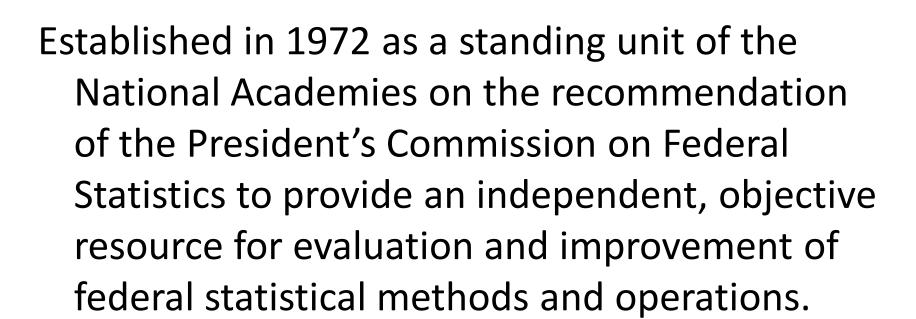


The Federal Statistical System and NCHS's Contributions— Innovation Viewed from Outside

Constance F. Citro, *Director, CNSTAT* NCHS – Washington, DC – August 17, 2010

What is the Committee on National Statistics?



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CNSTAT's mission is to improve the statistical methods and information on which public policy decisions are based. It also serves as a coordinating force in the decentralized U.S. federal statistical system.

Over its 38-year history, CNSTAT has produced over 200 consensus, interim, letter, and workshop reports.

Every October and May, CNSTAT holds a public seminar on a topic of broad interest to the federal statistical and research communities.

CNSTAT Membership— Multidisciplinary



Lawrence Brown (chair), statistics

John Abowd, economics

Alicia Carriquiry, statistics

William DuMouchel, computer science

V. Joseph Hotz, economics

Michael Hout, sociology

Karen Kafadar, biostatistics

Sallie Keller, statistics

Sally Morton, biostatistics

Lisa Lynch, economics

Joseph Newhouse, health economics

Samuel Preston, demography

Hal Stern, statistics

Roger Tourangeau, survey

research

Alan Zaslavsky, health statistics

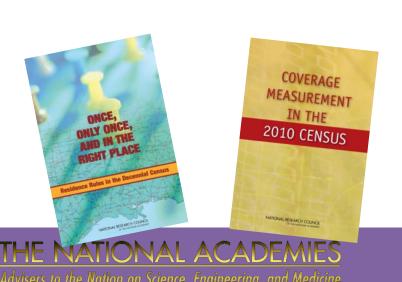
Serve pro bono to oversee CNSTAT's project portfolio

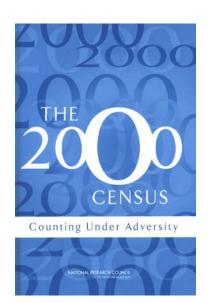


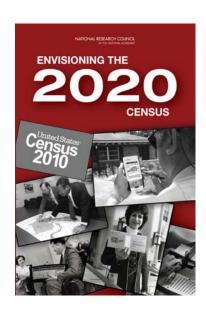
Decennial Census Coverage and Quality—over

30 reports—

Current project on evaluating 2010 and planning for 2020

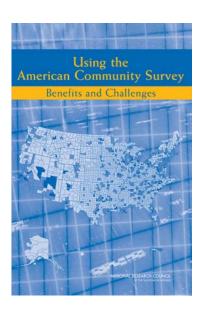


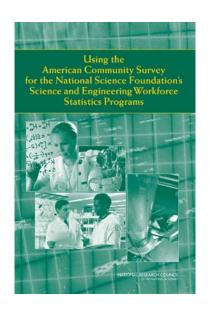


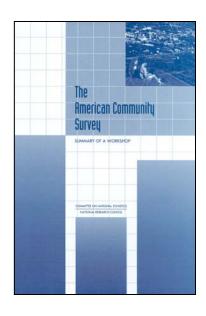




American Community Survey (replacement for the census long-form sample)—3 reports, 3 current studies, and counting—



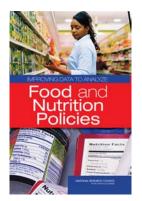






Health and Health Care Measures, Statistics, and Surveys

- Accounting for medical care expenditures and health outcomes (panel study, workshop)
- Data needs for food and nutrition monitoring and assistance (panel study, workshop)
- Data needs for measuring health disparities (panel study)
- Disability concepts and statistics (4 workshops)
- Health statistics for the 21st century (workshop)
- Medical care provider surveys (panel study)
- Public health performance measures (panel study)
- Vital statistics (workshop)





Related Measures, Statistics, and Surveys

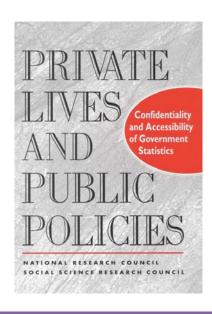
- Agricultural Resource Management Survey (crop management practices, e.g., organic farming)
- Crime Victimization Survey
- Environmental monitoring and accounting
- National Children's Study
- Occupational safety and health statistics
- Poverty and medical care risk measurement
- Small-area estimates of income, poverty, and health insurance coverage
- Survey of Income and Program Participation
- Time use measurement



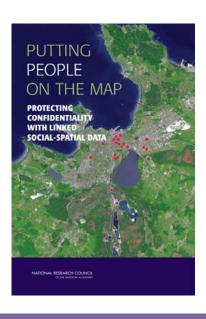


Effective Data Access—critical for data use; must be balanced with Confidentiality Protection—

Over a dozen studies and workshops—challenges and opportunities keep evolving





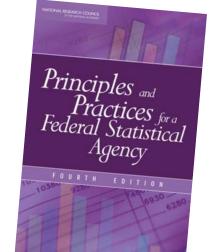




Principles and Practices for a Federal Statistical

Agency – now in its 4th edition; emphasizes statistical agency independence to make possible the production of relevant, high-quality, timely, and nonpartisan statistics.

[NCHS orders boatloads]



CNSTAT Observations



- Innovation by statistical agencies—i.e., introducing new or greatly improved measures, data series, data products, data collection and estimation methods is hard work to conceptualize and carry out
- Innovation is difficult enough in the vaunted private sector (where most innovation in fact comes from new companies)
- Innovation is much more difficult in the public sector because government is a natural monopoly charged with producing public goods, such as credible, highquality health statistics

CNSTAT Observations



Impediments to Innovation

- (1) Inertia (often abetted by data users)
- (2) Lack of competition (natural monopoly)
- (3) Fear of undercutting existing data programs
- (4) Overemphasis on "this is how we do things here"
- (5) Inadequate channels of communication and feedback with current users and potential new users
- (6) Unclear/faulty concept of primary goals—the business of statistical agencies is serving user needs, not protecting turf or continuing long-standing data collection programs for their own sakes

CNSTAT Observations



Structure of decentralized U.S. statistical system another barrier to innovation—

Up side—potentially more sources of innovation

Downside—particularly in tight budget times and given many small agencies with limited resources, decentralization can impede major innovation

Coordination mechanisms aren't sufficiently funded to facilitate effective transfer of innovations across agencies—although this may be changing



A leader in innovation despite constraints

- NCHS is a middle-size statistical agency (aka a small federal agency—its budget is tiny compared with the value of its data)
- Funding and staffing have been flat or worse for many years until last year
- NCHS is many layers down in the massive DHHS bureaucracy



Yet its record of innovation is stellar

- One of first statistical agencies to establish a laboratory for cognitive testing of survey content (vital for respondents to understand the questions)
- Pioneered in obtaining physical measurements and specimens (including DNA in a secure environment) in its NHANES mobile exam trailers
- In forefront of providing viable access and protection methods for microdata (first agency to have a secure, remote access system in its RDC and to deposit files with the Census Bureau's RDCs)



Its record of innovation is stellar (cont'd)

- Leader of effort to harmonize measures of disability status across agencies in U.S. and worldwide
- Active participant in linkages of administrative records and surveys—e.g., Medicare claims records and NHANES, National Death Index—which add value to the data
- Proactive in working with other agencies to break down barriers to improved data—e.g., dietary information on NHANES, MEPS as a subsample of NHIS



Accounting for NCHS's stellar record

- Goal-oriented leadership, open to new ideas and technologies (e.g., electronic health records)
- Emphasis on research and development
 - Office of Research and Methodology reports directly to the
 Office of the Director, similar to operating divisions
 - Active, attractive fellowship programs in methods and research
- Willingness to work with partners in the states, federal agencies, web community, and abroad



Challenges for future innovation

Three specific ideas to further NCHS's record of innovation that adds value to health statistics in a cost-effective manner—

- —Linked NHIS and NHANES
- —ACS and small-area estimates
- Longitudinal data



Linked NHIS and NHANES

Drawing NHANES as a subsample of NHIS (similar to the current MEPS design) could conserve resources and add value to NHANES from having a previous self-reported interview available to compare with physical measures.



Use of American Community Survey for smallarea estimates

It could be very useful to add a question on self-reported health status to the ACS that would make possible small-area estimates on a continuous basis for monitoring public health initiatives. (NOTE: Federal program agency support would be needed to effect this change.)



Longitudinal data

- At present, NCHS does not field longitudinal surveys, although it develops some longitudinal data (e.g., linking NHIS and NDI)
- Proactive efforts to piggyback NCHS question content to other longitudinal surveys could be cost-effective way to add value for analysis



User support and input are essential

In a time of budget constraints, data users cannot assume resources will be forthcoming; NCHS users need to—and I'm sure will—be proactive in supporting this productive, innovative agency so that it has adequate resources to serve the nation.

Users will also, I'm sure, continue a dialogue of constructive feedback with NCHS.

Thank You!



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CNSTAT reports are available at www.nap.edu.