



# Public Health Emergency Preparedness Cooperative Agreement

Budget Period 1  
Performance Measures Specifications and Implementation Guidance  
At-a-Glance Summary

July 1, 2012 – June 30, 2013

**Version 1.1**



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## Introduction

Working in close collaboration with internal and external subject matter experts (SMEs), Public Health Emergency Preparedness (PHEP) awardees, national partner organizations and federal partners such as the Assistant Secretary for Preparedness and Response (ASPR), the Division of State and Local Readiness has developed performance measures that enable CDC and its PHEP awardees to:

- support **program improvement** and **technical assistance** by identifying gaps and areas in need of improvement and tracking performance over time;
- monitor, for **accountability** purposes, the extent to which awardees are able to demonstrate acceptable levels of performance for specific public health preparedness capabilities; and
- report awardee accomplishments and performance in publications such as CDC’s Public Health Preparedness State Reports.

## Reporting Requirements

Detailed performance measure requirements (including which awardees are required to report and under what circumstances) can be found in **Appendix A**. *Please note that Appendix A supersedes Appendix 9 of the Budget Period 1 (BP1) funding opportunity announcement.*

Starting in BP1, all 15 public health preparedness capabilities have associated measures and/or evaluation tools. *New measures and evaluation tools have been developed for the following capabilities:*

- Community Recovery
- Fatality Management
- Information Sharing
- Mass Care
- Non-Pharmaceutical Interventions
- Responder Safety and Health
- Volunteer Management

*At mid-year of BP1, awardees will be required to report measures for these capabilities so that a baseline may be established.*

The PHEP BP1 Performance Measures Specifications and Implementation Guidance categorizes measures according to the following types:

- **Core public health** – measures that assess performance in the department’s critical, routine, day-to-day activities such laboratory services, epidemiological investigations, public health surveillance, as well as activities to enhance community preparedness.
- **Pre-incident planning** – process measures that assess crucial preparedness activities such as identifying and coordinating with partners, defining operational roles, defining triggers for action, and identifying barriers to public health participation in response and recovery.

- **Response** – measures of performance while actually conducting, demonstrating or achieving a capability during an incident, planned event, or exercise.

In addition to classification by measure type, each PHEP performance measure is classified as reportable to CDC according to one (and only one) of the following criteria:

- **Annually required** applies to core public health measures as well as measures that are collected for legislative and other federal requirements (e.g., Pandemic and All Hazards Preparedness Act (PAHPA), Healthy People (HP) 2020, and Government Performance and Results Act (GPRA)).
- Reportable **if PHEP funds are allocated** to the associated capability (i.e., *any* amount of PHEP funding, from small allocations to sustain the capability to large allocations to build the capability) – applies to pre-incident planning measures
- Reportable **irrespective of allocation of PHEP funds** to the associated capability – applies to most response measures and some core public health measures

Awardees have the option of reporting **pre-incident planning measures** at (a) at the awardee-level, (b) as a proportion of PHEP-funded local health departments (LHDs) at the local level, or (c) both. This flexibility is provided to awardees to ensure that variability in jurisdictional governance structures and the organization of public health activity (e.g., in counties versus districts versus regions versus the state) across PHEP awardees is able to be captured. In jurisdictions in which there are no LHDs (e.g., in most territories and freely associated states and a few states), awardees should report at the awardee level only. In jurisdictions in which LHDs are units of state government, CDC encourages the awardee to report the proportion metric as appropriate, since those organizations are recognized as LHDs (albeit units of state government) by the National Association for County and City Health Officials (NACCHO). *Importantly, the denominator of the local proportion metric should include only those LHDs that the awardee has funded (via contracts OR via a centralized state’s direct funding or support) to do work in the capability in question* (e.g., If an awardee provides PHEP funds to five of its 20 LHDs to do work in the fatality management capability, it would include only these five LHDs in the denominator of PHEP 5.2: Identify Role with Partners (LHDs)). In jurisdictions in which both the state health department and LHDs undertake various planning and response roles, reporting of both metrics (the awardee-level “yes/no” and the local level proportion metric) is required.

## 1. Community Preparedness

<b>PHEP 1.1</b>	<b>Identification of Key Organizations</b>		
<b>Median number of community sectors in which LHDs identified key organizations to participate in public health, medical, and mental/behavioral health-related emergency preparedness efforts</b>			
<i>The pre-selected sample of counties provided to the awardee by CDC applies to this measure.</i>			
<b>Aligns To</b>	<b>Function 2</b>		
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b>	<input checked="" type="checkbox"/> <b>Annual Reporting</b>	<input type="checkbox"/> Incident	<input type="checkbox"/> Optional
<input checked="" type="checkbox"/> <b>Directly Funded Localities</b>	<input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan	<input type="checkbox"/> Exercise	<input type="checkbox"/> Accountability
<input checked="" type="checkbox"/> <b>Territories or Freely Associated States</b>	<input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input type="checkbox"/> Planned Event	<input type="checkbox"/> Data Collected By

<b>PHEP 1.2</b>	<b>Community Engagement in Risk Identification</b>		
<b>Median number of community sectors that LHDs engaged in using jurisdictional risk assessment (JRA) data to determine local hazards, vulnerabilities, and risks that may impact public health, medical, and/or mental/behavioral health systems and services</b>			
<i>The pre-selected sample of counties provided to the awardee by CDC applies to this measure.</i>			
<b>Aligns To</b>	<b>Function 1</b>		
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b>	<input checked="" type="checkbox"/> <b>Annual Reporting</b>	<input type="checkbox"/> Incident	<input type="checkbox"/> Optional
<input checked="" type="checkbox"/> <b>Directly Funded Localities</b>	<input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan	<input type="checkbox"/> Exercise	<input type="checkbox"/> Accountability
<input checked="" type="checkbox"/> <b>Territories or Freely Associated States</b>	<input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input type="checkbox"/> Planned Event	<input type="checkbox"/> Data Collected By

<b>PHEP 1.3</b>		<b>Community Engagement in Public Health Preparedness Activities</b>			
<b>Proportion of key organizations that LHDs engaged in a significant public health emergency preparedness activity</b>					
<i>The pre-selected sample of counties provided to the awardee by CDC applies to this measure.</i>					
<b>Aligns To</b>		<b>Function 4</b>			
Measure Applies To:		Circumstances for Reporting:		For Response Only:	
<input checked="" type="checkbox"/> <b>States</b>	<input checked="" type="checkbox"/> <b>Annual Reporting</b>	<input type="checkbox"/> Incident	<input type="checkbox"/> Optional		
<input checked="" type="checkbox"/> <b>Directly Funded Localities</b>	<input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan	<input type="checkbox"/> Exercise	<input type="checkbox"/> Accountability		
<input checked="" type="checkbox"/> <b>Territories or Freely Associated States</b>	<input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input type="checkbox"/> Planned Event	<input type="checkbox"/> Data Collected By		

<b>PHEP 1.4</b>		<b>Community Engagement in Recovery Planning</b>			
<b>Median number of community sectors that LHDs engaged in developing and/or reviewing a community recovery plan related to the restoration and recovery of public health, medical, and/or mental/behavioral health systems and services</b>					
<i>The pre-selected sample of counties provided to the awardee by CDC applies to this measure.</i>					
<b>Aligns To</b>		<b>Function 2</b>			
Measure Applies To:		Circumstances for Reporting:		For Response Only:	
<input checked="" type="checkbox"/> <b>States</b>	<input checked="" type="checkbox"/> <b>Annual Reporting</b>	<input type="checkbox"/> Incident	<input type="checkbox"/> Optional		
<input checked="" type="checkbox"/> <b>Directly Funded Localities</b>	<input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan	<input type="checkbox"/> Exercise	<input type="checkbox"/> Accountability		
<input checked="" type="checkbox"/> <b>Territories or Freely Associated States</b>	<input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input type="checkbox"/> Planned Event	<input type="checkbox"/> Data Collected By		

## 2. Community Recovery

<b>Evaluation Tool</b>			
<p>This instrument is intended to be completed by any state or local health department(s) within the awardee jurisdiction involved in response and recovery of some aspect of the public health, medical, or mental/behavioral health system. However, the awardee will always be responsible for submitting these data to CDC.</p>			
<b>Aligns To</b>	<b>Functions 1, 2</b>		
Tool Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b> <input checked="" type="checkbox"/> <b>Directly Funded Localities</b> <input checked="" type="checkbox"/> <b>Territories or Freely Associated States</b>	<input type="checkbox"/> Annual Reporting <input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan <input checked="" type="checkbox"/> <b>If Emergency Response Required Use of this Capability, Regardless of Funding</b>	<input checked="" type="checkbox"/> <b>Incident</b> <input type="checkbox"/> Exercise <input type="checkbox"/> Planned Event	<input type="checkbox"/> Optional <input type="checkbox"/> Accountability <input type="checkbox"/> Data Collected By



### 3. Emergency Operations Coordination

<b>PHEP 3.1</b>	<b>Staff Assembly</b>		
<b>Time for pre-identified staff covering activated public health agency incident management lead roles (or equivalent) to report for immediate duty</b>			
<b>Aligns To</b>	<b>Function 2</b>		
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b> <input checked="" type="checkbox"/> <b>Directly Funded Localities</b> <input checked="" type="checkbox"/> <b>Territories or Freely Associated States</b>	<input checked="" type="checkbox"/> <b>Annual Reporting</b> <input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan <input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input checked="" type="checkbox"/> <b>Incident</b> <input checked="" type="checkbox"/> <b>Exercise</b> <input checked="" type="checkbox"/> <b>Planned Event</b>	<input type="checkbox"/> <b>Optional</b> <input checked="" type="checkbox"/> <b>Accountability: GPRA Measure</b> <input type="checkbox"/> Data Collected By

<b>PHEP 3.2</b>	<b>IAP</b>		
<b>Production of the approved Incident Action Plan (IAP) before the start of the second operational period</b>			
<b>Aligns To</b>	<b>Function 3</b>		
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b> <input checked="" type="checkbox"/> <b>Directly Funded Localities</b> <input checked="" type="checkbox"/> <b>Territories or Freely Associated States</b>	<input type="checkbox"/> Annual Reporting <input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan <input checked="" type="checkbox"/> <b>If Emergency Response Required Use of this Capability, Regardless of Funding</b>	<input checked="" type="checkbox"/> <b>Incident</b> <input checked="" type="checkbox"/> <b>Exercise</b> <input checked="" type="checkbox"/> <b>Planned Event</b>	<input checked="" type="checkbox"/> <b>Optional</b> <input type="checkbox"/> <b>Accountability</b> <input type="checkbox"/> Data Collected By

<b>PHEP 3.3</b>	<b>AAR and IP</b>		
<b>Time to complete a draft of an After Action Report (AAR) and Improvement Plan (IP)</b>			
<b>Aligns To</b>	<b>Function 5</b>		
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b> <input checked="" type="checkbox"/> <b>Directly Funded Localities</b> <input checked="" type="checkbox"/> <b>Territories or Freely Associated States</b>	<input checked="" type="checkbox"/> <b>Annual Reporting</b> <input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan <input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input checked="" type="checkbox"/> <b>Incident</b> <input checked="" type="checkbox"/> <b>Exercise</b> <input checked="" type="checkbox"/> <b>Planned Event</b>	<input type="checkbox"/> <b>Optional</b> <input checked="" type="checkbox"/> <b>Accountability: HP2020 Measure</b> <input type="checkbox"/> Data Collected By

## 4. Emergency Public Information and Warning

PHEP 4.1		Public Message Dissemination	
Time to issue a risk communication message for dissemination to the public			
Aligns To		Function 5	
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b>	<input checked="" type="checkbox"/> <b>Annual Reporting</b>	<input checked="" type="checkbox"/> <b>Incident</b>	<input type="checkbox"/> Optional
<input checked="" type="checkbox"/> <b>Directly Funded Localities</b>	<input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan	<input checked="" type="checkbox"/> <b>Exercise</b>	<input checked="" type="checkbox"/> <b>Accountability: HP2020 Measure</b>
<input checked="" type="checkbox"/> <b>Territories or Freely Associated States</b>	<input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input checked="" type="checkbox"/> <b>Planned Event</b>	<input type="checkbox"/> Data Collected By

## 5. Fatality Management

PHEP 5.1		Identify Role with Partners (Awardee)	
<p><b>The awardee health department has defined fatality management roles and responsibilities of public health in relation to those of key local partners (e.g., emergency management, coroners and medical examiners, and funeral directors) [Yes/No]</b></p> <p><i>Awardees should only report on this measure (PHEP 5.1) if public health-related support of fatality management is or will be a role carried out at the awardee level. If public health-related support of fatality management is an LHD responsibility, awardees should report on PHEP 5.2. If public health-related support of fatality management is a responsibility of the awardee and LHDs, report on both measures.</i></p>			
Aligns To		Function 1	
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b> <input checked="" type="checkbox"/> <b>Directly Funded Localities</b> <input checked="" type="checkbox"/> <b>Territories or Freely Associated States</b>	<input type="checkbox"/> Annual Reporting <input checked="" type="checkbox"/> <b>If PHEP Funds Allocated to the Capability or Contracts Plan *</b> <input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input type="checkbox"/> Incident <input type="checkbox"/> Exercise <input type="checkbox"/> Planned Event	<input type="checkbox"/> Optional <input type="checkbox"/> Accountability <input type="checkbox"/> Data Collected By
<p><i>* BP1 EXCEPTION: Mid-Year Reporting Required in BP1 for Baseline Data, Irrespective of Funding</i></p>			

PHEP 5.2		Identify Role with Partners (LHDs)	
<p><b>Proportion of PHEP-funded LHDs that have defined fatality management roles and responsibilities of public health in relation to those of key local partners (e.g., emergency management, coroners and medical examiners, and funeral directors)</b></p> <p><i>Awardees should only report on this measure (PHEP 5.2) if public health-related support of fatality management is or will be a role carried out at the local level. If public health-related support of fatality management is an awardee health department responsibility, awardees should report on PHEP 5.1. If public health-related support of fatality management is a responsibility of the awardee and LHDs, report on both measures.</i></p> <p><i>The pre-selected sample of counties provided to the awardee by CDC <b>does not</b> apply to this measure.</i></p>			
Aligns To		Function 1	
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b> <input type="checkbox"/> Directly Funded Localities <input type="checkbox"/> Territories or Freely Associated States	<input type="checkbox"/> Annual Reporting <input checked="" type="checkbox"/> <b>If PHEP Funds Allocated to the Capability or Contracts Plan *</b> <input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input type="checkbox"/> Incident <input type="checkbox"/> Exercise <input type="checkbox"/> Planned Event	<input type="checkbox"/> Optional <input type="checkbox"/> Accountability <input type="checkbox"/> Data Collected By
<p><i>* BP1 EXCEPTION: Mid-Year Reporting Required in BP1 for Baseline Data, Irrespective of Funding</i></p>			

## 6. Information Sharing

PHEP 6.1		Share Epidemiological/Clinical Data (Awardee)					
<p><b>The awardee health department can share basic epidemiological and/or clinical data with relevant healthcare organizations (HCOs) [Yes/No]</b></p> <p><i>Awardees should only report on this measure (PHEP 6.1) if public health-related support of information sharing is or will be a role carried out at the awardee level. If public health-related support of information sharing is an LHD responsibility, awardees should report on PHEP 6.2. If public health-related support of information sharing is a responsibility of the awardee and LHDs, report on both measures.</i></p>							
Aligns To		Functions 1, 3					
Measure Applies To:		Circumstances for Reporting:		For Response Only:		Other Considerations:	
<input checked="" type="checkbox"/> <b>States</b> <input checked="" type="checkbox"/> <b>Directly Funded Localities</b> <input checked="" type="checkbox"/> <b>Territories or Freely Associated States</b>		<input type="checkbox"/> Annual Reporting <input checked="" type="checkbox"/> <b>If PHEP Funds Allocated to the Capability or Contracts Plan *</b> <input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding		<input type="checkbox"/> Incident <input type="checkbox"/> Exercise <input type="checkbox"/> Planned Event		<input type="checkbox"/> Optional <input type="checkbox"/> Accountability <input type="checkbox"/> Data Collected By	
<p><b>* BP1 EXCEPTION: Mid-Year Reporting Required in BP1 for Baseline Data, Irrespective of Funding</b></p>							

PHEP 6.2		Share Epidemiological/Clinical Data (LHDs)					
<p><b>Proportion of PHEP-funded local health departments that can share basic epidemiological and/or clinical data with relevant healthcare organizations (HCOs)</b></p> <p><i>Awardees should only report on this measure (PHEP 6.2) if public health-related support of information sharing is or will be a role carried out at the local level. If public health-related support of information sharing is an awardee health department responsibility, awardees should report on PHEP 6.1. If public health-related support of information sharing is a responsibility of the awardee and LHDs, report on both measures.</i></p> <p><i>The pre-selected sample of counties provided to the awardee by CDC <b>does not</b> apply to this measure.</i></p>							
Aligns To		Functions 1, 3					
Measure Applies To:		Circumstances for Reporting:		For Response Only:		Other Considerations:	
<input checked="" type="checkbox"/> <b>States</b> <input type="checkbox"/> Directly Funded Localities <input type="checkbox"/> Territories or Freely Associated States		<input type="checkbox"/> Annual Reporting <input checked="" type="checkbox"/> <b>If PHEP Funds Allocated to the Capability or Contracts Plan *</b> <input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding		<input type="checkbox"/> Incident <input type="checkbox"/> Exercise <input type="checkbox"/> Planned Event		<input type="checkbox"/> Optional <input type="checkbox"/> Accountability <input type="checkbox"/> Data Collected By	
<p><b>* BP1 EXCEPTION: Mid-Year Reporting Required in BP1 for Baseline Data, Irrespective of Funding</b></p>							

HPP-PHEP 6.1		Information Sharing	
<p><b>Percent of local partners that reported requested Essential Elements of Information (EEI) to the health/medical lead within the requested timeframe</b></p> <p><i>This measure can also be found in the HPP BP1 Healthcare Systems Preparedness: Performance Measures Specifications and Implementation Guidance.</i></p>			
<b>Aligns To</b>		<b>Function 3</b>	
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b> <input checked="" type="checkbox"/> <b>Directly Funded Localities</b> <input checked="" type="checkbox"/> <b>Territories or Freely Associated States</b>	<input type="checkbox"/> Annual Reporting <input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan <input checked="" type="checkbox"/> <b>If Emergency Response Required Use of this Capability, Regardless of Funding *</b>	<input checked="" type="checkbox"/> <b>Incident</b> <input checked="" type="checkbox"/> <b>Exercise</b> <input checked="" type="checkbox"/> <b>Planned Event</b>	<input type="checkbox"/> Optional <input type="checkbox"/> Accountability <input checked="" type="checkbox"/> <b>Data Collected By: HPP and/or PHEP</b>
<p><i>* Mid-Year and End-of-Year Reporting Required in BP1, Irrespective of Funding</i></p>			

## 7. Mass Care

PHEP 7.1		Define Roles with Partners (Awardee)					
<p><b>The awardee health department has defined its role in mass care operations in coordination with ESF-6 and other key partners [Yes/No]</b></p> <p><i>Awardees should only report on this measure (PHEP 7.1) if public health-related support of mass care is or will be a role carried out at the awardee level. If public health-related support of mass care is an LHD responsibility, awardees should report on PHEP 7.2. If public health-related support of mass care is a responsibility of the awardee and LHDs, report on both measures.</i></p>							
Aligns To		Function 1					
Measure Applies To:		Circumstances for Reporting:		For Response Only:		Other Considerations:	
<input checked="" type="checkbox"/> <b>States</b>		<input type="checkbox"/> Annual Reporting		<input type="checkbox"/> Incident		<input type="checkbox"/> Optional	
<input checked="" type="checkbox"/> <b>Directly Funded Localities</b>		<input checked="" type="checkbox"/> <b>If PHEP Funds Allocated to the Capability or Contracts Plan *</b>		<input type="checkbox"/> Exercise		<input type="checkbox"/> Accountability	
<input checked="" type="checkbox"/> <b>Territories or Freely Associated States</b>		<input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding		<input type="checkbox"/> Planned Event		<input type="checkbox"/> Data Collected By	
<p><b>* BP1 EXCEPTION: Mid-Year Reporting Required in BP1 for Baseline Data, Irrespective of Funding</b></p>							

PHEP 7.2		Define Roles with Partners (LHDs)					
<p><b>Proportion of PHEP-funded local health departments that have defined their role in mass care operations in coordination with ESF-6 and other key partners</b></p> <p><i>Awardees should only report on this measure (PHEP 7.2) if public health-related support of mass care is or will be a role carried out at the local level. If public health-related support of mass care is an awardee health department responsibility, awardees should report on PHEP 7.1. If public health-related support of mass care is a responsibility of the awardee and LHDs, report on both measures.</i></p> <p><i>The pre-selected sample of counties provided to the awardee by CDC <b>does not</b> apply to this measure.</i></p>							
Aligns To		Function 1					
Measure Applies To:		Circumstances for Reporting:		For Response Only:		Other Considerations:	
<input checked="" type="checkbox"/> <b>States</b>		<input type="checkbox"/> Annual Reporting		<input type="checkbox"/> Incident		<input type="checkbox"/> Optional	
<input type="checkbox"/> Directly Funded Localities		<input checked="" type="checkbox"/> <b>If PHEP Funds Allocated to the Capability or Contracts Plan *</b>		<input type="checkbox"/> Exercise		<input type="checkbox"/> Accountability	
<input type="checkbox"/> Territories or Freely Associated States		<input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding		<input type="checkbox"/> Planned Event		<input type="checkbox"/> Data Collected By	
<p><b>* BP1 EXCEPTION: Mid-Year Reporting Required in BP1 for Baseline Data, Irrespective of Funding</b></p>							

Evaluation Tool			
This instrument is intended to be completed by any state or local health department(s) within the awardee jurisdiction involved in mass care operations. However, the awardee will always be responsible for submitting these data to CDC. Health departments not involved in mass care operations are not required to complete this tool.			
Aligns To	All Functions (1-4)		
Tool Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b> <input checked="" type="checkbox"/> <b>Directly Funded Localities</b> <input checked="" type="checkbox"/> <b>Territories or Freely Associated States</b>	<input type="checkbox"/> Annual Reporting <input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan <input checked="" type="checkbox"/> <b>If Emergency Response Required Use of this Capability, Regardless of Funding</b>	<input checked="" type="checkbox"/> <b>Incident</b> <input type="checkbox"/> Exercise <input type="checkbox"/> Planned Event	<input type="checkbox"/> Optional <input type="checkbox"/> Accountability <input type="checkbox"/> Data Collected By

## 8. / 9. Medical Countermeasure Dispensing and Medical Materiel Management and Distribution

The medical countermeasure distribution and dispensing (MCMDD) composite measure is a collective measure of the ability to receive, stage, store, distribute, and dispense medical countermeasures. This measure reflects contributions from established preparedness activities and serves a demonstration of the medical materiel management and distribution and the medical countermeasure dispensing capability standards.

A MCMDD composite score will be calculated annually for each state, directly funded locality, U.S. territory and freely associated state awardee during each PHEP performance period. MCMDD composite computations for the 50 awardee states will include all of the Cities Readiness Initiative (CRI) local/planning jurisdictions within the PHEP awardees' boundary, including the directly funded local jurisdiction. Preparedness activities and contributions from CRI jurisdictions in multistate CRI metropolitan statistical areas (MSAs) will contribute to the MCMDD composite score only for the governing state. The MCMDD composite measure is calculated by the Division of Division of State and Local Readiness within the Office of Public Health Preparedness and Response (OPHPR) at CDC.

Each MCMDD composite measure score will be calculated based on data collected from the following preparedness activities:

- Technical assistance review (TAR)
- Operational drills (annual requirement beginning 2011-2012)
- Compliance with programmatic standards (annual requirement beginning 2012-2013)
  - Points of dispensing standards
  - Medical countermeasure distribution standards
- Full-scale exercises (FSE)
  - Medical countermeasure distribution (one state-level FSE required during the 2011-2016 time period)
  - Medical countermeasure dispensing (one CRI-level FSE during the 2011-2016 time period).

Detailed guidance related to the data collection requirements for each awardee state, directly funded locality, U.S. territory, and freely associated state is provided in the PHEP Cooperative Agreement Budget Period 11 (2011-2012): Medical Countermeasure Distribution and Dispensing Composite Measure Guide. The composite measure guide can be accessed and downloaded from the Strategic National Stockpile (SNS) Extranet site ([www.bt.cdc.gov/stockpile/extranet](http://www.bt.cdc.gov/stockpile/extranet)) and the SNS SharePoint site ([www.orau.gov/sns](http://www.orau.gov/sns)).





## 10. Medical Surge

CDC and ASPR have developed a joint performance measure that covers both the PHEP Information Sharing and Medical Surge capabilities. This performance measure (i.e., HPP-PHEP 6.1: Information Sharing) can be found in the Information Sharing chapter and in the HPP BP1 Healthcare Systems Preparedness: Performance Measures Specifications and Implementation Guidance.

## 11. Non-Pharmaceutical Interventions

PHEP 11.1		Determine Role with Partners (Awardee)					
<p><b>The awardee health department has collaborated with legal, scientific and community partners to determine roles and responsibilities for the development and implementation of NPI recommendations [Yes/No]</b></p> <p><i>Awardees should only report on this measure (PHEP 11.1) if public health-related support of non-pharmaceutical interventions is or will be a role carried out at the awardee level. If public health-related support of non-pharmaceutical interventions is an LHD responsibility, awardees should report on PHEP 11.2. If public health-related support of non-pharmaceutical interventions is a responsibility of the awardee and LHDs, report on both measures.</i></p>							
Aligns To		Function 1					
Measure Applies To:		Circumstances for Reporting:		For Response Only:		Other Considerations:	
<input checked="" type="checkbox"/> <b>States</b> <input checked="" type="checkbox"/> <b>Directly Funded Localities</b> <input checked="" type="checkbox"/> <b>Territories or Freely Associated States</b>		<input type="checkbox"/> Annual Reporting <input checked="" type="checkbox"/> <b>If PHEP Funds Allocated to the Capability or Contracts Plan *</b> <input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding		<input type="checkbox"/> Incident <input type="checkbox"/> Exercise <input type="checkbox"/> Planned Event		<input type="checkbox"/> Optional <input type="checkbox"/> Accountability <input type="checkbox"/> Data Collected By	
<p><b>* BP1 EXCEPTION: Mid-Year Reporting Required in BP1 for Baseline Data, Irrespective of Funding</b></p>							

PHEP 11.2		Determine Role with Partners (LHDs)					
<p><b>Proportion of PHEP-funded local health departments that have collaborated with legal, scientific and community partners to determine roles and responsibilities for the development and implementation of NPI recommendations</b></p> <p><i>Awardees should only report on this measure (PHEP 11.2) if public health-related support of non-pharmaceutical interventions is or will be a role carried out at the local level. If public health-related support of non-pharmaceutical interventions is an awardee health department responsibility, awardees should report on PHEP 11.1. If public health-related support of non-pharmaceutical interventions is a responsibility of the awardee and LHDs, report on both measures.</i></p> <p><i>The pre-selected sample of counties provided to the awardee by CDC <b>does not</b> apply to this measure.</i></p>							
Aligns To		Function 1					
Measure Applies To:		Circumstances for Reporting:		For Response Only:		Other Considerations:	
<input checked="" type="checkbox"/> <b>States</b> <input type="checkbox"/> Directly Funded Localities <input type="checkbox"/> Territories or Freely Associated States		<input type="checkbox"/> Annual Reporting <input checked="" type="checkbox"/> <b>If PHEP Funds Allocated to the Capability or Contracts Plan *</b> <input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding		<input type="checkbox"/> Incident <input type="checkbox"/> Exercise <input type="checkbox"/> Planned Event		<input type="checkbox"/> Optional <input type="checkbox"/> Accountability <input type="checkbox"/> Data Collected By	
<p><b>* BP1 EXCEPTION: Mid-Year Reporting Required in BP1 for Baseline Data, Irrespective of Funding</b></p>							

PHEP 11.3		Develop NPI Recommendations with Partners	
Proportion of key partners identified to have an incident-specific role that participated in the development or implementation of NPI during an incident			
Aligns To	Functions 1, 2, 3		
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b>	<input type="checkbox"/> Annual Reporting	<input checked="" type="checkbox"/> <b>Incident</b>	<input type="checkbox"/> Optional
<input checked="" type="checkbox"/> <b>Directly Funded Localities</b>	<input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan	<input type="checkbox"/> Exercise	<input type="checkbox"/> Accountability
<input checked="" type="checkbox"/> <b>Territories or Freely Associated States</b>	<input checked="" type="checkbox"/> <b>If Emergency Response Required Use of this Capability, Regardless of Funding *</b>	<input type="checkbox"/> Planned Event	<input type="checkbox"/> Data Collected By
<i>* For BP1 only: Awardees who have had an incident involving NPI by Dec. 31, 2012, must report this measure at mid-year</i>			

## 12. Public Health Laboratory Testing

PHEP 12.1		Laboratorian Reporting	
Time for initial laboratorian to report for duty at the PHEP-funded laboratory			
Aligns To		Function 1	
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b>	<input checked="" type="checkbox"/> <b>Annual Reporting</b>	<input checked="" type="checkbox"/> <b>Incident</b>	<input checked="" type="checkbox"/> <b>Optional</b>
<input checked="" type="checkbox"/> <b>Directly Funded Localities: Excludes CHI</b>	<input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan	<input checked="" type="checkbox"/> <b>Exercise</b>	<input type="checkbox"/> Accountability
<input type="checkbox"/> Territories or Freely Associated States	<input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input checked="" type="checkbox"/> <b>Planned Event</b>	<input type="checkbox"/> Data Collected By

PHEP 12.2		24/7 Emergency Contact Drill (Bi-Directional)	
Time to complete notification between CDC, on-call laboratorian, and on-call epidemiologist or between CDC, on-call epidemiologist and on-call laboratorian – depending on drill direction			
Aligns To		Function 4	
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b>	<input checked="" type="checkbox"/> <b>Annual Reporting</b>	<input type="checkbox"/> Incident	<input type="checkbox"/> Optional
<input checked="" type="checkbox"/> <b>Directly Funded Localities: Excludes CHI</b>	<input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan	<input type="checkbox"/> Exercise	<input type="checkbox"/> Accountability
<input type="checkbox"/> Territories or Freely Associated States	<input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input type="checkbox"/> Planned Event	<input checked="" type="checkbox"/> <b>Data Collected By: CDC EOC</b>

PHEP 12.3		LRN Emergency Response Pop Proficiency Test (PopPT) Exercise	
Ability of PHEP-funded LRN-C Level 1 and/or Level 2 laboratories to detect and quantify biomarkers of chemical agents in clinical samples during the LRN Emergency Response Pop Proficiency Test (PopPT) Exercise			
Aligns To		Function 3	
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b>	<input checked="" type="checkbox"/> <b>Annual Reporting</b>	<input type="checkbox"/> Incident	<input type="checkbox"/> Optional
<input checked="" type="checkbox"/> <b>Directly Funded Localities: Excludes CHI</b>	<input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan	<input type="checkbox"/> Exercise	<input type="checkbox"/> Accountability
<input type="checkbox"/> Territories or Freely Associated States	<input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input type="checkbox"/> Planned Event	<input checked="" type="checkbox"/> <b>Data Collected By: CDC LRN-C Program</b>

PHEP 12.4		Notification to Partners	
Time for PHEP-funded laboratory to notify public health partners of significant laboratory results			
Aligns To		Function 5	
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b>	<input checked="" type="checkbox"/> <b>Annual Reporting</b>	<input type="checkbox"/> Incident	<input type="checkbox"/> Optional
<input checked="" type="checkbox"/> <b>Directly Funded</b> <b>Localities: NYC Bio Only; Excludes CHI</b>	<input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan	<input type="checkbox"/> Exercise	<input type="checkbox"/> Accountability
<input type="checkbox"/> Territories or Freely Associated States	<input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input type="checkbox"/> Planned Event	<input type="checkbox"/> Data Collected By

PHEP 12.5		Proficiency Testing (LRN-C Additional Methods)	
Proportion of LRN-C proficiency tests (additional methods) successfully passed by PHEP-funded laboratories			
Aligns To		Function 3	
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b>	<input checked="" type="checkbox"/> <b>Annual Reporting</b>	<input type="checkbox"/> Incident	<input type="checkbox"/> Optional
<input checked="" type="checkbox"/> <b>Directly Funded</b> <b>Localities: Excludes CHI</b>	<input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan	<input type="checkbox"/> Exercise	<input checked="" type="checkbox"/> <b>Accountability: PAHPA Benchmark</b>
<input type="checkbox"/> Territories or Freely Associated States	<input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input type="checkbox"/> Planned Event	<input checked="" type="checkbox"/> <b>Data Collected By: CDC LRN-C Program</b>

PHEP 12.6		Proficiency Testing (LRN-C Core Methods)	
Proportion of LRN-C proficiency tests (core methods) successfully passed by PHEP-funded laboratories			
Aligns To		Function 3	
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b>	<input checked="" type="checkbox"/> <b>Annual Reporting</b>	<input type="checkbox"/> Incident	<input type="checkbox"/> Optional
<input checked="" type="checkbox"/> <b>Directly Funded</b> <b>Localities: Excludes CHI</b>	<input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan	<input type="checkbox"/> Exercise	<input checked="" type="checkbox"/> <b>Accountability: PAHPA Benchmark</b>
<input type="checkbox"/> Territories or Freely Associated States	<input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input type="checkbox"/> Planned Event	<input checked="" type="checkbox"/> <b>Data Collected By: CDC LRN-C Program</b>

PHEP 12.7		Sample Collection, Packing, and Shipping (SCPaS)	
<b>Ability of PHEP-funded LRN-C laboratories to collect, package, and ship samples properly during an LRN exercise</b>			
Aligns To	Function 2		
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b> <input checked="" type="checkbox"/> <b>Directly Funded Localities: Excludes CHI</b> <input type="checkbox"/> Territories or Freely Associated States	<input checked="" type="checkbox"/> <b>Annual Reporting</b> <input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan <input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input type="checkbox"/> Incident <input type="checkbox"/> Exercise <input type="checkbox"/> Planned Event	<input type="checkbox"/> Optional <input checked="" type="checkbox"/> <b>Accountability: PAHPA Benchmark</b> <input checked="" type="checkbox"/> <b>Data Collected By: CDC LRN-C Program</b>

PHEP 12.8		LRN Surge Capacity Exercise	
<b>Ability of each PHEP-funded LRN-C Level 1 laboratory to process and report results to CDC for 500 samples during the LRN Surge Capacity Exercise</b>			
Aligns To	Function 3		
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b> <input checked="" type="checkbox"/> <b>Directly Funded Localities: Excludes CHI</b> <input type="checkbox"/> Territories or Freely Associated States	<input checked="" type="checkbox"/> <b>Annual Reporting</b> <input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan <input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input type="checkbox"/> Incident <input type="checkbox"/> Exercise <input type="checkbox"/> Planned Event	<input type="checkbox"/> Optional <input type="checkbox"/> Accountability <input checked="" type="checkbox"/> <b>Data Collected By: CDC LRN-C Program</b>

PHEP 12.9		Communication between PHEP-funded and Sentinel Clinical Laboratories	
<b>Time for sentinel clinical laboratories to acknowledge receipt of an urgent message from PHEP-funded LRN-B laboratory</b>			
Aligns To	Function 1		
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b> <input type="checkbox"/> Directly Funded Localities <input type="checkbox"/> Territories or Freely Associated States	<input checked="" type="checkbox"/> <b>Annual Reporting</b> <input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan <input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input checked="" type="checkbox"/> <b>Incident</b> <input checked="" type="checkbox"/> <b>Exercise</b> <input checked="" type="checkbox"/> <b>Planned Event</b>	<input checked="" type="checkbox"/> <b>Optional</b> <input type="checkbox"/> Accountability <input type="checkbox"/> Data Collected By

PHEP 12.10		Notification Drill associated with Proficiency Testing	
<b>Ability of PHEP-funded LRN-B reference laboratory to contact the CDC Emergency Operations Center within 2 hours during LRN notification drill</b>			
Aligns To		Function 5	
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b> <input checked="" type="checkbox"/> <b>Directly Funded Localities: Excludes CHI</b> <input type="checkbox"/> Territories or Freely Associated States	<input checked="" type="checkbox"/> <b>Annual Reporting</b> <input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan <input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input type="checkbox"/> Incident <input type="checkbox"/> Exercise <input type="checkbox"/> Planned Event	<input type="checkbox"/> Optional <input type="checkbox"/> Accountability <input checked="" type="checkbox"/> <b>Data Collected By: CDC LRN-B Program</b>

PHEP 12.11		Proficiency Testing (LRN-B)	
<b>Proportion of LRN-B proficiency tests successfully passed by PHEP-funded laboratories</b>			
Aligns To		Function 3	
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b> <input checked="" type="checkbox"/> <b>Directly Funded Localities: Excludes CHI</b> <input type="checkbox"/> Territories or Freely Associated States	<input checked="" type="checkbox"/> <b>Annual Reporting</b> <input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan <input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input type="checkbox"/> Incident <input type="checkbox"/> Exercise <input type="checkbox"/> Planned Event	<input type="checkbox"/> Optional <input checked="" type="checkbox"/> <b>Accountability: PAHPA Benchmark</b> <input checked="" type="checkbox"/> <b>Data Collected By: CDC LRN-B Program</b>

PHEP 12.12		Sample Quality – First Responders	
<b>Percentage of LRN nonclinical samples received by the PHEP-funded LRN-B laboratory for confirmation or rule-out testing from first responders without any adverse quality assurance events (QA)</b>			
Aligns To		Function 2	
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b> <input type="checkbox"/> Directly Funded Localities <input type="checkbox"/> Territories or Freely Associated States	<input checked="" type="checkbox"/> <b>Annual Reporting</b> <input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan <input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input type="checkbox"/> Incident <input type="checkbox"/> Exercise <input type="checkbox"/> Planned Event	<input type="checkbox"/> Optional <input type="checkbox"/> Accountability <input type="checkbox"/> Data Collected By

PHEP 12.13		Specimen Quality – Sentinel Clinical Laboratory	
Percentage of LRN clinical specimens received by PHEP-funded LRN-B laboratory for confirmation or rule-out testing from sentinel clinical laboratories without any adverse QA events			
Aligns To		Function 2	
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b> <input type="checkbox"/> Directly Funded Localities <input type="checkbox"/> Territories or Freely Associated States	<input checked="" type="checkbox"/> <b>Annual Reporting</b> <input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan <input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input type="checkbox"/> Incident <input type="checkbox"/> Exercise <input type="checkbox"/> Planned Event	<input type="checkbox"/> Optional <input type="checkbox"/> Accountability <input type="checkbox"/> Data Collected By

PHEP 12.14		PFGE <i>E. coli</i>	
Percentage of pulsed field gel electrophoresis (PFGE) subtyping data results for <i>E. coli</i> O157:H7 submitted to the PulseNet (PN) national database within four working days of receiving isolate at the PFGE laboratory			
Aligns To		Function 5	
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b> <input checked="" type="checkbox"/> <b>Directly Funded Localities: Excludes CHI</b> <input type="checkbox"/> Territories or Freely Associated States	<input type="checkbox"/> Annual Reporting <input checked="" type="checkbox"/> <b>If PHEP Funds Allocated to the Capability or Contracts Plan</b> <input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input type="checkbox"/> Incident <input type="checkbox"/> Exercise <input type="checkbox"/> Planned Event	<input type="checkbox"/> Optional <input checked="" type="checkbox"/> <b>Accountability: GPRA Measure</b> <input checked="" type="checkbox"/> <b>Data Collected By: CDC ELC Program and CDC PulseNet</b>

PHEP 12.15		PFGE <i>L. monocytogenes</i>	
Percentage of pulsed field gel electrophoresis (PFGE) subtyping data results for <i>Listeria monocytogenes</i> submitted to the PulseNet (PN) national database within four working days of receiving isolate at the PFGE laboratory			
Aligns To		Function 5	
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b> <input checked="" type="checkbox"/> <b>Directly Funded Localities: Excludes CHI</b> <input type="checkbox"/> Territories or Freely Associated States	<input type="checkbox"/> Annual Reporting <input checked="" type="checkbox"/> <b>If PHEP Funds Allocated to the Capability or Contracts Plan</b> <input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input type="checkbox"/> Incident <input type="checkbox"/> Exercise <input type="checkbox"/> Planned Event	<input type="checkbox"/> Optional <input type="checkbox"/> Accountability <input checked="" type="checkbox"/> <b>Data Collected By: CDC ELC Program and CDC PulseNet</b>



### 13. Public Health Surveillance and Epidemiological Investigation

PHEP 13.1		Disease Reporting	
<b>Proportion of reports of selected reportable diseases received by a public health agency within the awardee-required timeframe</b>			
<i>The pre-selected sample of counties provided to the awardee by CDC applies to this measure.</i>			
Aligns To		Function 1	
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b> <input checked="" type="checkbox"/> <b>Directly Funded Localities: Excludes CHI, LAC</b> <input type="checkbox"/> Territories or Freely Associated States	<input checked="" type="checkbox"/> <b>Annual Reporting</b> <input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan <input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input type="checkbox"/> Incident <input type="checkbox"/> Exercise <input type="checkbox"/> Planned Event	<input type="checkbox"/> Optional <input type="checkbox"/> Accountability <input type="checkbox"/> Data Collected By

PHEP 13.2		Disease Control	
<b>Proportion of reports of selected reportable diseases for which initial public health control measure(s) were initiated within the appropriate timeframe</b>			
<i>The pre-selected sample of counties provided to the awardee by CDC applies to this measure.</i>			
Aligns To		Function 3	
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b> <input checked="" type="checkbox"/> <b>Directly Funded Localities: Excludes CHI, LAC</b> <input type="checkbox"/> Territories or Freely Associated States	<input checked="" type="checkbox"/> <b>Annual Reporting</b> <input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan <input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input type="checkbox"/> Incident <input type="checkbox"/> Exercise <input type="checkbox"/> Planned Event	<input type="checkbox"/> Optional <input type="checkbox"/> Accountability <input type="checkbox"/> Data Collected By

PHEP 13.3		Outbreak Investigation Reports	
<b>Percentage of infectious disease outbreak investigations that generate reports</b>			
<i>The pre-selected sample of counties provided to the awardee by CDC applies to this measure.</i>			
Aligns To		Function 2	
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b>	<input checked="" type="checkbox"/> <b>Annual Reporting</b>	<input type="checkbox"/> Incident	<input type="checkbox"/> Optional
<input checked="" type="checkbox"/> <b>Directly Funded Localities</b>	<input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan	<input type="checkbox"/> Exercise	<input type="checkbox"/> Accountability
<input checked="" type="checkbox"/> <b>Territories or Freely Associated States</b>	<input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input type="checkbox"/> Planned Event	<input type="checkbox"/> Data Collected By

PHEP 13.4		Outbreak Reports with Minimal Elements	
<b>Percentage of infectious disease outbreak investigation reports that contain all minimal elements</b>			
<i>The pre-selected sample of counties provided to the awardee by CDC applies to this measure.</i>			
Aligns To		Function 2	
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b>	<input checked="" type="checkbox"/> <b>Annual Reporting</b>	<input type="checkbox"/> Incident	<input type="checkbox"/> Optional
<input checked="" type="checkbox"/> <b>Directly Funded Localities</b>	<input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan	<input type="checkbox"/> Exercise	<input type="checkbox"/> Accountability
<input checked="" type="checkbox"/> <b>Territories or Freely Associated States</b>	<input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input type="checkbox"/> Planned Event	<input type="checkbox"/> Data Collected By

PHEP 13.5		Exposure Reports	
<b>Percentage of epidemiological investigations of acute environmental exposures that generate reports</b>			
Aligns To		Function 2	
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b>	<input checked="" type="checkbox"/> <b>Annual Reporting</b>	<input type="checkbox"/> Incident	<input type="checkbox"/> Optional
<input checked="" type="checkbox"/> <b>Directly Funded Localities</b>	<input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan	<input type="checkbox"/> Exercise	<input type="checkbox"/> Accountability
<input checked="" type="checkbox"/> <b>Territories or Freely Associated States</b>	<input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input type="checkbox"/> Planned Event	<input type="checkbox"/> Data Collected By

PHEP 13.6		Exposure Reports with Minimal Elements					
Percentage of epidemiological investigation reports of acute environmental exposures that contain all minimum elements							
Aligns To		Function 2					
Measure Applies To:		Circumstances for Reporting:		For Response Only:	Other Considerations:		
<input checked="" type="checkbox"/>	<b>States</b>	<input checked="" type="checkbox"/>	<b>Annual Reporting</b>	<input type="checkbox"/>	Incident	<input type="checkbox"/>	Optional
<input checked="" type="checkbox"/>	<b>Directly Funded Localities</b>	<input type="checkbox"/>	If PHEP Funds Allocated to the Capability or Contracts Plan	<input type="checkbox"/>	Exercise	<input type="checkbox"/>	Accountability
<input checked="" type="checkbox"/>	<b>Territories or Freely Associated States</b>	<input type="checkbox"/>	If Emergency Response Required Use of this Capability, Regardless of Funding	<input type="checkbox"/>	Planned Event	<input type="checkbox"/>	Data Collected By

## 14. Responder Safety and Health

PHEP 14.1		Deployment Safety and Health Program (Awardee)			
<b>The awardee health department has a deployment safety and health program in place for public health responders [Yes/No]</b>					
<p><i>Awardees should only report on this measure (PHEP 14.1) if public health-related support of responder safety and health is or will be a role carried out at the awardee level. If public health-related support of responder safety and health is an LHD responsibility, awardees should report on PHEP 14.2. If public health-related support of responder safety and health is a responsibility of the awardee and LHDs, report on both measures.</i></p>					
<b>Aligns To</b>		<b>Functions 1, 3, and 4</b>			
Measure Applies To:		Circumstances for Reporting:		For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b>		<input type="checkbox"/> Annual Reporting		<input type="checkbox"/> Incident	<input type="checkbox"/> Optional
<input checked="" type="checkbox"/> <b>Directly Funded Localities</b>		<input checked="" type="checkbox"/> <b>If PHEP Funds Allocated to the Capability or Contracts Plan *</b>		<input type="checkbox"/> Exercise	<input type="checkbox"/> Accountability
<input checked="" type="checkbox"/> <b>Territories or Freely Associated States</b>		<input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding		<input type="checkbox"/> Planned Event	<input type="checkbox"/> Data Collected By
<p><b>* BP1 EXCEPTION: Mid-Year Reporting Required in BP1 for Baseline Data, Irrespective of Funding</b></p>					

PHEP 14.2		Deployment Safety and Health Program (LHDs)			
<b>Proportion of PHEP-funded local health departments that have a deployment safety and health program in place for public health responders</b>					
<p><i>Awardees should only report on this measure (PHEP 14.2) if public health-related support of responder safety and health is or will be a role carried out at the awardee level. If public health-related support of responder safety and health is an LHD responsibility, awardees should report on PHEP 14.1. If public health-related support of responder safety and health is a responsibility of the awardee and LHDs, report on both measures.</i></p>					
<p><i>The pre-selected sample of counties provided to the awardee by CDC <b>does not</b> apply to this measure.</i></p>					
<b>Aligns To</b>		<b>Functions 1, 3, and 4</b>			
Measure Applies To:		Circumstances for Reporting:		For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b>		<input type="checkbox"/> Annual Reporting		<input type="checkbox"/> Incident	<input type="checkbox"/> Optional
<input type="checkbox"/> Directly Funded Localities		<input checked="" type="checkbox"/> <b>If PHEP Funds Allocated to the Capability or Contracts Plan *</b>		<input type="checkbox"/> Exercise	<input type="checkbox"/> Accountability
<input type="checkbox"/> Territories or Freely Associated States		<input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding		<input type="checkbox"/> Planned Event	<input type="checkbox"/> Data Collected By
<p><b>* BP1 EXCEPTION: Mid-Year Reporting Required in BP1 for Baseline Data, Irrespective of Funding</b></p>					

PHEP 14.3		Screening/Out-Processing	
<b>Proportion of deployed public health responders screened for medical readiness prior to deployment and out-processed post-deployment</b>			
<b>Aligns To</b>		<b>Function 4</b>	
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b> <input checked="" type="checkbox"/> <b>Directly Funded Localities</b> <input checked="" type="checkbox"/> <b>Territories or Freely Associated States</b>	<input type="checkbox"/> Annual Reporting <input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan <input checked="" type="checkbox"/> <b>If Emergency Response Required Use of this Capability, Regardless of Funding *</b>	<input checked="" type="checkbox"/> <b>Incident</b> <input checked="" type="checkbox"/> <b>Exercise</b> <input checked="" type="checkbox"/> <b>Planned Event</b>	<input type="checkbox"/> Optional <input type="checkbox"/> Accountability <input type="checkbox"/> Data Collected By
<i>* For BP1 only: Awardees who have had an incident, exercise or planned event involving deployment of responders by Dec. 31, 2012, must report this measure at mid-year</i>			

PHEP 14.4		Responder Health Outcomes	
<b>Percentage of public health responders who were injured, ill, exposed, or killed as a result of deployment during an incident</b>			
<i>This is an aggregate measure across public health incidents involving responder deployment.</i>			
<b>Aligns To</b>		<b>Function 4</b>	
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b> <input checked="" type="checkbox"/> <b>Directly Funded Localities</b> <input checked="" type="checkbox"/> <b>Territories or Freely Associated States</b>	<input checked="" type="checkbox"/> <b>Annual Reporting</b> <input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan <input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding	<input checked="" type="checkbox"/> <b>Incident</b> <input type="checkbox"/> Exercise <input type="checkbox"/> Planned Event	<input type="checkbox"/> Optional <input type="checkbox"/> Accountability <input type="checkbox"/> Data Collected By

## 15. Volunteer Management

PHEP 15.1		Managing Volunteers (Awardee)			
<p><b>The awardee health department has plans, processes and procedures in place to manage volunteers supporting a public health or medical incident [Yes/No]</b></p> <p><i>Awardees should only report on this measure (PHEP 15.1) if public health-related support of volunteer management is or will be a role carried out at the awardee level. If public health-related support of volunteer management is an LHD responsibility, awardees should report on PHEP 15.2. If public health-related support of volunteer management is a responsibility of the awardee and LHDs, report on both measures.</i></p>					
<b>Aligns To</b>		<b>Functions 1, 2</b>			
Measure Applies To:		Circumstances for Reporting:		For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b> <input checked="" type="checkbox"/> <b>Directly Funded Localities</b> <input checked="" type="checkbox"/> <b>Territories or Freely Associated States</b>		<input type="checkbox"/> Annual Reporting <input checked="" type="checkbox"/> <b>If PHEP Funds Allocated to the Capability or Contracts Plan *</b> <input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding		<input type="checkbox"/> Incident <input type="checkbox"/> Exercise <input type="checkbox"/> Planned Event	<input type="checkbox"/> Optional <input type="checkbox"/> Accountability <input type="checkbox"/> Data Collected By
<p><b>* BP1 EXCEPTION: Mid-Year Reporting Required in BP1 for Baseline Data, Irrespective of Funding</b></p>					

PHEP 15.2		Managing Volunteers (LHDs)			
<p><b>Proportion of PHEP-funded LHDs that have plans, processes and procedures in place to manage volunteers supporting a public health or medical incident</b></p> <p><i>Awardees should only report on this measure (PHEP 15.2) if public health-related support of volunteer management is or will be a role carried out at the local level. If public health-related support of volunteer management is an awardee health department responsibility, awardees should report on PHEP 15.1. If public health-related support of volunteer management is a responsibility of the awardee and LHDs, report on both measures.</i></p> <p><i>The pre-selected sample of counties provided to the awardee by CDC <b>does not</b> apply to this measure.</i></p>					
<b>Aligns To</b>		<b>Functions 1, 2</b>			
Measure Applies To:		Circumstances for Reporting:		For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b> <input type="checkbox"/> Directly Funded Localities <input type="checkbox"/> Territories or Freely Associated States		<input type="checkbox"/> Annual Reporting <input checked="" type="checkbox"/> <b>If PHEP Funds Allocated to the Capability or Contracts Plan *</b> <input type="checkbox"/> If Emergency Response Required Use of this Capability, Regardless of Funding		<input type="checkbox"/> Incident <input type="checkbox"/> Exercise <input type="checkbox"/> Planned Event	<input type="checkbox"/> Optional <input type="checkbox"/> Accountability <input type="checkbox"/> Data Collected By
<p><b>* BP1 EXCEPTION: Mid-Year Reporting Required in BP1 for Baseline Data, Irrespective of Funding</b></p>					

<b>HPP-PHEP 15.1   Volunteer Management</b>			
<b>Proportion of volunteers deployed to support a public health/medical incident within an appropriate timeframe</b>			
<i>This measure can also be found in the HPP BP1 Healthcare Systems Preparedness: Performance Measures Specifications and Implementation Guidance.</i>			
<b>Aligns To</b>		<b>Functions 3, 4</b>	
Measure Applies To:	Circumstances for Reporting:	For Response Only:	Other Considerations:
<input checked="" type="checkbox"/> <b>States</b> <input checked="" type="checkbox"/> <b>Directly Funded Localities</b> <input checked="" type="checkbox"/> <b>Territories or Freely Associated States</b>	<input type="checkbox"/> Annual Reporting <input type="checkbox"/> If PHEP Funds Allocated to the Capability or Contracts Plan <input checked="" type="checkbox"/> <b>If Emergency Response Required Use of this Capability, Regardless of Funding *</b>	<input checked="" type="checkbox"/> <b>Incident</b> <input checked="" type="checkbox"/> <b>Exercise</b> <input checked="" type="checkbox"/> <b>Planned Event</b>	<input type="checkbox"/> Optional <input type="checkbox"/> Accountability <input checked="" type="checkbox"/> <b>Data Collected By: HPP and/or PHEP</b>
<i>* Mid-Year and End-of-Year Reporting Required, Irrespective of Funding</i>			

## Alignment of Capabilities, Performance Measures and Reporting Requirements

Note: Supersedes Appendix 9 of the BP1 Funding Opportunity Announcement (CDC-RFA-TP12-1201)

Capability and Measure	Function Alignment	Applies To			Reporting Criteria <sup>1</sup>			Comments Optional, Accountability, Exclusions
		States	Directly Funded Localities	Territories and Freely Associated States	Annual Reporting	Report if PHEP Funded <sup>2</sup>	Report if Response	
<b>Community Preparedness</b>								
PHEP 1.1	2	X	X	X	X			
PHEP 1.2	1	X	X	X	X			
PHEP 1.3	4	X	X	X	X			
PHEP 1.4	2	X	X	X	X			
<b>Community Recovery</b>								
Eval. Tool	1, 2	X	X	X			X	
<b>Emergency Operations Coordination</b>								
PHEP 3.1	2	X	X	X	X			GPRA Measure
PHEP 3.2	3	X	X	X			X	Optional
PHEP 3.3	5	X	X	X	X			HP2020 Measure
<b>Emergency Public Information and Warning</b>								
PHEP 4.1	5	X	X	X	X			HP2020 Measure
<b>Fatality Management</b>								
PHEP 5.1	1	X	X	X		X		
PHEP 5.2	1	X				X		
<b>Information Sharing</b>								
PHEP 6.1	1, 3	X	X	X		X		
PHEP 6.2	1, 3	X				X		
HPP-PHEP 6.1	3	X	X	X			X <sup>3</sup>	
<b>Mass Care</b>								
PHEP 7.1	1	X	X	X		X		
PHEP 7.2	1	X				X		
Eval. Tool	ALL	X	X	X			X	
<b>Medical Surge</b>								
<i>See Information Sharing</i>								

<sup>1</sup> Unless otherwise noted, measures and evaluation tools are required to be reported at End-of-Year.

<sup>2</sup> **BP1 EXCEPTION: Mid-Year Reporting Required (applies to all "Report if PHEP-Funded" measures except PHEP 12.14 and 12.15)**

<sup>3</sup> **Mid- and End-of-Year Reporting Required**



Capability and Measure	Function Alignment	Applies To			Reporting Criteria <sup>1</sup>			Comments Optional, Accountability, Exclusions
		States	Directly Funded Localities	Territories and Freely Associated States	Annual Reporting	Report if PHEP Funded <sup>2</sup>	Report if Response	
<b>Medical Countermeasure Dispensing</b>								
MCMDD Composite	ALL	X	X	X	X			
<b>Medical Materiel Management and Distribution</b>								
MCMDD Composite	ALL	X	X	X	X			
<b>Non Pharmaceutical Interventions</b>								
PHEP 11.1	1	X	X	X		X		
PHEP 11.2	1	X				X		
PHEP 11.3	1, 2, 3	X	X	X			X <sup>4</sup>	
<b>Public Health Laboratory Testing</b>								
PHEP 12.1	1	X	X		X			Optional, Excludes CHI
PHEP 12.2	4	X	X		X			Excludes CHI
PHEP 12.3	3	X	X		X			Levels 1 and 2 Required; Excludes CHI
PHEP 12.4	5	X	X		X			NYC Bio Only, Excludes CHI
PHEP 12.5	3	X	X		X			PAHPA Benchmark, Level 1 Required, Level 2 Optional; Excludes CHI
PHEP 12.6	3	X	X		X			PAHPA Benchmark, Levels 1 and 2 Required; Excludes CHI
PHEP 12.7	2	X	X		X			PAHPA Benchmark, Excludes CHI
PHEP 12.8	3	X	X		X			Level 1 Only, Excludes CHI
PHEP 12.9	1	X			X			Optional
PHEP 12.10	5	X	X		X			Excludes CHI
PHEP 12.11	3	X	X		X			PAHPA Benchmark,

<sup>4</sup> For BP1 only: Awardees who have had an incident involving NPI by Dec. 31, 2012, must report this measure at mid-year

Capability and Measure	Function Alignment	Applies To			Reporting Criteria <sup>1</sup>			Comments Optional, Accountability, Exclusions
		States	Directly Funded Localities	Territories and Freely Associated States	Annual Reporting	Report if PHEP Funded <sup>2</sup>	Report if Response	
								Excludes CHI
PHEP 12.12	2	X			X			
PHEP 12.13	2	X			X			
PHEP 12.14	5	X	X			X		GPRA Measure Excludes CHI
PHEP 12.15	5	X	X			X		Excludes CHI
<b>Public Health Surveillance and Epidemiologic Investigation</b>								
PHEP 13.1	1	X	X		X			Excludes CHI, LAC
PHEP 13.2	3	X	X		X			Excludes CHI, LAC
PHEP 13.3	2	X	X	X	X			
PHEP 13.4	2	X	X	X	X			
PHEP 13.5	2	X	X	X	X			
PHEP 13.6	2	X	X	X	X			
<b>Responder Safety and Health</b>								
PHEP 14.1	1, 3, 4	X	X	X		X		
PHEP 14.2	1, 3, 4	X				X		
PHEP 14.3	4	X	X	X			X <sup>5</sup>	
PHEP 14.4	4	X	X	X	X			
<b>Volunteer Management</b>								
PHEP 15.1	1, 2	X	X	X		X		
PHEP 15.2	1, 2	X				X		
HPP-PHEP 15.1	3, 4	X	X	X			X <sup>6</sup>	

<sup>5</sup> For BP1 only: Awardees who have had an incident, exercise or planned event involving deployment of responders by Dec. 31, 2012, must report this measure at mid-year

<sup>6</sup> **Mid- and End-of-Year Reporting Required**