2019 National Electronic Health Records Survey (NEHRS)

Public Use File Documentation

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ABSTRACT

This material provides documentation for users of the public use micro-data file for the 2019 National Electronic Health Records Survey (NEHRS). This is the second NEHRS public use file (PUF). The purpose of NEHRS is to collect information on both office-based physicians' adoption and use of electronic health record (EHR) systems, and progress towards meeting policy goals of the Health Information Technology for Economic and Clinical Health Act (HITECH Act). The 2019 NEHRS collects the same information as the 2018 NEHRS, including information on practice characteristics, patient engagement, prescribing practices for controlled substances, use of health information exchanges, and documentation associated with medical record systems and physician burden associated with the use of them. NEHRS is sponsored by the Office of the National Coordinator for Health Information Technology (ONC). NEHRS is conducted by the Division of Health Care Statistics (DHCS), National Center for Health Statistics (NCHS). Additional information about the history of NEHRS is available here.

The NEHRS public use file includes data from office-based physicians. No patient level data were collected. This documentation describes the PUF produced from data collected in NEHRS.

Section I, "Description of the National Electronic Health Records Survey" includes information on the scope of the survey, the sampling design, field activities, data collection procedures, weighting and estimation measures, and sampling errors. Section II, "Codebook Location and Physician Specialty List" provides the location of the codebook and a list of physician specialty groups represented in the survey. Appendix I contains information on standard errors and variance estimation that is useful when analyzing the 2019 NEHRS PUF data.

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I. DESCRIPTION OF THE NATIONAL ELECTRONIC HEALTH RECORDS SURVEY

A. INTRODUCTION

NEHRS is a nationally representative probability sample survey of office-based physicians. The survey assesses physician adoption and use of electronic health record (EHR) systems, and progress towards meeting the policy goals of the Health Information Technology for Economic and Clinical Health Act (HITECH Act). NEHRS was conducted by the Division of Health Care Statistics, National Center for Health Statistics (NCHS). Data in this file must be weighted to produce national estimates that describe EHR adoption and use, practice information, patient engagement, prescribing practices for controlled substances, use of health information exchanges, and documentation associated with medical record systems and physician burden associated with the use of them among office-based physicians in the United States.

Three modes of data collection were used for the 2019 NEHRS: (1) electronic submission via a self-administered web-based instrument, (2) mail submission via a self-administered paper instrument, and (3) telephone survey via a computer-assisted telephone interview (CATI). Most respondents completed the paper instrument.

A total of 1,524 completed questionnaires were received from physicians who participated in the 2019 NEHRS. Brief descriptions of the survey design and data collection procedures are below.

Please note the following important points concerning analysis of the 2019 NEHRS PUF:

• PHYSICIAN WEIGHT

Micro-data file users should be fully aware of the importance and proper use of the physician weight (MAILWGT), and how it must be used. Information about the physician weight is presented on page 11.

RELIABILITY OF ESTIMATES

Data users should also be aware of the reliability of survey estimates, particularly smaller estimates. NCHS has published <u>standards</u> for the assessment of reliability and presentation of proportional estimates. For frequencies and rates, NCHS considers an estimate reliable if it has a relative standard error of 30 percent or less (i.e., the standard error is no more than 30 percent of the estimate). It should be noted that estimates of frequencies and rates based on fewer than 30 records are also considered unreliable, regardless of the magnitude of the relative standard error. For presentation or publication of NEHRS estimates, we recommend estimates be rounded to the nearest thousand.

B. SAMPLING FRAME AND SIZE OF SAMPLE

The basic sampling unit for NEHRS is the physician. The sampling frame for the 2019 NEHRS was composed of Master files for all American physicians who met the following criteria:

- o Office-based;
- o Principally engaged in patient care activities;
- Non-federally employed;

- o Not in specialties of anesthesiology, pathology, or radiology; and
- o Younger than 85 years of age at the time of the survey.

The 2019 NEHRS sample included 10,302 physicians. Sampled physicians were asked eligibility questions to ensure that they met the above-mentioned criteria. Of these 10,302 physicians, 1,432 physicians did not meet the inclusion criteria and were ruled ineligible (out-of-scope) for the survey (Table 1 final disposition, 3). The most frequent reasons for ineligibility included physicians practicing in non-office-based settings, not seeing ambulatory patients, or no longer in practice. An additional 4,252 physicians were deemed ineligible because they could not be located despite active searches (Table 1 final disposition, 4). Eligibility status for 2,338 physicians could not be determined, including physicians who refused or partially completed the survey, but did not complete the eligibility questions (Table 1 final dispositions, 5). Of the 2,280 eligible physicians (Table 1 final disposition, 1 + 2 + 6), 1,914 participated in the study by completing one or more subject matter item(s) on the questionnaire (Table 1 final disposition, 1 + 6); of these, 1,524 physicians participated completely by responding to all the key items on the survey (Table 1 final disposition, 1).

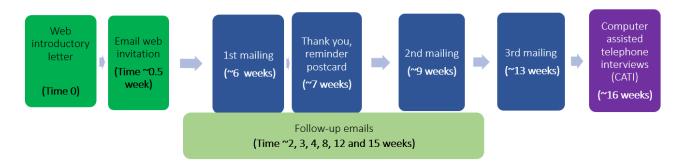
Table 1: Final disposition of the sampled physicians: NEHRS, 2019

Final Dispositions	Sample size, n	Unweighted
		Percent %
1. Eligible respondent, complete	1,524	14.8
2. Eligible, refused	366	3.5
3. Ineligible	1,432	13.9
4. Ineligible, not locatable	4,252	41.3
5. Unknown eligibility refusal & partial	2,338	22.7
6. Eligible, partially complete	390	3.8
Total	10,302	_

The unweighted rate for determining eligibility status was 77.3 percent (77.1 percent weighted), based on the number of physicians whose eligibility status was determined. The unweighted response rate was 41.0 percent (39.0 percent weighted), based on the full responders (n=1,524) who provided non-blank responses to pre-determined items. The unweighted overall participation rate was 37.7 percent (37.2 percent weighted) which is the product of rates for determining eligibility status and full response.

C. FIELD ACTIVITIES

Figure 1. Timeline for the 2019 NEHRS fielding activities



RTI International (Research Triangle Park, NC) was the data collection contractor for the 2019 NEHRS. The 2019 NEHRS was fielded from June 14, 2019 to December 11, 2019. The first attempt to contact the sampled physician was through an introductory letter from the NCHS Director. The introductory letter invited physicians to participate via the web-based questionnaire, informed them of the voluntary nature of the survey, and provided login instructions for the web version of the survey. For the 3,683 physicians for whom we had an email address, a similar introductory email message was also sent about four days after the introductory letter was mailed. Both invitations provided physicians with login instructions for the electronic version of the survey, along with the elements of informed consent. Follow-up emails were sent about 2 weeks, 3 weeks, 4 weeks, 8 weeks, 12 weeks, and 15 weeks after the initial contact to physicians for whom we had email addresses.

About 6 weeks after the initial contact, the contractor mailed another introductory letter, a 2019 NEHRS questionnaire, a pen, an NCHS flyer, and a postage paid self-addressed return envelope to non-responding physicians. Approximately 7 weeks after the initial contact, all sampled physicians were sent a postcard thanking them for their participation or reminding them that their participation was still needed. The postcard also allowed sampled physicians to request additional information or another copy of the survey instrument. About 9 weeks after the initial contact, non-responding physicians were sent a second mailing, which included a modified introductory letter, a paper questionnaire, and a postage paid self-addressed return envelope. About 13 weeks after the initial contact, non-responding physicians were sent a third mailing that included a modified introductory letter, the paper questionnaire, and a postage paid self-addressed return envelope. All letters informed respondents of the voluntary nature of the survey.

Roughly 16 weeks after the initial contact, telephone calls using CATI were made over a tenweek period to all remaining non-responding physicians in a final attempt to obtain survey data. If the physician agreed to participate, the survey was administered via telephone. If the physician was unavailable, but an office manager or staff member who was knowledgeable about the physician's use of EHRs was available, the survey was administered via telephone. If the physician or office staff member declined participation, the refusal was documented by the interviewer.

D. DATA COLLECTION

The survey used mixed-mode data collection that included self-administered web questionnaire (n=353, 23.1%), self-administered mail paper questionnaire (n=1,050, 68.9%), and CATI (n=121, 7.9%). The preferred respondent was the sampled physician (n=1,198, 78.6%); however, proxy respondents were allowed (n=323, 21.1%). Three respondents (0.2%) did not select a respondent category. Proxies were the sampled physician's office manager or another staff member of the sampled physician's office knowledgeable about general practice administration. The 2019 NEHRS instrument can be found on the NEHRS web page.

E. DISCLOSURE RISK EVALUATION

Prior to the release of the public use micro-data file, NCHS conducted an extensive disclosure risk analysis to minimize the chance of any inadvertent disclosure. Based on research conducted by NCHS for the 2019 NEHRS, some variables were subject to masking and others were top coded in accordance with NCHS confidentiality requirements. Masking was performed in such a way to cause minimal impact on the data. Data users who wish to use unmasked data can submit a proposal to the NCHS Research Data Center.

F. DATA PROCESSING

1. EDITS

RTI reviewed all mailed questionnaires for potential errors as they were received. After review, the questionnaires were sent to data capture using TeleForm. TeleForm is a software product that electronically scans forms and captures the data without manual data entry. As questionnaires were scanned, the program flagged any entries outside the norm of expected responses. A person then performed a visual review of the flagged entries and decided the appropriate response for the item. RTI staff referred to the 2019 NEHRS Processing Instructions developed by NCHS staff for guidance on editing the questionnaires. Some questionnaires required editing to clarify and standardize ambiguous or inconsistent responses. If a question arose outside of the standard editing guidance, RTI conferred with NCHS for a final determination, and the processing instructions were updated as needed.

Specifications for checking, configuring, and transmitting the data files were developed by NCHS and RTI, and applied to the electronic data from the web based and CATI questionnaires. Files containing data from the paper, electronic, and CATI questionnaires were combined and transmitted to NCHS for further processing. At NCHS, the data underwent multiple consistency checks and review before additional cleaning and editing.

2. QUALITY CONTROL

All mailed questionnaires were scanned; RTI staff performed quality checks of the TeleForm data, including checking 10% of the scanned forms against the stored data to ensure that

data were captured accurately. Any discrepancies were logged, reported, and amended in the "cleaned" dataset.

3. ITEM NONRESPONSE

Unweighted item nonresponse rates that exceed five percent are typically reported. There were eight items on the 2019 NEHRS public use file that exceeded five percent item nonresponse. The denominators for the rates of missing values were adjusted to account for skip patterns in the data collection instrument. For example, only physicians who stated they were accepting new patients were included in the calculation of item nonresponse on the items concerning the types of payment a physician accepted for new patients. Due to the nature of the questions, imputation was not used.

Table 2. Variables with an unweighted item nonresponse rate greater than 5 percent

Variable	Variable description	Denominator	Percent of
name			nonresponse
NWORKCMP	Do you accept workers' compensation as payment for new patients?	All physicians who accept new patients	5.1%
NNOCHRGE	Do you accept no pay or no charge payment for new patients?	All physicians who accept new patients	9.1%
PCTMCAID_P	What percent of your patients are insured by Medicaid?	All physicians	8.7%
ECPOE	Does the reporting location use a computerized system to order prescriptions?	All physicians who have an EHR system	7.9%
TEMPNOTES	How easy or difficult is it to locate information in template-based notes?	Physicians who used template-based notes in their EHR system	10.0%
FREENOTES	How easy or difficult is it to locate information in free-text notes?	Physicians who used template-based notes in their EHR system	9.9%
HREF	For providers outside your medical organization, do you regularly electronically send and receive, send only, or receive only Clinical registry data?	All physicians	8.4%
HEDNOT	For providers outside your medical organization, do you regularly electronically receive only Emergency Department notifications?	All physicians	18.2%

G. ESTIMATION PROCEDURES

The 2019 NEHRS data file contains a physician-level analysis weight (MAILWGT) for producing unbiased national estimates from sample data. This is a vital component of the survey data, and micro-data file users should understand how to use and apply it correctly. Each record on the data file represents one physician in the sample, and that single physician represents many physicians within his/her geographic area and specialty group.

Statistics produced from the 2019 NEHRS use a multistage estimation procedure. The procedure has three components: (1) inflation by reciprocals of the selection probabilities, (2) adjustment for nonresponse, and (3) a ratio adjustment to fixed totals. Each of these components is described below.

1. INFLATION BY RECIPROCALS OF SELECTION PROBABILITIES

The sampling methodology in the 2019 NEHRS uses a list sample. The first weight component is the sampling weight or reciprocal of the physician's selection probability. Because the survey used a one-stage sample design, the sampling probabilities were determined by sampling strata defined by geographic area. For each sampling stratum, the selection probability is the number of sampled physicians in the stratum divided by the total number of physicians listed in the sampling frame for that stratum.

2. ADJUSTMENT FOR NONRESPONSE

NEHRS estimates were adjusted to account for nonresponse in two steps: (1) adjustments were made first for those physicians whose eligibility for the survey was not determined, and then (2) adjustments were made for eligible physicians who did not participate in the survey or did not complete the questionnaire if they did participate.

Adjustments for nonresponse were made by shifting the weights of non-respondent physicians to those who were deemed respondents within the same geographic area, specialty type (primary care, surgical, medical care), and specialty group when practical. If response within a group defined by geographic area/specialty type/specialty group was insufficient, the group was collapsed with another for the adjustments. In the first adjustment which included physicians whose eligibility status was never determined, weights were shifted to only locatable physicians under the assumption that the physicians with unknown eligibility status could be either eligible or ineligible, unlike the unlocatable physicians who were all deemed to be ineligible.

3. RATIO ADJUSTMENT

A post-ratio adjustment was made to the sampling weights within each combination of the geographic area and physician specialty group in order to adjust for changes in the physician population represented in the sampling frame between the time of sample selection and when the survey was conducted. The ratio adjustment is a multiplication factor which consists of the number of physicians eligible for the sampling frame in each combination of geographic area and physician specialty group as the numerator, and the estimated number of physicians in that combination of geographical area and specialty group as the denominator. The numerator was based on figures obtained from the physician master files for the survey period, and the denominator was the estimate of the numerator based on the sample.

H. PHYSICIAN WEIGHT

The 2019 NEHRS PUF contains a weight (MAILWGT) for producing national estimates from sample data. As stated before, this is a vital component of the survey data and data users should understand how to use and apply it correctly.

The information contained in the PUF reflects both adoption and use of EHR systems, as well as progress towards meeting the policy goals of the HITECH Act, among office-based physicians in the U.S. Each record on the PUF represents one physician in the sample. In order to obtain national estimates from survey data, each record is assigned an inflation factor called MAILWGT. By aggregating the weights contained in the MAILWGT variable on the 1,524 sample records for 2019, the user can obtain the estimated total of 301,603 office-based physicians in the U.S.

These weights allow data users to calculate physician-level estimates and the associated variances (see example SAS, SUDAAN, Stata and SPSS code in Appendix I). There is one weight for each physician who met the definition of a complete responder.

REFERENCES

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 2017. Available from: https://www.cdc.gov/nchs/data/series/sr_02/sr02_175.pdf
- II. CODEBOOK LOCATION AND PHYSICIAN SPECIALTY LIST
 - A. CODEBOOK LOCATION

The codebook can be found here.

B. PHYSICIAN SPECIALTY LIST

The following 14 physician specialty groups were developed based on information from the American Medical Association (AMA). The listed AMA specialties were eligible for selection to the NEHRS sample.

GENERA	AL AND FAMILY PRACTICE (Primary Care)
AMF	Adolescent Medicine (Family Practice)
AMI	Adolescent Medicine (Internal Medicine)
EFM	Emergency Medicine/Family Medicine
FMP	Family Medicine/Preventive Medicine
FP	Family Practice
FPG	Geriatric Medicine (Family Practice)
GP	General Practice
HPF	Hospice & Palliative Medicine (Family
	Medicine)
IFP	Internal Medicine/Family Practice
IMG	Geriatric Medicine (Internal Medicine)

Internal Medicine/Preventive Medicine

INTERNAL MEDICINE (Primary Care)

IM Internal Medicine

IPM

PSM

PEDIATRICS (Primary Care)

ADL	Adolescent Medicine (Pediatrics)
MPD	Internal Medicine/Pediatrics
PD	Pediatrics

Pediatric Sports Medicine

PEDIATRICS (Medical)

CAP	Child Abuse Pediatrics
CCP	Pediatric Critical Care Medicine
DBP	Developmental – Behavioral Pediatrics
EMP	Pediatrics – Emergency Medicine
HPP	Hospice & Palliative Medicine (Pediatrics)
NDN	Neurodevelopmental Disabilities (Psychiatry
	& Neurology)
NDP	Neurodevelopmental Disabilities (Pediatrics)
NPM	Neonatal-Perinatal Medicine
PDA	Pediatric Allergy
PDC	Pediatric Cardiology
PDE	Pediatric Endocrinology
PDI	Pediatric Infectious Diseases
PDP	Pediatric Pulmonology
PDT	Medical Toxicology (Pediatrics)
PEM	Pediatric Emergency Medicine (Pediatrics)
PG	Pediatric Gastroenterology
PHO	Pediatric Hematology/Oncology
PMG	Pediatrics/Medical Genetics
PN	Pediatric Nephrology

PEDIATRICS (Medical)

PPR Pediatric Rheumatology
PTP Pediatric Transplant Hepatology

GENERAL SURGERY (Surgical)

GS General Surgery

OBSTETRICS AND GYNECOLOGY (Primary Care)

GYN Gynecology
OBG Obstetrics and Gynecology

OBS Obstetrics

OBSTETRICS AND GYNECOLOGY (Surgical)

FPR Female Pelvic Medicine and Reconstructive Surgery (Obstetrics and Gynecology)

GO Gynecological Oncology

HPO Hospice & Palliative Medicine (Obstetrics & Gynecology)

MFM Maternal & Fetal Medicine

OCC Critical Care Medicine (Obstetrics & Gynecology)

UPR Female Pelvic Medicine & Reconstructive Surgery (Urology)

ORTHOPEDIC SURGERY (Surgical)

HSO Hand Surgery OAR Adult Reconstructive Orthopedics OFA Foot and Ankle Orthopedics OMO Musculoskeletal Oncology OP **Pediatric Orthopedics** ORS Orthopedic Surgery OSM Sports Medicine (Orthopedic Surgery) OSS Orthopedic Surgery of the Spine OTR Orthopedic Trauma

CARDIOVASCULAR DISEASES (Medical)

CD Cardiovascular Diseases

DERMATOLOGY (Medical)

D Dermatology

UROLOGY (Surgical)

U Urology

UP Pediatric Urology

PSYCH	IATRY (Medical)	ALL O	THER (Surgical)
ADP	Addiction Psychiatry	FPS	Facial Plastic Surgery
CHP	Child and Adolescent Psychiatry	HNS	Head & Neck Surgery
CPP	Pediatrics/Psychiatry/Child & Adolescent	HPS	Hospice and Palliative Medicine (Surgery)
	Psychiatry	HS	Hand Surgery
NUP	Neuropsychiatry	HSP	Hand Surgery (Plastic Surgery)
Р	Psychiatry	HSS	Hand Surgery (Surgery)
PFP	Forensic Psychiatry	NS	Neurological Surgery
PYA	Psychoanalysis	NSP	Pediatric Surgery (Neurology)
PYG	Geriatric Psychiatry	OMF	Oral & Maxillofacial Surgery
PYM	Psychosomatic Medicine	PCS	Pediatric Cardiothoracic Surgery
	1 Sychosomatic Medicine	PDS	Pediatric Surgery (Surgery)
NFUR	DLOGY (Medical)	PRD	Procedural Dermatology
MEON	SEOST (Wicdical)	PS	Plastic Surgery
CHN	Child Neurology	PSH	Plastic Surgery within the Head & Neck
CN	Clinical Neurophysiology	PSI	Plastic Surgery—Integrated
ENR	Endovascular Surgical Neuroradiology	PSP	Plastic Surgery within the Head & Neck
LIVIN	(Neurology)	ГЭГ	(Plastic Surgery)
EPL	Epilepsy	SO	Surgical Oncology
ESN			Traumatic Surgery
	Endovascular Surgical Neuroradiology	TRS	
N	Neurology	TS	Thoracic Surgery
NRN	Neurology/Diagnostic Radiology/ Neuroradiology	TSI	Thoracic Surgery—Integrated
\	-,	TTS VS	Transplant Surgery
VN	Vascular Neurology	V3	Vascular Surgery
OPHTH	HALMOLOGY (Surgical)	ALL O	THER (Medical)
OPH	Ophthalmology	Α	Allergy
OPR	Ophthalmic Plastic and Reconstructive	ADM	Addiction Medicine
	Surgery	AHF	Advanced Heart Failure and Transplant Cardiology
PO	Pediatric Ophthalmology	Al	Allergy and Immunology
		ALI	Clinical Laboratory Immunology (Allergy &
	RYNGOLOGY (Surgical)		Immunology)
NO	Neurotology (Otolaryngology)	AM	Aerospace Medicine
ОТО	Otolaryngology	BIN	Brain Injury Medicine
PDO	Pediatric Otolaryngology	CBG	Clinical Biochemical Genetics
PSO	Plastic Surgery within the Head & Neck	CCG	Clinical Cytogenetics
	(Otolaryngology)	CCM	Critical Care Medicine (Internal Medicine)
SMO	Sleep Medicine (Otolaryngology)	CG	Clinical Genetics
		CHD	Adult Congenital Heart Disease (Internal Medicine)
ALL OT	THER (Surgical)	CLI	Clinical Informatics (Internal Medicine)
AS	Abdominal Surgery	CMG	Clinical Molecular Genetics
ASO	Advanced Surgical Oncology	DDL	Clinical and Laboratory Dermatological Immunology
CCS	Surgical Critical Care (Surgery)	DIA	Diabetes
CFS	Craniofacial Surgery	EM	Emergency Medicine
CHS	Congenital Cardiac Surgery (Thoracic	END	Endocrinology, Diabetes and Metabolism
	Surgery)	EP	Epidemiology
CRS	Colon & Rectal Surgery	ESM	Sports Medicine (Emergency Medicine)
CS	Cosmetic Surgery	ETX	Medical Toxicology (Emergency Medicine)
DS	Dermatologic Surgery	FPP	Psychiatry/Family Practice
ES	Endovascular Surgical Neuroradiology	FSM	Family Practice/Sports Medicine
	(Neurological Surgery)	GE	Gastroenterology

OMM Osteopathic Manipulative Medicine

Medical Oncology

ON

ALL OT	HER (Medical)	ALL OT	HER (Medical)
GPM	General Preventive Medicine	PA	Clinical Pharmacology
HEM	Hematology (Internal Medicine)	PCC	Pulmonary Critical Care Medicine
HEP	Hepatology	PDD	Pediatric Dermatology
НО	Hematology/Oncology	PDM	Pediatric/Dermatology
HPE	Hospice & Palliative Medicine (Emergency	PE	Pediatric Emergency Medicine (Emergency
	Medicine)		Medicine)
HPI	Hospice & Palliative Medicine (Internal	PHL	Phlebology
	Medicine)	PHM	Pharmaceutical Medicine
HPM	Hospice & Palliative Medicine	PHP	Public Health and General Preventive Medicine
HPN	Hospice & Palliative Medicine (Psychiatry &	PLI	Clinical and Laboratory Immunology (Pediatrics)
	Neurology)	PLM	Palliative Medicine
HPR	Hospice & Palliative Medicine (Physical	PM	Physical Medicine and Rehabilitation
	Medicine)	PME	Pain Management
IC	Interventional Cardiology	PMM	Pain Medicine
ICE	Clinical Cardiac Electrophysiology	PMN	Pain Medicine (Neurology)
ID	Infectious Disease	PMP	Pain Management (Physical Medicine and
IEC	Internal Medicine/Emergency		Rehabilitation)
	Medicine/Critical Care Medicine	PPM	Pediatrics/Physical Medicine & Rehabilitation
IG	Immunology	PPN	Pain Medicine (Psychiatry)
ILI	Clinical and Laboratory Immunology	PRO	Proctology
	(Internal Medicine)	PRS	Sports Medicine (Physical Medicine and
IMD	Internal Medicine/Dermatology		Rehabilitation
IRI	Interventional Radiology—Integrated	PTX	Medical Toxicology (Preventive Medicine)
ISM	Internal Medicine – Sports Medicine	PUD	Pulmonary Disease
LM	Legal Medicine	PYN	Psychiatry (Neurology)
MDM	Medical Management	REN	Reproductive Endocrinology and Infertility
MEM	Internal Medicine/Emergency Medicine	RHU	Rheumatology
MG	Medical Genetics	RPM	Pediatric Rehabilitation Medicine
MBG	Medical Biochemical Genetics	SCI	Spinal Cord Injury Medicine
MDG	Internal Medicine/Medical Genetics	SME	Sleep Medicine
MN	Internal Medicine/Neurology	SMI	Sleep Medicine (Internal Medicine)
MP	Internal Medicine/Psychiatry	SMN	Sleep Medicine (Psychiatry & Neurology)
MPM	Internal Medicine/Physical Medicine and	SMP	Sleep Medicine (Pediatrics)
	Rehabilitation	THP	Transplant Hepatology (Internal Medicine)
NC	Nuclear Cardiology	UCM	Urgent Care Medicine
NEP	Nephrology	UM	Underseas Medicine (Preventive Medicine)
NMN	Neuromuscular Medicine	UME	Underseas Medicine (Emergency Medicine)
NMP	Neuromuscular Medicine (Physical Medicine	VM	Vascular Medicine
	& Rehabilitation)	OS	Other Specialty
NTR	Nutrition	US	Unspecified
OM	Occupational Medicine		

C. PHYSICIAN SPECIALTIES REGROUPED INTO PRIMARY CARE, SURGICAL, AND MEDICAL SPECIALTIES

Below is a list of the AMA physician specialties regrouped into primary care, surgical, and medical specialties for analytic purposes (see SPECCAT variable on the file layout).

	MARY CARE SPECIALTIES		CAL SPECIALTIES
ADL	,	HSP	Hand Surgery (Plastic Surgery)
AMI	, , ,	HSS	Hand Surgery (Surgery)
AMI	,	MFM	Maternal & Fetal Medicine
EFN	1 Emergency Medicine/Family Medicine	NO	Neurotology (Otolaryngology)
FMF	P Family Medicine/Preventive Medicine	NS	Neurological Surgery
FP	Family Practice	NSP	Pediatric Surgery (Neurology)
FPG	Geriatric Medicine (Family Practice)	OAR	Adult Reconstructive Orthopedics
GP	General Practice	OCC	Critical Care Medicine (Obstetrics & Gynecology)
GYN	I Gynecology	OFA	Foot and Ankle, Orthopedics
HPF	Hospice & Palliative Medicine (Family	OMF	Oral and Maxillofacial Surgery
	Medicine)	OMO	Musculoskeletal Oncology
IFP	Internal Medicine/Family Practice	OP	Pediatric Orthopedics
IM	Internal Medicine	OPH	Ophthalmology
IMG	Geriatric Medicine (Internal Medicine)	OPR	Ophthalmic Plastic and Reconstructive Surgery
IPM		ORS	Orthopedic Surgery
MPI		OSM	Sports Medicine (Orthopedic Surgery)
OBG	·	OSS	Orthopedic Surgery of the Spine
OBS		ОТО	Otolaryngology
PD	Pediatrics	OTR	Orthopedic Trauma
PSM		PDO	Pediatric Cardiothoracic Surgery
	. Tourist of or to mountain	PO	Pediatric Ophthalmology
SUR	GICAL SPECIALTIES	PS	Plastic Surgery
AS	Abdominal Surgery	PSI	Plastic Surgery—Integrated
ASC	_ ·	PSH	Plastic Surgery within the Head & Neck
CCS		PSO	Plastic Surgery within the head & neck
CFS	, , ,	130	(Otolaryngology)
CHS	- ·	SMO	Sleep Medicine (Otolaryngology)
CRS	9	SO	Surgical Oncology
CNS	Cosmetic Surgery	TRS	Trauma Surgery
DS	Dermatologic Surgery	TS	Thoracic Surgery
ES			Thoracic Surgery—Integrated
E2	Endovascular Surgical Neuroradiology	TSI	Transplant Surgery—Integrated Transplant Surgery
EDD	(Neurological Surgery)	TTS	
FPR		U	Urology
EDC.	Surgery	UP	Pediatric Urology
FPS	Facial Plastic Surgery	UPR	Female Pelvic Medicine & Reconstructive
GO	Gynecological Oncology	\	Surgery (Urology)
GS	General Surgery	VS	Vascular Surgery
HNS	o ,		
HPC	•		
	(Obstetrics & Gynecology)		
HPS			
HS	Hand Surgery		
1100			

HSO

Hand Surgery (Orthopedics)

MEDIC	AL SPECIALTIES	MEDIC	AL SPECIALTIES
Α	Allergy	HPE	Hospice & Palliative Medicine (Emergency
ADM	Addiction Medicine		Medicine)
ADP	Addiction Psychiatry	HPI	Hospice & Palliative Medicine (Internal Medicine)
AHF	Advanced Heart Failure and Transplant	HPM	Hospice & Palliative Medicine
	Cardiology	HPN	Hospice & Palliative Medicine (Psychiatry
Al	Allergy & Immunology		& Neurology)
ALI	Clinical Laboratory Immunology (Allergy	HPP	Hospice & Palliative Medicine (Pediatrics)
	& Immunology	HPR	Hospice & Palliative Medicine (Physical Medicine)
AM	Aerospace Medicine	IC	Interventional Cardiology
BIN	Brain Injury Medicine	ICE	Clinical Cardiac Electrophysiology
BIP	Brain Injury Medicine (Physical Medicine	ID	Infectious Disease
	and Rehabilitation)	IEC	Internal Medicine/Emergency Medicine/Critical
CAP	Child Abuse Medicine		Care Medicine
CBP	Clinical Biochemical Genetics	IG	Immunology
CCG	Clinical Cytogenetics	ILI	Clinical and Laboratory Immunology
CCM	Critical Care Medicine (Internal Medicine)		(Internal Medicine)
CCP	Pediatric Critical Care Medicine	IMD	Internal Medicine/Dermatology
CD	Cardiovascular Disease	IRI	Interventional Radiology-Integrated
CG	Clinical Genetics	ISM	Internal Medicine – Sports Medicine
CHD	Adult Congenital Heart Disease (Internal	LM	Legal Medicine
	Medicine	MBG	Medical Biochemical Genetics
CHN	Child Neurology	MDG	Internal Medicine/Medical Genetics
CHP	Child and Adolescent Psychiatry	MDM	Medical Management
CLI	Clinical Informatics (Internal Medicine)	MEM	Internal Medicine/Emergency Medicine
CMG	Clinical Molecular Genetics	MG	Medical Genetics
CN	Clinical Neurophysiology	MN	Internal Medicine/Neurology
CPP	Pediatrics/Psychiatry/Child & Adolescent	MP	Internal Medicine/Psychiatry
	Psychiatry	MPM	Internal Medicine/Physical Medicine and
D	Dermatology		Rehabilitation
DBP	Developmental – Behavioral Pediatrics	Ν	Neurology
DDL	Clinical and Laboratory Dermatology	NC	Nuclear Cardiology
	Immunology	NDN	Neurodevelopmental Disabilities
DIA	Diabetes		(Psychiatry & Neurology)
EM	Emergency Medicine	NDP	Neurodevelopmental Disabilities (Pediatrics)
EMP	Pediatrics/Emergency Medicine	NEP	Nephrology
END	Endocrinology, Diabetes and Metabolism	NMN	Neuromuscular Medicine
ENR	Endovascular Surgical Neuroradiology	NMP	Neuromuscular Medicine (Physical Medicine
	(Neurology)		& Rehabilitation)
EP	Epidemiology	NPM	Neonatal Perinatal Medicine
EPL	Epilepsy	NRN	Neurology/Diagnostic Radiology/Neuroradiology
ESM	Sports Medicine (Emergency Medicine)	NTR	Nutrition
ESN	Endovascular Surgical Neuroradiology	NUP	Neuropsychiatry
ETX	Medical Toxicology (Emergency Medicine)	OM	Occupational Medicine
FPP	Psychiatry/Family Practice	OMM	Osteopathic Manipulative Medicine
FSM	Family Practice/Sports Medicine	ON	Medical Oncology
GE	Gastroenterology	Р	Psychiatry
GPM	General Preventive Medicine	PA	Clinical Pharmacology
HEM	Hematology (Internal Medicine)	PCC	Pulmonary Critical Care Medicine
HEP	Hepatology	PDA	Pediatric Allergy
НО	Hematology/Oncology	PDC	Pediatric Cardiology

MEDI	CDECI	IAI TIFS

PDD Pediatric Dermatology
PDE Pediatric Endocrinology
PDI Pediatric Infectious Disease
PDM Pediatric/Dermatology
PDP Pediatric Pulmonology
PDT Medical Toxicology (Pediatrics)

PE Pediatric Emergency Medicine

(Emergency Medicine)

PEM Pediatric Emergency Medicine (Pediatrics)

PFP Forensic Psychiatry

PG Pediatric Gastroenterology

PHL Phlebology

PHM Pharmaceutical Medicine
PHO Pediatric Hematology/Oncology

PHP Public Health and General Preventive

Medicine

PLI Clinical and Laboratory Immunology

(Pediatrics)

PLM Palliative Medicine

PM Physical Medicine & Rehabilitation

PME Pain Management

PMG Pediatrics – Medical Genetics

PMM Pain Medicine

PMP Pain Management (Physical Medicine &

Rehabilitation)

PN Pediatric Nephrology

PPM Pediatrics/Physical Medicine &

Rehabilitation

PPN Pain Medicine (Psychiatry) PPR Pediatric Rheumatology

PRO Proctology

PRS Sports Medicine (Physical Medicine &

Rehabilitation

PTP Pediatric Transplant Hepatology

PTX Medical Toxicology (Preventive Medicine)

PUD Pulmonary Disease

PYA Psychoanalysis

PYG Geriatric Psychiatry

PYM Psychosomatic Medicine

PYN Psychiatry/Neurology

REN Reproductive Endocrinology

RHU Rheumatology

RPM Pediatric Rehabilitation Medicine

SCI Spinal Cord Injury Medicine

SME Sleep Medicine

SMI Sleep Medicine (Internal Medicine)SMN Sleep Medicine (Psychiatry & Neurology)

SMP Sleep Medicine (Pediatrics)

THP Transplant Hepatology (Internal Medicine)

UCM Urgent Care Medicine

MEDICAL SPECIALTIES

UM Underseas Medicine (Preventive Medicine)UME Underseas Medicine (Emergency Medicine)

VM Vascular Medicine
VN Vascular Neurology
OS Other Specialty
US Unspecified Specialty

APPENDIX I

A. STANDARD ERRORS AND VARIANCE ESTIMATION

The standard error is primarily a measure of the sampling variability that occurs by chance because only a sample is surveyed, rather than the entire universe.

The sampling methodology in the 2019 NEHRS uses a list sample. The design variables reflect this sampling methodology. Examples of SUDAAN, SAS, Stata, and SPSS statements that incorporate these design variables for variance estimation are below. All examples use a data set named "NEHRSdata" that represents the 2019 NEHRS PUF.

1. VARIANCE ESTIMATION EXAMPLES IN SUDAAN

The linearized Taylor series procedure in SUDAAN software is used to approximate variances for the 2019 NEHRS estimates. SUDAAN's 1-stage With Out Replacement (WOR) Option is used. This example code provides a WOR ultimate cluster (1-stage) estimate of standard errors for a cross-tabulation with a dataset called NEHRSdata. SAS-callable SUDAAN software requires that the dataset be sorted by the NEST variable prior to analysis.

An example to produce frequency tables using the CROSSTAB procedure in SAS-callable SUDAAN, the following statements are used:

```
PROC CROSSTAB DATA=NEHRSdata filetype=SAS Design=WOR;
NEST STRAT_P / MISSUNIT;
TOTCNT POPDOC;
WEIGHT MAILWGT;
CLASS SPECCAT EMEDREC;
TABLES SPECCAT*EMEDREC;
run;
```

2. VARIANCE ESTIMATION EXAMPLE IN SAS

Below is an example of the PROC CROSSTAB SUDAAN analysis (shown above) using the SAS SURVEYFREQ procedure.

```
PROC SURVEYFREQ DATA=NEHRSdata;
STRATA STRAT_P;
WEIGHT MAILWGT;
TABLES SPECCAT*EMEDREC;
run;
```

3. VARIANCE ESTIMATION EXAMPLES IN Stata

The command as follows: svyset pweight (mailwgt), stratum (strat p), and psu (phyid p)

Stata 12 and later: svyset phyid_p [pweight=mailwgt], strata(strat_p)

4. VARIANCE ESTIMATION EXAMPLES IN SPSS

To obtain variance estimates which take the sample design into account, IBM SPSS Inc.'s Complex Samples module can be used. This description applies to version 24.0. From the main menu, first click on 'Analyze', then 'Complex Samples,' then 'Prepare for Analysis.' The 'Analysis Preparation Wizard' can be used to set STRAT_P as the stratum variable, PHYID_P as the cluster variable, and MAILWGT as the weighting variable. The WR design option may be chosen. This will create the PLAN FILE syntax, which should resemble the code below; where PLAN FILE reflects the location you have selected to store the file on your computer:

CSPLAN ANALYSIS

/PLAN FILE='DIRECTORY\PLANNAME.CSAPLAN'

/PLAN VARS ANALYSISWEIGHT=MAILWGT

/PRINT PLAN

/DESIGN STAGELABEL= 'ANY LABEL' STRATA=STRAT_P CLUSTER=PHYID_P

/ESTIMATOR TYPE=WR.