds for research. Certain activities that may require human subjects review due to institutional requirements but that are generally considered *not* to constitute research (e.g., formative assessments, surveys, disease surveillance, program monitoring and evaluation, field evaluation of diagnostic tests, etc.) may be funded through this mechanism.

Purpose:

Under the leadership of the U.S. Global AIDS Coordinator, as part of the President's Emergency Plan, the U.S. Department of Health and Human Services' Centers for Disease Control and Prevention (HHS/CDC) works with host countries and other key partners to assess the needs of each country and design a customized program of assistance that fits within the host nation's strategic plan and partnership framework.

HHS/CDC focuses primarily on two or three major program areas in each country. Goals and priorities include the following:

- Achieving primary prevention of HIV infection through activities such as expanding confidential counseling and testing programs linked with evidence based behavioral change and building programs to reduce mother-to-child transmission;
- Improving the care and treatment of HIV/AIDS, sexually transmitted infections (STIs) and related opportunistic infections by improving STI management; enhancing laboratory diagnostic capacity and the care and treatment of

opportunistic infections; interventions for intercurrent diseases impacting HIV infected patients including tuberculosis (TB); and initiating programs to provide anti-retroviral therapy (ART);

- Strengthening the capacity of countries to collect and use surveillance data and manage national HIV/AIDS programs by expanding HIV/STI/TB surveillance programs and strengthening laboratory support for surveillance, diagnosis, treatment, disease monitoring and HIV screening for blood safety.
- Developing, validating and/or evaluating public health programs to inform, improve and target appropriate interventions, as related to the prevention, care and treatment of HIV/AIDS, TB and opportunistic infections.

In an effort to ensure maximum cost efficiencies and program effectiveness, HHS/CDC also supports coordination with and among partners and integration of activities that promote Global Health Initiative principles. As such, grantees may be requested to participate in programmatic activities that include the following activities:

- Implement a woman- and girl-centered approach;
- Increase impact through strategic coordination and integration;
- Strengthen and leverage key multilateral organizations, global health partnerships and private sector engagement;
- Encourage country ownership and invest in country-led plans;
- Build sustainability through investments in health systems;
- Improve metrics, monitoring and evaluation; and
- Promote research, development and innovation.

The purpose of this program is to support HIV/AIDS prevention, care and treatment services in indigenous health facilities in Uganda.

The grantee will have the capacity to continue providing high quality HIV/AIDS services to the currently enrolled 35,000 patients on ART and about 80,000 patients in chronic care in the following indigenous health facilities: Nsambya Hospital, Family Hope Center Kampala, Kamwokya Christian Caring Community in Kampala district, Nyenga Hospital in Buikwe district, Family Hope Center Jinja, Lacor Hospital in Gulu district, St Joseph's Hospital in Kitgum district, Kalongo Hospital, Amai Hospital, Aber Hospital, Kabarole Hospital and Virika Hospital in Kabarole district, Nkozi Hospital and Villa Maria Hospital in Masaka district, Kyamuhunga Hospital, Bushenyi Medical Center, Kabwohe Clinical Research Center and Kasanga Health Center.

The districts are mentioned above only for the purpose of identifying the respective health facilities. **The application must clearly identify and list the respective health facilities with the respective districts, where the proposed activities will be performed.**

As part of this program, the grantee will expand to other indigenous health facilities at all levels with the capacity to provide HIV/AIDS services ranging from big hospitals to lower health units that are not currently receiving any USG support.

Program Implementation

Recipient Activities:

Partners receiving HHS/CDC funding must place a clear emphasis on developing local indigenous capacity to deliver HIV/AIDS related services to the Ugandan population and must also coordinate with activities supported by Ugandan **AIDS Control Program, local health institutions**, international or USG agencies to avoid duplication. Capacity-building plans should address systems, policy, organizational and workforce requirements for strengthening sustainable indigenous capacity to respond to the epidemic. Partners receiving HHS/CDC funding must collaborate across program areas whenever appropriate or necessary to improve service delivery.

The selected applicant(s) of these funds is responsible for activities in multiple program areas.

The grantee will implement activities both directly and, where applicable, through sub-grantees; the grantee will, however, retain overall financial and programmatic management under the oversight of HHS/CDC and the strategic direction of the Office of the U.S. Global AIDS Coordinator. The grantee must show measurable progressive reinforcement of the capacity of health facilities to respond to the national HIV epidemic as well as progress towards the sustainability of activities.

Applicants should describe activities in detail that reflect the policies and goals outlined in the *Five-Year Strategy* for the President's Emergency Plan and the Partnership Framework for Uganda. The grantee will produce an annual operational plan, which the U.S. Government Emergency Plan team on the ground in Uganda will review as part of the annual Emergency Plan review-and-approval process managed by the Office of the U.S. Global AIDS Coordinator.

The grantee may work on some of the activities listed below in the first year and in subsequent years, and then progressively add others from the list to achieve all of the Emergency Plan performance goals as cited in the previous section. HHS/CDC, under the guidance of the U.S. Global AIDS Coordinator, will approve funds for activities on an annual basis, based on availability of funding and USG priorities, and based on documented performance toward achieving Emergency Plan goals, as part of the annual Emergency Plan for AIDS Relief Country Operational Plan review-and-approval process.

Grantee activities for this program are as follows:

The grantee(s) will coordinate with HHS/CDC, MoH and existing providers in the respective regions to ensure continuation of quality services and develop an implementation plan within the first 30 days of the award.

Task # 1: HIV Prevention

1a. Support activities and training to promote abstinence, including delay of sexual activity or secondary abstinence, fidelity, partner reduction and related social and

community norms as part of a balanced prevention message approach, with elements of abstinence and be faithful programs done in tandem with safe male circumcision and condom social marketing where appropriate. Activities should also educate individuals on the availability of routine, confidential counseling and testing.

Task # 2: HIV Prevention for People living with HIV (PWP)

2a. The grantee will ensure service providers (doctors, nurses, counselors, midwives and community health agents) are trained and supervised to implement prevention with positives (PwP) activities in alignment with national PWP materials/strategy. Programs should strengthen referral network from facility based to community programs and improve coordination of PwP and palliative care services.

Task # 3: Prevention of Mother- to- child HIV Transmission

3a. In order to contribute to the eradication of mother to child HIV transmission in Uganda, a nationally coordinated PMTCT program will ensure that all service providers are trained and sites are appropriately supervised to implement national PMTCT guidelines.

Task # 4: HIV Care, Support, Treatment, Counseling and Testing (excluding PMTCT activities)

4a. Conduct HIV counseling and testing in high risk environments such as STI clinics and in-patient wards in clinical settings. Activities must include, mobile outreach to most at risk populations such as commercial sex workers and participation in the national network of care, support, and treatment for HIV/AIDS and TB.

Task # 5: Prevention of Mother to Child Transmission (PMTCT)

5a. Provide HIV counseling and testing services, and test results in accordance with international standards and national guidelines

5b. Provide training to care providers in PMTCT networks that consist of sites with or without direct PEPFAR support;

5c. Provide antiretroviral therapy and appropriate referral to networks for care and treatment services; and

5d. Support behavior change interventions that foster male involvement in maternal and child health.

Task # 6: Palliative Care

6a. Provide support to optimize the quality of life for HIV-infected clients and their families throughout the continuum of illness by means of symptom diagnosis and relief, psychological and spiritual support, clinical monitoring, related laboratory services and management of opportunistic infections (excluding TB), other HIV/AIDS-related complications (including pharmaceuticals) and culturally-appropriate end-of-life care to adults or adolescent HIV positive individuals through clinic-based and home/community-

based care. Activities should include network referral services to treatment for HIV/AIDS or TB (if appropriate) and for care services possibly not offered by the grantee.

Task # 7: Tuberculosis (TB)/HIV

7a. Provide exams for tuberculosis, clinical monitoring, related laboratory services, treatment and prevention of tuberculosis in HIV basic health care settings including infection control.

Task # 8: Pediatric Care and Support & Orphans and Vulnerable Children (OVC)

7a. Provide palliative care for HIV positive pediatric patients including basic health care and support and TB/HIV prevention, management and treatment, as well as their related laboratory services and pharmaceuticals to decrease the morbidity and mortality of OVC and improve the lives of OVC and families affected by HIV/AIDS

7b. Train caregivers to provide appropriate care to OVC

7c. Provide for increased access to education, economic support, targeted food and nutrition support and various legal aid services

Task # 9: Treatment for HIV/AIDS through Antiretroviral (ARV)_Drugs and Services

8a. Support ARV treatment for HIV positive patients. Treatment cost covered may include infrastructure, training clinicians and other providers, exams, clinical monitoring, related laboratory services and community-adherence activities. Clinical monitoring and management of opportunistic infections is classified under basic care and support (palliative care), TB-HIV, or OVC for pediatric palliative care. Programs must address demand generation, participation in the national network of care and treatment, and address issues such as continuous quality improvement and appropriate usage of second line drugs.

**Task # 10: Health System Strengthening
General and District Health System Support:**

9a. Work collaboratively with the Ministry of Health, district health authorities and faith based institutions in Uganda to assess health system capacity development needs and provide creative solutions to fill gaps in the system to ensure long-term sustainability and local leadership of HIV services; and

9b. Work to ensure adequate systems within the Uganda national, and local health authorities to sustainably plan, manage and support HIV service delivery, workforce capacity and development, the medical product supply chain, health information systems, financing, leadership and governance, and quality improvement systems.

Task # 11: Strategic Information

10a. Support the development of improved tools and models for the following: collecting, analyzing and disseminating HIV/AIDS monitoring information; facility surveys; other monitoring and health management information systems; planning/evaluating national prevention, care and treatment efforts; analysis and quality assurance of demographic and health data related to HIV/AIDS.

Task # 12: Laboratory Services

11a. Facilitate the development and strengthening of laboratory facilities to support HIV/AIDS-related activities. This includes the purchase of equipment and commodities, provision of quality assurance, staff training and other technical assistance. Specific laboratory services and consumables supporting testing for PMTCT, counseling and testing, TB/HIV, Strategic Information, Basic Care or Treatment Services should be funded from within their specific program areas.

In a cooperative agreement, CDC staff is substantially involved in the program activities, above and beyond routine grant monitoring.

CDC Activities:

The selected applicant of this funding competition must comply with all HHS/CDC management requirements for meeting participation and progress and financial reporting for this cooperative agreement (See HHS/CDC Activities and Reporting sections below for details), and comply with all policy directives established by the Office of the U.S. Global AIDS Coordinator.

In a cooperative agreement, CDC staff is substantially involved in the program activities, above and beyond routine grant monitoring. CDC activities for this program are as follows:

1. Collaborating in the design of a program evaluations, surveillance, developing training or service delivery models; approving program evaluation methodologies or analytical approaches and reports or publications.
2. Training project staff; assisting in the evaluation of potential contractors; participating in the presentation of research results, including co-authorship of papers; or providing other assistance in program management or technical performance.

Other normal oversight and stewardship CDC functions will include:

1. Organize an orientation meeting with the grantee to brief it on applicable U.S. Government, HHS, and Emergency Plan expectations, regulations and key management requirements, as well as report formats and contents. The orientation could include meetings with staff from HHS agencies and the Office of the U.S. Global AIDS Coordinator.

2. Review and make recommendations as necessary and appropriate to the process used by the grantee to select key personnel and/or post-award subcontractors and/or subgrantees to be involved in the activities performed under this agreement, as part of the Emergency Plan for AIDS Relief Country Operational Plan review and approval process, managed by the Office of the U.S. Global AIDS Coordinator.
3. Review and make recommendations to the grantee's annual work plan and detailed budget, as part of the Emergency Plan for AIDS Relief Country Operational Plan review-and-approval process, managed by the Office of the U.S. Global AIDS Coordinator.
4. Review and make recommendations to the grantee's monitoring-and-evaluation plan, including for conduct of routine data quality assurance processes and periodic data quality assessments and for compliance with strategic information guidance established by the Office of the U.S. Global AIDS Coordinator. .
5. Meet on a quarterly basis with the grantee to assess quarterly expenditures in relation to approved work plan and modify plans, as necessary.
6. Meet on a quarterly basis with the grantee to assess quarterly technical and financial progress reports and modify plans as necessary.
7. Meet on an annual basis with the grantee to review annual progress report for each U.S. Government Fiscal Year, to evaluate grantee's performance (including quality of products and achievement of project goals and objectives), and to review annual work plans and budgets for subsequent year, as part of the Emergency Plan for AIDS Relief review and approval process for Country Operational Plans, managed by the Office of the U.S. Global AIDS Coordinator.
8. Provide technical assistance, as mutually agreed upon, and revise annually during validation of the first and subsequent annual work plans. This could include expert technical assistance and targeted training activities in specialized areas, such as strategic information, project management, confidential counseling and testing, palliative care, treatment literacy, and adult-learning techniques.
9. Provide in-country administrative support to help grantee meet U.S. Government financial and reporting requirements approved by the Office of Management and Budget (OMB) under 0920-0428 (Public Health Service Form 5161).
10. Collaborate with the grantee on designing and implementing the activities listed above, including, but not limited to the provision of technical assistance to develop program activities, evaluate program implementation, manage and analyze data, conduct quality assurance, present and possibly publish program results and findings, and manage and track finances.
11. Provide consultation and scientific and technical assistance based on appropriate, HHS/CDC and Office of the U.S. Global AIDS Coordinator documents to promote the use of best practices known at the time.
12. Assist the grantee in developing and implementing quality-assurance criteria and procedures.
13. Facilitate in-country planning and review meetings for technical assistance activities.
14. Provide technical oversight for all activities under this award.

15. Provide ethical reviews, as necessary, for evaluation activities, including from HHS/CDC headquarters.
16. Supply the grantee with protocols for related evaluations.

II. AWARD INFORMATION

Type of Award: Cooperative Agreement.

Award Mechanism: U2G – Global HIV/AIDS Non-Research Cooperative Agreements

Fiscal Year Funds: FY2012

Approximate Current Fiscal Year Funding: \$13,900,000

Approximate Total Project Period Funding: \$81,300,000 (This amount is an estimate, and is subject to availability of funds and includes direct costs for international organizations or direct and indirect costs for domestic grantees for all years.)

Approximate Number of Awards: 2-4

Approximate Average Award: \$3,475,000 (This amount is for the first 12 month budget period, and includes direct costs for international organizations or direct and indirect costs for domestic grantees.)

Floor of Individual Award Range: None

Budget Year 2 Floor amount: None

Budget Year 3 Floor amount: None

Budget Year 4 Floor amount: None

Budget Year 5 Floor amount: None

Ceiling of Individual Award Range: None (This ceiling is for the first 12 month budget period and includes direct costs for international organizations or direct and indirect costs for domestic grantees.)

Budget Year 2 Ceiling amount: \$15,300,000

Budget Year 3 Ceiling amount: \$16,800,000

Budget Year 4 Ceiling amount: \$18,500,000

Budget Year 5 Ceiling amount: \$19,800,000

Anticipated Award Date: September 2012

Budget Period Length: 12 months

Project Period Length: 5 Years

The grantee will incrementally initiate more patients on ART contributing to the Government of Uganda's efforts towards universal access to treatment (80% of those who need treatment are reached), treatment for prevention and President Obama's call to put 6M patients on ART by 2013

Throughout the project period, CDC's commitment to continuation of awards will be conditioned on the availability of funds, evidence of satisfactory progress by the recipient (as documented in required reports), and the determination that continued funding is in the best interest of the Federal government.

III. ELIGIBILITY INFORMATION

Eligible Applicants

Eligible applicants are those who are local indigenous Ugandan organizations fully registered in the country. An indigenous organization is one that originated and is located in the geographic area to which it provides services, serves the population located in the geographic area and has a majority of key organizational staff (senior, mid-level, and support) comprised of persons from Uganda. Organizations include: local indigenous private and public non-profit organizations, local indigenous public and private for-profit organizations, local indigenous universities, local indigenous colleges, local indigenous research institutions, local indigenous hospitals, local indigenous community-based organizations, and local indigenous faith-based organizations.

Justification:

HHS/CDC supports sustainable public health programming through direct and collaborative assistance domestically with State and Local Health Departments and globally with Ministries of Health, and other government entities. When appropriate and in the best interest of the U.S. Government, HHS/CDC also supports local, indigenous organizations to further sustainable, country-led global public health programming to support the effort of the Ministries of Health. A core principle of President Obama's Global Health Initiative is the support for country ownership, and a major priority of PEPFAR's second phase is to increase the capacity of countries at both the government and civil society level to manage, oversee, and operate their health systems. Support for local, indigenous organizations in Uganda will encourage the development of sustainable capacity in the public health systems, and reduce the establishment of parallel capacity and systems by external U.S.-based organizations.

Under PEPFAR legislation, HHS/CDC is authorized to transition leadership of all centrally funded programs and services (including ART services) in Uganda to local indigenous ownership, with the ultimate aim of full transition of all appropriate activities to the Ministries of Health and other governmental entities that have the jurisdictional authority to directly finance and perform these programs and services. Building, strengthening and sustaining institutional capacity of indigenous Uganda organizations is a key strategy for achieving the prevention, care and treatment goals of the PEPFAR and to ensuring long-term sustainability of the program. Additionally, organizations indigenous to Uganda are more familiar with the target population and culture.

The Limited Eligibility Justification is to encourage a competitive environment among local indigenous organizations in Uganda to support transitioning programs and services to local ownership and to ensure provision of appropriate services without disruption of care and treatment to the existing pool of patients. This will lead to long term grant management capacity and development of non-governmental public health systems which currently provide over 50% of health care services in Uganda. Local competition will further encourage development of a stronger non-governmental response with strong Ministry of Health collaboration and control; reducing the establishment of parallel structures and systems by international organizations. This is in line with the Government

of Uganda's efforts to strengthen the local health systems and scale up integrated HIV/AIDS services towards achieving universal access.

Required Registrations

Registering your organization through www.Grants.gov, the official agency-wide E-grant website, is the first step in submitting an application online. Registration information is located on the "Get Registered" screen of www.Grants.gov. Please visit www.Grants.gov at least 30 days prior to submitting your application to familiarize yourself with the registration and submission processes. The "one-time" registration process will take three to five days to complete. However, the Grants.gov registration process also requires that you register your organization with the Central Contractor Registry (CCR). Registry (CCR) and DUN and Bradstreet (D&B) Data Universal Numbering System (DUNS) which will require up to at least 4 weeks to complete registration in its entirety. The CCR registration can require an additional two weeks to complete. You are required to maintain a current registration in CCR. CCR registration must be renewed annually.

Central Contractor Registration and Universal Identifier Requirements

Foreign entities only: Prior to registering for CCR, please follow the Special Instructions for acquiring a Commercial and Governmental Entity (NCAGE) Code: http://www.dlis.dla.mil/Forms/Form_AC135.asp

All applicant organizations **must obtain** a DUN and Bradstreet (D&B) Data Universal Numbering System (DUNS) number as the Universal Identifier when applying for Federal grants or cooperative agreements. The DUNS number is a nine-digit number assigned by Dun and Bradstreet Information Services. An Authorized Organization Representative (AOR) should be consulted to determine the appropriate number. If the organization does not have a DUNS number, an AOR should complete the **US D&B D-U-N-S Number Request Form** or contact Dun and Bradstreet by telephone directly at 1-866-705-5711 (toll-free) to obtain one. A DUNS number will be provided immediately by telephone at no charge. Note this is an organizational number. Individual Program Directors/Principal Investigators do not need to register for a DUNS number.

Additionally, all applicant organizations must register in the Central Contractor Registry (CCR) and maintain the registration with current information at all times during which it has an application under consideration for funding by CDC and, if an award is made, until a final financial report is submitted or the final payment is received, whichever is later. CCR is the primary registrant database for the Federal government and is the repository into which an entity must provide information required for the conduct of business as a recipient. Additional information about registration procedures may be found at the CCR internet site at www.ccr.gov.

If an award is granted, the grantee organization must notify potential sub-recipients that no organization may receive a subaward under the grant unless the organization has provided its DUNS number to the grantee organization.

Cost Sharing or Matching

Cost sharing or matching funds are not required for this program.

Other

If a funding amount greater than the ceiling of the award range is requested, the application will be considered non-responsive and will not be entered into the review process. The applicant will be notified that the application did not meet the eligibility requirements.

Special Requirements:

1. PEPFAR Local Partner definition:

A “local partner” may be an individual or sole proprietorship, an entity, or a joint venture or other arrangement. However, to be considered a local partner in a given country served by PEPFAR, the partner must meet the criteria under paragraph (1), (2), or (3) below within that country:

(1) an individual must be a citizen or lawfully admitted permanent resident of and have his/her principal place of business in the country served by the PEPFAR program with which the individual is or may become involved, and a sole proprietorship must be owned by such an individual; or

(2) an entity (e.g., a corporation or partnership): (a) must be incorporated or legally organized under the laws of, and have its principal place of business in, the country served by the PEPFAR program with which the entity is or may become involved; (b) must be at least 51% for FY 2009-10; 66% for FY 2011-12; and 75% for FY 2013 beneficially owned by individuals who are citizens or lawfully admitted permanent residents of that same country, per sub-paragraph (2)(a), or by other corporations, partnerships or other arrangements that are local partners under this paragraph or paragraph (3); (c) at least 51% for FY 2011-12; 66% for FY 2013-14; and 75% for FY 2015 of the entity’s staff (senior, mid-level, support) must be citizens or lawfully admitted permanent residents of that same country, per sub-paragraph (2)(a), and at least 51% for FY 20011-12; 66% for FY 2013-14; and 75% for FY 2015 of the entity’s senior staff (i.e., managerial and professional personnel) must be citizens or lawfully admitted permanent residents of such country; and (d) where an entity has a Board of Directors, at least 51% of the members of the Board must also be citizens or lawfully admitted permanent residents of such country; or

(3) a joint venture, unincorporated association, consortium, or other arrangement in which at least 51% for FY 2001-12; 66% for FY 2013-14; and 75% for FY 2015 of the funding under the PEPFAR award is or will be provided to members who are local partners under the criteria in paragraphs (1) or (2) above, and a local partner is designated as the managing member of the organization.

Host government ministries (e.g., Ministry of Health), sub-units of government ministries, and parastatal organizations in the country served by the PEPFAR program are considered local partners. A parastatal organization is defined as a fully or partially government-owned or government-funded organization. Such enterprises may function through a board of directors, similar to private corporations. However, ultimate control over the board may rest with the government.

The applicant should submit the following documents to demonstrate eligibility by all three criteria above under the "Other Attachment Forms" and labelled as follows

- a- Evidence of physical location of the organization's office in Uganda
- b. Evidence that the majority of key organizational staff (senior, mid-level, and support) are comprised of persons from Uganda
- c-Evidence of incorporation in Uganda
- d- Organizational structure and Board Membership demonstrating inclusion of Uganda staff/members

Failure to submit these documents, will render the application non-responsive to this FOA

2. The following are also required for this FOA:

Applicants Corporate Capability Statement; Letters of Support

- Letter of support from the Uganda Ministry of Health,
- Letter of support of the institution that owns the respective facilities in which services are proposed to be provided
- Letter of support from the Uganda AIDS Commission

The three letters of support above will form part of the evidence that the applicant has credibility, capacity and expertise to implement this project

3. If the application is incomplete or non-responsive to the special requirements listed in this section, it will not be entered into the review process. The applicant will be notified that the application did not meet submission requirements.

- Late submissions will be considered non-responsive. See section "V.3. Submission Dates and Times" for more information on deadlines.
- If the total amount of appendices includes more than 80 pages, the application will not be considered for review. For this purpose, all appendices must have page numbers and must be clearly identified in the Table of Contents.

Note: Title 2 of the United States Code Section 1611 states that an organization described in Section 501(c)(4) of the Internal Revenue Code that engages in lobbying activities is not eligible to receive Federal funds constituting a grant, loan, or an award.

Maintenance of Effort

Maintenance of Effort is not required for this program.

IV. Application and Submission Information

Submission Dates and Times

This announcement is the definitive guide on LOI and application content, submission, and deadline. It supersedes information provided in the application instructions. If the application submission does not meet the deadline published herein, it will not be eligible for review and the applicant will be notified the application did not meet the submission requirements.

Application Deadline Date: March 7, 2012, 11:59pm Eastern Standard Time

Applicants must download the SF424 application package associated with this funding opportunity from www.grants.gov. If access to the Internet is not available or if the applicant encounters difficulty in accessing the forms on-line, contact the HHS/CDC Procurement and Grant Office Technical Information Management Section (PGO TIMS) staff at (770) 488-2700 email: pgotim@cdc.gov, Monday-Friday 7:00am – 4:30pm U.S. Eastern Standard Time for further instruction. CDC Telecommunications for the hearing impaired or disabled is available at: TTY 1-888-232-6348.

If the applicant encounters technical difficulties with Grants.gov, the applicant should contact Grants.gov Customer Service. The Grants.gov Contact Center is available 24 hours a day, 7 days a week, with the exception of all Federal Holidays. The Contact Center provides customer service to the applicant community. The extended hours will provide applicants support around the clock, ensuring the best possible customer service is received any time it's needed. You can reach the Grants.gov Support Center at 1-800-518-4726 or by email at support@grants.gov. Submissions sent by e-mail, fax, CD's or thumb drives of applications will not be accepted.

Content and Form of Application Submission

All applicants are required to sign and submit CDC Assurances and Certifications that can be found on the CDC Web site at the following Internet address:

<http://www.cdc.gov/od/pgo/funding/grants/foamain.shtm>

Print, scan and upload as an additional attachment into the application package.

Letter of Intent (LOI):

A letter of intent is not applicable to this funding opportunity announcement.

A Project Abstract must be completed in the Grants.gov application forms. The Project Abstract must contain a summary of the proposed activity suitable for dissemination to the public. It should be a self-contained description of the project and should contain a statement of objectives and methods to be employed. It should be informative to other persons working in the same or related fields and insofar as possible understandable to a technically literate lay reader. This abstract must not include any proprietary/confidential information.

A Project Narrative must be submitted with the application forms. The project narrative must be uploaded in a PDF file format when submitting via Grants.gov. The narrative must be submitted in the following format:

- Maximum number of pages: 25 (If your narrative exceeds the page limit, only the first pages which are within the page limit will be reviewed.);
- Font size: 12 point, unreduced, Times New Roman;
- Double spaced;
- Page margin size: One inch;

- Number all narrative pages; not to exceed the maximum number of pages.

The narrative should address activities to be conducted over the entire project period and must include the following items in the order listed:

- ***Project Context and Background (Understanding and Need):*** Describe the background and justify the need for the proposed project. Describe the current infrastructure system; targeted geographical area(s), if applicable; and identified gaps or shortcomings of the current health systems and AIDS control projects;
- ***Project Strategy - Description and Methodologies:*** Present a detailed operational plan for initiating and conducting the project. Clearly describe the applicant’s technical approach/methods for implementing the proposed project. Describe the existence of, or plans to establish partnerships necessary to implement the project. Describe linkages, if appropriate, with programs funded by the U.S. Agency for International Development;
- ***Project Goals and Objectives:*** Include the goals of the project and its SMART objectives (specific, measurable, achievable, relevant, and time-bound). These need to be consistent with the expected targets of the Country/Regional Operational Plan and for this Cooperative Agreement program as provided in the “Purpose” Section at the beginning of this Announcement;
- ***Work Plan and Description of Project Components and Activities:*** Be sure to address each of the specific tasks listed in the activities section of this announcement. Clearly identify specific assigned responsibilities for all key professional personnel;
- ***Project Outputs:*** List the products that will result from the activities to be implemented in this project and that are relevant to the objectives specified in the previous section (e.g., conduct data quality assessment once a year);
- ***Project Outcomes:*** Include the expected effects of project activities in the target populations and/or organizations (e.g., increased adherence to ART) that are relevant to the project goals and objectives. This will represent the project’s effectiveness;
- ***Performance Indicators:*** Include measures that will show progress in the achievement of project goals and objectives (e.g., percent of health care workers who graduated from a pre-service training at the end of the reporting period)
- ***Timeline*** (detailed GANTT Chart); and
- ***Management of Project Funds and Reporting.*** Reporting should also address quarterly reports and PEPFAR Semi-Annual (SAPR) and Annual (APR) progress reports.

Project Budget Justification:

With staffing breakdown and justification, provide a line item budget and a narrative with justification for all requested costs. Be sure to include, if any, in-kind support or other contributions provided by the national government and its donors as part of the total project, but for which the applicant is not requesting funding.

Budgets must be consistent with the purpose, objectives of the Emergency Plan

and the program activities listed in this announcement and must include the following: line item breakdown and justification for all personnel, i.e., name, position title, annual salary, percentage of time and effort, and amount requested.

The project budget justification must be included as a separate attachment of the application, not to be counted in the narrative page limit.

The recommended guidance for completing a detailed budget justification can be found on the HHS/CDC Web site, at the following Internet address:
<http://www.cdc.gov/od/pgo/funding/budgetguide.htm>.

For each contract, list the following: (1) name of proposed contractor; (2) breakdown and justification for estimated costs; (3) description and scope of activities the contractor will perform; (4) period of performance; (5) method of contractor selection (e.g., competitive solicitation); and (6) methods of accountability. Applicants should, to the greatest extent possible, employ transparent and open competitive processes to choose contractors;

Additional information may be included in the application appendices. The appendices will not be counted toward the narrative page limit. **The total amount of appendices must not exceed 80 pages and can only contain information related to the following:**

- ***Curricula vitae*** of current key staff who will work on the activity of current key staff who will work on the activity
The Chief of Party or Executive director, and their deputies, program managers and any other senior level staff
- ***Job descriptions*** of proposed key positions to be created for the activity
Together with levels of effort on this program if working on multiple programs;
- ***Applicant's Corporate Capability Statement; Letters of Support***
 - Letter of support from the Uganda Ministry of Health,
 - Letter of support of the institution that owns the respective facilities in which services are proposed to be provided
- ***Evidence of Legal Organizational Structure; and***
- ***If applying as a Local Indigenous Partner***, provide documentation to self-certify the applicant meets the PEPFAR local partner definition listed in "Special Requirements," Part III. ELIGIBILITY INFORMATION section of the FOA.
- ***Letter of credibility and experience from the Uganda AIDS Commission***

Additional information submitted via Grants.gov should be uploaded in a PDF file format, and should be named accordingly. i.e: Letters of support should be named "letters of support"

Additional requirements for additional documentation with the application are listed in Section VII. Award Administration Information, subsection entitled "Administrative and National Policy Requirements."

Funding Restrictions

Restrictions, which must be taken into account while writing the budget, are as follows:

- All plans for data collection from persons or personal records and for laboratory specimen collection and testing that are expected to result in public reports will require protocols for technical review and review of institutional human subjects protection considerations by CDC. Funds for implementing these activities will be restricted until all necessary institutional protocol approvals have been obtained. Funds for preparatory activities (e.g., protocol development, training, equipment, reagents, and site preparation) may be provided prior to protocol approval. To facilitate the early availability of funding, the budget and narrative should clarify which activities are preparatory.
- Needle Exchange – No funds appropriated under this Act shall be used to carry out any program of distributing sterile needles or syringes for the hypodermic injection of any illegal drug.
- Recipients may only expend funds for reasonable program purposes, including personnel, travel, supplies, and services, such as contractual.
- Awardees may not generally use HHS/CDC/ATSDR funding for the purchase of furniture or equipment. Any such proposed spending must be identified in the budget.
- The direct and primary recipient in a cooperative agreement program must perform a substantial role in carrying out project objectives and not merely serve as a conduit for an award to another party or provider who is ineligible.
- Reimbursement of pre-award costs is not allowed.
- The costs that are generally allowable in grants to domestic organizations are allowable to foreign institutions and international organizations, with the following exception: With the exception of the American University, Beirut and the World Health Organization, Indirect Costs will not be paid (either directly or through sub-award) to organizations located outside the territorial limits of the United States or to international organizations regardless of their location.
- The applicant may contract with other organizations under this program; however the applicant must perform a substantial portion of the activities (including program management and operations, and delivery of prevention services for which funds are required.)
- All requests for funds contained in the budget, shall be stated in U.S. dollars. Once an award is made, CDC will not compensate foreign grantees for currency exchange fluctuations through the issuance of supplemental awards.
- Foreign grantees are subject to audit requirements specified in 45 CFR 74.26(d). A non-Federal audit is required, if during the grantees fiscal year, the grantee expended a total of \$500,000.00 or more under one or more HHS awards (as a direct grantee and/or as a sub-grantee). The grantee either may have (1) A financial related audit (as defined in the Government Auditing Standards, GPO stock #020-000-00-265-4) of a particular award in accordance with Government Auditing Standards, in those case where the grantee receives awards under only one HHS program; or, if awards are received under multiple HHS programs, a financial related audit of all HHS awards in accordance with Government

Auditing Standards; or (2) An audit that meets the requirements contained in OMB Circular A-133.

- **Funding Restrictions**
Restrictions, which must be taken into account while writing the budget, are as follows: All plans for data collection from persons or personal records and for laboratory specimen collection and testing that are expected to result in public reports will require protocols for technical review and review of institutional human subjects protection considerations by CDC. Funds for implementing these activities will be restricted until all necessary institutional protocol approvals have been obtained. Funds for preparatory activities (e.g., protocol development, training, equipment, reagents, and site preparation) may be provided prior to protocol approval. To facilitate the early availability of funding, the budget and narrative should clarify which activities are preparatory.⁹
- **ADS funding restrictions** which require submission of protocols will be submitted within six months of notification of such requirement, but no later than the end of the first budget year. Requests for exceptions to these deadlines will need to be submitted in writing to the Grants Management Officer.
All protocol approvals should be obtained no later than the end of the second budget period after the award or Continuation has been made, provided that the Grantee submits their protocol no later than the deadline.

The 8% Rule

The President's Emergency Plan for AIDS Relief (PEPFAR) seeks to promote sustainability for programs through the development, use, and strengthening of local partnerships. The diversification of partners also ensures additional robust capacity at the local and national levels.

To achieve this goal, the Office of the Global AIDS Coordinator (OGAC) establishes an annual funding guideline for grants and cooperative agreement planning. Within each annual PEPFAR country budget, OGAC establishes a limit for the total amount of U.S. Government funding for HIV/AIDS activities provided to a single partner organization under all grant and cooperative agreements for that country. **For U.S. Government fiscal year (FY) 2012, the limit is no more than 8 percent of the country's FY 2012 PEPFAR program funding (excluding U.S. Government management and staffing costs), or \$2 million, whichever is greater.** The total amount of funding to a partner organization includes any PEPFAR funding provided to the partner, whether directly as prime partner or indirectly as sub-grantee. In addition, subject to the exclusion for umbrella awards and drug/commodity costs discussed below, all funds provided to a prime partner, even if passed through to sub-partners, are applicable to the limit. PEPFAR funds provided to an organization under contracts are not applied to the 8 percent/\$2 million single partner ceiling. Single-partner funding limits will be determined by PEPFAR after the submission of the COP(s). Exclusions from the 8 percent/\$2 million single-partner ceiling are made for (a) umbrella awards, (b) commodity/drug costs, and (c) Government Ministries and parastatal organizations. A parastatal organization is defined as a fully or partially state-owned corporation or government agency. For umbrella awards, grants officers will determine whether an

award is an umbrella for purposes of exception from the cap on an award-by-award basis. Grants or cooperative agreements in which the primary objective is for the organization to make sub-awards and at least 75 percent of the grant is used for sub-awards, with the remainder of the grant used for administrative expenses and technical assistance to sub-grantees, will be considered umbrella awards and, therefore, exempted from the cap. Agreements that merely include sub-grants as an activity in implementation of the award but do not meet these criteria will not be considered umbrella awards, and the full amount of the award will count against the cap. All commodity/drug costs will be excluded from partners' funding for the purpose of the cap. The remaining portion of awards, including all overhead/management costs, will be counted against the cap.

Applicants should be aware that evaluation of proposals will include an assessment of grant/cooperative agreement award amounts applicable to the applicant by U.S. Government fiscal year in the relevant country. An applicant whose grants or cooperative agreements have already met or exceeded the maximum, annual single-partner limit may submit an application in response to this RFA/APS/FOA. However, applicants whose total PEPFAR funding for this country in a U.S. Government fiscal year exceeds the 8 percent/\$2 million single partner ceiling at the time of award decision will be ineligible to receive an award under this RFA/APS/FOA unless the U.S. Global AIDS Coordinator approves an exception to the cap. **Applicants must provide in their proposals the dollar value by U.S. Government fiscal year of current grants and cooperative agreements (including sub-grants and sub-agreements) financed by the Emergency Plan, which are for programs in the country(ies) covered by this RFA/APS/FOA.** For example, the proposal should state that the applicant has \$_____ in FY 2012 grants and cooperative agreements (for as many fiscal years as applicable) in *Uganda*. For additional information concerning this RFA/APS/FOA, please contact the Grants Officer for this RFA/APS/FOA.

Prostitution and Related Activities

The U.S. Government is opposed to prostitution and related activities, which are inherently harmful and dehumanizing, and contribute to the phenomenon of trafficking in persons.

Any entity that receives, directly or indirectly, U.S. Government funds in connection with this document ("recipient") cannot use such U.S. Government funds to promote or advocate the legalization or practice of prostitution or sex trafficking. Nothing in the preceding sentence shall be construed to preclude the provision to individuals of palliative care, treatment, or post-exposure pharmaceutical prophylaxis, and necessary pharmaceuticals and commodities, including test kits, condoms, and, when proven effective, microbicides. A recipient that is otherwise eligible to receive funds in connection with this document to prevent, treat, or monitor HIV/AIDS shall not be required to endorse or utilize a multisectoral approach to combating HIV/AIDS, or to endorse, utilize, or participate in a prevention method or treatment program to which the recipient has a religious or moral objection. Any information provided by recipients about the use of condoms as part of projects or activities that are funded in connection

with this document shall be medically accurate and shall include the public health benefits and failure rates of such use.

In addition, any recipient must have a policy explicitly opposing prostitution and sex trafficking. The preceding sentence shall not apply to any “exempt organizations” (defined as the Global Fund to Fight AIDS, Tuberculosis and Malaria, the World Health Organization and its six Regional Offices, the International AIDS Vaccine Initiative or to any United Nations agency).

The following definition applies for purposes of this clause:

- Sex trafficking means the recruitment, harboring, transportation, provision, or obtaining of a person for the purpose of a commercial sex act. 22 U.S.C. § 7102(9).

All recipients must insert provisions implementing the applicable parts of this section, “Prostitution and Related Activities,” in all subagreements under this award. These provisions must be express terms and conditions of the subagreement, must acknowledge that compliance with this section, “Prostitution and Related Activities,” is a prerequisite to receipt and expenditure of U.S. government funds in connection with this document, and must acknowledge that any violation of the provisions shall be grounds for unilateral termination of the agreement prior to the end of its term. Recipients must agree that HHS may, at any reasonable time, inspect the documents and materials maintained or prepared by the recipient in the usual course of its operations that relate to the organization’s compliance with this section, “Prostitution and Related Activities.”

All prime recipients that receive U.S. Government funds (“prime recipients”) in connection with this document must certify compliance prior to actual receipt of such funds in a written statement that makes reference to this document (e.g., “[Prime recipient’s name] certifies compliance with the section, ‘Prostitution and Related Activities.’”) addressed to the agency’s grants officer. Such certifications by prime recipients are prerequisites to the payment of any U.S. Government funds in connection with this document.

Recipients' compliance with this section, “Prostitution and Related Activities,” is an express term and condition of receiving U.S. Government funds in connection with this document, and any violation of it shall be grounds for unilateral termination by HHS of the agreement with HHS in connection with this document prior to the end of its term. The recipient shall refund to HHS the entire amount furnished in connection with this document in the event HHS determines the recipient has not complied with this section, “Prostitution and Related Activities.”

Any enforcement of this clause is subject to Alliance for Open Society International v. USAID, 05 Civ. 8209 (S.D.N.Y., orders filed on June 29, 2006 and August 8, 2008)(orders gaining preliminary injunction) for the term of the Orders.

The List of the members of GHC and InterAction is found at:
http://www.usaid.gov/business/business_opportunities/cib/pdf/GlobalHealthMemberlist.pdf.

Submission Requirements

Electronic Submission

Submit the application electronically by using the forms and instructions posted for this funding opportunity on www.Grants.gov. If access to the Internet is not available or if the applicant encounters difficulty in accessing the forms on-line, contact the HHS/CDC, Procurement and Grant Office, Technical Information Management Section (PGO TIMS) staff at (770) 488-2700 Email: pgotim@cdc.gov Monday-Friday 7:30am -4:30pm for further instruction.

Note: Application submission is not concluded until successful completion of the validation process.

After submission of your application package, applicants will receive a “submission receipt” email generated by Grants.gov. Grants.gov will then generate a second e-mail message to applicants which will either validate or reject their submitted application package. This validation process may take as long as two (2) business days. Applicants are strongly encouraged check the status of their application to ensure submission of their application package is complete and no submission errors exists. To guarantee that you comply with the application deadline published in the Funding Opportunity Announcement, applicants are also strongly encouraged to allocate additional days prior to the published deadline to file their application. Non-validated applications will not be accepted after the published application deadline date.

In the event that you do not receive a “validation” email within two (2) business days of application submission, please contact www.Grants.gov . Refer to the email message generated at the time of application submission for instructions on how to track your application or the Application User Guide, Version 3.0 page 57.

Applications must be submitted electronically at www.Grants.gov. Electronic applications will be considered as having met the deadline if the application has been successfully made available to CDC for processing from Grants.gov on the deadline date. The application package can be downloaded from www.Grants.gov. Applicants can complete the application package off-line, and then upload and submit the application via the Grants.gov Web site. The applicant must submit all application attachments using a PDF file format when submitting via Grants.gov. Directions for creating PDF files can be found on the Grants.gov Web site. Use of file formats other than PDF may result in the file being unreadable by staff.

Applications submitted through Grants.gov (<http://www.grants.gov>), are electronically time/date stamped and assigned a tracking number. The AOR will receive an e-mail notice of receipt when Grants.gov receives the application. The tracking number serves to document submission and initiate the electronic validation process before the application is made available to CDC for processing.

If the applicant encounters technical difficulties with Grants.gov, the applicant should contact Grants.gov Customer Service. The Grants.gov Contact Center is available 24 hours a day, 7 days a week, with the exception of all Federal Holidays. The Contact Center provides customer service to the applicant community. The extended hours will provide applicants support around the clock, ensuring the best possible customer service is received any time it's needed. You can reach the Grants.gov Support Center at 1-800-518-4726 or by email at support@grants.gov. Submissions sent by e-mail, fax, CD's or thumb drives of applications will not be accepted.

Organizations that encounter technical difficulties in using www.Grants.gov to submit their application must attempt to overcome those difficulties by contacting the Grants.gov Support Center (1-800-518-4726, support@grants.gov). After consulting with the Grants.gov Support Center, if the technical difficulties remain unresolved and electronic submission is not possible to meet the established deadline, organizations may submit a request prior to the application deadline by email to the GMO/GMS [See Section VII "Agency Contacts"], for permission to submit a paper application. An organization's request for permission must: (a) include the Grants.gov case number assigned to the inquiry, (b) describe the difficulties that prevented electronic submission and the efforts taken with the Grants.gov Support Center (c) be submitted to the GMO/GMS at least 3 calendar days prior to the application deadline. Paper applications submitted without prior approval will not be considered.

If a paper application is authorized, the applicant will receive instructions from PGO TIMS to submit the original and two hard copies of the application by mail or express delivery service.

If a paper application is authorized, the applicant will receive instructions from PGO TIMS to submit the original and two hard copies of the application by mail or express delivery service.

Intergovernmental Review

Executive Order 12372 does not apply to this program.

V. Application Review Information

Eligible applicants are required to provide measures of effectiveness that will demonstrate the accomplishment of the various identified objectives of the funding opportunity announcement CDC-RFA-GH12-1209. Measures of effectiveness must relate to the performance goals stated in the "Purpose" section of this announcement. Measures of effectiveness must be objective, quantitative and measure the intended

outcome of the proposed program. The measures of effectiveness must be included in the application and will be an element of the evaluation of the submitted application.

Objective review panels for this announcement will be held for each of the respective sites/health facilities. Minimum eligibility criteria is the letter of support of the institution that owns the health facility where the applicant is proposing to provide services. If more than one application is deemed eligible per site then an objective review panel will be held for the individual site/health facility. The health facilities are listed in “PART 2. FULL TEXT, I. FUNDING OPPORTUNITY DESCRIPTION, Purpose Section” of the FOA.”

Criteria

Eligible applications will be evaluated against the following criteria:

Ability to Carry Out the Proposal (30 points):

- Does the applicant demonstrate the local experience in Uganda and institutional capacity (both management and technical) to achieve the goals of the project with documented good governance practices and technical expertise in HIV/AIDS care or logistics management? (10 points)
- Does the applicant demonstrate existing relationships with faith-based hospitals proposed for this program and do they have the ability to coordinate and collaborate with existing Emergency Plan partners and other donors, including the Uganda Ministry of Health, religious institutions, Global Fund and other U.S. Government Departments and agencies involved in implementing the President’s Emergency Plan, including the U.S. Agency for International Development? (10 points)
- Is there evidence of leadership support from the religious institutions that own the health facilities and evidence of current or past efforts to enhance quality and comprehensive health and HIV/AIDS services or logistics services? (5 points)
- Does the applicant have the capacity to reach rural and other underserved hard to reach populations in Uganda proposed in this program? To what extent does the applicant provide letters of support? (5 points)

Technical and Programmatic Approach (20 points):

- Does the application include an overall design strategy, including SMART (specific, measurable, achievable, relevant, and time-bound) objectives and specific time lines, clear monitoring and evaluation procedures, and specific activities for meeting the proposed objectives? (5 points)
- Does the applicant display knowledge of the strategy, principles and goals of the President’s Emergency Plan, and are the proposed activities consistent with and pertinent to that strategy and those principles and goals of local country ownership and sustainability? (5 points)

- Does the applicant describe activities that are evidence based, realistic, achievable, measurable and culturally appropriate to achieve the goals of the President's Emergency Plan and goals of this program? (5 points)
- Does the application propose to build on and complement the current national response with evidence-based strategies designed to improve existing programs or reach underserved populations? Does the application include reasonable estimates of outcome targets? (For example, the numbers of sites to be supported, number of clients the program will reach) To what extent does the applicant propose to work with other organizations? Does the applicant have the capacity to collaborate, partner and integrate with other services within these health facilities, e.g. Tuberculosis clinics, Out-Patient and In-patient Care Departments, Antenatal Clinic, Maternal and Child Health clinics, Orphans and Vulnerable Children services, Laboratory services, community programs? (5 points)
- The reviewers will assess the feasibility of the applicant's plan to meet the target goals, whether the proposed use of funds is efficient, and the extent to which the specific methods described are sensitive to the local culture and contribute to sustainability and local ownership.

Capacity Building (15 points):

- Does the applicant have a proven track record of working with religious health facilities in Uganda and building the capacity of indigenous organizations and individuals? Does the applicant have relevant experience in using participatory methods, and approaches, in project planning and implementation? Does the applicant describe an adequate and measurable plan to progressively build the capacity of local organizations and of target beneficiaries to respond to the epidemic? (10 points)
- If not a local indigenous organization, does the applicant articulate a clear exit strategy and a transition plan of the program to a local organization and maximize the legacy of this project under Program Area A? Does the capacity building plan clearly describe how it will contribute to a) improved quality and geographic coverage of service delivery to achieve the "3,12,12¹" targets of the President's Emergency and b) (if not a local indigenous organization) an evolving role of the prime beneficiary with transfer of critical technical and management competence to local organizations/sites in support of a decentralized response? (5 points)

Monitoring and Evaluation (10 points):

- Does the applicant demonstrate the local experience and capability to implement rigorous monitoring and evaluation of the program activities ? Does the plan

¹ The President's Emergency Plan for AIDS Relief (PEPFAR) has called for immediate, comprehensive and evidence based action to turn the tide of global HIV/AIDS. As called for by the PEPFAR Reauthorization Act of 2008, initiative goals over the period of 2009 through 2013 are to treat at least three million HIV infected people with effective combination anti-retroviral therapy (ART); care for twelve million HIV infected and affected persons, including five million orphans and vulnerable children; and prevent twelve million infections worldwide.

include specific output and outcome indicators for each program area and have realistic targets in line with the targets addressed in measurable outcomes section of this announcement? (5 points)

- Is the plan to measure outcomes of the intervention, and the manner in which they will be provided, adequate? Does the applicant describe a system for reviewing and adjusting activities based on monitoring information obtained by using innovative, participatory methods and standard approaches? (5 points)

Personnel (10 points):

- Does the organization employ local staff who are qualified and have competences to implement the program? (5 points)
- Are the staff roles clearly defined and is the staff structure adequate to implement the project? As described, will the staff be sufficient to meet the goals of the proposed project? (3 points)
- If not an indigenous organization, does the staff plan adequately involve local individuals and organizations? Are staff involved in this project qualified to perform the tasks described? (2 points)
- Curricula vitae provided should include information that they are qualified to perform the activities proposed.

Administration and Management (10 points):

- Does the applicant provide a clear plan for the administration and management of the proposed activities, and to manage the resources of the program, prepare reports, monitor and evaluate activities, audit expenditures and produce collect and analyze performance data? (5 points)
- Is the management structure for the project sufficient to ensure speedy start-up and implementation of the project? (If appropriate, does the applicant have a proven track record in managing large budgets; running transparent and competitive procurement processes; supervising consultants and contractors; using subgrants or other systems of sharing resources with community based organizations, faith based organizations or smaller non-governmental organizations; and providing technical assistance in comprehensive HIV/AIDS services? (5 points)
- The grantee must demonstrate an ability to submit quarterly reports in a timely manner to the HHS/CDC office.

Understanding of the Problem (5 points):

Does the applicant demonstrate a clear and concise understanding of the current national HIV/AIDS response and the cultural and political context relevant to the programmatic activities suggested? Does the applicant display an understanding of the Five-Year Strategy and goals of the President's Emergency Plan? To what extent does the applicant justify the need for this program and the need for local country ownership and sustainability(5 points)

Budget (SF424A) and Budget Narrative (Reviewed, but not scored):

Is the itemized budget for conducting the project, along with justification, reasonable and consistent with stated objectives and planned program activities? Is the budget itemized, well justified and consistent with the goals of the President's Emergency Plan for AIDS Relief? If applicable, are there reasonable costs per client reached for both year one and later years of the project?

If the applicants requests indirect costs in the budget, a copy of the indirect cost rate agreement is required. If the indirect cost rate is a provisional rate, the agreement should be less than 12 months of age. The indirect cost rate agreement should be uploaded as a PDF file with "Other Attachment Forms" when submitting via Grants.gov.

The indirect cost rate agreement does not apply to international applicants.

The applicant can obtain guidance for completing a detailed justified budget on the CDC website, at the following Internet address:

<http://www.cdc.gov/od/pgo/funding/budgetguide.htm>.

Review and Selection Process**Review**

All eligible applications will be initially reviewed for completeness by the Procurement and Grants Office (PGO) staff. In addition, eligible applications will be jointly reviewed for responsiveness by HHS/CDC Division of Global HIV/AIDS and PGO. Incomplete applications and applications that are non-responsive to the eligibility criteria will not advance through the review process. Applicants will be notified the application did not meet eligibility and/or published submission requirements.

An objective review panel will evaluate complete and responsive applications according to the criteria listed in Section V. Application Review Information, subsection entitled "Criteria". The panel may include both U.S. Federal Government and non-U.S. Federal Government participants.

Selection

Applications will be funded in order by score and rank determined by the review panel unless funding preferences or other considerations stated in the FOA apply.

CDC will provide justification for any decision to fund out of rank order.

Pre-Application Workshops

CDC Uganda will host a pre-application workshop five to ten business days following posting of this announcement on www.grants.gov. Applicants interested in attending the pre-application workshop should contact POC name Alice Namale (POC email AKN0@ug.cdc.gov) regarding time, venue, and registration details no later than five days following the posting of this announcement.

VI. AWARD ADMINISTRATION INFORMATION

Award Notices

Successful applicants will receive a Notice of Award (NoA) from the CDC Procurement and Grants Office. The NoA shall be the only binding, authorizing document between the recipient and CDC. The NoA will be signed by an authorized Grants Management Officer and e-mailed to the program director. An e-mailed copy of the NoA will be mailed to the recipient fiscal officer identified in the application. Any application awarded in response to this FOA will be subject to the DUNS, CCR Registration and Transparency Act requirements.

Unsuccessful applicants will receive notification of the results of the application review by mail and/or e-mail.

Administrative and National Policy Requirements

Successful applicants must comply with the administrative requirements outlined in 45 Code of Federal Regulations (CFR) Part 74 or Part 92, as appropriate. The following additional requirements apply to this project:

- AR-4 HIV/AIDS Confidentiality Provisions
- AR-5 HIV Program Review Panel Requirements
- AR-6 Patient Care
- AR-8 Public Health System Reporting Requirements
- AR-9 Paperwork Reduction Act Requirements
- AR-10 Smoke-Free Workplace Requirements
- AR-12 Lobbying Restrictions
- AR-14 Accounting System Requirements
- AR-15 Proof of Non-Profit Status
- AR-20 Conference Support
- AR-21 Small, Minority, and Women-Owned Business
- AR-23 States and Faith-Based Organizations
- AR-24 Health Insurance Portability and Accountability Act Requirements
- AR-25 Release and Sharing of Data
- AR-27 Conference Disclaimer and Use of Logos
- AR-29 Compliance with E.O. 13513 Federal Leadership on Reducing Text Messaging While Driving, October 1, 2009.
- AR-30 Information Letter 10-006. – Compliance with Section 508 of the Rehabilitation Act of 1973

Additional information on the requirements can be found on the CDC Web site at the following Internet address: http://www.cdc.gov/od/pgo/funding/Addtl_Reqmnts.htm.

For more information on the Code of Federal Regulations, see the National Archives and Records Administration at the following Internet address: <http://www.access.gpo.gov/nara/cfr/cfr-table-search.html>

Reporting

Federal Funding Accountability And Transparency Act Of 2006 (FFATA): Public Law 109-282, the Federal Funding Accountability and Transparency Act of 2006 as amended (FFATA), requires full disclosure of all entities and organizations receiving Federal funds including grants, contracts, loans and other assistance and payments through a single publicly accessible Web site, USASpending.gov. The Web site includes information on each Federal financial assistance award and contract over \$25,000, including such information as:

1. The name of the entity receiving the award
2. The amount of the award
3. Information on the award including transaction type, funding agency, etc.
4. The location of the entity receiving the award
5. A unique identifier of the entity receiving the award; and
6. Names and compensation of highly-compensated officers (as applicable)

Compliance with this law is primarily the responsibility of the Federal agency. However, two elements of the law require information to be collected and reported by recipients: 1) information on executive compensation when not already reported through the Central Contractor Registry; and 2) similar information on all sub-awards /subcontracts/ consortiums over \$25,000.

For the full text of the requirements under the Federal Funding Accountability and Transparency Act of 2006, please review the following website:

http://frwebgate.access.gpo.gov/cgi-bin/getdoc.cgi?dbname=109_cong_bills&docid=f:s2590enr.txt.pdf

Each funded applicant must provide CDC with an annual Interim Progress Report submitted via www.grants.gov:

1. The interim progress report is due no less than 90 days before the end of the budget period. The Interim Progress Report will serve as the non-competing continuation application, and must contain the following elements:
 - a. Standard Form (“SF”) 424S Form.
 - b. SF-424A Budget Information-Non-Construction Programs.
 - c. Budget Narrative.
 - d. Indirect Cost Rate Agreement.
 - e. Project Narrative.

Additionally, funded applicants must provide CDC with an original, plus two hard copies of the following reports:

1. Annual progress report, due 90 days after the end of the budget period including numbers served and activities accomplished in the reporting period.
2. Financial Status Report (SF 269) and annual progress, due no more than 90 days after the end of the budget period.

3. Final performance and Financial Status Reports, no more than 90 days after the end of the project period.

*Disclaimer: As of February 1, 2011, current Financial Status Report (FSR) requirements will be obsolete. Existing practices will be updated to reflect changes for implementation of the new Federal Financial Reporting (FFR) requirements.

These reports must be submitted to the attention of the Grants Management Specialist listed in the Section VIII below entitled “Agency Contacts”.

Human Subjects Restrictions

Data collection protocols required for release of human subjects funding restrictions must be submitted to the DGHA Science Office within 6 months of notification of such restrictions, but no later than the end of the first budget year. Requests for exceptions to these deadlines will need to be submitted in writing to the Grants Management Officer.

All protocol approvals should be obtained no later than the end of the subsequent budget period after the award or continuation has been made, provided that the Grantee has not been granted an exception to the deadlines specified above.

VII. AGENCY CONTACTS

CDC encourages inquiries concerning this announcement.

For **programmatic technical assistance**, contact:

Dr. Alice Namale, Project Officer
Department of Health and Human Services
Centers for Disease Control and Prevention
CDC Uganda, UVRI Campus Plot 51-59 Nakiwogo Rd,
P.O Box 49 Entebbe, Uganda
Telephone: +256 414 320082
E-mail: AKN0@ug.cdc.gov

For **financial, grants management, or budget assistance**, contact:

Kathy Raible, Grants Management Specialist
Department of Health and Human Services
CDC Procurement and Grants Office
2920 Brandywine Road, MS: K-75
Atlanta, GA 30341
Telephone: 770-488-2045
E-mail: kcr8@cdc.gov

For assistance with **submission difficulties**, contact:

Grants.gov Contact Center Phone: 1-800-518-4726
Hours of Operation: 24 hours a day, 7 days a week. Closed on Federal holidays.
Email: support@grants.gov

For **submission** questions, contact:

Technical Information Management Section
Department of Health and Human Services
CDC Procurement and Grants Office
2920 Brandywine Road, MS E-14
Atlanta, GA 30341
Telephone: 770-488-2700
Email: pgotim@cdc.gov

CDC Telecommunications for the hearing impaired or disabled is available at: TTY
1-888-232-6348.

VIII. Other Information

For additional information on reporting requirements, visit the CDC website at:
http://www.cdc.gov/od/pgo/funding/grants/additional_req.shtm.

Other CDC funding opportunity announcements can be found at: <http://www.grants.gov>.