

# Tracing the Origin, Spread, and Molecular Evolution of Zika Virus in Puerto Rico, 2016–2017

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We reconstructed the 2016–2017 Zika virus epidemic in Puerto Rico by using complete genomes to uncover the epidemic's origin, spread, and evolutionary dynamics. Our study revealed that the epidemic was propelled by multiple introductions that spread across the island, intricate evolutionary patterns, and ≈10 months of cryptic transmission.

Puerto Rico reported the first confirmed case of Zika virus (ZIKV) disease in November 2015 and subsequently experienced epidemic transmission that peaked by mid-August 2016 (1). Despite the large number of confirmed cases detected by traditional surveillance, the origin, spread, and evolutionary dynamics of this epidemic remain undetermined. We sought to reconstruct the epidemic transmission period by using a genomic epidemiology approach and determine evolution of the virus in the island.

To investigate the emergence and subsequent epidemic of ZIKV in Puerto Rico, we generated 83 complete genomes (2,3) directly from PCR-positive serum samples (4) (Appendix, <https://wwwnc.cdc.gov/EID/article/27/11/21-1575-App1.pdf>) collected

from the 8 health regions of Puerto Rico during March 2016–January 2017, congruent to a geotemporal representation of the epidemic in the island. We then performed phylogenetic analysis with an additional 233 published genomes from GenBank that represent the emergence and spread of ZIKV in the Americas during 2015–2017. The resulting reconstructed phylogeny was consistent with published tree topologies, nucleotide substitution rate ranges, and divergence patterns observed elsewhere for the entirety of the Americas (Appendix Figure 1, panel A), providing a pragmatic context to the proposed model of spread and divergence of ZIKV in Puerto Rico (5). At least 8 separate foreign-introduction events were captured within the ancestry of the viruses sequenced, including 2 that expanded into autochthonous lineages and 6 separate introduction events represented by individual sequences associated with genomes from the United States, the Caribbean, South America, and Central America, thus suggesting limited spread.

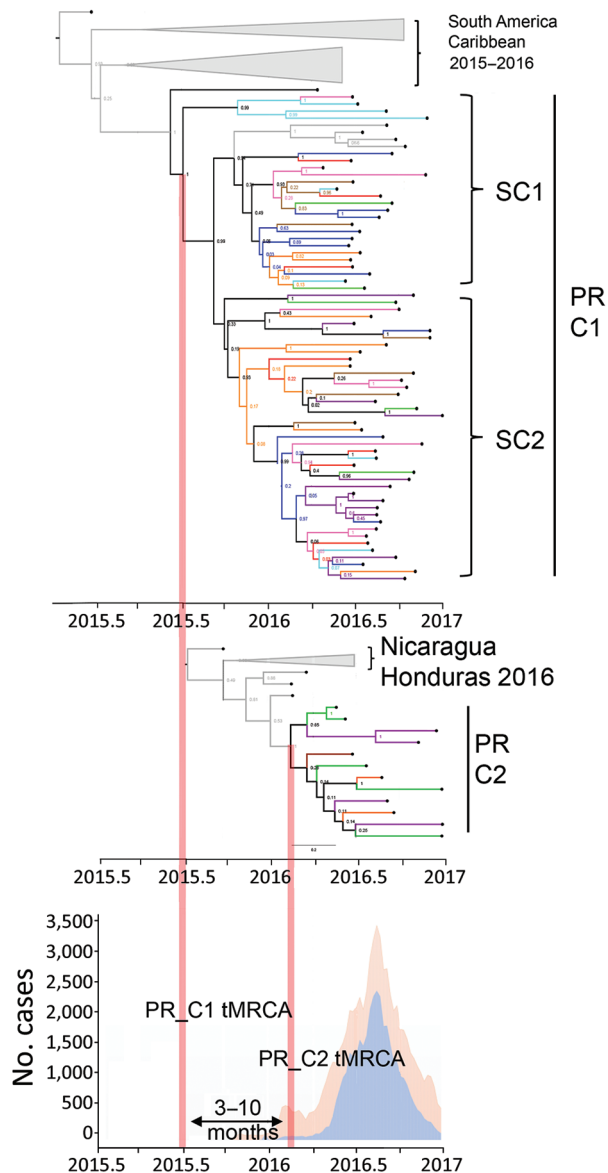
In addition, we analyzed the temporal molecular evolutionary signal in our dataset by reconstructing time-calibrated phylogenies by using genomes annotated with date of sample collection based on year, month, and days for temporal precision. The correlation between date of sample collection and root-to-tip genetic distance supported the heterochronous nature of our dataset. The estimated divergence from the root (i.e., time of most recent common ancestor [tMRCA] of this tree) occurred in February 2013 (because 2013–2014 ZIKV genomes from French Polynesia were used as the root), and the within-epidemic evolutionary rate was  $1.09 \times 10^{-3}$  substitutions/site/year (Appendix Figure 1, panel B).

Bayesian reconstruction of Puerto Rico clade 1 (PR C1) presents the largest autochthonous monophyletic cluster that originated from viruses from South America and the Caribbean, including Brazil, Suriname, French Guyana, the US Virgin Islands, and Dominican Republic (Figure). tMRCA estimates place the divergence of PR C1 in mid-June 2015 (95% highest posterior density [HPD] February 2015–October 2015) and a within-outbreak evolutionary rate of  $1.61 \times 10^{-3}$  (95% HPD  $1.13$ – $2.10 \times 10^{-3}$ ) substitutions/site/year. In addition, PR C1 was observed to diverge further into 2 subclades (SC1 and SC2) spreading across the island. The second clade, Puerto Rico clade 2 (PR C2), presents a smaller autochthonous monophyletic cluster that originated from viruses in Central America, including Nicaragua and Honduras (Figure). Our tMRCA estimates placed the emergence of PR C2 in February 2016 (95% HPD October 2015–April 2016) and its evolutionary rate was similar to PR C1 at  $1.87 \times 10^{-3}$

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(95% HPD  $1.1\text{--}2.64 \times 10^{-3}$ ). We compared the ZIKV epidemic history of Puerto Rico to the time-calibrated Bayesian phylogenies and observed that the tMRCA of PR C1 precedes the initial confirmation of ZIKV in



**Figure.** Intra-island spread and divergence of Zika virus, Puerto Rico, 2016–2017. Bayesian phylogenetic reconstruction using maximum clade credibility trees shows genomes grouping with 2 separate clusters. PR C1 is associated with genomes from South America and the Caribbean (top); this clade diverged into SC1 and SC2. PR C2 is associated with genomes from Central America (center). Epidemic curve of total Zika cases per week (orange shade) and cases confirmed by reverse transcription PCR per week (blue shade) during 2015–2017 (bottom). All external branches representing Puerto Rico genomes are color-coded according to the 8 health regions of Puerto Rico: region 1, red; region 2, blue; region 3, orange; region 4, green; region 5, purple; region 6, cyan; region 7, brown; and region 8, magenta. C, clade; PR, Puerto Rico; SC, subclade; tMRCA, time of most recent common ancestor.

the island through traditional surveillance methods by 3–10 months and that expansion of all PR lineages coincides with the peak of the epidemic curve (Figure). We assessed phylogenetic clustering patterns for geographic association with each of the health regions and detected none (Appendix Figure 2).

We inferred past viral population dynamics by using Bayesian Skygrid plots, which show an increase in genomic diversity that coincides in time with the emergence of ZIKV in the Americas, followed by a series of fluctuations in the effective population size, characteristic of the virus spreading rapidly through the region (Appendix Figure 3). In Puerto Rico, we observed a similar sharp increase upon emergence and subsequent patterns that mirror the trends observed in the Americas.

Our study revealed the origin and epidemic spread of ZIKV in the island after a period of cryptic transmission undetected by traditional surveillance. Similar cryptic transmission was reported in Brazil and Colombia (6–8), where case detection was hindered by the difficulty to capture asymptomatic or mild cases with clinical manifestations that overlap endemic arboviruses and other laboratory testing limitations particular to ZIKV (9). The dataset we generated in our study presents a relevant contribution to the geotemporal sampling of ZIKV genomes from the region, enabling the study the evolutionary and epidemic dynamics in the Americas.

The integration of genomic epidemiology to arbovirus surveillance has proven to be central to the ascertainment of disease epidemiology, uncovering information otherwise concealed by the nature of the disease and limitations of surveillance systems. Fundamentally, integrated proactive genomic surveillance may help us to predict virus emergence and mitigate more effectively their regional or global expansion.

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## About the Author

Dr. Santiago is a lead research microbiologist at the Centers for Disease Control and Prevention in San Juan, Puerto Rico. His research is focused on the development of molecular diagnostic tests and genomic epidemiology of dengue virus and severe acute respiratory syndrome coronavirus 2.

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## Fatal Systemic Capillary Leak Syndrome after SARS-CoV-2 Vaccination in Patient with Multiple Myeloma

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A young man with smoldering multiple myeloma died of hypotensive shock 2.5 days after severe acute respiratory syndrome coronavirus 2 vaccination. Clinical findings suggested systemic capillary leak syndrome (SCLS); the patient had experienced a previous suspected flare episode. History of SCLS may indicate higher risk for SCLS after receiving this vaccine.

Systemic capillary leak syndrome (SCLS) is an extremely rare disease of unknown incidence (1). Typical manifestations of SCLS include hypotension, edema, hemoconcentration, and hypoalbuminemia after nonspecific prodromal illnesses (1,2). Increased capillary vascular permeability is the commonly accepted pathophysiology (1,2). However, the exact pathogenesis remains unclear.

As part of the efforts to combat the ongoing pandemic of coronavirus disease (COVID-19), caused by severe acute respiratory syndrome coronavirus 2, the US Food and Drug Administration on February 27, 2021, gave emergency use authorization to the Ad26.COV2.S vaccine (Johnson & Johnson/Janssen, <https://www.jnj.com>). An SCLS case series reported 1 case of SCLS in a patient who received the Ad26.COV2.S vaccine (3). The European Medicines Agency reviewed 3 cases of SCLS in Ad26.COV2.S vaccine recipients and issued a report, published July 9, 2021, advising against administering the vaccine in persons with previous SCLS experiences (4). We describe a case of SCLS after Ad26.COV2.S vaccination in a patient with smoldering multiple myeloma.

A 38-year-old man reporting vomiting and dizziness sought treatment at an emergency department.

# Tracing the Origin, Spread, and Molecular Evolution of Zika Virus, Puerto Rico, 2016–2017

## Appendix

### Methods

#### Sample Selection

The ZIKV genomes sequenced in this study were obtained from residual clinical serum samples collected through two surveillance systems and tested at the CDC Dengue Branch: the Sentinel Enhanced Dengue Surveillance System (SEDSS) operated by Ponce Health Sciences University (PHSU) and the island-wide arboviral disease surveillance system coordinated by PRDH. All residual samples were handled in accordance with the institutional review boards of CDC protocol # 6731. Serum samples meeting all of the following criteria were selected randomly and independently from each of the eight health regions of Puerto Rico (Figure, panel D): collection within 5 days of symptom onset, ZIKV RNA detected by RT-PCR (*I*) with a cycle threshold (CT) value lower than 32, recorded municipality of residence of the case-patient, and sample collection dates during March 2016 to January 2017. An average of 10 samples were selected for sequencing from each health region.

#### Next-generation Sequencing

Complete ZIKV genomes were generated directly from clinical serum samples using a modification of PrimalSeq, a targeted method using overlapping PCR amplicon sequencing (2,3). Viral RNA was extracted from selected archived serum samples using the automated MagNA Pure 96 system (Roche) with the MP96 DNA and Viral RNA Small or Large volume protocol suggested by the manufacturer. All RNA samples were re-tested by RT-PCR (*I*) to confirm eligibility by relative RNA concentration using CT value. First-strand cDNA was synthesized with random hexamers using SuperScript IV reverse transcription (ThermoFisher) and overlapping 400 bp PCR amplicons were generated using 2 separate primer pools (2) and Q5®

high-fidelity DNA polymerase (New England Biolabs). Only samples presenting clearly visible bands of target size in DNA gel electrophoresis for both primer pools progressed to DNA library preparation. Qualifying PCR products were purified with magnetic beads (AMPure XP, Beckman Coulter) and concentration reassessed using Qubit 4.0 (ThermoFisher). DNA libraries were prepared using NEBNext Ultra II DNA Library Prep Kit for Illumina (New England Biolabs) reducing all reagents volumes to 25% of the suggested manufacturer protocol and the resulting products were screened for size and quality with the 2100 Bioanalyzer instrument (Agilent Technologies) and for quantity using the Qubit 4 fluorometer (ThermoFisher). Libraries that passed QC were pooled and run in MiSeq (Illumina) using the MiSeq Reagent Kit v3 in 600-cycle protocol.

### **Sequence Analysis and Generation of Consensus Genomes**

The resulting sequence reads were assembled into complete ZIKV genomes using the iVar (3) computational package designed for assemblies of amplicon-based sequencing. Briefly, reference-based amplicon alignments were performed using bwa-mem. Primers and low-quality reads were trimmed in the pipeline. Samtools was used for sorting, indexing and variant calling (3). Consensus genomes were called by iVar for positions with at least 10x depth and a minimum of 75% frequency of the consensus base. All consensus sequences were inspected manually using MEGA X (<https://www.megasoftware.net/>) or Geneious Prime (<https://www.geneious.com/prime/>). A total of 91 samples were sequenced but only 83 genomes assembled with more than 75% coding sequence coverage at 10X depth. Only these 83 complete genomes were considered for the rest of this study. All sequence data obtained for this study was submitted to GenBank: accession numbers MW122373-MW122455.

### **Phylogenetic Analyses**

Our final dataset for phylogenetic and evolutionary dynamics analyses comprised 316 complete genomes including the 83 PR genomes obtained for this study and an additional 233 published genomes, mostly from the Americas. A complete list of all the genome sequences used in this study including GenBank accession numbers can be found in the Supplemental Material (Appendix Table). All multiple sequence alignments were generated with MAFFT (4) with subsequent manual editing. All multiple sequence alignments were screened for recombination breakpoints using Recombination Detection Program (RDP4) (5) and no evidence of recombination was found. Phylogenetic trees for large datasets were reconstructed with



maximum likelihood (ML) methods including model finding functions or GTR+G pre-selected model options and over 1,000 bootstrap replicates using IQ-TREE v1.6.12 software (6). The temporal signal of the ML trees and compatibility with molecular clock phylogenies were assessed with TempEst v1.5.3 (7). Evolutionary hypothesis testing and further Bayesian phylogenetic trees to study the PR lineages were reconstructed with maximum clade credibility (MCC) methods using BEAST v1.10.4 (8). We estimated nucleotide substitution rates (evolutionary rate), effective population size and MCC trees using Bayesian Markov Chain Monte Carlo (MCMC) approach. For all BEAST analyses, the date of sample collection in the format of yyyy-mm-dd was used to time-calibrate tips and the model was parameterized using the SRD or Yang model of nucleotide substitution, strict or relaxed lognormal molecular clocks, and Bayesian constant coalescent or Skygrid model, depending on dataset. All MCMC were run for sufficient length to ensure stationary parameters with statistical errors reflected in 95% highest probability density ranges including 10% burn-in, with ESS values higher than 200 for each tree prior. The resulting trees were visualized in Figtree v1.4.4 software (<http://tree.bio.ed.ac.uk/software/figtree>). Additional information and real time tracking of ZIKV molecular evolution globally can be found here: <https://nextstrain.org/zika>.

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<https://doi.org/10.1093/ve/vey016>

**Appendix Table.** Genomic sequences included in phylogenetic analyses.

GenBank accession no.	Country	Sample collection date
MW122373	Puerto Rico	7/20/2016
MW122374	Puerto Rico	4/27/2016
MW122375	Puerto Rico	7/13/2016
MW122376	Puerto Rico	6/28/2016
MW122377	Puerto Rico	7/30/2016
MW122378	Puerto Rico	7/1/2016
MW122379	Puerto Rico	8/15/2016
MW122380	Puerto Rico	8/26/2016
MW122381	Puerto Rico	10/17/2016
MW122382	Puerto Rico	6/23/2016
MW122383	Puerto Rico	6/25/2016
MW122384	Puerto Rico	9/19/2016
MW122385	Puerto Rico	6/23/2016
MW122386	Puerto Rico	7/17/2016
MW122387	Puerto Rico	7/14/2016
MW122388	Puerto Rico	10/31/2016
MW122389	Puerto Rico	9/7/2016
MW122390	Puerto Rico	10/11/2016
MW122391	Puerto Rico	8/6/2016
MW122392	Puerto Rico	11/7/2016
MW122393	Puerto Rico	6/24/2016
MW122394	Puerto Rico	7/14/2016
MW122395	Puerto Rico	7/23/2016
MW122396	Puerto Rico	8/11/2016
MW122397	Puerto Rico	9/27/2016
MW122398	Puerto Rico	9/19/2016
MW122399	Puerto Rico	11/4/2016
MW122452	Puerto Rico	1/19/2017
MW122400	Puerto Rico	11/10/2016
MW122401	Puerto Rico	9/15/2016
MW122453	Puerto Rico	7/27/2016
MW122402	Puerto Rico	7/1/2016
MW122403	Puerto Rico	6/30/2016
MW122404	Puerto Rico	8/15/2016
MW122405	Puerto Rico	8/19/2016
MW122406	Puerto Rico	8/18/2016
MW122407	Puerto Rico	8/31/2016
MW122408	Puerto Rico	9/27/2016
MW122409	Puerto Rico	10/20/2016

GenBank accession no.	Country	Sample collection date
MW122410	Puerto Rico	10/25/2016
MW122411	Puerto Rico	10/16/2016
MW122412	Puerto Rico	11/3/2016
MW122413	Puerto Rico	12/11/2016
MW122414	Puerto Rico	8/15/2016
MW122415	Puerto Rico	1/20/2017
MW122416	Puerto Rico	6/4/2016
MW122417	Puerto Rico	6/13/2016
MW122418	Puerto Rico	5/26/2016
MW122419	Puerto Rico	7/9/2016
MW122420	Puerto Rico	8/9/2016
MW122421	Puerto Rico	8/17/2016
MW122422	Puerto Rico	9/7/2016
MW122423	Puerto Rico	10/6/2016
MW122424	Puerto Rico	12/2/2016
MW122425	Puerto Rico	6/29/2016
MW122426	Puerto Rico	7/3/2016
MW122427	Puerto Rico	8/23/2016
MW122428	Puerto Rico	9/15/2016
MW122429	Puerto Rico	10/2/2016
MW122430	Puerto Rico	11/3/2016
MW122454	Puerto Rico	6/27/2016
MW122431	Puerto Rico	11/3/2016
MW122432	Puerto Rico	6/28/2016
MW122433	Puerto Rico	7/27/2016
MW122434	Puerto Rico	7/19/2016
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MW122442	Puerto Rico	8/26/2016
MW122443	Puerto Rico	8/31/2016
MW122444	Puerto Rico	9/10/2016
MW122445	Puerto Rico	12/8/2016
MW122446	Puerto Rico	6/20/2016
MW122447	Puerto Rico	6/27/2016
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MW122449	Puerto Rico	12/8/2016
MW122450	Puerto Rico	1/10/2017
MW122451	Puerto Rico	1/3/2017
MW122455	Puerto Rico	1/19/2017
KU312312	Suriname	10/2/2015
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KU497555	Brazil	11/30/2015
KU501216	Guatemala	12/1/2015
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KU509998	Haiti	12/12/2014
KU527068	Brazil	2015
KU647676	Martinique	12/2015
KU707826	Brazil	7/1/2015
KU729217	Brazil	2015
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KU758877	French Guiana	12/2015
KU820898	Venezuela	2/14/2016
KU870645	Guatemala	2/2/2016
KU922923	Mexico	2/25/2016
KU926309	Brazil	1/14/2016
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KU937936	Suriname	2/11/2016
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KX051563	Haiti	2/5/2016
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KX156774	Panama	12/18/2015

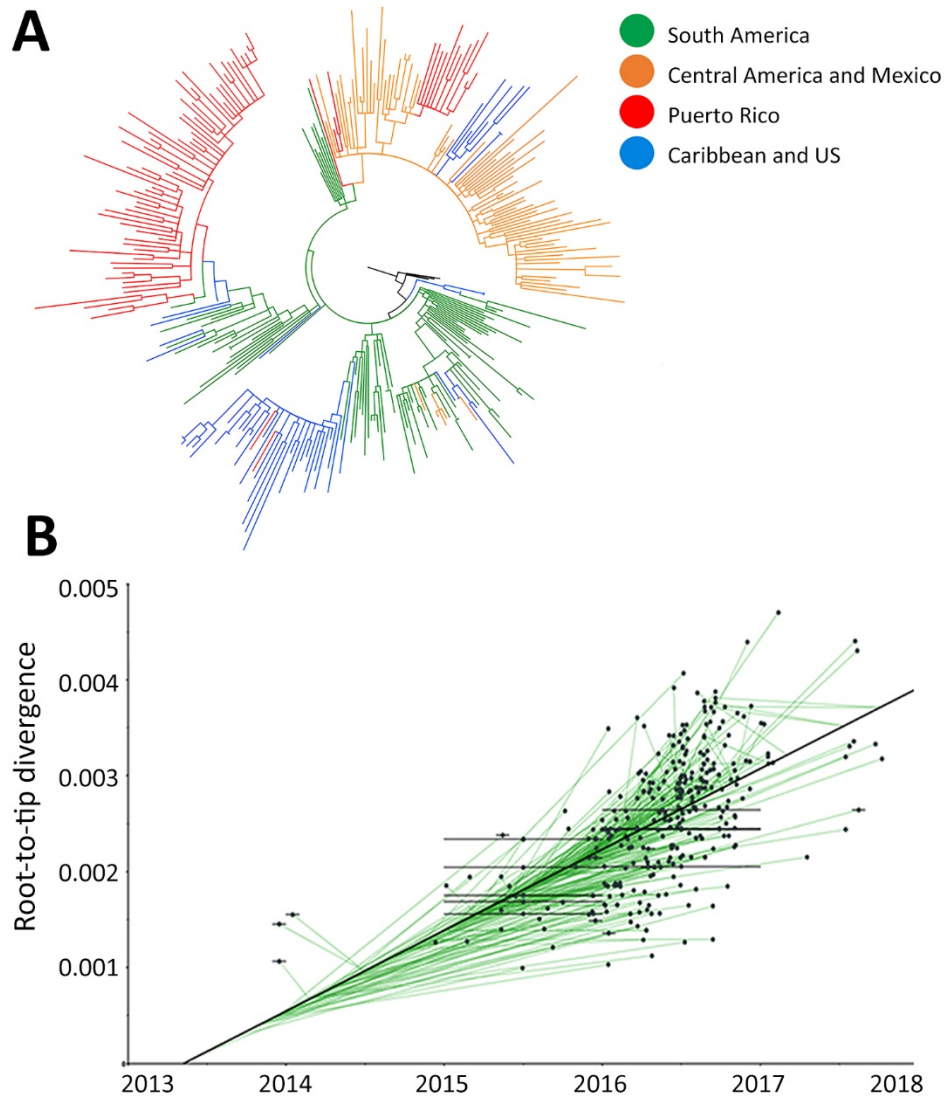


GenBank accession no.	Country	Sample collection date
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KX197192	Brazil	2015
KX197205	Brazil	12/1/2015
KX198135	Panama	2016
KX247646	Colombia	2/9/2016
KX262887	Honduras	1/6/2016
KX269878	Haiti	2/1/2016
KX280026	Brazil	2015
KX446950	Mexico	1/1/2016
KX446951	Mexico	1/1/2016
KX447510	French Polynesia	12/2013
KX447511	French Polynesia	01/2014
KX447513	French Polynesia	12/2013
KX548902	Colombia	10/7/2015
KX694534	Honduras	1/6/2015
KX702400	Venezuela	3/25/2016
KX766028	Dominican Republic	6/6/2016
KX811222	Brazil	6/14/2016
KX832731	United States	8/24/2016
KX879603	Ecuador	04/2016
KX879604	Ecuador	04/2016
KX906952	Honduras	4/16/2016
KY014297	Brazil	4/12/2016
KY014300	Dominican Republic	4/20/2016
KY014303	Dominican Republic	4/11/2016
KY014305	Dominican Republic	4/5/2016
KY014306	Honduras	6/10/2016
KY014307	Brazil	3/28/2016
KY014308	Brazil	3/23/2016
KY014310	Honduras	5/9/2016
KY014311	Honduras	5/12/2016
KY014312	Honduras	5/13/2016
KY014314	Dominican Republic	6/14/2016
KY014315	Honduras	6/6/2016
KY014318	Dominican Republic	4/27/2016
KY014319	Honduras	4/30/2016
KY014321	Dominican Republic	4/11/2016
KY014327	Honduras	6/9/2016
KY075932	Martinique	3/22/2016
KY075937	United States	9/9/2016
KY120349	Mexico	3/3/2016
KY120352	Brazil	3/22/2016
KY272991	Brazil	2/12/2016
KY317936	Colombia	1/16/2016
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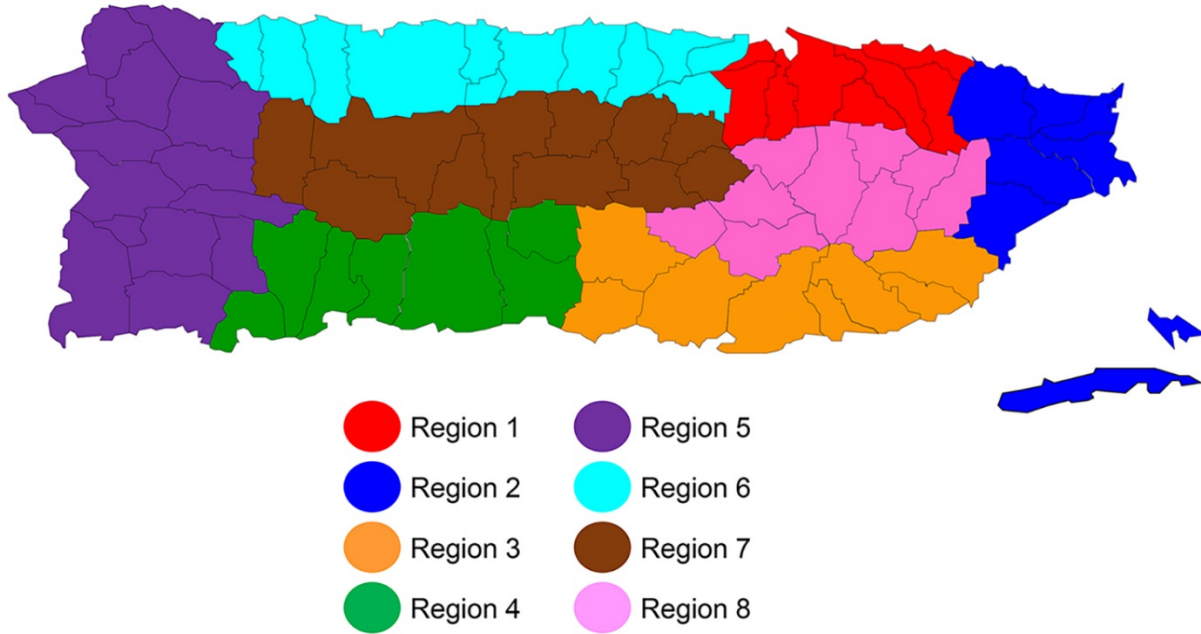
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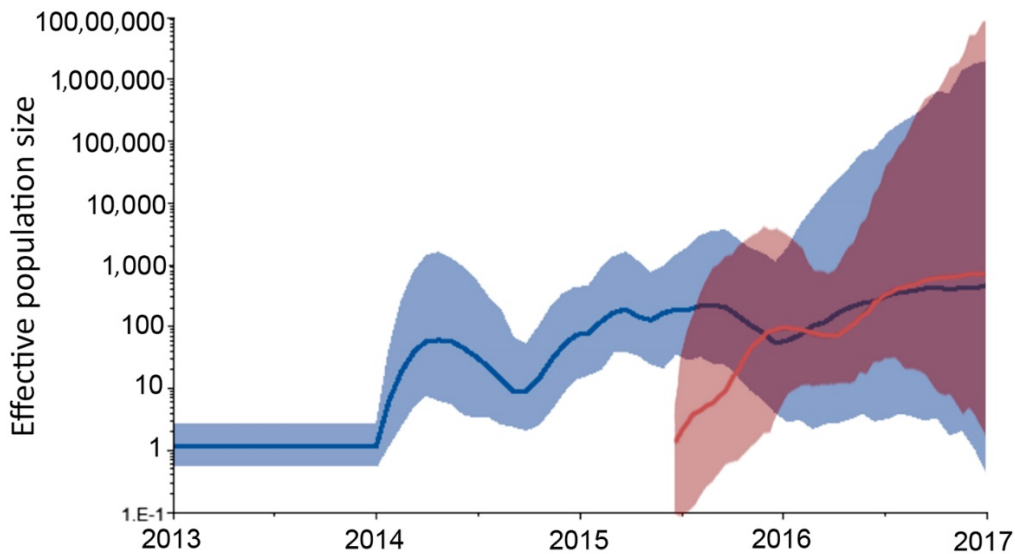
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**Appendix Figure 1.** Emergence and evolution of Zika virus in the Americas and Puerto Rico. (A) Maximum likelihood (ML) phylogenetic inference of 316 complete ZIKV genomes, including 83 genomes from Puerto Rico and 233 genomes from Asia and the Americas obtained from GenBank. The ML tree was rooted to 3 genomes from French Polynesia 2013–2014. Tree is color-coded by geographic region of genome collection; red branches represent PR genomes. (B) Root-to-tip regression analysis showing genome divergence versus sampling time, demonstrating that our dataset follows a molecular clock.



**Appendix Figure 2.** Map of Puerto Rico health regions. Health regions defined by the Puerto Rico Department of Health.



**Appendix Figure 3.** Genetic diversity of ZIKV in the Americas and in Puerto Rico. Nonparametric estimation of effective population size over time as an inference of past population dynamics from genomic sequence data using Bayesian Skygrid plots. Genetic diversity of ZIKV in the Americas estimated over 150 genomes excluding Puerto Rico (blue plot), compared to the genetic diversity upon emergence in Puerto Rico including 66 genomes from PR clade 1 (red plot).