

Global *Escherichia coli* Sequence Type 131 Clade with *bla*_{CTX-M-27} Genes

Technical Appendix

Supplementary Methods

We used a core genome single-nucleotide polymorphism (SNP)–based approach to create a phylogenetic tree using the current standard procedure (1). SNPs were identified using raw read mapping followed by duplicate read removal, realignment, quality score recalibration, and variant filtering (2).

Core Genome Analysis

Reads from 53 isolates sequenced in this study and 4 isolates (S100EC, S107EC, S108EC, and S135EC) (3) underwent quality trimming using ERNE-FILTER (4). Trimmed reads were aligned against a reference genome of EC958 using Burrows-Wheeler Aligner (5). SNPs were called by using GATK Best Practices workflow (6) and SAMtools (coverage >10 and Phred-score >20) (7). The remaining 4 draft or complete genomes were aligned against EC958 by using ProgressiveMauve (8) to make EC958-like pseudo-chromosomes that contained only SNPs. The SNP-only core genome was identified as the common blocks of >500 bp to all 61 study isolates by using in-house Perl script. A maximum-likelihood tree was build using RAxML with GTR GAMMA substitution model and 100 rapid bootstrap replicates (9). We also separately analyzed the phylogeny of the sequence type (ST) 131 isolates excluding recombination sites. Bacterial recombination occurs more frequently than spontaneous mutations, and a phylogenetic tree that includes recombination sites could potentially distort phylogenetic inference (10), although this is not universally accepted as dogma (11). A recombination-free tree was also build by excluding recombination sites identified using a Bayesian analysis software BRATNextGen (12). A cutoff in the proportion of shared ancestry tree was chosen to enable separation of clades found in core genome-based tree. Twenty iterations of hidden Markov model parameter estimation were performed, and 100 permutations resampling was performed to determine the statistically significant recombination segments ($p < 0.05$).

Genome Assembly

Trimmed reads were assembled by using Velvet and VelvetOptimizer (13) with k-mer values ranging from 31 to 73. The best assembly results in terms of the highest N50 value of each isolate underwent refinement of draft genomes using PAGIT (14).

Comparative Genomic Analysis

To define presence of genes and their alleles, we mapped trimmed reads to reference genes using SRST2 (15) and used BLAST+ (16) for draft or complete genomes. We used the following databases or typing schemes: ResFinder antimicrobial resistance gene database (17) VFDB (18) and VirulenceFinder (19) virulence gene databases, serotypeFinder O:H typing database (20), PlasmidFinder plasmid replicon database (21), MLST (<http://mlst.ucc.ie/mlst/dbs/Ecoli>), plasmid MLST (21), *fimH* typing (22), *gyrA/parC* typing (22), ST131 virotyping (23), and detection of H30Rx-specific *ybbW* SNP (24), plasmid addiction systems (25), and *bla*_{CTX-M} genetic environment (26). Gegenees (27) was used to identify clade-specific segments among draft or complete genomes and visualized with EasyFig (28). BRIG (29) was used to visualize similarity of genomes to ST131 genomic islands (30) and to the ST131 reference plasmid pEC958 (31).

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Technical Appendix Table 1. Strain information, mapping, and assembly statistics

Strain	Year	Country (hospital, prefecture)	Location	Sample	<i>fimH</i> allele, <i>H30Rx</i> status	ESBL*	Mapping to EC958 genome		De novo assembly		Reference	
							Sequencing depth	% Coverage	No. contigs	N50 Genome size		
KUN2145	2007	Japan (a, Kyoto)	Community	Blood	<i>H22</i>	CTX-M-14	36.3	96.0	201	77275	5261498	
KFEC6	2004	Japan (b, Kyoto)	Unknown	Unknown	<i>H22</i>	CTX-M-2	24.1	96.4	239	70346	5290551	
KSEC7	2002	Japan (c, Kyoto)	Unknown	Unknown	<i>H30</i>	CTX-M-14	26.0	98.5	187	69032	5165720	
KKEC3	2005	Japan (g, Shiga)	Unknown	Unknown	<i>H30</i>	TEM-12	24.9	97.7	174	71954	5128144	
KUN5823	2009	Japan (a, Kyoto)	Hospital	Urine	<i>H30</i>	TEM-132	39.9	96.3	103	153130	5093967	
JJ1886	2008	United States	Community	Blood	<i>H30Rx</i>	CTX-M-15	NA*	NA	6†	NA	5308284	(32)
KCH27	2009	Japan (c, Kyoto)	Unknown	Unknown	<i>H30Rx</i>	CTX-M-14	42.1	98.7	189	86306	5227333	
KUN3842	2008	Japan (a, Kyoto)	Community	Urine	<i>H30Rx</i>	CTX-M-15	38.3	98.6	153	84772	5216555	
SI48	2012	Japan (e, Shiga)	Unknown	Urine	<i>H30Rx</i>	CTX-M-15	37.0	98.4	171	101146	5253375	
ONEC14	2006	Japan (f, Shiga)	Unknown	Unknown	<i>H30Rx</i>	CTX-M-15	62.3	98.3	130	141652	5290408	
ONEC29	2007	Japan (f, Shiga)	Unknown	Unknown	<i>H30Rx</i>	CTX-M-15	40.7	98.6	176	87726	5151579	
KT6	2012	Japan (r, Kyoto)	Unknown	Urine	<i>H30Rx</i>	CTX-M-15	32.6	97.1	178	76197	5112138	
BRG23	2014	Japan (u, Osaka)	Hospital	Urine	<i>H30Rx</i>	CTX-M-15	55.8	97.4	151	125485	5151404	
KP14	2010	Japan (b, Kyoto)	Unknown	Urine	<i>H30Rx</i>	CTX-M-14+15	38.9	98.6	208	93237	5226221	
KS58	2011	Japan (c, Kyoto)	Unknown	Urine	<i>H30Rx</i>	CTX-M-15	40.6	98.6	179	70831	5140718	
EC958	2005	United Kingdom	Community	Urine	<i>H30Rx</i>	CTX-M-15	NA	NA	2‡	NA	5245369	(30)
KUN5191	2009	Japan (a, Kyoto)	Community	Urine	<i>H30Rx</i>	CTX-M-15	37.8	99.9	135	129047	5170127	
Ec 58	2009	Canada	Hospital	Blood	<i>H30Rx</i>	CTX-M-15	84.4	100.0	116	311639	5299942	(33)
Ec 31	2009	Canada	Healthcare-associated	Blood	<i>H30Rx</i>	CTX-M-15	86.8	100.0	94	282486	5300483	(33)
KS121	2012	Japan (c, Kyoto)	Unknown	Urine	<i>H30Rx</i>	CTX-M-15	34.7	98.0	216	75907	5346990	
BRG221	2014	Japan (c, Kyoto)	Community	Urine	<i>H30Rx</i>	CTX-M-14+15	36.5	96.7	197	87770	5233600	
KP75	2011	Japan (b, Kyoto)	Hospital	Blood	<i>H30Rx</i>	CTX-M-15	36.9	98.0	213	96467	5310621	
KP46	2010	Japan (b, Kyoto)	Unknown	Urine	<i>H30Rx</i>	CTX-M-15	37.5	98.0	204	91282	5315779	
BRG151	2014	Japan (i, Shiga)	Community	Urine	<i>H30</i>	Negative	32.8	97.8	151	94973	5134331	
BRG274	2014	Japan (w, Osaka)	Hospital	Urine	<i>H30</i>	Negative	45.4	96.9	179	95884	5187623	
BRG54	2014	Japan (t, Hyogo)	Community	Blood	<i>H30</i>	Negative	34.9	97.4	193	83029	5070132	
SNEC5	2003	Japan (h, Shiga)	Unknown	Unknown	<i>H30</i>	CTX-M-14	29.3	97.5	277	64189	5232935	
KUN4389	2009	Japan (a, Kyoto)	Hospital	Urine	<i>H30</i>	CTX-M-14	41.0	97.9	207	96127	5243970	
S100EC§	2009	Australia	Unknown	Rectal swab	<i>H30</i>	CTX-M-27	51.1	98.5	97	243020	5153420	(3)
USA 14	2008	United States	Community	Urine	<i>H30</i>	CTX-M-14	70.9	98.3	114	220820	5220386	(33)
BRG62	2014	Japan (t, Hyogo)	Community	Urine	<i>H30</i>	CTX-M-14	52.0	98.9	159	102298	5158745	
KS46	2011	Japan (c, Kyoto)	Unknown	Urine	<i>H30</i>	CTX-M-14	40.8	97.7	207	84629	5116558	
KUN3273	2008	Japan (a, Kyoto)	Community	Urine	<i>H30</i>	CTX-M-14	38.6	97.6	181	105906	5128255	
ONEC7	2006	Japan (f, Shiga)	Unknown	Unknown	<i>H30</i>	CTX-M-14	33.3	98.1	220	80399	5196172	
KN94	2012	Japan (d, Kyoto)	Unknown	Urine	<i>H30</i>	CTX-M-14	48.8	97.5	131	119924	5223561	
KT37	2012	Japan (r, Kyoto)	Unknown	Urine	<i>H30</i>	CTX-M-14	24.2	97.8	289	47475	5125852	
S135EC§	2005	Canada	Community	Blood	<i>H30</i>	CTX-M-14	58.9	98.8	116	152032	5275688	(3)
FR 11	2008	France	Community	Urine	<i>H30</i>	CTX-M-14	36.7	97.6	106	169392	5131354	(33)
Ec# 584	2011	Vietnam	Unknown	Intraabdominal	<i>H30</i>	CTX-M-27	48.4	98.1	346	75552	5412329	(34)
ECNZ 35	2010	New Zealand	Hospital	Blood	<i>H30</i>	CTX-M-14	63.9	98.4	115	159997	5309674	(33)
EcSA01	2008	South Africa	Community	Urine	<i>H30</i>	CTX-M-14	68.3	97.5	80	194881	5198654	(33)
Ec 32	2009	Canada	Community	Blood	<i>H30</i>	CTX-M-14	61.5	98.3	174	184369	5352796	(33)

Strain	Year	Country (hospital, prefecture)	Location	Sample	<i>fimH</i> allele, H30Rx status	ESBL*	Mapping to EC958 genome		De novo assembly		Reference	
							Sequencing depth	% Coverage	No. contigs	N50		Genome size
KUN8768	2011	Japan (a, Kyoto)	Community	Urine	H30	CTX-M-27	35.5	97.5	170	93073	5184482	
SN37	2010	Japan (h, Shiga)	Unknown	Sputum	H30	CTX-M-27	35.3	96.3	164	79492	5039880	
SI43	2012	Japan (e, Shiga)	Unknown	Urine	H30	CTX-M-27	38.5	97.2	171	82979	5017470	
KT10	2012	Japan (r, Kyoto)	Unknown	Blood	H30	CTX-M-27	53.3	95.0	119	133403	4954097	
KUN3594	2008	Japan (a, Kyoto)	Hospital	Urine	H30	CTX-M-27	35.8	97.1	124	93754	5021116	
KFEC8	2004	Japan (b, Kyoto)	Unknown	Unknown	H30	CTX-M-27	32.2	97.1	235	55958	5097618	
S107EC§	2010	Australia	Unknown	Urine	H30	CTX-M-27	46.8	97.1	97	191225	5092957	(3)
S108EC§	2009	Australia	Unknown	Blood	H30	CTX-M-27	61.9	97.4	95	192487	5121514	(3)
KSEC29	2006	Japan (c, Kyoto)	Unknown	Unknown	H30	CTX-M-27	39.3	97.3	138	102764	5064236	
KN1	2010	Japan (d, Kyoto)	Unknown	Urine	H30	CTX-M-27	46.2	97.3	141	120021	5179897	
ONEC27	2007	Japan (f, Shiga)	Unknown	Unknown	H30	CTX-M-27	37.8	97.2	190	76207	5046307	
BRG120	2014	Japan (s, Aichi)	Hospital	Sputum	H30	CTX-M-27	41.3	97.6	124	140794	5094906	
SN65	2011	Japan (h, Shiga)	Unknown	Pus	H30	CTX-M-27	47.0	98.5	148	124167	5105443	
EcAZ 156	2013	Thailand	Unknown	Urine	H30	CTX-M-27	54.0	97.2	128	159590	5130003	(34)
KS26	2010	Japan (c, Kyoto)	Unknown	Urine	H30	CTX-M-27	51.3	97.2	162	104636	5105370	
MRSN17749¶	2013	United States	Hospital	Groin swab	H30	CTX-M-27	NA	NA	92	191197	5046460	(35)
IEH71520¶	2014	United States	House environment	Vacuum cleaner dust	H30	CTX-M-27	NA	NA	202	67135	5153432	(36)
Ec 24	2008	Canada	Hospital	Blood	H30	CTX-M-27	84.9	97.3	77	216849	5077997	(33)
KUN5781	2009	Japan (a, Kyoto)	Hospital	Blood	H30	CTX-M-27	36.3	97.2	147	116898	5066641	

*ESBL, extended-spectrum β -lactamase; NA, not applicable.

†Chromosome and 5 plasmids.

‡Chromosome and 1 plasmid.

§Short reads were mapped and assembled using the same methods as our sequenced isolates.

¶Draft genome.

Technical Appendix Table 2. Genetic structures that flank ESBL genes*

ESBL type: group, subtype	Genetic structure				Clade in the tree or group, number of isolates			
	Upstream (bp)	Downstream (bp)	Type in Figure 1 and Technical Appendix Figure 2†	GenBank accession no.	C/H30R			
					C1/H30R		C2/H30Rx, n = 18	Other than C/H30R, n = 5
				C1-M27, n = 19	Others, n = 16			
<i>bla</i> _{CTX-M-9} group								
<i>bla</i> _{CTX-M-27}	IS26- Δ ISEcp1 (208)	Δ IS903D (391)-IS26	9a2, 9a2'‡	AB976590	18 ^b			
	IS26- Δ ISEcp1 (208)	Δ IS903D (226)-IS26	9a3	AB985520	1			
	IS26- Δ ISEcp1 (388)	IS903D	9e1	LC091535§		2		
<i>bla</i> _{CTX-M-14}	ISEcp1	Δ IS903D	9d3, 9d3'¶, 9d3"##	AB976599, LC091534¶, LC107627#		6¶#	2**	2
	ISEcp1	IS903D	9d1	AB976598		4	1 ^g	
	Δ ISEcp1	Δ IS903D	9d2, 9d2'‡‡	AB976605		2		
	Δ ISEcp1	IS903D	9d4'§§	AB976604		2		
	ISEcp1	<i>orf477</i>	1a1	AB976566			9**	
<i>bla</i> _{CTX-M-1} : <i>bla</i> _{CTX-M-15}	IS26- Δ ISEcp1 (497)	<i>orf477</i>	1b	AB976569			4††	
	IS26- Δ ISEcp1 (24)	<i>orf477</i>	1c, 1c'¶¶	AB976574, LC107628 ⁱ			4	
<i>bla</i> _{CTX-M-2} : <i>bla</i> _{CTX-M-2}	ISEcp1	Downstream <i>bla</i> _{KLU} A##	2a1	AB976588				1
<i>bla</i> _{TEM}								
<i>bla</i> _{TEM-12}	Tn2	Tn2	T1	LC091536§				1
<i>bla</i> _{TEM-132}	Tn2	Tn2	T2	LC091537§				1

*ESBL, extended-spectrum β -lactamase.

†The classification and numbering of the structures follows these in our previous publication (26).

‡One isolate from another study (MRSN17749) had a contig of Δ ISEcp1 (208bp)-*bla*_{CTX-M-27}- Δ IS903D (391bp) without the IS26 flanking structure. However, the lengths of the truncated ISEcp1 and IS903D structures suggest the isolate had the 9a2 structure.

§New sequence found in this study (no identical sequence deposited in GenBank).

¶One isolate (BRG62) had the 9d3" structure, a variant of 9d3. The only difference between 9d3 and 9d3" is one nucleotide (1 aa) change in *tnpA* of Δ IS903D.

#One isolate (ECNZ 35) had the 9d3" structure, a variant of 9d3. The 9d3" structure has 1 nt change (synonymous substitution) in *bla*_{CTX-M-14}. The isolate may have ISEcp1-*bla*_{CTX-M-14}-IS903D structure because the *bla*_{CTX-M-14}-containing contig included 5' truncated IS903D but remaining sequence of IS903D was found in another contig.

**One isolate was positive for both 9d1 and 1b.

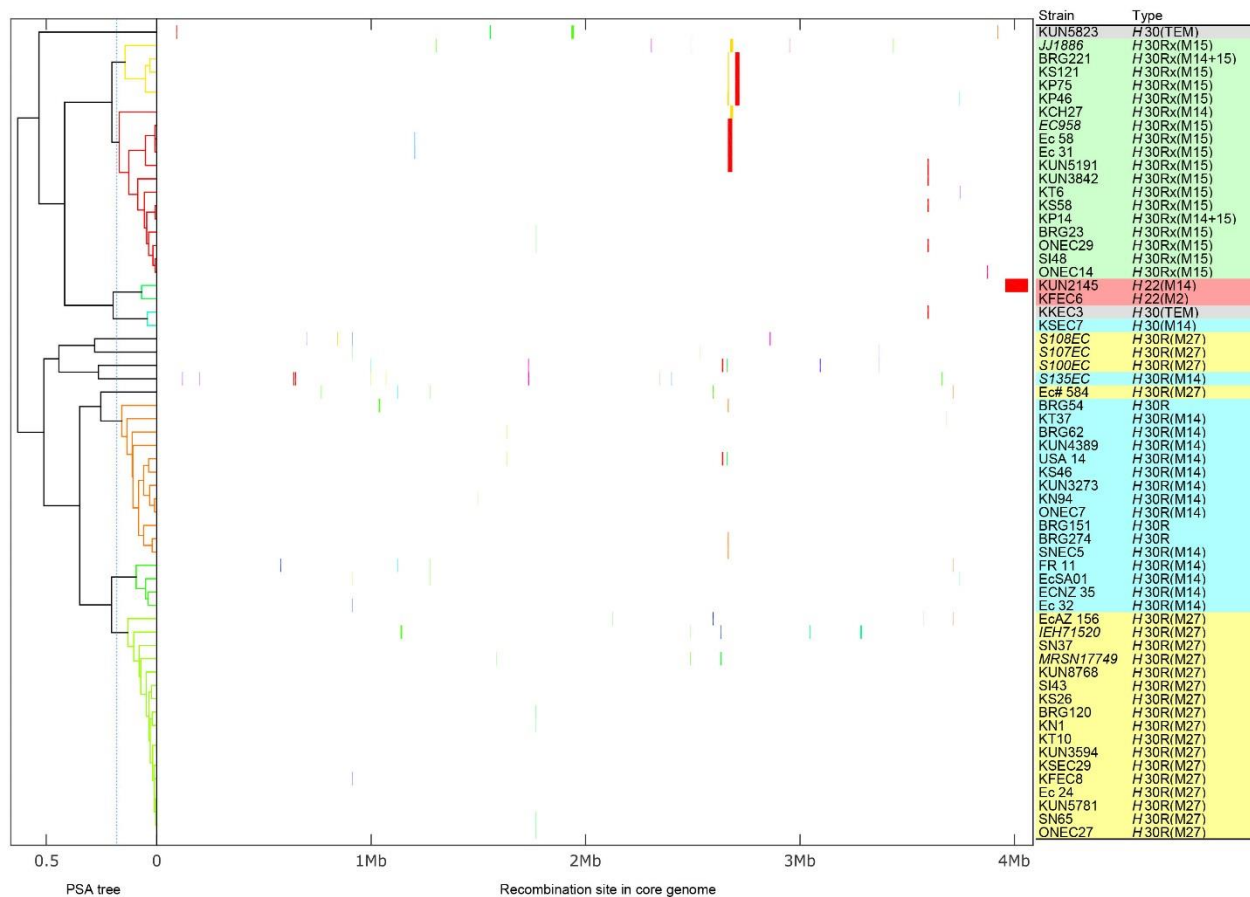
††One isolate was positive for both 9d3 and 1a1.

‡‡One isolate (S135EC) may have ISEcp1-*bla*_{CTX-M-14}- Δ IS903D structure because the *bla*_{CTX-M-14}-containing contig included 3' truncated ISEcp1 but remaining sequence of ISEcp1 was found in another contig.

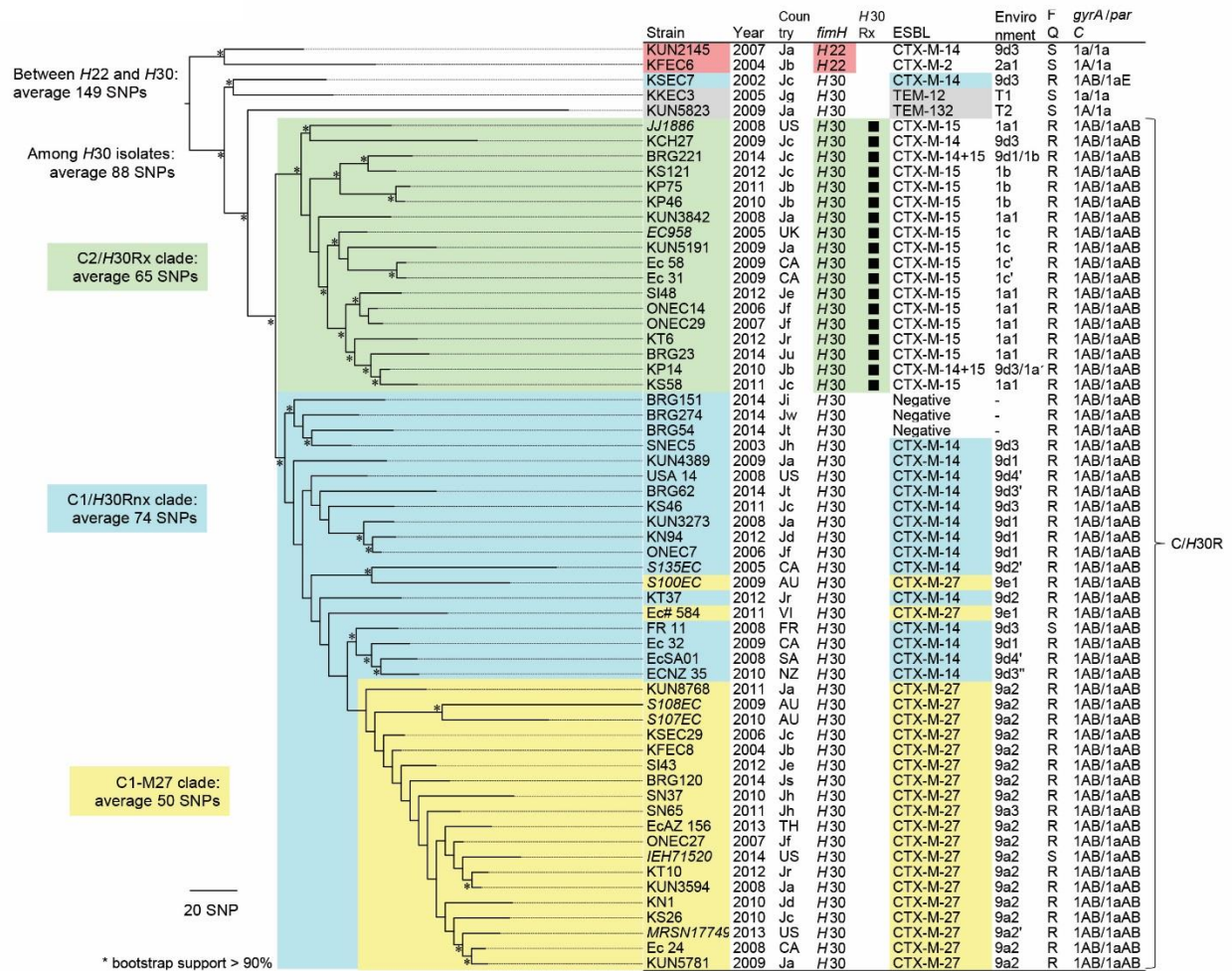
§§These 2 isolates (USA 14 and EcSA01) may have ISEcp1-*bla*_{CTX-M-14}-IS903D (9d1) structure because the *bla*_{CTX-M-14}-containing contig included 5' truncated IS903D but remaining sequence of IS903D was found in another contig.

¶¶One isolate (BRG62) had the 9d3" structure, a variant of 9d3. The only difference between 9d3 and 9d3" is one nucleotide (1 aa) change in *tnpA* of Δ IS903D.

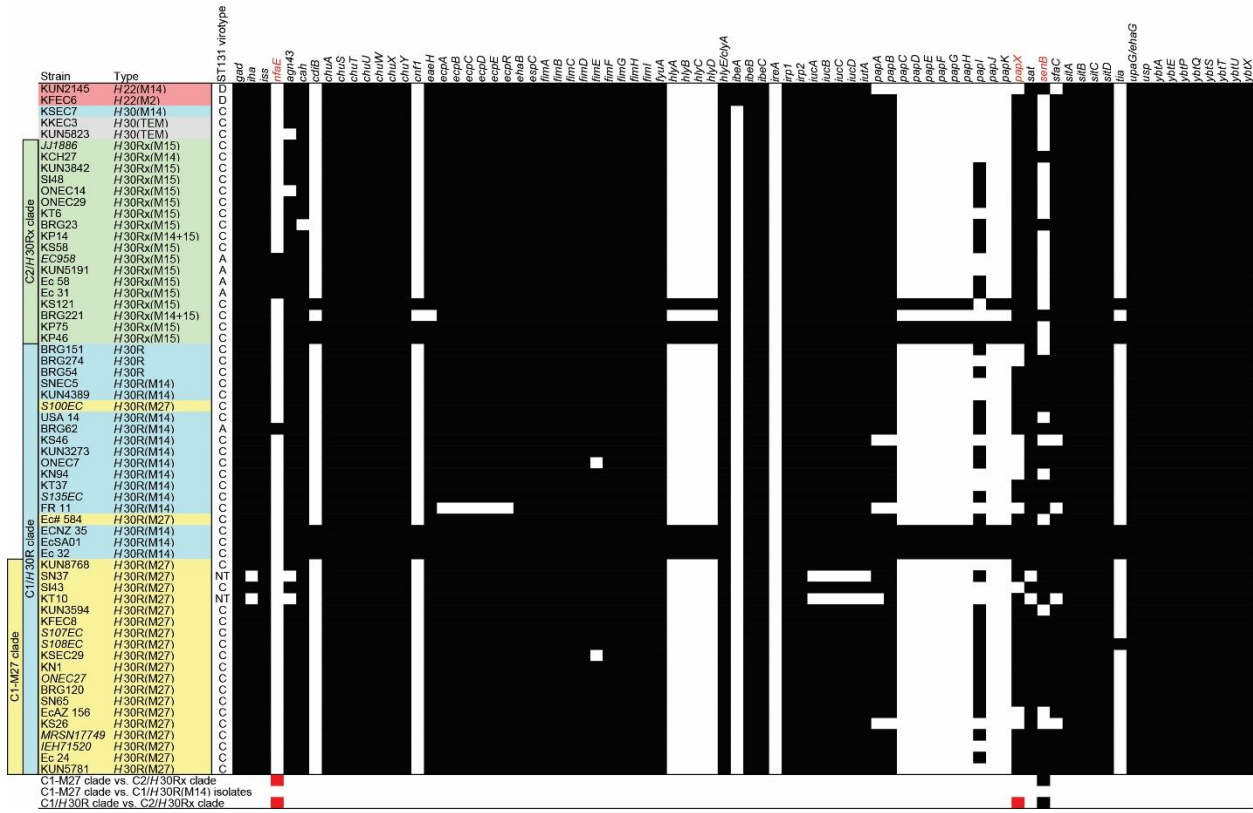
##The nucleotide sequence was identical to the region between *kluA-1* and *orf3* of *Kluyvera ascorbata* (GenBank accession no. AJ272538).



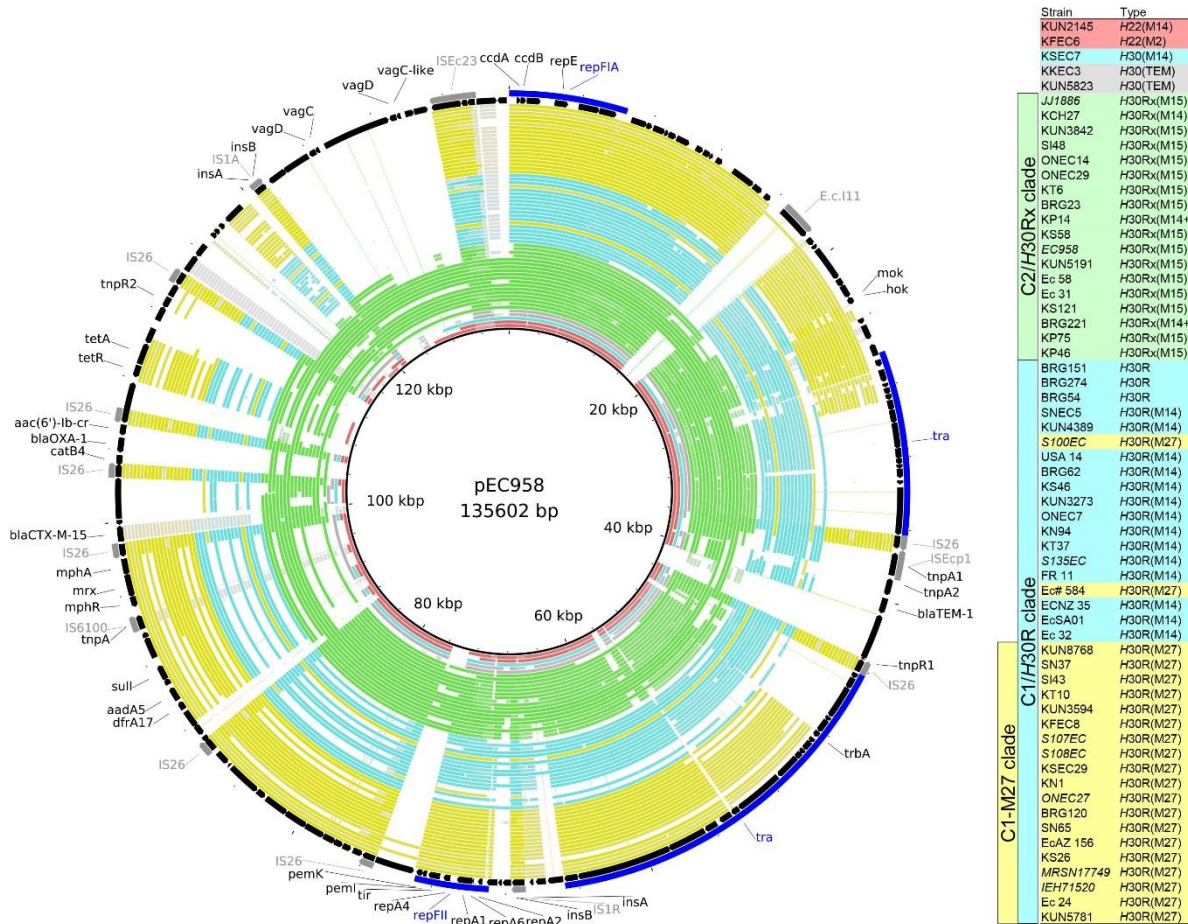
Technical Appendix Figure 1. Recombinant regions identified by BRATNextGen. The same core genome used for construction of the single-nucleotide polymorphism–based phylogenetic tree (Figure 1 in main text) was used for the analysis. The tree in the left is a proportion of shared ancestry tree. A cutoff value of 0.15 was chosen to form clusters of the C1-M27 clade, C1/H30R isolates other than those of the C1-M27 clade, and C2/H30Rx clade. The strain names and types are colored as same as those in Figure 1. ESBL types are indicated in parentheses of Type column. The middle panel shows a horizontal representation of the recombinant segments using color bars. Segments of the same color and the same column derived from the same origin. A total of 79 segments (304,782 bp) including 3,453 SNPs were associated with recombination.



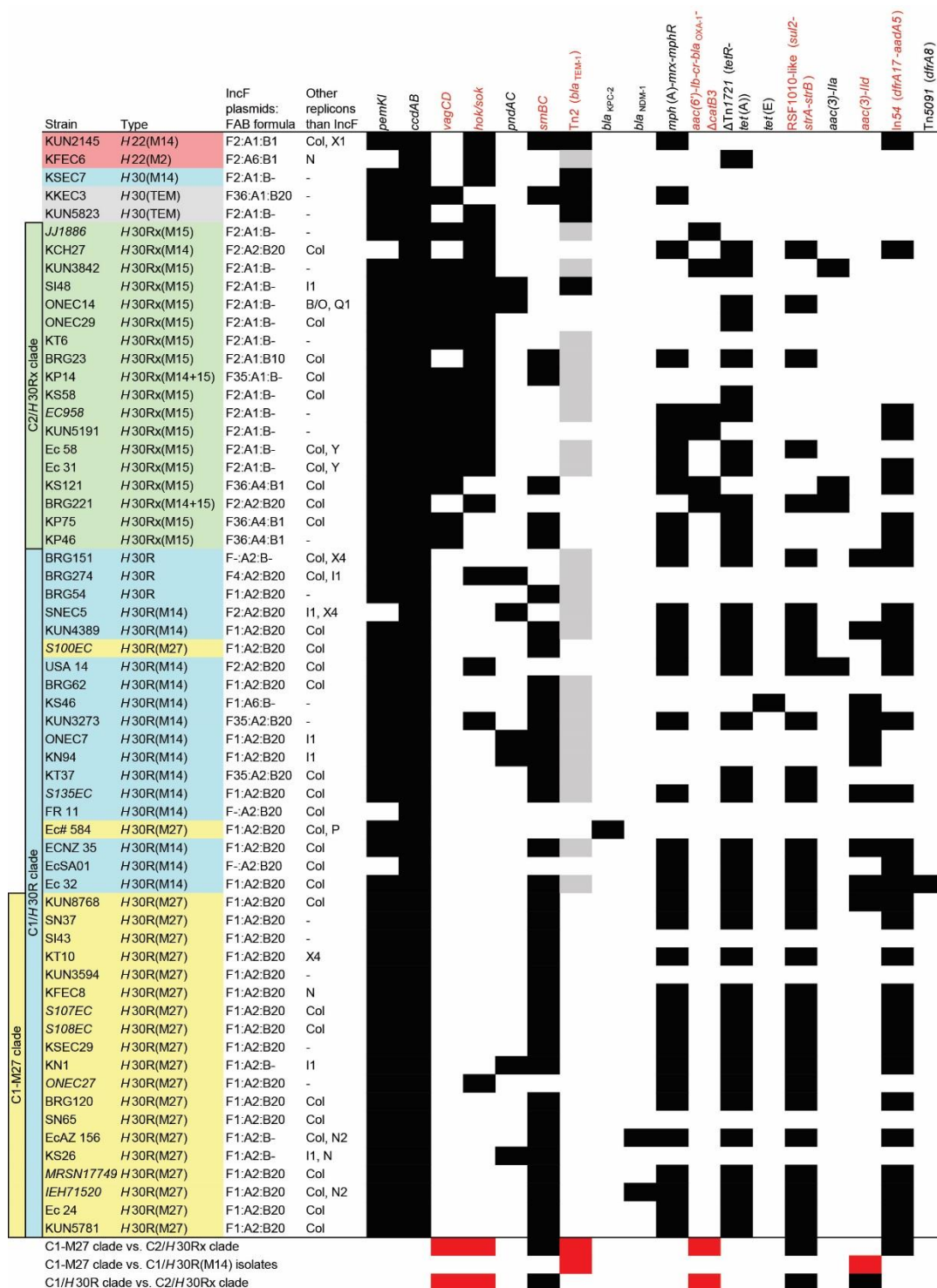
Technical Appendix Figure 2. Phylogenetic tree build from recombination-free core genome. This maximum-likelihood phylogram is based on a 3,781,868-bp recombination-free core genome and a total of 1,827 single-nucleotide polymorphisms. The tree is rooted by using the outgroup *H22* isolates and asterisks indicates bootstrap support >90% from 100 replicates. The clustering results were as same as the tree built from the whole core genome shown in Figure 1. The ciprofloxacin-resistant *C/H30R* cluster comprised the *C2/H30Rx* and *C1/H30R* clades. All of the *H30Rx* isolates belonged to the *C2/H30Rx* clade. The *C1/H30R* clade included CTX-M-14-producing *H30R*, non-ESBL-producing *H30R*, and CTX-M-27-producing *H30R* isolates. CTX-M-27-producing isolates belonged to the C1-M27 clade within the *C1/H30R* clade except two isolates (S100EC and Ec #584). The bootstrap value for the root of the M27 clade was 76%.



Technical Appendix Figure 3. *Escherichia coli* sequence type (ST) 131 virotypes and virulence genes. Black squares indicate presence of each gene. Results of statistical tests for gene prevalence comparison between clades are shown at the bottom rows; black indicates high prevalence of the former clade, and red indicates high prevalence of the latter clade. ST131 virotype C was prevalent in common. Virotype NT indicates nontypeable. The C1-M27 clade isolates more frequently had *senB* enterotoxin gene than C2/H30Rx isolates but the other C1/H30R isolates also frequently had it. Two genes (*nfaE* and *papX*) were prevalent in the C2/H30Rx clade than the C1/H30R clade.



Technical Appendix Figure 4. Comparison of genomes of *Escherichia coli* sequence type (ST) 131 isolates with the pEC958 plasmid of CTX-M-15–producing ST131 C2/H30Rx reference strain EC958. Rings drawn by BRIG show the presence of the pEC958-like regions and colored according to colors in Figure 1. Colored segments indicate >90% similarity, and gray segments indicate >70% similarity by BLAST comparison between the regions of interest and each genome. The C1-M27 clade lacked the first part of the transfer regions (*tra*). Some regions common to both C2/H30Rx and C1/H30R clades are present, but the presence or absence of other regions are divergent even within the same clade. The presence of resistance genes is also shown in Technical Appendix Figure 5.



Technical Appendix Figure 5. Plasmid replicons, plasmid addiction systems, and antimicrobial resistance genes of extraintestinal pathogenic *Escherichia coli*. Black indicates presence of each gene. Gray area of Tn2 column indicates truncated Tn2. Results of statistical tests for gene prevalence comparison between

clades are shown at the bottom rows; black indicates high prevalence of the former clade and red indicates high prevalence of the latter clade. F1:A2:B20 IncF plasmids were prevalent in the C1/H30R clade while F2:A1:B- plasmids were prevalent in the C2/H30Rx clade. Three CTX-M-14-producing C2/H30Rx isolates had mixture types of replicons from the CTX-M-15-producing C2/H30Rx and CTX-M-14-producing C1/H30R isolates. Only C2/H30Rx isolates had *vagCD* plasmid addiction system, *aac(6')-Ib-cr-bla_{OXA-1}-ΔcatB3* resistance gene set. C2/H30Rx isolates more frequently had *vagCD* and *hok/sok* plasmid addiction systems than the C1/H30R isolates. *srnBC* plasmid addiction system and *sul2-strA-strB* resistance gene set originally found in RSF1010 plasmid were more frequently found in the C1/H30R isolates than the C2/H30Rx isolates. None of the C1-M27 clade isolates had Tn2 (*bla_{TEM-1}*). Class 1 integron In54 (*dfrA17-aadA5*) was more frequently found in the C1-M27 clade isolates than the C2/H30Rx isolates. CTX-M-14-producing C1/H30R isolates more frequently had *aac(3)-IId* than the C1-M27 clade or C2/H30Rx isolates. Two C1-M27 isolates carried *bla_{NDM-1}* on IncN2 plasmid backbone and Δ IS_{Aba125}-ISEc33- Δ IS_{Aba125}-*bla_{NDM-1}*-*ble_{MBL}*- Δ *trpF*-IS_{Sen4}-Tn5403 structure and 1 CTX-M-14-producing C1/H30R isolate had *bla_{KPC-2}* in IS_{Kpn27}- Δ *bla_{TEM-1}*-*bla_{KPC-2}*- Δ *traN*-*korC*-*klcA* structure.