

Operational Guidance for Ambulatory Surgical Centers to Report Healthcare Personnel (HCP) Influenza Vaccination Data to CDC's National Healthcare Safety Network (NHSN) for the Purpose of Fulfilling CMS's Ambulatory Surgical Center Quality Reporting (ASCQR) Program Requirements

The Centers for Medicare and Medicaid Services (CMS) published a final rule in the *Federal Register* on December 10, 2013, that included healthcare personnel (HCP) influenza vaccination summary reporting from ambulatory surgical centers via the Centers for Disease Control and Prevention's (CDC's) National Healthcare Safety Network (NHSN) in the CMS Ambulatory Surgical Center Quality Reporting (ASCQR) Program requirements beginning with the 2014-2015 influenza season.¹ More specifically, the rule announced a requirement for ambulatory surgical centers to report HCP influenza vaccination summary data beginning on October 1, 2014. Beginning with the 2014-2015 influenza season, ambulatory surgical centers must submit data for the entire influenza vaccination season (October 1 through March 31) to NHSN. This operational guidance provides additional information about reporting HCP influenza vaccination to NHSN as part of the ASCQR program. The requirements for HCP influenza vaccination reporting to NHSN for this CMS program do not preempt or supersede any state mandates for HCP influenza vaccination reporting to NHSN (i.e., ambulatory surgical centers in states with a HCP influenza vaccination reporting mandate must also abide by their state's requirements, even if they are more extensive than the requirements for this CMS program). Each CMS-licensed ambulatory surgical center should enroll in NHSN as a separate facility (i.e., they will have a unique NHSN OrgID) even if they are owned by or affiliated with a healthcare system or acute care hospital. During enrollment they should identify themselves as an "AMB-SURG – Outpatient Surgery Facility" and they should select the Healthcare Personnel Safety (HPS) Component on the NHSN "Facility Enrollment Page."

NHSN guidance and definitions for reporting HCP influenza vaccination summary data can be found in the NHSN Influenza Vaccination Summary Protocol: <http://www.cdc.gov/nhsn/PDFs/HPS-manual/vaccination/HPS-flu-vaccine-protocol.pdf>. The NHSN protocol provides guidance for healthcare facilities to report HCP influenza vaccination summary data from October 1 (or when the vaccine became available) through March 31, which includes all influenza vaccinations administered during the influenza season at the facility or elsewhere, influenza vaccine declinations, and determinations of a medical contraindication to influenza vaccination. Users must also report associated denominator data for HCP physically working in the ambulatory surgical center for at least 1 working day between October 1 through

March 31 of an influenza season, regardless of clinical responsibility or patient contact. Data should be reported separately for employees, licensed independent practitioners, and adult students/trainees and volunteers. Reporting summary data for other contract personnel is optional at this time.

In order to report HCP influenza vaccination summary data, ambulatory surgical centers should use the NHSN Healthcare Personnel Safety (HPS) Component. Newly enrolling ambulatory surgical centers should select the HPS Component on the NHSN "Facility Enrollment Page" during enrollment. For ambulatory surgical centers that are already enrolled in the Patient Safety Component, the NHSN Facility Administrator must activate the HPS Component. Within the HPS Component, monthly reporting plans must be created or updated to include HCP influenza vaccination summary reporting, i.e., HCP influenza vaccination must be "in-plan" in order for data to be shared with CMS. Once the "Influenza Vaccination Summary" box is checked on a monthly reporting plan, then the system will auto-check that same box on every monthly reporting plan throughout the entire NHSN-defined influenza season (defined as the 12 months from July 1 – June 30). All data fields required for both numerator and denominator data collection must be submitted to NHSN. Data must be reported to NHSN by means of manual data entry into the NHSN web-based application.

CDC/NHSN strongly encourages that HCP influenza vaccination summary counts be updated on a monthly basis and encourages healthcare facilities to update new counts within 30 days of the end of each month (e.g., all October data should be added by November 30) so it has the greatest impact on influenza vaccination activities. HCP influenza vaccination summary reporting in NHSN consists of a single data entry screen per influenza season, so each time a user enters updated data for a particular influenza season, all previously entered data for that season will be overwritten and a new modified date will be auto-filled by the system. Facilities wishing to maintain monthly records should save their own copies of each data entry. For the purposes of fulfilling CMS quality measurement reporting requirements, this summary report will only be submitted once to CMS. The summary report must be entered by the CMS-specified submission deadline for data to be shared with CMS. CMS will issue a proposal for this deadline during its 2014 rulemaking process.

HCP influenza vaccination summary data submitted to NHSN by the submission deadline will be reported by CDC to CMS for each ambulatory surgical center CMS Certification Number (CCN). CDC will share all in-plan HCP influenza vaccination summary data with CMS. CDC will provide a HCP influenza vaccination percentage for each reporting ambulatory surgical center CCN.

¹ US Department of Health and Human Services. Medicare and Medicaid Programs: Hospital Outpatient Prospective Payment and Ambulatory Surgical Center Payment Systems and Quality Reporting Programs; Hospital Value-Based Purchasing Program; Organ Procurement Organizations; Quality Improvement Organizations; Electronic Health Records (EHR) Incentive Program; Provider Reimbursement Determinations and Appeals; Final Rule Federal Register 2013; 78:75139-75140.

<http://www.gpo.gov/fdsys/pkg/FR-2013-12-10/pdf/2013-28737.pdf>