Behavioral Risk Factor Surveillance System

Comparability of Data BRFSS 2013

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Introduction
The Behavioral Risk Factor Surveillance System (BRFSS) is an ongoing, state-based, random-digit–dialed telephone survey of non-institutionalized adults aged ≥18 years residing in the United States. Detailed descriptions of BRFSS questionnaires, data, and reports are available at http://www.cdc.gov/brfss In 2013, all 50 states, the District of Columbia, the Commonwealth of Puerto Rico, and Guam conducted both household landline telephone and cellular telephone interviews for BRFSS data collection.

BRFSS data collection, structure, and weighting methodology changed in 2011 to allow the addition of data collection by cellular telephones. The BRFSS survey uses disproportionate stratified sample (DSS) design for landline telephone samples and random sample for the cellular telephone survey. BRFSS used iterative proportional fitting (IPF), also known as raking, for weighting 2013 BRFSS data. Due to sample design and the multiple reporting areas, BRFSS data showed some variation between states and territories for the 2013 data year. Sections A and B below identify important similarities and variations for the 2013 data year from previous years.

A. 2013 Data Anomalies and Deviations from the Sampling Frame

In all the states/territories, a portion of sample records BRFSS intended for use during one month took more than one month to complete. In several instances, states used their monthly sample during a period of several months. This deviation will disproportionately affect analyses based on monthly (rather than annual) data. Michigan and California continued to receive their sample quarterly rather than monthly. Utah received cellular telephone sample quarterly and the landline telephone sample monthly.

Several states conducted fewer than 12 monthly data collections during the year. New Jersey and Utah did not collect the January data; Wyoming did not collect data in January and February; and New York did not collect March data. North Carolina collected 10 months of landline telephone sample across 13 months. For cellular telephone data collection, Florida, New Jersey, and Wisconsin did not collect data during January; Wyoming did not collect data during January and February; Ohio did not collect March data; Florida did not collect May and June data. North Carolina collected five months of cellular telephone sample across 11 months, and Oklahoma collected seven months of data across 12 months. Eighteen (18) states were unable to close out the December sample in 2013, and data collection continued into early 2014. Utah collected the entire fourth quarter landline telephone sample in early 2014.

B. Protocol Changes from 2012 Data Collection

1. Addition of Cellular Telephone Data
Telephone coverage varies by state and also by subpopulation. In 2013, the percentage of occupied housing units with telephone service averaged 97.5% for the United States, and non-coverage ranged from 1.4% in Vermont to 4.0% in Georgia. It is estimated that 5.8% of households in Puerto Rico did not have telephone service. Data on telephone coverage in US households are available at [http://transition.fcc.gov/wcb/iatd/recent.html](http://transition.fcc.gov/wcb/iatd/recent.html). The percentage of cellular telephone-only households increased to 39.4% in 2013. Additionally, one of every six American homes received all or most telephone calls on a cellular telephone while a landline telephone was also available. The increased use of cellular telephones required the BRFSS to begin to include the population of cellular telephone users in 2011. Now BRFSS is better able to reach the target population that was previously inaccessible, including those who had a cellular telephone but not a landline telephone. In 2013, BRFSS cellular telephone respondents who received 90 percent or more of their calls on cellular telephones were eligible for participation in the cellular telephone survey.

2. Weighting Methodologies

In 2011, a different weighting methodology, iterative proportional fitting (IPF), also known as raking, was applied to the BRFSS and was used to improve the extent to which a BRFSS sample can reflect the socio-demographics at the state level. (Refer to the BRFSS Website for more information on methodological changes). Raking adjusts the estimates within each state using the margins (raking control variables).

In 2013, the final weight calculation had up to four additional margins included to take advantage of additional adjustments to sub-state populations available for raking. There were up to 16 raking margins used in the following order: county by gender, county by age, county by race/ethnicity, county, region by race/ethnicity, region by gender, region by age, region, telephone service (landline, cellular telephone or dual user), age by race/ethnicity, gender by race/ethnicity, tenure (rent or own), marital status, education, race/ethnicity, and gender by age. The raking method applies a proportional adjustment to the weights of the cases that belong to the same category of the margin. Raking can adjust a set of data to control totals on three or more variables. The iteration (up to 100 times) continues until a convergence to within a target percentage difference is achieved.

The new weighting methodology fits the dual frame survey design: landline telephone only, cellular telephone only, and combined landline telephone and cellular telephone. At the last step of the raking process, weight trimming takes place, which is used to increase the value of extremely low weights and decrease the value of extremely high weights, in order to reduce errors in the outcome estimates. Weight trimming is based on two alternative methods, IGCV (Individual and Global Cap Value) and MCV (Margin Cap Value). BRFSS used the raking method to weight the 2013 BRFSS data.

In 2013, the inclusion of cellular telephone respondents who received between 90 and 99 percent of their telephone calls on their cellular telephone required an adjustment to the design weights to account for the overlapping sample frames. A compositing factor was
calculated from each of the two samples for “dual users”—individuals who had both cellular telephones and landline phones but mostly used cellular telephones. BRFSS multiplied the design weight by the compositing factor to generate a composite weight, which is used as the raking input weight.

3. Other Issues

The data from an optional module were included if interviewers asked module questions to all eligible respondents within a state for the entire data collection year. A state may have indicated the use of an optional module in 2013, but if the module was not given to the expected population, the data were moved into the state-added questions section. Several states collected data with optional modules by landline telephone and cellular telephone surveys in 2013. The data for the optional modules collected as common to both the landline telephone survey and cellular telephone survey are included in the available public release data file.

Several states used optional modules as part of their landline telephone survey only. In order to make these data available to users, CDC produced a separate data set with a raked weight for use with the landline survey data. The Web page with the landline data set and additional documentation is available from the Data Files portion of the 2013 BRFSS Survey Data and Documentation.

The CDC has also provided limited technical support for the survey data collection of multiple (up to three in 2013) questionnaire versions. A state may ask a subset of its survey sample a different set of questions following the core, as long as the survey meets the minimum effective sample size (2,500 participants) for a given questionnaire version. States must use the 2013 core instrument without making any changes to it in any of their versions of the overall questionnaire. States can include an optional module on all versions or exclusively on a single version but, once a state chooses to use an optional module, the state must ask the module questions throughout the data collection year. The objective of the multiple-version questionnaire is to ask more questions, on additional topics, within a statewide sample. In 2013, 18 states conducted multiple-questionnaire-version surveys on both their landline telephone and cellular telephone surveys. Data users can find version-specific data sets and additional documentation regarding module data analysis in the 2013 BRFSS Survey Data and Documentation.

A 2012 change to the final disposition code assignment rules modified the requirements for a partial complete interview. If a participant terminated an interview during or after the demographics section, BRFSS coded it as a partial complete. The coding of questions was discontinued at the point of interview termination. When determining which records to include in any analysis, data users should account for participants’ missing and refused values.

More information about survey item nonresponse can be found in the 2013 BRFSS
Summary Data Quality Report and in the respective states’ Data Quality Reports.

C. Statistical and Analytic Issues

1. Analysis Procedures

To use BRFSS data, the researcher needs to formulate a research question, review the existing data tabulations, develop an analytic plan, conduct the analysis, and use data for decision making. Unweighted data on the BRFSS represent the actual responses of each respondent before any adjustment is made for variation in the respondents’ probability of selection, disproportionate selection of population subgroups relative to the state’s population distribution, or nonresponse. Weighted BRFSS data represent results that have been adjusted to compensate for these issues. Regardless of state sample design, use of the weight in analysis is necessary if generalizations are to be made from the sample to the population.

2. Statistical Issues

The procedures for estimating variances described in most statistical texts and used in most statistical software packages are based on the assumption of simple random sampling (SRS). However, the data collected in the BRFSS are obtained through a complex sample design; therefore, the direct application of standard statistical analysis methods for variance estimation and hypothesis testing may yield misleading results. There are computer programs available that take such complex sample designs into account: SAS Version 93 SURVEYMEANS and SURVEYREG procedures, SUDAAN, and Epi Info’s C-Sample are among those suitable for analyzing BRFSS data. SAS and SUDAAN can be used for tabular and regression analyses. SUDAAN also has these and additional options. Epi Info’s C-sample can be used to calculate simple frequencies and two-way cross-tabulations. When using these software products, users must know the stratum, the primary sampling units, and the record weight—all of which are on the public use data file. For more information on calculating variance estimations using SAS, see the SAS/STAT® 13.1 User’s Guide. For information about SUDAAN, see the SUDAAN Language Manual, Release 11 and to find more about Epi Info, see Epi Info, Version 7.0. Although the overall number of respondents in the BRFSS is more than sufficiently large for statistical inference purposes, subgroup analyses can lead to estimates that are unreliable. Consequently, users need to pay particular attention to the subgroup sample when analyzing subgroup data, especially within a single data year or geographic area. Small sample sizes may produce unstable estimates. Reliability of an estimate depends on the actual unweighted number of respondents in a category, not on the weighted number. Interpreting and reporting weighted numbers that are based on a small, unweighted number of respondents can mislead the reader into believing that a given finding is much more precise than it actually is. BRFSS previously followed a rule of not reporting or interpreting percentages based upon a denominator of fewer than 50 respondents.
(unweighted sample) or the half-width of a 95% confidence interval greater than 10. For this reason, the FIPS County code is removed from the data file for any county with fewer than 50 respondents as well as counties with adult populations less than or equal to 10,000 residents. From 2011, BRFSS replaced the confidence interval limitation with the relative standard error (RSE): the standard error divided by the mean. The survey with the lower RSE has a more-precise measurement, since there is less variance around the mean. BRFSS did not report percentage estimates where RSE was greater than 30% or the denominator represented fewer than 50 respondents from an unweighted sample. Details of changes beginning with the 2011 BRFSS are available in the *Morbidity and Mortality Weekly Report (MMWR)*, which highlights weighting and coverage effects on trend lines. Because of the changes in the methodology, researchers are advised to avoid comparing data collected before the changes (up to 2010) with data collected from 2011 and onward.

3. Analytic Issues

a. Advantages and Disadvantages of Telephone Surveys

Compared with face-to-face interviewing techniques, telephone interviews are easy to conduct and monitor and are cost efficient. However, telephone interviews have limitations. Telephone surveys may have higher levels of noncoverage than face-to-face interviews because interviewers may not be able to reach some US households by telephone. As mentioned earlier, approximately 97.5% of households in the United States have telephones. A number of studies have shown that the telephone and non-telephone populations are different with respect to demographic, economic, and health characteristics. Although the estimates of characteristics for the total population are unlikely to be substantially affected by the omission of the households without telephones, some of the subpopulation estimates could be biased. Telephone coverage is lower for population subgroups such as people with low incomes, people in rural areas, people with less than 12 years of education, people in poor health, and heads of households under 25 years of age. Raking adjustments for age, race, and sex, and more demographic variables, however, minimize the impact of differences to a greater extent in noncoverage, undercoverage, and nonresponse at the state level than the poststratification adjustments used in previous years to weight the BRFSS.

Despite the above limitations, prevalence estimates from the BRFSS correspond well with findings from surveys based on face-to-face interviews, including the National Health Interview Survey (NHIS), and the National Health and Nutrition Examination Survey (NHANES). Refer to the BRFSS Web site for more information about methodological studies.

Surveys based on self-reported information may be less accurate than those based on physical measurements. For example, respondents are known to underreport body weight.
This type of potential bias arises when conducting both telephone and face-to-face interviews, and, when interpreting self-reported data, data users should take into consideration the potential for underreporting.

b. New Calculated Variables and Risk Factors

Not all of the variables that appear on the public use data set are taken directly from the state files. CDC prepares a set of SAS programs that are used for end-of-year processing. These programs prepare the data for analysis and add weighting, sample design, calculated variables, and risk factors to the data set. The following calculated variables and risk factors, which BRFSS has created for the user’s convenience, are examples of results from this procedure:

_BMI5, _RFSMOK3, _TOTINDA, _RFHLTH, _RFBING5, _AIDTST3

The procedures for the variables vary in complexity; some only combine codes, while others require sorting and combining selected codes from multiple variables, which may result in the calculation of an intermediate variable. For more information regarding the calculated variables and risk factors, refer to the document entitled *Calculated Variables in Data Files* in the 2013 BRFSS Survey Data and Documentation section of the BRFSS Web site.
References


