Infection Control and Prevention in the Outpatient Oncology Setting: Protecting Your Patients and Your Practice

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National Center for Emerging and Zoonotic Infectious Diseases Division of Healthcare Quality Promotion



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Today's Webinar

Lisa C. Richardson, MD, MPH: Director of CDC's Division of Cancer Prevention and Control

- CDC's Basic Infection Control and Prevention Plan for Outpatient Settings and additional resources that will help prevent infections in cancer patients.
- Ernest Clement, MSN, RN, CIC, Infection Preventionist, Healthcare Epidemiology and Infection Control (HEIC) Program, New York State Department of Health
 - Safe injection practices for oncology facilities
- Dr. Emily Lutterloh, MD, MPH, Director of Bureau of Healthcare Associated Infections (BHAI), New York State Department of Health
 - The importance of case studies in illustrating the need for safe injection practices in oncology settings

Before We Get Started...

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THANK YOU

Infection Control and Prevention in the Outpatient Oncology Setting: Protecting Your Patients and Your Practice

Lisa C. Richardson, M.D., M.P.H.

Director, Division of Cancer Prevention and Control U.S. Centers for Disease Control and Prevention

Webinar: June 9, 2016



National Center for Chronic Disease Prevention and Health Promotion Division of Cancer Prevention and Control

Setting the StagePublic Health Concern

650,000 cancer patients receive outpatient chemotherapy

60,000 cancer patients are hospitalized for chemotherapy-induced neutropenia and infections

One patient dies every two hours from this complication

Cancer patients may not be aware of this risk and actions they can take to help

Shift in Healthcare Delivery to Outpatient Settings

Contributing Factors

Outpatient oncology facilities not routinely inspected Some facilities lack written infection control policies and procedures

Infection prevention lapses identified

Outbreaks Associated with Outpatient Oncology Settings

State	Year	Predominant Infection Type(s)	No. of Cases
NE	2002	Hepatitis C infection	99
CA	2002	Alcaligenes xylosoxidans bloodstream infection	12
IL	2004	Klebsiella oxytoca and/or Enterobacter cloacae bloodstream infection	27
GA	2004	Burkolderia cepacia bloodstream infection	10
GA*	2007	Polymicrobial bloodstream infection	13
NJ	2009	Hepatitis B infection	29
NJ	2011	K. pneumoniae bloodstream infection	11
MS	2011	<i>K. pneumoniae</i> and/or <i>Pseudomonas</i> <i>aeruginosa</i> bloodstream infection, skin/soft tissue infection	17
*Outpatient	2011	Tsukamurella spp. bloodstream infection	15

Objective & Strategies

Objective:

 Raise awareness among patients, caregivers and healthcare providers about steps they can take to prevent infections during cancer chemotherapy treatment.

Strategies:

- Develop improved and consistent infection control information for outpatient oncology providers.
- Create user-friendly resources to help patients better understand their risk of developing neutropenia and infections during chemotherapy.

Preventing Infections In Cancer Patients: CDC Tool for Healthcare Providers

Development of a Basic Infection Control and Prevention Plan for Outpatient Oncology Settings

Standardize and improve infection prevention practices Essential elements to meet minimal expectations of patient safety

Based on guidelines from CDC and professional societies

Main Components of the Basic Infection Control and Prevention Plan

- Education and Training
- Surveillance and Reporting
- Standard Precautions
- Transmission-Based Precautions
- Central Venous Catheters



Basic Infection Control And Prevention Plan for Outpatient Oncology Settings

Infection Prevention Plan Education and Training



Education & training of all facility staff



Competency evaluations

Infection Prevention Plan Surveillance and Reporting

Purposes: case-finding ,outbreak detection, and improving healthcare practices

Conduct facility surveillance for healthcareassociated infections and/or process measures

Adhere to local, state, and federal requirements for reportable diseases and outbreak reporting

Infection Prevention Plan Standard Precautions



Infection Prevention Plan Transmission-Based Precautions





Infection Prevention Plan Central Venous Catheters





Appendix Section (I)

List of Persons Designated to Specific Tasks

Appendix A.

Example List of Contact Persons and Roles/Responsibilities

Contact Person(s) ^a (Names/Titles)	Contact Information	Roles/Responsibilities
	Phone: Pager: Email:	 Infection prevention personnel/consultant Assists with infection control plan development, update/ revision, and implementation Including a protocol for transferring patients who require Airborne Precautions (if applicable)
	Phone: Pager: Email:	 Educate and train facility staff (including Environmental Services/housekeeping) Assess for competency of jobs/tasks (<i>examples provided</i>): Hand hygiene performance/compliance Proper use of PPE Environmental cleaning/disinfection Triage/screening, taking vital signs Phlebotomy service Determine when to implement enhanced respiratory screening measures Ensure facility sick leave policies are in place and followed
	Phone:	Collect, manage, and analyze HAI data for surveillance purpose

List of Reportable Diseases/Conditions

Facility to obtain information from health department websites

Appendix Section (II)

CDC Infection Prevention Checklist for Outpatient Settings

 Tailor to oncology settings to evaluate personnel competency and adherence to recommended practices

Hand hygiene performed correctly	Practice Performed	If answer is No, document plan for remediation
A. Before contact with the patient or their immediate care environment (even if gloves are worn)	Yes No	
B . Before exiting the patient's care area after touching the patient or the patient's immediate environment (even if gloves are worn)	Yes No	
C. Before performing an aseptic task (e.g., insertion of IV or preparing an injection) (even if gloves are worn)	Yes No	
D. After contact with blood, body fluids or contaminated surfaces (even if ploves are worn)	Yes No	

Additional Resources

Web links to national guidelines

- Occupational health requirements
- Appropriate preparation and handling of antineoplastic agents
- Infection prevention issues unique to blood and marrow transplant centers
- Clinical recommendations and guidance for treatment of patients with cancer

Additional Resources

Detailed information about each of the topics below can be found in the accompanying resources.

- Infection prevention issues unique to blood and marrow transplant centers (a.k.a. bone marrow transplant or stem cell transplant centers)
- Guidelines for Preventing Opportunistic Infections Among Hematopoietic Stem Cell Transplant Recipients (available at: http://www.cdc.gov/mmwr/preview/ mmwrhtml/rr4910a1.htm)
- Guidelines for Preventing Infectious Complications among Hematopoietic Cell Transplantation Recipients: A Global Perspective (available at: http://www.idsociety.org/uploadedFiles/IDSA/Guidelines-Patient_Care/PDF_Library/Ol.pdf)
- Occupational health requirements, including bloodborne pathogen training, healthcare personnel immunizations, and recommended personal protective equipment for

- Appropriate preparation and handling (e.g., reconstituting, mixing, diluting, compounding) of sterile medications, including antineoplastic agents
 - United States Pharmacopeia Chapter <797> Guidebook to Pharmaceutical Compounding—Sterile Preparations
 - International Society of Oncology Pharmacy Practitioners Standards of Practice (available at: http://opp.sagepub.com/ content/13/3_suppl)
 - American Society of Health-System Pharmacists Guidelines for Handling Hazardous Drugs (available at: http://www.ashp. org/DocLibrary/BestPractices/PrepGdl-HazDrugs.aspx)
- Clinical recommendations and guidance for treatment of patients with cancer, including appropriate antimicrobial prescribing prac-

Action Steps for Implementing the Basic Infection Control and Prevention Plan

Oncology facilities *without* a plan can start using this plan, and further supplement as needed.

Does not replace need for facilities to have regular access to an individual with training in infection control Oncology facilities *with* an existing plan should ensure that essential elements are included.

PreventCancerInfections.org



Helps cancer patients assess their risk for developing neutropenia and subsequent infections

Provides action steps to help prevent infections

Features a risk assessment tool

User can choose one of three portals to enter

- 1. Patient
- 2. Caregiver
- 3. Healthcare Provider

Checklist to Assess Neutropenia Risk

For patients:

- Currently undergoing chemotherapy and;
- Not undergoing stem cell/ bone marrow transplant

Includes questions on:

- Age/Gender
- Comorbidities
- Cancer type and stage
- ECOG performance status
- History of cancer treatment and complications

Do you have any of the following conditions?

E	Auto-immune Disease
E	Diabetes
	Kidney Disease
	Liver Disease
E	None
E] I don't <mark>k</mark> now
	Next
	What type of cancer do you have?

Risk Assessment Levels and Message Topics

Risk Assessment Results and Infection Prevention Tip Sheets

There are two possible risk categories-low or high. Based on the answers you provided, you have some factors that may purvou at **HIGH** risk for cetting a dangerously low white blood cell count during your chemotherapy treatment. This means you may also have an increased risk for getting an Infection. Talk to your doctor or nurse for more information about your infection risk.

Get started now by learning how to recognize the signs and symptoms of an infection, what to do if you develop any of these signs and symptoms, and the steps you can take to prevent infections.

One of the most dangerous side effects of chemotherapy is developing a low white blood cell count that can increase your chance for getting an infection. Below you can find helpful tips on how to recognize the signs and symptoms of an infection as well as how to help prevent infection.

While we recommend completing the RISK ASSESSMENT first, you can also browse the Health Tip Sheets below or click on the PDF button to print.

Review Answers to Questionnaire

Download Adobe Reader

Review and/or Print HIGH Risk Health Tip Sheets

(This will allow you to see and/or print all HIGH Risk Tip Sheets at one time).

View Now >

Risk Assessment Results – Health Tip Sheets



Health Tip Sheet Topics:

- Basic Hygiene Practices
- Caring for Children w/Cancer
- Caring for your Catheter
- Caring for your Pet
- Friends, Family & Public Spaces
- Food & Kitchen Safety
- Gardening and Housekeeping
- Medication
- Signs & Symptoms of Infection
- Understanding Your Risk for Infection and a LWBC count
- Vaccinations

More Than Just a Web Site-Educational Resources





Fact sheets/brochures Posters Post cards Infographics Health-e-cards



<u>Please visit</u> www.PreventCancerInfections.org



National Center for Emerging and Zoonotic Infectious Diseases Division of Healthcare Quality Promotion



est Castoring a New Tool for Preventing Infections During thereby by Lize Richardso

For Patients and Careolvers

For Patients, Caregivers & CDC Sels Healthcare Dog. Helping

Cancer Patients Prevent this Winler by Alce Gub, MD, MPH

THANK YOU!

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For more information please contact Centers for Disease Control and Prevention 1600 Clifton Road NE, Atlanta, GA 30333 Telephone, 1-800-CDC-INFO (232-4636)/TTY: 1-888-232-6348 E-mail: cdcinfo@cdc.gov Web: www.cdc.gov

The findings and conclusions in this report are those of the authors and do not necessarily represent the official position of the Centers for Disease Control and Prevention.



National Center for Chronic Disease Prevention and Health Promotion Division of Cancer Prevention and Control



Infection Control and Prevention in the Outpatient Oncology Setting: Protecting Your Patients and Your Practice

Emily Lutterloh, MD, MPH Ernest J. Clement, MSN, RN,CIC New York State Department of Health Bureau of Healthcare Associated Infections

Outline

- Introduction and Background
- CDC Recommendations
- Case Studies
- Summary
- Resources
- References



Applicability

- Safe injection recommendations apply to all healthcare settings
- Point-of-care testing recommendations apply to all settings where assisted monitoring of blood glucose is performed
- Pharmacies have a separate set of guidelines addressing safe practices in that setting
 - United States Pharmacopeia 797



Infectious Risks of Unsafe Injections

• Hepatitis B virus

- High viral load, can cause infection in the absence of visible blood
- Stable in the environment for 1 week or longer

Hepatitis C virus

- Can cause infection in the absence of visible blood
- Stable in the environment for up to 1 week dried and up to 3 weeks in suspension



Infectious Risks of Unsafe Injections

- HIV
 - Does not generally survive well in the environment
 - Can survive in syringes for several days
 - Has been transmitted from patient to patient in the outpatient setting
 - In one case, mode of transmission was suspected to be contamination of multi-dose vials of saline



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Infectious Risks of Unsafe Injections

- Bacterial
 - Respiratory flora
 (e.g. spinal injections performed without a mask)
 - Miscellaneous from contaminated medication (e.g. Serratia marcescens, Staphylococcus aureus, Klebsiella oxytoca, Enterobacter cloacae)



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CDC Recommendations



Needles and syringes should only be used for one patient

• Even if the needle is changed

• Even if the user doesn't draw back

 Even if the needle/syringe was only used to access an IV line separated from the patient by distance, gravity, or positive infusion pressure

Survey of Clinicians Who Prepare or Administer Parenteral Medications

- 6% use single dose vials for >1 patient
- 1% use the same syringe with a new needle to administer medications to >1 patient
- 1% re-enter multi-dose vials with the same syringe then use the vial for another patient
- 9% use bags of IV solution as a common source for multiple patients



Single Dose/Single Use Vials

• Use whenever possible rather than multi-dose vials

• Use for only one patient

• Do not combine left-over contents

 IV bags are to be used for only one patient, not as a common source of solution



Multi-dose vials

- Multi-dose injectable medications should be used for only one patient whenever possible
- When multi-dose vials are used for more than one patient, the vial should be stored and accessed away from the immediate area where direct patient contact occurs
- Use a new, sterile needle and a new, sterile syringe for each vial entry



Point-of-Care Testing Meters and Fingerstick Devices

• Meters

- Glucometers
- PT/INR anticoagulation meters
- Cholesterol testing devices
- Hemoglobin/hematocrit devices
- Any similar device that involves blood testing

- Fingerstick devices Available as
 - Re-usable pens
 - Single-use, auto-disabling "safety lancets"



FDA Medical Device Safety Communication

http://www.fda.gov/MedicalDevices/Safety/





Study of Blood Contamination

- Glucometers and instrument storage areas in 12 hospitals tested for the presence of blood
 - 30% of glucometers were contaminated with blood
 - 50% of ICU glucometers were contaminated
 - 20% of areas where meters were stored were contaminated with blood



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Never use re-usable fingerstick devices for more than one person

• Even with a new lancet

• Even if it's labeled for use on multiple patients

• Even if it's cleaned and disinfected between uses according to the manufacturer's recommendations





Use auto-disabling, single-use fingerstick devices ("safety" lancets)

Dispose of them at the point of use in an appropriate sharps container





Whenever possible, dedicate point-of-care blood testing meters for one patient only





*National Institute of Diabetes and Digestive and Kidney Diseases, National Institutes of Health

If dedicating point-of-care blood testing meters to a single patient is not feasible...

- Clean and disinfect <u>after every use</u>, <u>between each</u> <u>patient</u>, as described in the meter labeling
- If the manufacturer doesn't specify a cleaning and disinfection procedure, then that meter should not be shared



Cleaning and Disinfection of Meters

- Per manufacturer's instructions
 - Compatible with device material
- Effective against hepatitis B, hepatitis C, HIV

• Applied for the specified contact time



Perform hand hygiene and change gloves between patients

• Even when using point-of-care blood testing meters that are dedicated to a single patient

• Even when using single-use, auto-disabling fingerstick devices



Prepare injectable medications in a designated "clean" area

Always wear a face mask when performing spinal injections







Provider Diversion: National Exposure

When Drug Addicts Work in Hospitals, No One is Safe







Case Study: Unsafe Injections in a Nebraska Oncology Clinic - 2002

- Gastroenterologist reported 4 patients with recently diagnosed HCV infection
- All received chemotherapy at the same hematology/oncology clinic
- Preliminary investigation identified 10 cases of recently identified HCV in clinic patients

http://www.cdc.gov/mmwr/preview/mmwrhtml/mm5238a1.htm

Case Study: Unsafe Injections in a Nebraska Oncology Clinic - 2002

- Site visit revealed that HCW routinely
 - Drew blood from ports
 - Used same syringe (with a new needle) to draw saline for port flushes, using a common IV bag



Case Study: Unsafe Injections in a Nebraska Oncology Clinic - 2002

- 613 patients were treated at the clinic between March 2000-December 2001
 - Including one patient with a known history of HCV genotype 3a
- 486/613 patients underwent HCV testing
- 99/486 patients were positive for HCV infection
- 95/99 patients had HCV genotype 3a (rare)
- No HBV or HIV transmission identified
- Clinic closed in October 2002

http://www.cdc.gov/mmwr/preview/mmwrhtml/mm5238a1.htm



Following the Nebraska HCV Outbreak: One Survivor's Response



Evelyn's Story

<u>Dr. Evelyn McKnight</u> is a nationally recognized patient safety advocate and survivor of one of the largest viral outbreaks in American health care history. Dr. McKnight turned her own personal tragedy into a crusade to save lives.

Evelyn is co-founder and president of HONOReform and HONOReform Foundation. She is co-author of *A Never Event: Exposing the Largest Outbreak of Hepatitis C in American Healthcare History*, in which she details the 2001 Nebraska outbreak. Evelyn presents at local, regional and national conferences; she recently presented at conferences led by AANA, APIC, the CDC and the CDC Foundation, and she presented at the World Vaccine Congress, among many others. All honoraria she receives help



Case Study: Hepatitis Outbreak in New Jersey

- 2009 Gastroenterologist reported to state health department 2 patients with acute HBV infection
 - No traditional risk factors
 - Both received care at same small, freestanding hematology/oncology clinic
- State and local health department initiated investigation



Case Study: Hepatitis Outbreak in New Jersey

Infection Control Assessment

- Suboptimal hand hygiene and glove use
- Use of saline bags as common-source supply
- Storing single-dose vials for future use
- Suboptimal chemotherapy preparation



Case Study: Hepatitis Outbreak in New Jersey

- 4600 patients notified to be tested
- At least 29 outbreak-associated HBV cases

Molecular Testing: HBV sequence analysis





Case Study: Hepatitis Outbreak in New Jersey

Additional Actions

 Hematology/oncology practice was closed
Board of Medical Examiners suspended physician's license



Case Study: Bloodstream Infections at Cancer Center in Mississippi

- July 2011 local hospital reported to state health department a cluster of bloodstream infections among 4 patients
 - -P. aeruginosa with identical antimicrobial resistance patterns
 - -2 also with K. pneumoniae
 - -All had received infusions at same outpatient cancer facility
- Freestanding cancer center
 - -Single-physician owned, small number of staff -Facility converted from a commercial building
- State and local health department investigated



Case Study: Bloodstream Infections at Cancer Center in Mississippi

Infection Control Assessment

- Unlicensed individual functioning in nurse role (infusing chemotherapy)
- Used common-source saline bag to flush ports
 - Reused syringes throughout the day for same patient





Case Study: Bloodstream Infections at Cancer Center in Mississippi

- Recent decision to reuse heparin and saline syringes as cost saving measure
 - Directly reused syringes between patients; discarded only when blood visible in syringes
 - Prepared syringes containing non-chemotherapy medications, kept for multiple days





Case Study: Bloodstream Infections at Cancer Center in Mississippi

16 patients with P. aeruginosa, K. pneumoniae, or both

Klebsiella pneumoniae



Case Study: Bloodstream Infections at Cancer Center in Mississippi

Additional Actions

- Facility closed by state health department at onset of investigation
- Investigation by law enforcement due to fraudulent billing
- Egregious lapses in injection safety prompted patient notification for bloodborne pathogen testing
 - 623 patients notified to be tested for HBV, HCV, HIV



Case Study: Unsafe Steroid Injections in a Pain Management Clinic

- Newly diagnosed acute hepatitis C in two adults reported to the State and investigated by the local health department
- Both had received epidural steroid injections from the same physician
- Site visit
 - Observed the physician re-enter a multi-dose vial with a used syringe then attempt to use the same vial for another patient



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Case Study: Pain Management Clinic

Unsafe Injection Practices and Disease Transmission

Reuse of syringes can transmit infectious diseases such as Hepatitis C virus (HCV). The syringe does not have to be used on multiple patients for this to occur.



Safe Injection Practices Coalition

Case Study: Unsafe Steroid Injections in a Pain Management Clinic

- Patients notified and advised to be tested for hepatitis C, hepatitis B, and HIV
- Patient list matched with State surveillance registries
- Phylogenetic testing performed on blood specimens from infected patients
- Transmission of hepatitis C documented



Case Study: Pain Management Clinic

- Recommendations to involved physician and facilities
- More widespread patient notifications
- FOIL requests
- Press coverage
- Lawsuits



Case Study: Transmission of Hepatitis B by Assisted Monitoring of Blood Glucose

- Acute hepatitis B in a patient who had been admitted to the facility during most of the exposure period
- Reported to the State; investigation begun
- Another patient on the same unit was known to be chronically infected with hepatitis B
- Both patients received assisted monitoring of blood glucose; no other known shared risks



Case Study: Transmission of Hepatitis B by Assisted Monitoring of Blood Glucose

- Site visit
 - Observed use of a shared glucometer without proper cleaning and disinfection and also use of re-usable fingerstick devices
 - Outdated infection control policies
- Phylogenetic testing performed on blood specimens from the 2 infected patients
- Viruses were a rare subtype and were identical
Case Study: Transmission of Hepatitis B by Assisted Monitoring of Blood Glucose

Recommendations to the facility

Patient notifications

Substantial negative press coverage



Promotion of Safe Injection Practices in Your Facility

- Active infection control program
- Written policies and procedures
- Well-trained staff
- Culture of injection safety
- Involvement by infection preventionist in all aspects of facility operation that may impact injection safety (e.g. purchasing, education, medication administration)



Resources

http://www.cdc.gov/injectionsafety/





Resources One and Only Campaign CDC and Safe Injection Practices Coalition www.oneandonlycampaign.org





Resources

• FDA video about fingerstick devices at:

http://www.youtube.com/watch?v=W77W8SN6KOQ





Resources

FDA information about cleaning and disinfection of blood glucose meters





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